

FILE NO. 130774

Petitions and Communications received from July 15, 2013, through July 22, 2013, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on July 30, 2013.

**Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.**

From Clerk of the Board, reporting the following individuals have submitted Form 700 Statements: (1)

Esther Lee - Legislative Aide - Leaving

From Mayor, submitting Notice of Appointment to the Health Commission: (2)

David B. Singer

\*From Controller, submitting audit report of Public Work's Job Order Contract program. (3)

From Public Library, submitting report of gifts received during FY2012-2013. (4)

From Treasurer and Tax Collector, submitting the CCSF Investment Report for June 2013. (5)

From concerned citizens, regarding aerial advertising. 3 Letters. File No. 130744. (6)

From Elections, submitting Notice of Ballot Simplification Committee meetings. (7)

From Carol Denney, regarding secondhand smoke. Copy: Each Supervisor. (8)

From Controller, submitting a memo on an audit of the contract between the Airport Commission and White Ivie Pet Hospital. (9)

From Lippe Gaffney Wagner LLP, regarding 706 Mission Street. File No. 130664. Copy: Each Supervisor, City Attorney, Legislative Clerk. (10)

\*From Cox Castle Nicholson, regarding 706 Mission Street. File No. 130664. Copy: Each Supervisor. (11)

From Chris Daly, regarding SEIU Local 1021 on Kaiser proposed rate increase. (12)

From Nancy Rossman, regarding the Zimmerman trial. (13)

From Peter Warfield, regarding Public Library budget. Copy: Each Supervisor. (14)

From Human Rights Commission, submitting a letter to Mayor Lee and Supervisors requesting that September 4, 2013, be declared "Deferred Action for Children Arrivals Day". (15)

From Golden Gate Park Preservation Alliance, regarding the Arboretum Fee. File No. 130537. Copy: Each Supervisor. (16)

From Supervisor Scott Wiener, submitting a memo regarding Land Use and Economic Development Committee Reports. (17)

From Supervisor Scott Wiener, submitting a memo regarding Land Use and Economic Development Committee Reports. (18)

From Public Utilities Commission, regarding an application from Pacific Gas and Electric Company to recover cost for Hercules Municipal Utility acquisition. Copy: Each Supervisor. (19)

From concerned citizen, regarding annual adjustments for the homeowners exemption. (20)

From concerned citizen, regarding Marcus Bookstores. (21)

From Supervisor David Campos, submitting a memo regarding Neighborhood Services and Safety Committee Reports. (22)

From Supervisor Scott Wiener, submitting a memo regarding Land Use and Economic Development Committee Reports. (23)

From President David Chiu, submitting a memo regarding transferring of File No. 130749 from City and School District Committee to Government Audit and Oversight Committee. (24)

From Public Works, regarding information requested at the July 17, 2013, Budget and Finance Sub-Committee. File No. 130616. Copy: Each Supervisor. (25)

From Chamber of Commerce, regarding Interim Zoning Controls. File No. 130712. Copy: Each Supervisor. (26)

From Martin Chin, regarding Top of Broadway Community Benefit District. File No. 130635. Copy: Each Supervisor. (27)

From Susanne Beilicke Kelly, submitting withdrawal of appeal. File No. 130694. Copy: Each Supervisor. (28)

From concerned citizens, regarding making fiber broadband a priority for San Francisco.  
2 Letters. (29)

From Entertainment Commission, submitting a report on extended hours premises permits. (30)

From Clerk of the Board, the following departments have submitted their reports regarding Sole Source Contracts for FY2012-2013: (31)

- Controller's Office
- Children Youth and Their Families
- Emergency Management
- Public Works
- Technology
- Fine Arts Museum
- Fire
- General Services Agency
- Health Service System
- Human Resources
- Mayor's Office
- Mayor's Office of Housing & Community Development
- Office of Small Business
- Police
- Recreation and Park
- Sheriff
- Public Defender
- Retirement System

\*(An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is available at the Clerk's Office, Room 244, City Hall.)

**BOARD of SUPERVISORS**



**City Hall**  
**1 Dr. Carlton B. Goodlett Place, Room 244**  
**San Francisco 94102-4689**  
**Tel. No. 554-5184**  
**Fax No. 554-5163**  
**TDD/TTY No. 544-5227**

Date: July 19, 2013

To: Honorable Members, Board of Supervisors

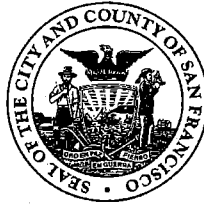
From: Angela Calvillo, Clerk of the Board

Subject: Form 700

This is to inform you that the following individual has submitted a Form 700 Statement:

Esther Lee – Legislative Aide – Leaving

OFFICE OF THE MAYOR  
SAN FRANCISCO



Orig: Rules  
c: COB, Leg Dep,  
Dep City  
Attmy,  
Ac file  
EDWIN M. LEE  
MAYOR  
cpege

**Notice of Appointment**

July 18, 2013

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Carlton B. Goodlett Place  
San Francisco, California 94102

Honorable Board of Supervisors:

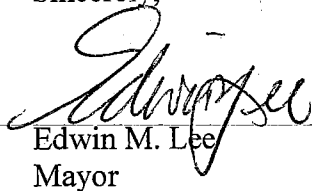
Pursuant to Section 3.100(18) of the Charter of the City and County of San Francisco, I hereby make the following appointment:

David B. Singer to the Health Commission, assuming the seat formerly held by Margine Sako, for a term ending January 15, 2015.

I am confident that Mr. Singer, an elector of the City and County, will serve our community well. Attached herein for your reference are his qualifications to serve.

Should you have any questions related to this appointment, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

  
Edwin M. Lee  
Mayor

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 19 PM 1:58

OFFICE OF THE MAYOR  
SAN FRANCISCO



EDWIN M. LEE  
MAYOR

July 18, 2013

Angela Calvillo  
Clerk of the Board, Board of Supervisors  
San Francisco City Hall  
1 Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo,

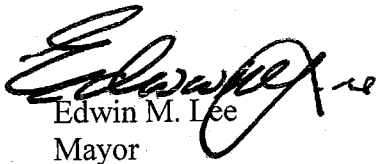
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Sincerely,

  
Edwin M. Lee  
Mayor

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2013 JUL 19 PM 1:58

**David B. Singer**

**1 Letterman Dr, San Francisco, CA 94129**

David is a partner at Maverick Capital, where he is responsible for Maverick's Private Investments globally. Prior to joining Maverick, David was a leading entrepreneur who specialized in health care start-ups throughout his career. He is founder and former CEO of three biotech companies in fields ranging from tools for DNA analysis to novel therapeutics (Affymetrix, GeneSoft and Corecept.) At Maverick, he concentrates on private companies involved in deep technology research, including next-generation DNA sequencing tools, medical devices, and cloud computing for new media applications. He currently sits on the board of one public and several private companies. He is also on The RAND Corporation's Health Advisory Board and the Board Member for College Track. He received his MBA from Stanford and is a Sterling Fellow of Yale University. He is a 1997 Henry Crown Fellow of The Aspen Institute and a member of the Aspen Global Leadership Network.

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**From:** Chapin-Rienzo, Shanda on behalf of Reports, Controller  
**Sent:** Tuesday, July 16, 2013 2:10 PM  
**To:** Nuru, Mohammed; Nuru, Mohammed; Quintos, Jocelyn; Quintos, Jocelyn; Lopez, Edgar; Lopez, Edgar; Dorian, Mark; Dorian, Mark; Camillo, Stacey; Stacey.Camillo@sfdpw.org; cathy@secteam.com; nicole@secteam.com; Robert Evashenk; Calvillo, Angela; Nevin, Peggy; BOS-Legislative Aides; BOS-Supervisors; Kawa, Steve; Leung, Sally; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; Rose, Harvey; sfdocs@sfpl.info; gmetcalf@spur.org; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers  
**Subject:** Report Issued: Public Works: The Job Order Contract Program Is Generally Effective But Requires Improvements to Ensure Accountability and Consistency

The Office of the Controller's City Services Auditor Division (CSA) today issued a report on its audit of Public Works' Job Order Contract (JOC) Program. CSA engaged Sjoberg Evashenk Consulting, Inc., as a specialist to assist in conducting the audit. The audit found that Public Works' JOC program generally complies with the administrative code and the department's procedures and that it employs the appropriate competitive elements of a JOC program based on industry best practices. However, some areas need improvement. Specifically, Public Works needs better policies and procedures to guide staff on how to use the JOC program, manage JOC projects, and document key decisions. Public Works can also improve its written guidance over determining which projects should be executed through JOC and develop formal practices for assigning project work to JOC contractors.

To view the full report, please visit our website at: <http://openbook.sfgov.org/webreports/details3.aspx?id=1604>

This is a send-only email address.

For questions about the report, please contact Director of City Audits Tonia Lediju at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393, or the CSA Audits Unit at 415-554-7469.

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**Document is available  
at the Clerk's Office  
Room 244, City Hall**



BOS-11, page

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2013 JUL 15 PM 4:34



**San Francisco Public Library**  
100 Larkin Street (Civic Center)  
San Francisco, CA 94102

Date: July 15, 2013  
To: Clerk of the Board of Supervisors  
From: San Francisco Public Library-Finance Department  
Subject: Annual Report on Gifts Received up to \$10,000.00

### MEMORANDUM

In accordance with Administrative Code Section 10.100-305, this memo serves to provide the Board of Supervisors with a report on gifts up to \$10,000.00 received by the Department during FY12-13.

Please find attached report for your reference.

Sincerely,

Luis Herrera  
City Librarian

cc: File, SFPL-Finance Department.

SAN FRANCISCO PUBLIC LIBRARY DEPARTMENT  
 GIFTS TO THE CITY AND COUNTY OF SAN FRANCISCO  
 REPORT TO THE BOARD OF SUPERVISORS  
 FISCAL YEAR 2012-2013

Date Received by the City	Donor Name	Amount	Check No./Cash	Use of Gift by City
08/01/12	David Anderson	\$ 25.00	1545	Books & Materials for Collection- Main
09/05/12	David P. And Cheryl Bancroft	\$ 200.00	822	General Library Support-Branch- Marina
09/10/12	James P. Ledwig	\$ 8.00	2003	General Library Support-System Wide
09/10/12	W.T. Cox and Co	\$ 5.00	76166	General Library Support-Main
09/10/12	Jacob Kraft & Mitzi Mock	\$ 250.00	114	General Library Support-System Wide
09/18/12	Lisa M. Schnall	\$ 50.00	1223	Books & Materials for Collection- Systemwide
09/24/12	Charles C. Frost	\$ 15.00	995	General Library Support-Main
09/26/12	Sara J. Newman	\$ 30.00	4725	General Library Support-Branch- Marina
10/05/12	Milliarium Zero LLC	\$ 2,820.75	1152	Specific Program: Library-wide/Hornel Gay and Lesbian Center
10/11/13	Anonymous	\$ 4.00	Cash	General Library Support-System Wide
11/01/12	Aleem Raja & Whitney Bagby	\$ 100.00	2070	Specific Program-Branch-Glen Park/ Baby Rhyme & Playtime & Toddler Pgms.
11/01/12	Cindy Yee	\$ 40.00	307	Specific Program-Branch-Glen Park/ Baby Rhyme & Playtime & Toddler Pgms.
11/01/12	Ben H.Wong & Millie Kwong	\$ 35.00	1274	Specific Program-Branch-Glen Park/ Baby Rhyme & Playtime & Toddler Pgms.
11/01/12	William & Debbie Gong	\$ 75.00	308	Specific Program-Branch-Glen Park/ Baby Rhyme & Playtime & Toddler Pgms.
12/13/12	Karen Quintero	\$ 3.00	289	General Library Support-Main
12/13/12	Steven Edward Jolles	\$ 12.00	\$10.00 by Postal Money Orders # 20483214153 & 20483210046, and \$2.00 by cash	General Library Support-Main
12/20/12	John T. Koss	\$ 200.00	373	Specific Program-Main-Library for the Blind
12/20/12	Sally Love Saunders Foundation	\$ 500.00	348	Specific Program-Branch-Western Addition Br..
12/27/12	Bruce Lundquist	\$ 35.00	2732	Adult or Children/Youth
01/03/13	Gaile Roberts Nunez	\$ 30.00	1112	Specific Program-Branch-Mission Br.
01/17/13	Steven Edward Jolles	\$ 10.00	Postal Money Order # 20653008445	General Library Support-Main
02/11/13	Burma Superstar	\$ 500.00	3328	General Library Support-Br./ Richmond Br.
02/11/13	BSR Eats Inc.	\$ 500.00	2062	General Library Support-Br./ Richmond Br.
02/21/13	Sally Love Saunders	\$ 500.00	347	Specific Program-Branch-Golden Gate Br..
02/26/13	Frances Ferrucci	\$ 95.00	1343	General Library Support-System Wide
03/04/13	Gene Magel	\$ 20.00	cash	General Library Support-System Wide
03/27/13	Mei Ying Yu	\$ 100.00	1005	Specific Program-Branch/Main-Library on Wheels/Mobile Outreach Services
03/27/13	Yuwan Ye	\$ 50.00	cash	General Library Support-System Wide
04/24/13	Anonymous	\$ 30.00	cash	General Library Support-System Wide
05/21/13	Michael Kurihara	\$ 250.00	2091	Books & Materials for Collection- Richmond Br.
06/06/13	Milliarium Zero LLC	\$ 2,314.89	1156	Specific Program: Library-wide/Hornel Gay and Lesbian Center
06/14/13	Elizabeth Ann Lewis	\$ 6.00	716	General Library Support-Br./West Portal Br.

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** FW: CCSF Investment Report for the month of June 2013  
**Attachments:** CCSF Monthly Investment Report for 2013-Jun.pdf

**From:** Starr, Brian  
**Sent:** Monday, July 15, 2013 3:16 PM  
**To:** Starr, Brian  
**Cc:** Rosenfield, Ben; Board of Supervisors; 'cynthia.fong@sfcta.org'; 'graziolij@sfusd.edu'; Bullen, Jessica; Cisneros, Jose; Durgy, Michelle; 'sfdocs@sfpl.info'; Lediju, Tonia; Rydstrom, Todd; Marx, Pauline; 'Peter Goldstein'; Torre, Rosanne  
**Subject:** CCSF Investment Report for the month of June 2013

All,

Attached please find the CCSF Investment Report for the month of June 2013.

Thank you,

Brian Starr, CFA  
Investment Analyst  
Office of the Treasurer and Tax Collector  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
City Hall - Room 140  
San Francisco, CA 94102  
415-554-4487 (phone)  
415-554-5660 (fax)

Office of the Treasurer & Tax Collector  
City and County of San Francisco



José Cisneros, Treasurer

Pauline Marx, Chief Assistant Treasurer  
Michelle Durgy, Chief Investment Officer

Investment Report for the month of June 2013

July 15, 2013

The Honorable Edwin M. Lee  
Mayor of San Francisco  
City Hall, Room 200  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

The Honorable Board of Supervisors  
City and County of San Francisco  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

Ladies and Gentlemen,

In accordance with the provisions of California State Government Code Section 53646, we forward this report detailing the City's pooled fund portfolio as of June 30, 2013. These investments provide sufficient liquidity to meet expenditure requirements for the next six months and are in compliance with our statement of investment policy and California Code.

This correspondence and its attachments show the investment activity for the month of June 2013 for the portfolios under the Treasurer's management. All pricing and valuation data is obtained from Interactive Data Corporation.

**CCSF Pooled Fund Investment Earnings Statistics \***

<i>(in \$ million)</i>	Current Month		Prior Month	
	Fiscal YTD	June 2013	Fiscal YTD	May 2013
Average Daily Balance	\$ 5,445	\$ 6,170	\$ 5,381	\$ 6,349
Net Earnings	51.98	4.18	47.80	3.97
Earned Income Yield	0.95%	0.82%	0.97%	0.74%

**CCSF Pooled Fund Statistics \***

<i>(in \$ million)</i>	% of Portfolio	Book Value	Market Value	Wtd. Avg. Coupon	Wtd. Avg. YTM	WAM
Investment Type						
U.S. Treasuries	14.0%	\$ 859	\$ 858	1.07%	1.02%	1,248
Federal Agencies	65.2%	4,010	4,009	1.05%	0.94%	1,011
State & Local Government						
Agency Obligations	2.3%	142	140	2.62%	0.57%	415
Public Time Deposits	0.01%	1	1	0.48%	0.48%	263
Negotiable CDs	6.1%	375	375	0.24%	0.21%	108
Medium Term Notes	6.6%	408	405	1.45%	0.44%	456
Money Market Funds	5.9%	360	360	0.03%	0.03%	1
<b>Totals</b>	<b>100.0%</b>	<b>\$ 6,155</b>	<b>\$ 6,148</b>	<b>1.00%</b>	<b>0.81%</b>	<b>880</b>

In the remainder of this report, we provide additional information and analytics at the security-level and portfolio-level, as recommended by the California Debt and Investment Advisory Commission.

Very truly yours,

  
José Cisneros  
Treasurer

cc: Treasury Oversight Committee: Peter Goldstein, Joe Grazioli, Todd Rydstrom  
Ben Rosenfield, Controller, Office of the Controller  
Tonia Lediju, Internal Audit, Office of the Controller  
Cynthia Fong, Deputy Director for Finance & Administration, San Francisco County Transportation Authority  
Jessica Bullen, Fiscal and Policy Analyst  
San Francisco Public Library

\* Please see last page of this report for non-pooled funds holdings and statistics.

# Portfolio Summary

## Pooled Fund

As of June 30, 2013

<i>(in \$ million)</i> Security Type	Par Value	Book Value	Market Value	Market/Book Price	Current % Allocation	Max. Policy Allocation	Compliant?
U.S. Treasuries	\$ 860	\$ 859	\$ 858	99.87	13.95%	100%	Yes
Federal Agencies	3,997	4,010	4,009	99.99	65.21%	85%	Yes
State & Local Government Agency Obligations	137	142	140	98.53	2.28%	20%	Yes
Public Time Deposits	1	1	1	100.00	0.01%	100%	Yes
Negotiable CDs	375	375	375	100.01	6.10%	30%	Yes
Bankers Acceptances	-	-	-	-	0.00%	40%	Yes
Commercial Paper	-	-	-	-	0.00%	25%	Yes
Medium Term Notes	403	408	405	99.25	6.58%	15%	Yes
Repurchase Agreements	-	-	-	-	0.00%	100%	Yes
Reverse Repurchase/Securities Lending Agreements	-	-	-	-	0.00%	\$75mm	Yes
Money Market Funds	360	360	360	-	5.86%	100%	Yes
LAIF	-	-	-	-	0.00%	\$50mm	Yes
<b>TOTAL</b>	<b>\$ 6,134</b>	<b>\$ 6,155</b>	<b>\$ 6,148</b>	<b>99.89</b>	<b>100.00%</b>	<b>-</b>	<b>Yes</b>

The City and County of San Francisco uses the following methodology to determine compliance: Compliance is pre-trade and calculated on both a par and market value basis, using the result with the lowest percentage of the overall portfolio value. Cash balances are included in the City's compliance calculations.

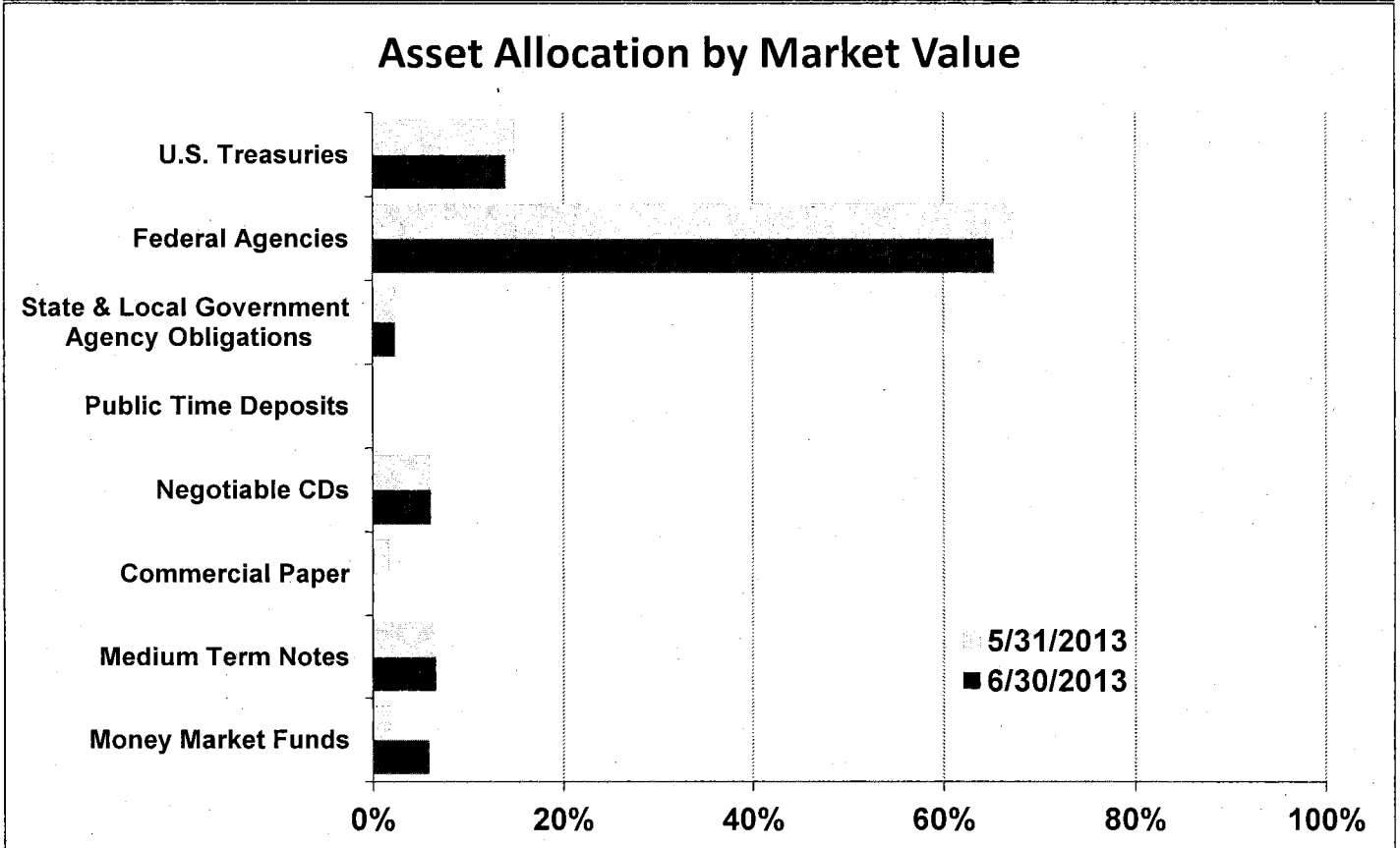
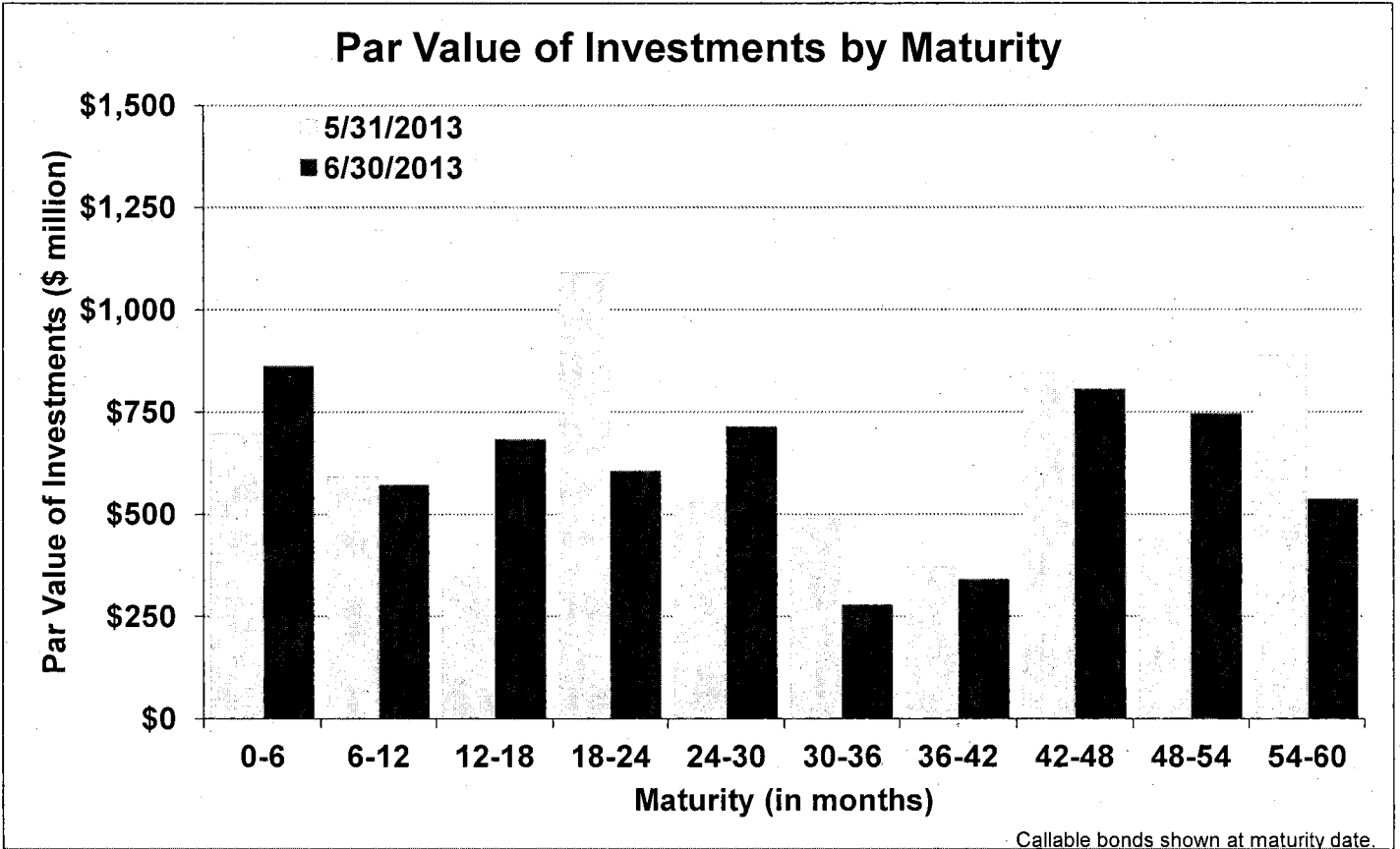
Please note the information in this report does not include cash balances. Due to fluctuations in the market value of the securities held in the Pooled Fund and changes in the City's cash position, the allocation limits may be exceeded on a post-trade compliance basis. In these instances, no compliance violation has occurred, as the policy limits were not exceeded prior to trade execution.

The full Investment Policy can be found at <http://www.sftreasurer.org/>, in the Reports & Plans section of the About menu.

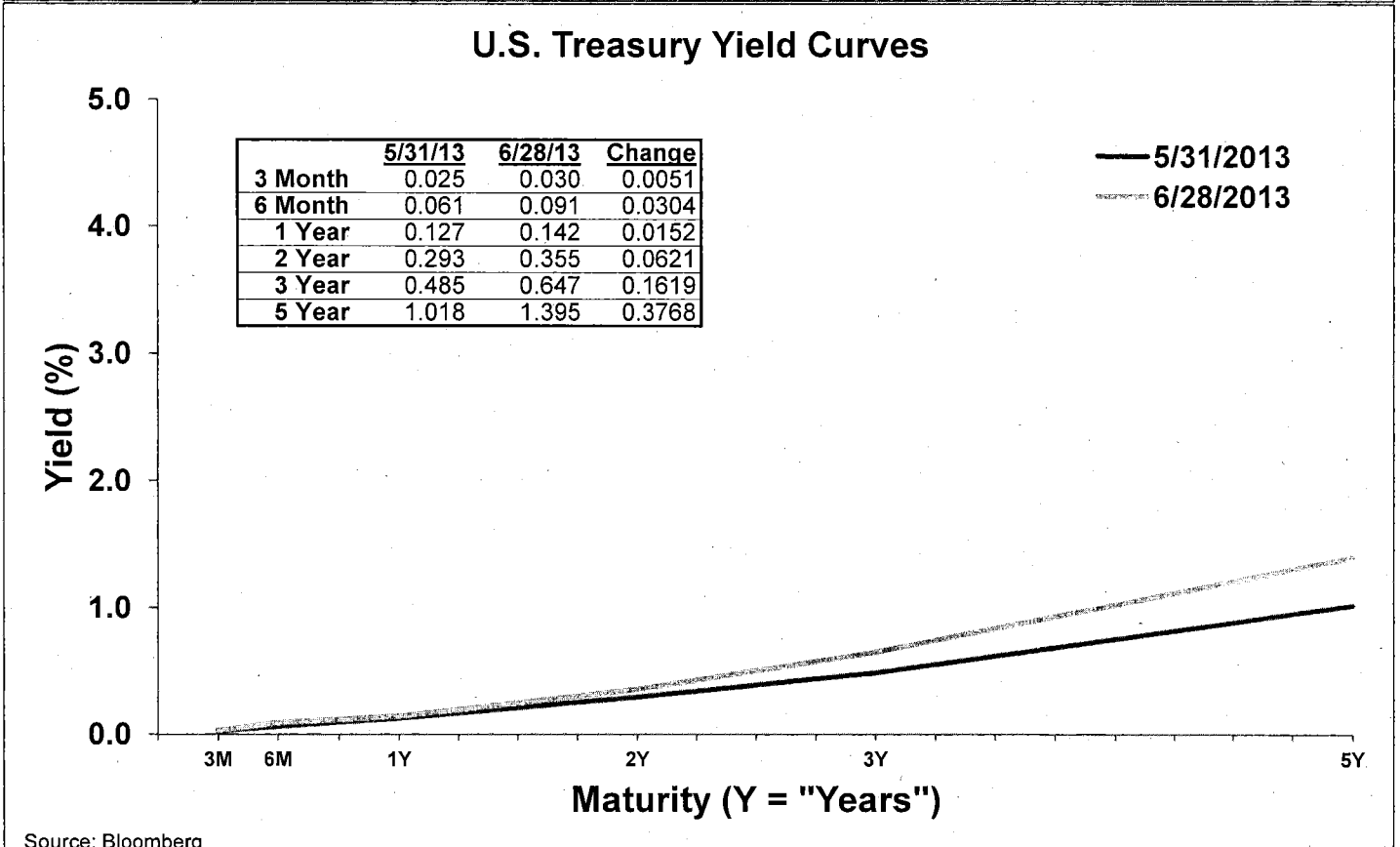
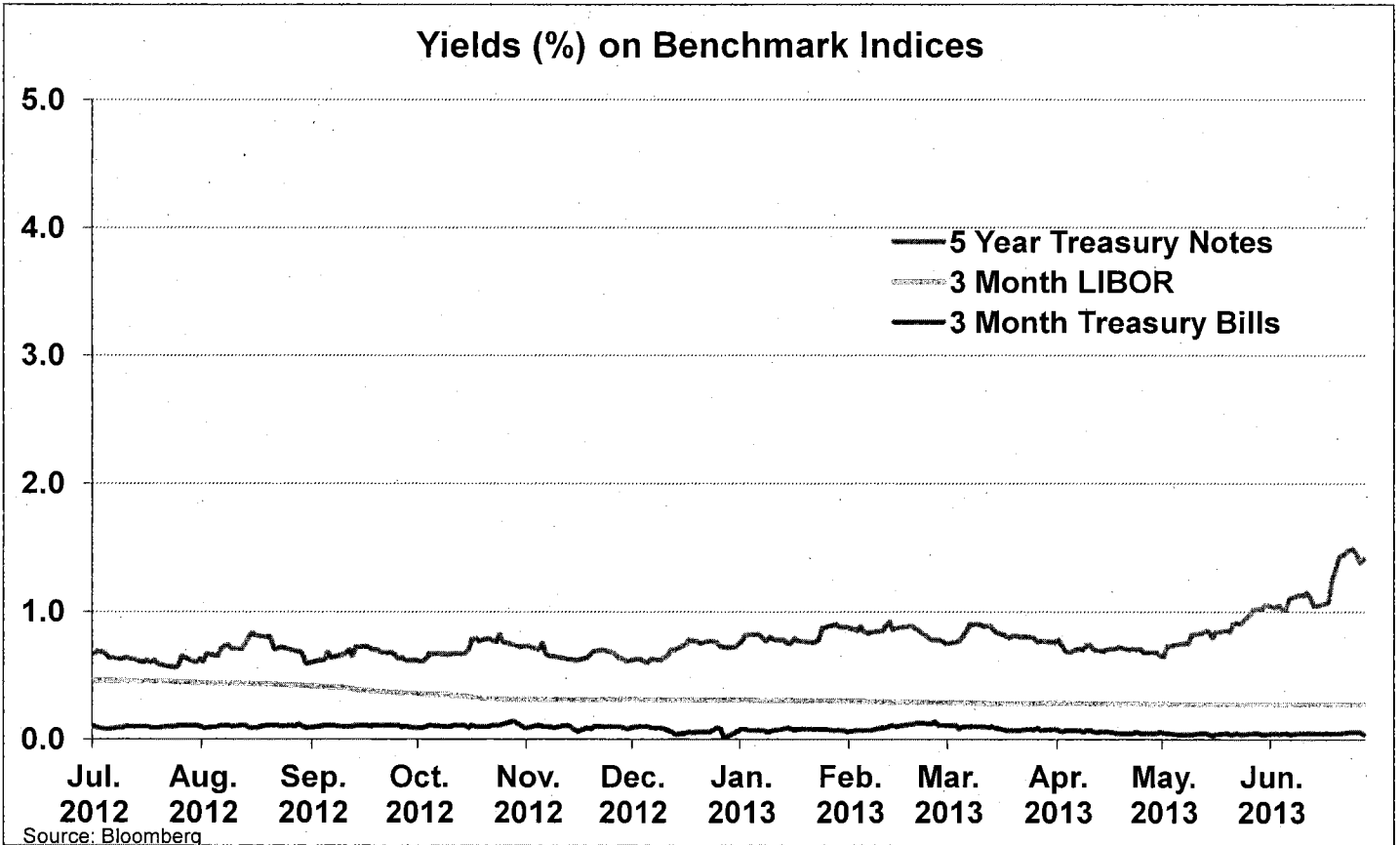
Totals may not add due to rounding.

# Portfolio Analysis

## Pooled Fund



# Yield Curves



# Investment Inventory

## Pooled Fund

As of June 30, 2013

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
U.S. Treasuries	912828PQ7	US TSY NT	6/1/11	1/15/14	0.54	1.00	\$ 25,000,000	\$ 25,226,563	\$ 25,046,777	\$ 25,118,250
U.S. Treasuries	912828LC2	US TSY NT	6/1/11	7/31/14	1.06	2.63	25,000,000	26,382,813	25,472,501	25,653,250
U.S. Treasuries	912828MW7	US TSY NT	2/24/12	3/31/15	1.71	2.50	50,000,000	53,105,469	51,751,803	51,904,500
U.S. Treasuries	912828PE4	US TSY NT	12/23/11	10/31/15	2.30	1.25	25,000,000	25,609,375	25,368,741	25,461,000
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	2.38	1.38	50,000,000	49,519,531	49,765,871	51,062,500
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	2.38	1.38	50,000,000	49,519,531	49,765,871	51,062,500
U.S. Treasuries	912828RJ1	US TSY NT	10/11/11	9/30/16	3.20	1.00	75,000,000	74,888,933	74,888,933	75,510,000
U.S. Treasuries	912828SJ0	US TSY NT	3/14/12	2/28/17	3.61	0.88	100,000,000	99,695,313	99,775,016	99,750,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.61	0.88	25,000,000	24,599,609	24,703,201	24,937,500
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.61	0.88	25,000,000	24,599,609	24,703,201	24,937,500
U.S. Treasuries	912828SM3	US TSY NT	4/4/12	3/31/17	3.68	1.00	50,000,000	49,835,938	49,876,728	50,047,000
U.S. Treasuries	912828TM2	US TSY NT	9/17/12	8/31/17	4.11	0.63	60,000,000	59,807,813	59,838,303	58,767,000
U.S. Treasuries	912828TS9	US TSY NT	10/18/12	9/30/17	4.19	0.63	25,000,000	24,871,094	24,889,346	24,443,250
U.S. Treasuries	912828UE8	US TSY NT	1/4/13	12/31/17	4.43	0.75	50,000,000	49,886,719	49,897,786	48,918,000
U.S. Treasuries	912828UZ1	US TSY NT	5/13/13	4/30/18	4.76	0.63	50,000,000	49,561,821	49,573,962	48,320,500
U.S. Treasuries	912828UZ1	US TSY NT	5/24/13	4/30/18	4.76	0.63	75,000,000	74,128,227	74,147,255	72,480,750
U.S. Treasuries	912828UZ1	US TSY NT	5/29/13	4/30/18	4.76	0.63	50,000,000	49,184,783	49,200,205	48,320,500
<b>Subtotals</b>					<b>3.36</b>	<b>1.07</b>	<b>\$ 860,000,000</b>	<b>\$ 858,903,346</b>	<b>\$ 857,950,831</b>	<b>\$ 857,756,500</b>

Federal Agencies	3134G2B50	FHLMC FRN FF+23	9/1/11	9/3/13	0.00	0.32	\$ 50,000,000	\$ 49,979,500	\$ 49,998,210	\$ 50,022,500
Federal Agencies	313380NQ6	FHLB FLT NT FF+5	12/4/12	9/6/13	0.00	0.15	50,000,000	50,005,750	50,001,396	50,007,500
Federal Agencies	31315PLT4	FARMER MAC	12/6/10	12/6/13	0.44	1.25	35,000,000	34,951,700	34,993,037	35,152,950
Federal Agencies	31331J6A6	FFCB	12/23/10	12/23/13	0.48	1.30	22,000,000	21,993,125	21,998,902	22,121,000
Federal Agencies	313371UC8	FHLB	1/18/10	12/27/13	0.49	0.88	40,000,000	39,928,000	39,988,645	40,141,600
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.26	25,000,000	24,985,000	24,996,633	25,020,000
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.26	25,000,000	24,992,500	24,998,317	25,020,000
Federal Agencies	313379RV3	FHLB FLT NT FF+12	6/11/12	3/11/14	0.00	0.21	50,000,000	49,986,700	49,994,726	50,047,500
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	1/10/10	3/21/14	0.72	1.35	24,500,000	24,564,827	24,500,000	24,701,390
Federal Agencies	31315PHX0	FARMER MAC MTN	4/10/12	6/5/14	0.92	3.15	14,080,000	14,878,195	14,424,260	14,435,238
Federal Agencies	3133XWE70	FHLB TAP	5/15/12	6/13/14	0.95	2.50	48,000,000	50,088,480	48,954,812	49,035,840
Federal Agencies	3133724E1	FHLB	12/31/10	6/30/14	1.00	1.21	50,000,000	50,000,000	50,000,000	50,487,500
Federal Agencies	3137EACU1	FHLMC BONDS	6/2/11	7/30/14	1.08	1.00	75,000,000	74,946,000	74,981,563	75,626,250
Federal Agencies	3134G2UA8	FHLMC NT	12/1/11	8/20/14	1.13	1.00	28,000,000	28,247,744	28,103,539	28,229,880
Federal Agencies	31398A3G5	FNMA EX-CALL NT	4/4/12	9/8/14	1.18	1.50	13,200,000	13,515,216	13,354,232	13,367,904
Federal Agencies	31315PRZ4	FARMER MAC MTN	4/9/13	10/1/14	1.25	0.24	18,000,000	17,997,249	17,997,802	17,987,580
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	12/2/11	11/2/14	0.00	0.48	26,500,000	26,523,585	26,511,145	26,633,030
Federal Agencies	31331J4S9	FFCB	12/16/10	12/8/14	1.43	1.40	24,000,000	23,988,000	23,995,664	24,362,160
Federal Agencies	31331W51	FHLB	12/8/10	12/8/14	1.43	1.40	19,000,000	18,986,680	18,984,433	19,286,710
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.43	1.25	25,400,000	26,848,308	25,917,672	26,308,050
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.43	2.75	2,915,000	3,079,668	2,973,858	3,019,211
Federal Agencies	3133XVNU1	FHLB	12/8/10	12/12/14	1.43	2.75	50,000,000	52,674,000	50,965,560	51,787,500
Federal Agencies	313371W93	FHLB	12/15/10	12/15/14	1.45	1.34	75,000,000	75,000,000	75,000,000	76,127,250
Federal Agencies	3136FTVW6	FNMA FLT QTR FF+35	12/15/11	12/15/14	0.00	0.44	75,000,000	75,000,000	75,000,000	75,345,750
Federal Agencies	3135G0GM9	FNMA CALL NT	12/23/11	12/23/14	1.47	0.83	25,000,000	25,040,000	25,009,576	25,051,000
Federal Agencies	3135G0GM9	FNMA GLOBAL CALL	3/28/13	12/23/14	1.47	0.83	10,000,000	10,042,700	10,027,676	10,020,400
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.48	1.72	27,175,000	27,157,065	27,168,297	27,712,793
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.48	1.72	65,000,000	64,989,600	64,996,113	66,286,350



# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Federal Agencies	3133EAO35	FFCB FLT NT FF+14	9/4/12	3/4/15	0.00	100,000,000	99,924,300	99,949,229	100,152,000	
Federal Agencies	3133EAPJ4	FFCB FLT NT 1ML+1.5	4/30/12	4/27/15	0.07	50,000,000	49,992,600	49,995,494	50,033,500	
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	5/3/12	5/1/15	0.00	50,000,000	50,000,000	50,000,000	50,181,000	
Federal Agencies	3133EAO05	FFCB FLT NT 1ML+1	6/8/12	5/14/15	0.04	50,000,000	49,985,500	49,990,758	50,026,500	
Federal Agencies	3133EAEV5	FFCB FLT NT 1ML+2	12/5/12	6/22/15	0.06	50,000,000	49,987,300	49,990,143	50,031,500	
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	4/26/13	8/28/15	2.15	50,000,000	20,020,111	20,017,982	19,978,000	
Federal Agencies	3137EACM9	FHLMC BONDS	12/15/10	9/10/15	2.15	50,000,000	49,050,000	49,560,145	51,369,000	
Federal Agencies	313370JB5	FHLB	12/15/10	9/11/15	2.15	75,000,000	73,587,000	74,345,334	77,067,000	
Federal Agencies	31315PGT0	FARMER MAC	9/15/10	9/15/15	2.13	45,000,000	44,914,950	44,962,459	46,522,350	
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	4/16/13	9/18/15	0.01	50,000,000	50,000,000	50,000,000	49,981,500	
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	4/24/13	9/18/15	0.01	16,200,000	16,198,073	16,198,222	16,194,006	
Federal Agencies	31398A3T7	FNMA NT EX-CALL	10/14/11	9/21/15	2.00	25,000,000	25,881,000	25,497,477	25,820,000	
Federal Agencies	3133EAFJ6	FFCB FLT NT 1ML+2.5	11/30/12	9/22/15	0.06	27,953,000	27,941,120	27,943,586	27,970,890	
Federal Agencies	31398A4M1	FNMA	12/15/10	10/26/15	2.28	25,000,000	24,317,500	24,674,506	25,619,750	
Federal Agencies	3136G1LX5	FNMA NT CALL	12/23/10	10/26/15	2.28	42,000,000	40,924,380	41,484,700	43,041,180	
Federal Agencies	31331J2S1	FFCB	5/15/13	11/13/15	2.36	24,610,000	24,610,000	24,610,000	24,485,227	
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	12/15/10	11/16/15	2.34	25,000,000	24,186,981	24,607,290	25,545,750	
Federal Agencies	31337ZY5	FHLB	5/8/13	11/19/15	0.05	25,000,000	24,997,000	24,997,175	24,999,000	
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	12/3/10	12/11/15	2.40	50,000,000	49,987,000	49,987,548	49,989,000	
Federal Agencies	31337ZR5	FHLB	12/14/10	12/11/15	2.40	50,000,000	49,871,500	49,937,054	51,668,000	
Federal Agencies	313375RN9	FHLB NT	5/20/13	2/10/16	0.03	20,000,000	22,357,620	22,308,612	22,418,670	
Federal Agencies	3133EJAU3	FFCB NT	4/13/12	3/11/16	2.66	25,000,000	25,220,750	25,152,815	25,281,250	
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	4/7/13	4/1/16	0.00	50,000,000	50,000,000	50,000,000	49,982,500	
Federal Agencies	313379Z21	FHLB NT	11/30/12	3/28/16	2.77	20,000,000	19,992,200	19,994,544	20,059,800	
Federal Agencies	3135G0RZ8	FNMA CALL NT	11/30/12	5/26/16	2.89	22,540,000	22,540,000	22,540,000	22,382,445	
Federal Agencies	313373ZN5	FHLB	6/6/11	6/6/16	2.86	35,000,000	35,000,000	35,000,000	36,304,100	
Federal Agencies	31315PB73	FAMCA NT	2/9/12	6/9/16	2.91	10,000,000	10,000,000	10,000,000	10,073,000	
Federal Agencies	313771AA5	FHLB SUB NT	5/20/13	6/13/16	2.77	16,925,000	19,472,890	19,377,344	19,211,737	
Federal Agencies	313771AA5	FHLB SUB NT	5/30/13	6/13/16	2.77	14,195,000	16,259,095	16,199,590	16,112,886	
Federal Agencies	31315PA25	FAMCA NT	7/27/11	7/27/16	2.97	15,000,000	14,934,750	14,959,929	15,493,500	
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.98	14,100,000	14,781,422	14,730,876	14,563,890	
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.98	11,900,000	12,479,504	12,436,494	12,291,510	
Federal Agencies	313370TW8	FHLB BD	10/11/11	9/9/16	3.09	25,000,000	25,272,400	25,472,506	25,915,000	
Federal Agencies	3135G0CM3	FNMA NT	10/11/11	9/28/16	3.18	25,000,000	24,856,450	24,906,226	25,285,750	
Federal Agencies	3134G3P38	FHLMC NT CALL	12/14/12	10/5/16	3.23	75,000,000	75,071,250	75,001,404	74,598,000	
Federal Agencies	3135G0ES8	FNMA NT	12/14/11	11/15/16	3.30	50,000,000	50,309,092	50,211,964	50,687,500	
Federal Agencies	313381GA7	FHLB NT	11/30/12	11/30/16	3.39	23,100,000	23,104,389	23,103,749	22,781,913	
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.46	13,500,000	13,500,000	13,500,000	13,315,725	
Federal Agencies	3136FTUZ0	FNMA CALL NT	12/30/11	12/30/16	3.43	9,000,000	9,000,000	9,000,000	8,877,150	
Federal Agencies	3134G33C2	FHLMC NT	1/3/13	1/3/17	3.47	50,000,000	49,975,000	49,982,512	50,186,000	
Federal Agencies	3133ECB37	FFCB NT	12/20/12	1/12/17	3.49	50,000,000	50,000,000	50,000,000	49,260,500	
Federal Agencies	31315PWW5	FARMER MAC MTN	5/4/12	1/17/17	3.48	14,000,000	14,000,000	14,000,000	13,775,020	
Federal Agencies	3136FTL31	FNMA STEP BD CALL	4/30/12	2/7/17	3.55	49,500,000	49,475,250	49,481,340	49,426,740	
Federal Agencies	3133786Q9	FHLB NT	1/10/13	2/13/17	3.55	30,765,000	30,872,678	30,801,723	30,817,608	
Federal Agencies	3137EADC0	FHLMC NT	3/12/12	3/8/17	3.62	67,780,000	68,546,456	68,458,275	67,766,444	
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.63	50,000,000	49,697,500	49,776,529	49,755,605	
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.63	14,845,000	14,698,035	14,736,387	14,705,605	
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	3.69	55,660,000	55,157,087	55,288,330	55,137,353	
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	3.69	12,500,000	12,439,250	12,454,121	12,474,875	

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Federal Agencies	3133ECLL6	FFCB NT	4/17/13	4/17/17	3.76	0.60	10,000,000	10,000,000	10,000,000	9,779,600
Federal Agencies	3136G0CC3	FNMA STRNT	4/18/12	4/18/17	3.74	0.85	30,000,000	30,000,000	30,000,000	30,105,900
Federal Agencies	31315PU00	FARMER MAC MTN	4/26/12	4/26/17	3.75	1.13	10,500,000	10,500,000	10,500,000	10,439,520
Federal Agencies	313379AY2	FHLB FIX-TO-FLOAT CALL NT	5/9/12	5/9/17	3.82	0.50	25,000,000	25,000,000	25,000,000	24,642,500
Federal Agencies	3137EADF3	FHLMC NT	5/14/12	5/12/17	3.78	1.25	25,000,000	25,133,000	25,102,885	25,035,000
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	6/11/12	5/23/17	3.84	0.85	50,000,000	50,290,500	50,133,197	50,036,000
Federal Agencies	31315PZ05	FARMER MAC MTN	12/28/12	6/5/17	3.86	1.11	9,000,000	9,122,130	9,108,183	8,936,910
Federal Agencies	3133EALW6	FFCB FLT NT FF+22	6/19/12	6/19/17	0.00	0.32	50,000,000	50,000,000	50,000,000	50,132,000
Federal Agencies	3134G3ZN3	FHLMC CALL NT	5/21/13	7/17/17	3.87	2.00	29,223,149	29,283,037	29,223,149	29,023,490
Federal Agencies	3136G0ZA2	FNMA STEP NT	9/12/12	9/12/17	4.13	0.75	15,000,000	15,000,000	15,000,000	14,842,050
Federal Agencies	3136G0B59	FNMA STEP NT	9/20/12	9/20/17	4.16	0.70	64,750,000	64,750,000	64,750,000	63,987,893
Federal Agencies	3136G0D81	FNMA STEP NT	9/27/12	9/27/17	4.18	0.72	100,000,000	100,000,000	100,000,000	98,777,000
Federal Agencies	3136G0Y39	FNMA STEP NT	1/18/12	1/18/17	4.30	0.63	50,000,000	50,000,000	50,000,000	48,896,500
Federal Agencies	3134G44F2	FHLMC CALL MTN	5/21/13	11/21/17	4.32	0.80	50,000,000	50,000,000	50,000,000	48,547,000
Federal Agencies	3135G0RT2	FNMA GLOBAL	1/10/13	12/20/17	4.40	0.88	50,000,000	49,917,500	49,925,362	48,708,500
Federal Agencies	3135G0RT2	FNMA GLOBAL	1/29/13	12/20/17	4.39	0.88	100,000,000	99,290,740	99,351,500	97,417,000
Federal Agencies	3136G13T4	FNMA STEP NT	12/26/12	12/26/17	4.42	0.75	39,000,000	39,000,000	39,000,000	38,464,530
Federal Agencies	3136G13Q0	FNMA STEP NT	12/26/12	12/26/17	4.42	0.75	29,000,000	29,000,000	29,000,000	28,652,000
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.38	1.25	33,600,000	33,991,272	33,857,510	33,258,288
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.38	1.25	50,000,000	50,605,000	50,398,172	49,491,500
Federal Agencies	3134G32M1	FHLMC CALL NT	12/28/12	12/28/17	4.41	1.00	50,000,000	50,000,000	50,000,000	48,672,000
Federal Agencies	3136G1FK0	FNMA NT CALL	3/13/13	3/13/18	4.53	1.60	21,500,000	21,744,240	21,670,633	21,521,500
Federal Agencies	3136G1GG8	FNMA NT CALL	3/19/13	3/19/18	4.56	1.50	17,900,000	18,079,000	18,027,997	17,819,629
Federal Agencies	3136G1J67	FNMA NT CALL	4/9/13	4/9/18	4.61	1.50	25,000,000	25,249,000	25,192,378	24,758,500
Federal Agencies	3136G1KN8	FNMA NT CALL	4/24/13	4/24/18	4.65	1.50	50,000,000	50,903,000	50,818,885	49,786,500
Federal Agencies	3136G1K81	FNMA NT STEP	4/30/13	4/30/18	4.75	0.75	12,600,000	12,600,000	12,600,000	12,329,856
Federal Agencies	31315PZM4	FARMER MAC STEP NT	5/3/13	5/3/18	4.76	0.70	24,600,000	24,600,000	24,600,000	23,992,626
Federal Agencies	313382XK4	FHLB STEP NT	5/7/13	5/7/18	4.80	0.50	25,000,000	25,000,000	25,000,000	24,501,750
Federal Agencies	3133ECPB4	FFCB NT	5/23/13	5/14/18	4.78	0.88	10,000,000	9,936,788	9,938,191	9,666,000
Federal Agencies	313383AS0	FHLB NT CALL	5/21/13	5/21/18	4.74	1.40	50,000,000	50,374,000	50,365,602	49,498,000
Federal Agencies	3135G0WJ8	FNMA NT	5/23/13	5/21/18	4.79	0.88	25,000,000	24,787,715	24,792,280	24,161,500
Federal Agencies	3133834P3	FHLB STEP NT	5/22/13	5/22/18	4.84	0.50	50,000,000	50,000,000	50,000,000	48,772,000
<b>Subtotals</b>					<b>2.35</b>	<b>1.05</b>	<b>\$ 3,997,493,000</b>	<b>\$ 4,009,733,895</b>	<b>\$ 4,006,587,697</b>	<b>\$ 4,009,344,253</b>

State/Local Agencies	107889RL3	TOWNSHIP OF BRICK NJ BAN	7/26/12	7/26/13	0.07	1.00	\$ 23,915,000	\$ 24,033,858	\$ 23,923,141	\$ 23,925,283
State/Local Agencies	612574DN0	MONTEREY COMM COLLEGE DIST	5/7/13	8/1/13	0.09	0.34	255,000	255,000	255,000	254,977
State/Local Agencies	022168KZ0	ALUM ROCK ESD SAN JOSE CA	7/13/12	9/1/13	0.17	0.80	1,665,000	1,665,000	1,665,000	1,665,333
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	3/29/12	3/15/14	0.70	2.61	15,000,000	15,606,300	15,217,624	15,214,950
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.70	2.61	11,115,000	11,542,594	11,285,375	11,274,278
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.70	2.61	8,150,000	8,463,531	8,274,926	8,266,790
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	4/29/13	3/15/14	0.70	2.61	2,000,000	2,046,368	2,038,493	2,028,660
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/2/12	4/1/14	0.74	5.25	2,820,000	3,044,359	2,907,946	2,918,728
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	4/8/13	4/1/14	0.74	5.25	10,000,000	10,479,208	10,369,164	10,350,100
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/3/13	4/1/14	0.74	5.25	7,270,000	7,624,897	7,568,029	7,524,523
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	7/24/12	8/1/14	1.08	0.75	1,125,000	1,125,000	1,125,000	1,124,224
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	5/7/13	8/1/14	1.08	0.43	310,000	310,000	310,000	309,402
State/Local Agencies	64966DP07	NEW YORK CITY GO	6/7/12	11/1/14	1.30	4.75	8,000,000	8,774,720	8,431,087	8,442,720
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/15	1.58	0.85	10,000,000	10,038,000	10,032,604	10,007,800
State/Local Agencies	649791J50	NEW YORK ST TAXABLE GO	3/21/13	3/1/15	1.66	0.39	4,620,000	4,619,176	4,619,309	4,610,206
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	3/14/13	5/15/15	1.87	0.39	5,000,000	5,000,000	5,000,000	4,975,200

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	5/7/13	8/1/15	2.07	0.63	315,000	315,000	315,000	313,879
State/Local Agencies	64966GX56	NEW YORK CITY TAXABLE GO	4/1/13	12/1/15	2.31	5.13	12,255,000	13,700,477	13,565,428	13,417,632
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/16	2.55	1.05	11,000,000	11,037,180	11,033,751	10,983,280
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	5/7/13	8/1/16	3.04	0.98	2,670,000	2,670,000	2,670,000	2,646,664
<b>Subtotals</b>					<b>1.11</b>	<b>2.62</b>	<b>\$ 137,485,000</b>	<b>\$ 142,350,568</b>	<b>\$ 140,606,876</b>	<b>\$ 140,254,628</b>
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	2/7/13	2/7/14	0.60	0.49	\$ 240,000	\$ 240,000	\$ 240,000	\$ 240,000
Public Time Deposits		BANK OF SAN FRANCISCO PTID	4/9/13	4/9/14	0.77	0.47	240,000	240,000	240,000	240,000
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	4/9/13	4/9/14	0.77	0.48	240,000	240,000	240,000	240,000
<b>Subtotals</b>					<b>0.72</b>	<b>0.48</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>	<b>\$ 720,000</b>
Negotiable CDs	06538FZN3	BANK OF TOKYO MITSUBISHI NY YI	6/24/13	7/1/13	0.00	0.12	\$ 125,000,000	\$ 125,000,000	\$ 125,000,000	\$ 125,000,139
Negotiable CDs	06366AAZ5	BANK OF MONTREAL YCD	5/21/13	7/22/13	0.06	0.14	50,000,000	50,000,000	50,000,000	50,001,833
Negotiable CDs	06417FAY6	BANK OF NOVA SCOTIA YCD	9/4/12	8/30/13	0.17	0.38	50,000,000	50,000,000	50,000,000	50,025,392
Negotiable CDs	96121TQW1	WESTPAC NY FLT YCD 1ML+14	3/25/13	11/21/13	0.06	0.33	50,000,000	50,033,502	50,019,879	50,036,307
Negotiable CDs	78009NMC7	RBC YCD FF+22	3/26/13	3/26/14	0.00	0.31	75,000,000	75,000,000	75,000,000	75,016,787
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	6/24/13	6/24/14	0.07	0.30	25,000,000	25,000,000	25,000,000	24,978,356
<b>Subtotals</b>					<b>0.04</b>	<b>0.24</b>	<b>\$ 375,000,000</b>	<b>\$ 375,033,502</b>	<b>\$ 375,019,879</b>	<b>\$ 375,058,813</b>
Medium Term Notes	36962G3F9	GE CAPITAL CORP MTN	3/27/13	9/20/13	0.23	5.40	\$ 3,700,000	\$ 3,795,053	\$ 3,745,606	\$ 3,741,292
Medium Term Notes	78008KNA7	RBC MTN	1/30/13	1/15/14	0.54	1.13	30,580,000	30,834,357	30,730,118	30,686,724
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	3/13/13	1/15/14	0.53	5.38	12,345,000	12,971,629	12,786,013	12,672,019
Medium Term Notes	46623EJ0	JP MORGAN CHASE MTN	3/13/13	1/24/14	0.56	2.05	32,755,000	33,314,323	33,132,506	33,021,298
Medium Term Notes	46623EJ0	JP MORGAN CHASE MTN	3/13/13	1/24/14	0.56	2.05	2,050,000	2,085,814	2,075,371	2,066,667
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.83	3.63	6,500,000	6,720,350	6,681,044	6,667,310
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.83	3.63	5,000,000	5,169,500	5,139,265	5,128,700
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	5/2/13	5/2/14	0.21	1.03	27,475,000	27,669,221	27,637,294	27,616,222
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	4/9/13	6/9/14	0.93	5.65	25,000,000	26,515,000	26,219,824	26,223,750
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	11/13/12	6/10/14	0.93	5.13	10,000,000	10,725,948	10,435,063	10,430,800
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3/27/13	7/30/14	0.08	0.30	3,000,000	3,000,630	3,000,507	3,000,690
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	1/28/13	12/5/14	0.18	0.44	10,000,000	10,004,700	10,003,629	10,003,500
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	1/10/13	1/9/15	0.02	0.66	25,000,000	25,000,000	25,000,000	25,045,500
Medium Term Notes	78008SV52	RBC MTN FIX-TO-FLT	1/22/13	1/22/15	1.56	0.50	100,000,000	100,000,000	100,000,000	98,958,000
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	1/23/13	1/23/15	0.06	0.45	35,000,000	35,000,000	35,000,000	35,011,200
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	2/4/13	2/4/15	1.59	0.50	25,000,000	25,000,000	25,000,000	24,662,250
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3MI	4/12/13	4/8/15	0.02	0.42	50,000,000	50,000,000	50,000,000	49,816,000
<b>Subtotals</b>					<b>0.72</b>	<b>1.45</b>	<b>\$ 403,405,000</b>	<b>\$ 407,806,524</b>	<b>\$ 406,586,240</b>	<b>\$ 404,751,921</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	12/31/12	7/1/13	0.00	0.05	\$ 160,047,099	\$ 160,047,099	\$ 160,047,099	\$ 160,047,099
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	1/15/13	7/1/13	0.00	0.01	125,000,000	125,000,000	125,000,000	125,000,000
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	6/20/13	7/1/13	0.00	0.01	75,000,000	75,000,000	75,000,000	75,000,000
<b>Subtotals</b>					<b>0.00</b>	<b>0.03</b>	<b>\$ 360,047,099</b>	<b>\$ 360,047,099</b>	<b>\$ 360,047,099</b>	<b>\$ 360,047,099</b>
<b>Grand Totals</b>					<b>2.08</b>	<b>1.00</b>	<b>\$ 6,134,150,099</b>	<b>\$ 6,154,595,034</b>	<b>\$ 6,147,518,622</b>	<b>\$ 6,147,933,215</b>

# Monthly Investment Earnings

## Pooled Fund

For month ended June 30, 2013

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
U.S. Treasuries	912828JT8	US TSY NT	\$ -	2.00	0.62	6/1/11	11/30/13	\$ 31,421	\$ 681,810	\$ (645,508)	\$ 67,723
U.S. Treasuries	912828PQ7	US TSY NT	25,000,000	1.00	0.65	6/1/11	1/15/14	20,718	(7,087)	-	13,631
U.S. Treasuries	912828LC2	US TSY NT	25,000,000	2.63	0.85	6/1/11	7/31/14	54,385	(35,886)	-	18,499
U.S. Treasuries	912828MW7	US TSY NT	50,000,000	2.50	0.48	2/24/12	3/31/15	102,459	(82,373)	-	20,086
U.S. Treasuries	912828PE4	US TSY NT	25,000,000	1.25	0.61	12/23/11	10/31/15	25,476	(12,984)	-	12,492
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,352	7,964	-	64,316
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,352	7,964	-	64,316
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	2.00	12/23/10	11/30/15	56,352	24,308	-	80,661
U.S. Treasuries	912828QF0	US TSY NT	-	2.00	0.91	3/15/12	4/30/16	35,326	646,486	(128,906)	552,906
U.S. Treasuries	912828RJ1	US TSY NT	75,000,000	1.00	1.05	10/11/11	9/30/16	61,475	2,807	-	64,282
U.S. Treasuries	912828S0	US TSY NT	100,000,000	0.88	0.94	3/14/12	2/28/17	71,332	5,044	-	76,376
U.S. Treasuries	912828S0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	17,833	6,655	-	24,488
U.S. Treasuries	912828S0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	17,833	6,655	-	24,488
U.S. Treasuries	912828SM3	US TSY NT	50,000,000	1.00	1.07	4/4/12	3/31/17	40,984	2,701	-	43,685
U.S. Treasuries	912828TM2	US TSY NT	60,000,000	0.63	0.69	9/17/12	8/31/17	30,571	3,187	-	33,758
U.S. Treasuries	912828TS9	US TSY NT	25,000,000	0.63	0.73	10/18/12	9/30/17	12,807	2,139	-	14,946
U.S. Treasuries	912828UE8	US TSY NT	50,000,000	0.75	0.80	1/4/13	12/31/17	31,060	1,865	-	32,926
U.S. Treasuries	912828UZ1	US TSY NT	50,000,000	0.63	0.81	5/13/13	4/30/18	25,476	7,433	-	32,909
U.S. Treasuries	912828UZ1	US TSY NT	75,000,000	0.63	0.87	5/24/13	4/30/18	38,213	15,022	-	53,236
U.S. Treasuries	912828UZ1	US TSY NT	50,000,000	0.63	0.98	5/29/13	4/30/18	25,476	14,021	-	39,496
<b>Subtotals</b>			<b>\$ 860,000,000</b>					<b>\$ 811,902</b>	<b>\$ 1,297,730</b>	<b>\$ (774,414)</b>	<b>\$ 1,335,218</b>

Federal Agencies	3134G2B50	FHLMC FRN FF+23	\$ 50,000,000	0.32	0.48	9/1/11	9/3/13	\$ 13,538	\$ 839	\$ -	\$ 14,377
Federal Agencies	313380NQ6	FHLB FLT NT FF+5	50,000,000	0.15	0.10	12/4/12	9/6/13	6,157	(625)	-	5,532
Federal Agencies	3134G2K43	FHLMC FLT NT FF+21	-	0.31	0.55	9/13/11	9/12/13	9,150	(26,197)	54,900	38,453
Federal Agencies	31315PLT4	FARMER MAC	35,000,000	1.25	1.30	12/6/13	12/6/13	36,458	1,322	-	37,780
Federal Agencies	313379QY8	FHLB FLT NT FF+9	-	0.19	0.09	11/30/12	12/20/13	2,931	5,714	(7,272)	1,373
Federal Agencies	313379QY8	FHLB FLT NT FF+9	-	0.19	0.10	12/12/12	12/20/13	5,806	9,612	(12,417)	3,002
Federal Agencies	31331J6A6	FFCB	22,000,000	1.30	1.31	12/23/10	12/23/13	23,833	188	-	24,022
Federal Agencies	313371UC8	FHLB	40,000,000	0.88	0.93	11/18/10	12/27/13	29,167	1,903	-	31,070
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.26	0.34	3/4/11	3/4/14	5,311	411	-	5,722
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.26	0.30	3/4/11	3/4/14	5,311	205	-	5,516
Federal Agencies	313379RV3	FHLB FLT NT FF+12	50,000,000	0.21	0.25	6/11/12	3/11/14	8,847	625	-	9,473
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	24,500,000	3.15	1.27	11/10/10	3/21/14	27,563	-	-	27,563
Federal Agencies	31315PHX0	FARMER MAC MTN	14,080,000	3.15	0.50	4/10/12	6/5/14	36,960	(30,465)	-	6,495
Federal Agencies	3133XWE70	FHLB TAP	48,000,000	2.50	0.40	5/15/12	6/13/14	100,000	(82,549)	-	17,451
Federal Agencies	3133724E1	FHLB	50,000,000	1.21	1.21	12/31/10	6/30/14	50,417	-	-	50,417
Federal Agencies	3137EACU1	FHLMC BONDS	75,000,000	1.00	1.02	6/2/11	7/30/14	62,500	1,404	-	63,904
Federal Agencies	3134G2UA8	FHLMC NT	28,000,000	1.00	0.67	12/1/11	8/20/14	23,333	(7,485)	-	15,849
Federal Agencies	31398A3G5	FNMA EX-CALL NT	13,200,000	1.50	0.51	4/4/12	9/8/14	16,500	(10,661)	-	5,839
Federal Agencies	31315PRZ4	FARMER MAC MTN	18,000,000	0.24	0.26	4/9/13	10/1/14	3,638	200	-	3,838
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	26,500,000	0.48	0.42	12/12/11	11/21/14	10,681	(658)	-	10,023
Federal Agencies	31331J4S9	FFCB	24,000,000	1.40	1.41	12/16/10	12/8/14	28,000	248	-	28,248
Federal Agencies	31331J4S9	FFCB	19,000,000	1.40	1.46	12/8/10	12/8/14	22,167	890	-	23,056
Federal Agencies	313371W51	FHLB	75,000,000	1.25	1.46	12/8/10	12/12/14	78,125	12,471	-	90,596
Federal Agencies	3133XVNU1	FHLB	25,400,000	2.75	1.30	11/23/10	12/12/14	58,208	(29,358)	-	28,851
Federal Agencies	3133XVNU1	FHLB	2,915,000	2.75	1.31	11/23/10	12/12/14	6,680	(3,338)	-	3,342
Federal Agencies	3133XVNU1	FHLB	50,000,000	2.75	1.37	12/8/10	12/12/14	114,583	(54,758)	-	59,826
Federal Agencies	313371W93	FHLB	75,000,000	1.34	1.34	12/15/10	12/15/14	83,750	-	-	83,750

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Income
Federal Agencies	3136FTV6	FNMA FLT QTR FF+35	75,000,000	0.44	0.44	12/15/11	12/15/14	29,704	-	-	29,704
Federal Agencies	3135GOGM9	FNMA CALL NT	25,000,000	0.83	0.77	12/23/11	12/23/14	17,188	(1,642)	-	15,546
Federal Agencies	3135GOGM9	FNMA GLOBAL CALL	10,000,000	0.83	0.58	3/28/13	12/23/14	6,875	(4,744)	-	2,131
Federal Agencies	31331J6Q1	FFCB	27,175,000	1.72	1.74	12/29/10	12/29/14	38,951	368	-	39,319
Federal Agencies	31331J6Q1	FFCB	65,000,000	1.72	1.72	12/29/10	12/29/14	93,167	214	-	93,380
Federal Agencies	3133EAO35	FFCB FLT NT FF+14	100,000,000	0.24	0.28	9/4/12	3/4/15	19,776	2,493	-	22,269
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	50,000,000	0.21	0.22	4/30/12	4/27/15	8,684	203	-	8,888
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	50,000,000	0.36	0.36	5/3/12	5/1/15	14,901	-	163,500	14,901
Federal Agencies	3133EANJ3	FFCB BD	-	0.50	0.54	5/1/12	5/1/15	8,333	(20,252)	-	151,581
Federal Agencies	3133EAO35	FFCB FLT NT 1ML+1	50,000,000	0.20	0.22	6/8/12	5/14/15	8,558	407	-	8,965
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	50,000,000	0.21	0.23	12/5/12	6/22/15	8,968	410	-	9,378
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	20,000,000	0.50	0.49	4/26/13	8/28/15	8,333	(968)	-	7,366
Federal Agencies	3137EACM9	FHLMC BONDS	50,000,000	1.75	2.17	12/15/10	9/10/15	72,917	16,474	-	89,391
Federal Agencies	313370JB5	FHLB	75,000,000	1.75	2.31	12/15/10	9/11/15	109,375	24,489	-	133,864
Federal Agencies	31315PGT0	FARMER MAC	45,000,000	2.13	2.17	9/15/10	9/15/15	79,688	1,397	-	81,085
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	50,000,000	0.21	0.21	4/16/13	9/18/15	8,397	66	-	8,397
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	16,200,000	0.21	0.22	4/24/13	9/18/15	2,721	-	-	2,721
Federal Agencies	31398A3T7	FNMA NT EX-CALL	25,000,000	2.00	1.08	10/14/11	9/21/15	41,667	(18,380)	-	23,287
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	27,953,000	0.22	0.24	11/30/12	9/22/15	5,130	347	-	5,477
Federal Agencies	31398A4M1	FNMA	25,000,000	1.63	2.22	12/15/10	10/26/15	33,854	11,529	-	45,383
Federal Agencies	31398A4M1	FNMA	42,000,000	1.63	2.19	12/23/10	10/26/15	56,875	18,251	-	75,126
Federal Agencies	3136G1LX5	FNMA NT CALL	24,610,000	0.32	0.32	5/15/13	11/13/15	6,563	-	-	6,563
Federal Agencies	31331J2S1	FFCB	25,000,000	1.50	2.20	12/15/10	11/16/15	31,250	13,573	-	44,823
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	25,000,000	0.19	0.20	5/8/13	11/19/15	4,065	97	-	4,162
Federal Agencies	31337IZY5	FHLB	25,000,000	1.88	1.89	12/3/10	12/11/15	39,063	294	-	39,357
Federal Agencies	31337IZY5	FHLB	50,000,000	1.88	1.93	12/14/10	12/11/15	78,125	2,115	-	80,240
Federal Agencies	3133ECPZ5	FFCB FLT NT 1ML+0	50,000,000	0.20	0.21	5/20/13	2/10/16	8,300	392	-	8,692
Federal Agencies	31337SRN9	FHLB NT	22,200,000	1.00	0.82	4/13/12	3/11/16	18,500	(3,311)	-	15,189
Federal Agencies	3133EAJU3	FFCB NT	25,000,000	1.05	0.82	4/12/12	3/28/16	21,875	(4,580)	-	17,295
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	50,000,000	0.19	0.19	4/1/13	4/1/16	8,074	-	-	8,074
Federal Agencies	313379Z21	FHLB NT	20,000,000	0.81	0.82	4/18/12	4/18/16	13,500	160	-	13,660
Federal Agencies	3135G0RZ8	FNMA CALL NT	22,540,000	0.55	0.55	11/30/12	5/26/16	10,331	-	-	10,331
Federal Agencies	313373ZN5	FHLB	35,000,000	2.03	2.03	6/6/11	6/6/16	59,208	-	-	59,208
Federal Agencies	31315PB73	FAMCA NT	10,000,000	0.90	0.90	2/9/12	6/9/16	7,500	-	-	7,500
Federal Agencies	313771AA5	FHLB SUB NT	16,925,000	5.63	0.65	5/20/13	6/13/16	79,336	(68,247)	-	11,089
Federal Agencies	313771AA5	FHLB SUB NT	14,195,000	5.63	0.77	5/30/13	6/13/16	66,539	(55,786)	-	10,753
Federal Agencies	31315PA25	FAMCA NT	15,000,000	2.00	2.09	7/27/11	7/27/16	25,000	1,071	-	26,071
Federal Agencies	31315PA25	FAMCA MTN	14,100,000	2.00	0.62	3/26/13	7/27/16	23,500	(15,633)	-	7,867
Federal Agencies	31315PA25	FAMCA MTN	11,900,000	2.00	0.62	3/26/13	7/27/16	19,833	(13,302)	-	6,532
Federal Agencies	313370TW8	FHLB BD	25,000,000	2.00	1.39	10/11/11	9/9/16	41,667	(12,157)	-	29,510
Federal Agencies	3135G0CM3	FNMA NT	25,000,000	1.25	1.37	10/11/11	9/28/16	26,042	2,374	-	28,416
Federal Agencies	3134G3P38	FHLMC NT CALL	75,000,000	0.75	0.72	12/14/12	10/5/16	46,875	(10,530)	-	36,345
Federal Agencies	3135G0ES8	FNMA NT	50,000,000	1.38	1.25	12/14/11	11/15/16	57,292	(5,157)	-	52,134
Federal Agencies	313381GA7	FHLB NT	23,100,000	0.57	0.57	11/30/12	11/30/16	10,973	(90)	-	10,882
Federal Agencies	313371PV2	FHLB NT	-	1.63	0.57	12/6/12	12/9/16	30,807	263,986	(885,675)	(590,882)
Federal Agencies	313381KR5	FHLB NT CALL	13,500,000	0.63	0.63	12/28/12	12/28/16	7,031	-	-	7,031
Federal Agencies	313381KR5	FHLB NT CALL	9,000,000	0.63	0.63	12/28/12	12/28/16	4,688	-	-	4,688
Federal Agencies	3136FTUZ0	FNMA CALL NT	50,000,000	1.40	1.41	12/30/11	12/30/16	58,333	411	-	58,744
Federal Agencies	3134G33C2	FHLMC NT	50,000,000	0.60	0.60	1/3/13	1/3/17	25,000	-	-	25,000
Federal Agencies	3133ECB37	FFCB NT	14,000,000	0.58	0.58	12/20/12	1/12/17	6,767	-	-	6,767

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM'	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	31315PWW5	FARMER MAC MTN	49,500,000	1.01	1.02	5/4/12	1/17/17	41,663	432	-	42,094
Federal Agencies	3136FTL31	FNMA STEP BD CALL	30,765,000	0.75	0.68	4/30/12	2/7/17	19,228	(4,985)	-	14,243
Federal Agencies	3133786Q9	FHLB NT	67,780,000	1.00	0.72	1/10/13	2/13/17	56,483	(15,380)	-	41,103
Federal Agencies	3137EADCO	FHLMC NT	50,000,000	1.00	1.13	3/12/12	3/8/17	41,667	4,981	-	46,647
Federal Agencies	3133782N0	FHLB NT	14,845,000	0.88	1.08	3/12/12	3/10/17	10,824	2,417	-	13,242
Federal Agencies	3133782N0	FHLB NT	55,660,000	0.88	1.06	3/12/12	3/10/17	40,585	8,272	-	48,857
Federal Agencies	31315PTQ2	FARMER MAC MTN	12,500,000	1.26	1.36	4/10/12	4/10/17	13,125	998	-	14,123
Federal Agencies	3133ECLL6	FFCB NT	10,000,000	0.60	0.60	4/17/13	4/17/17	5,000	-	-	5,000
Federal Agencies	3136G0CC3	FNMA STRNT	30,000,000	0.85	0.85	4/18/12	4/18/17	21,250	-	-	21,250
Federal Agencies	31315PUQ0	FARMER MAC MTN	10,500,000	1.13	1.13	4/26/12	4/26/17	9,844	-	-	9,844
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	25,000,000	0.50	0.50	5/9/12	5/9/17	10,417	-	-	10,417
Federal Agencies	3137EADF3	FHLMC NT	25,000,000	1.25	1.14	5/14/12	5/12/17	26,042	(2,188)	-	23,854
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	50,000,000	0.85	0.73	6/11/12	5/23/17	35,417	(12,257)	-	23,159
Federal Agencies	31315PZO5	FARMER MAC MTN	9,000,000	1.11	0.80	12/28/12	6/5/17	8,325	(2,262)	-	6,063
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	50,000,000	0.32	0.32	6/19/12	6/19/17	12,889	-	-	12,889
Federal Agencies	3134G3ZN3	FHLMC CALL NT	29,000,000	2.00	1.93	5/21/13	7/17/17	48,333	(43,821)	-	4,513
Federal Agencies	3136G0ZAZ	FNMA STEP NT	15,000,000	0.75	0.75	9/12/12	9/12/17	9,375	-	-	9,375
Federal Agencies	3136G0B59	FNMA STEP NT	64,750,000	0.70	0.70	9/20/12	9/20/17	37,771	-	-	37,771
Federal Agencies	3136G0D81	FNMA STEP NT	100,000,000	0.72	0.72	9/27/12	9/27/17	60,000	-	-	60,000
Federal Agencies	3136G0Y39	FNMA STEP NT	50,000,000	0.63	0.63	1/18/12	1/18/17	26,042	-	-	26,042
Federal Agencies	3134G44F2	FHLMC CALL MTN	50,000,000	0.80	0.80	5/21/13	11/21/17	33,333	-	-	33,333
Federal Agencies	3135G0RT2	FNMA GLOBAL	50,000,000	0.88	0.91	1/10/13	12/20/17	36,458	1,371	-	37,830
Federal Agencies	3136G1374	FNMA STEP NT	100,000,000	0.88	1.02	1/29/13	12/20/17	72,917	11,914	-	84,830
Federal Agencies	3136G1300	FNMA STEP NT	29,000,000	0.75	0.75	12/26/12	12/26/17	24,375	-	-	24,375
Federal Agencies	3134G32W9	FHLMC MTN CALL	33,600,000	1.25	1.01	12/26/12	12/26/17	18,125	(21,459)	-	13,541
Federal Agencies	3134G32W9	FHLMC MTN CALL	50,000,000	1.25	1.00	12/26/12	12/26/17	52,083	(33,181)	-	18,902
Federal Agencies	3134G32M1	FHLMC CALL NT	50,000,000	1.00	1.00	12/28/12	12/28/17	41,667	-	-	41,667
Federal Agencies	3136G1FK0	FNMA NT CALL	21,500,000	1.60	1.36	3/13/13	3/13/18	28,667	(20,075)	-	8,592
Federal Agencies	3136G1GG8	FNMA NT CALL	17,900,000	1.50	1.29	3/19/13	3/19/18	22,375	(14,712)	-	7,663
Federal Agencies	3136G1J67	FNMA NT CALL	25,000,000	1.50	1.29	4/9/13	4/9/18	31,250	(20,466)	-	10,784
Federal Agencies	3136G1KN8	FNMA NT CALL	50,000,000	1.50	1.13	4/24/13	4/24/18	62,500	(37,110)	-	25,390
Federal Agencies	3136G1K81	FNMA NT STEP	12,600,000	0.75	0.75	4/30/13	4/30/18	7,875	-	-	7,875
Federal Agencies	31315PZM4	FARMER MAC STEP NT	24,600,000	0.70	0.70	5/3/13	5/3/18	14,350	-	-	14,350
Federal Agencies	313382XK4	FHLB STEP NT	25,000,000	0.50	0.50	5/7/13	5/7/18	10,417	-	-	10,417
Federal Agencies	3133ECPB4	FFCB NT	10,000,000	0.88	1.01	5/23/13	5/14/18	7,292	1,080	-	8,371
Federal Agencies	313383AS0	FHLB NT CALL	50,000,000	1.40	1.25	5/21/13	5/21/18	58,333	(6,145)	-	52,189
Federal Agencies	3135G0WJ8	FNMA NT	25,000,000	0.88	1.05	5/23/13	5/21/18	18,229	3,512	-	21,741
Federal Agencies	3133834P3	FHLB STEP NT	50,000,000	0.50	0.50	5/22/13	5/22/18	20,833	-	-	20,833
<b>Subtotals</b>			<b>\$3,997,493,000</b>					<b>\$ 3,510,670</b>	<b>\$ (282,782)</b>	<b>\$ (686,963)</b>	<b>\$ 2,540,924</b>
State/Local Agencies	130583ET0	CALIFORNIA SCHOOL CASH PROG		2.00	0.26	7/2/12	6/3/13	689	\$ (589)	\$ -	100
State/Local Agencies	107889RL3	TOWNSHIP OF BRICK NJ BAN	23,915,000	1.00	0.50	7/26/12	7/26/13	19,929	(9,769)	-	10,160
State/Local Agencies	612574DN0	MONTEREY COMM COLLEGE DIST	255,000	0.34	0.34	5/7/13	8/1/13	71	-	-	71
State/Local Agencies	022168KZ0	ALUM ROCK ESC SAN JOSE CA	1,665,000	0.80	0.80	7/13/12	9/1/13	1,110	-	-	1,110
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	15,000,000	2.61	0.53	3/29/12	3/15/14	32,563	(25,404)	-	7,159
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	11,115,000	2.61	0.42	6/8/12	3/15/14	24,129	(19,888)	-	4,241
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	8,150,000	2.61	0.42	6/8/12	3/15/14	17,692	(14,583)	-	3,109
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	2,000,000	2.61	0.32	4/29/13	3/15/14	4,342	(3,750)	-	592
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	2,820,000	5.25	1.04	5/2/12	4/1/14	12,338	(9,629)	-	2,708

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle		Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
						Date	Date					
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	10,000,000	5.25	0.45	4/8/13	4/1/14	43,750	(39,302)	-	4,448	
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7,270,000	5.25	0.39	5/3/13	4/1/14	31,806	(28,916)	-	2,890	
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	1,125,000	0.75	0.75	7/24/12	8/1/14	704	-	-	704	
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	310,000	0.43	0.43	5/7/13	8/1/14	111	-	-	111	
State/Local Agencies	64966DPC7	NEW YORK CITY GO	8,000,000	4.75	0.68	6/7/12	11/1/14	31,667	(26,501)	-	5,165	
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	10,000,000	0.85	0.64	3/27/13	2/1/15	7,083	(1,686)	-	5,397	
State/Local Agencies	649791J50	NEW YORK ST TAXABLE GO	4,620,000	0.39	0.40	3/21/13	3/1/15	1,502	39	-	1,541	
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BC	5,000,000	0.39	0.39	3/14/13	5/15/15	1,633	-	-	1,633	
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	315,000	0.63	0.63	5/7/13	8/1/15	165	-	-	165	
State/Local Agencies	64966GX56	NEW YORK CITY TAXABLE GO	12,255,000	5.13	0.66	4/1/13	12/1/15	52,390	(44,522)	-	7,868	
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	11,000,000	1.05	0.93	3/27/13	2/1/16	9,625	(1,071)	-	8,554	
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	2,670,000	0.98	0.98	5/7/13	8/1/16	2,185	-	-	2,185	
<b>Subtotals</b>			<b>\$ 137,485,000</b>					<b>\$ 295,484</b>	<b>\$ (225,572)</b>	<b>\$ -</b>	<b>\$ 69,912</b>	
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	240,000	0.49	0.49	2/7/13	2/7/14	96	-	-	96	
Public Time Deposits		BANK OF SAN FRANCISCO PTD	240,000	0.47	0.47	4/9/13	4/9/14	94	-	-	94	
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PT	240,000	0.48	0.48	4/9/13	4/9/14	96	-	-	96	
<b>Subtotals</b>			<b>\$ 720,000</b>					<b>\$ 286</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 286</b>	
Negotiable CDs	60882ACJ3	mitsubishi UFJ YCD	-	0.31	0.31	12/6/12	6/4/13	1,292	-	-	1,292	
Negotiable CDs	06417E2P7	BANK OF NOVA SCOTIA FF+38	-	0.47	0.47	6/7/12	6/7/13	1,979	-	-	1,979	
Negotiable CDs	06417FRB8	BANK OF NOVA SCOTIA YCD	-	0.19	0.19	3/22/13	6/21/13	7,917	-	-	7,917	
Negotiable CDs	06538F2N3	BANK OF TOKYO MITSUBISHI NY Y	125,000,000	0.12	0.12	6/24/13	7/1/13	2,917	-	-	2,917	
Negotiable CDs	06366AAZ5	BANK OF MONTREAL YCD	50,000,000	0.14	0.14	5/21/13	7/22/13	5,833	-	-	5,833	
Negotiable CDs	06417FAY6	BANK OF NOVA SCOTIA YCD	50,000,000	0.38	0.38	9/4/12	8/30/13	15,833	-	-	15,833	
Negotiable CDs	96121TQW1	WESTPAC NY FLT YCD 1ML+14	50,000,000	0.33	0.31	3/25/13	11/21/13	13,961	(4,170)	-	9,790	
Negotiable CDs	78009NMC7	RBC YCD FF+22	75,000,000	0.31	0.17	3/26/13	3/26/14	19,688	-	-	19,688	
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	25,000,000	0.30	0.30	6/24/13	6/24/14	1,473	-	-	1,473	
<b>Subtotals</b>			<b>\$ 375,000,000</b>					<b>\$ 70,891</b>	<b>\$ (4,170)</b>	<b>\$ -</b>	<b>\$ 66,721</b>	
Commercial Paper	89233GTM8	TOYOTA MOTOR CREDIT CORP CP	-	0.00	0.09	5/21/13	6/21/13	5,000	-	-	5,000	
<b>Subtotals</b>			<b>\$ -</b>					<b>\$ 5,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,000</b>	
Medium Term Notes	36962G3F9	GE CAPITAL CORP MTN	3,700,000	5.40	0.27	3/27/13	9/20/13	16,650	(15,452)	-	1,198	
Medium Term Notes	78008KNA7	RBC MTN	30,580,000	1.13	0.30	1/30/13	1/15/14	28,669	(20,573)	-	8,095	
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	12,345,000	5.38	0.34	3/13/13	1/15/14	55,295	(50,623)	-	4,673	
Medium Term Notes	46623EJE0	JPMORGAN CHASE MTN	32,755,000	2.05	0.38	3/11/13	1/24/14	55,956	(44,709)	-	11,247	
Medium Term Notes	46623EJE0	JP MORGAN CHASE MTN	2,050,000	2.05	0.35	3/13/13	1/24/14	3,502	(2,848)	-	654	
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	6,500,000	3.63	0.27	4/26/13	5/1/14	19,635	(17,866)	-	1,769	
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	5,000,000	3.63	0.27	4/26/13	5/1/14	15,104	(13,743)	-	1,361	
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	27,475,000	1.03	0.32	5/2/13	5/2/14	23,585	(15,963)	-	7,622	
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	25,000,000	5.65	0.44	4/9/13	6/9/14	117,708	(106,690)	-	11,018	
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	10,000,000	5.13	0.49	11/13/12	6/10/14	42,708	(37,942)	-	4,767	
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3,000,000	0.30	0.29	3/27/13	7/30/14	754	(39)	-	715	
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	10,000,000	0.44	0.41	1/28/13	12/15/14	3,706	(209)	-	3,498	
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	25,000,000	0.66	0.66	1/10/13	1/9/15	13,738	-	-	13,738	
Medium Term Notes	78008SV52	RBC MTN FIX-TO-FLT	100,000,000	0.50	0.50	1/22/13	1/22/15	41,667	-	-	41,667	
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	35,000,000	0.45	0.45	1/23/13	1/23/15	13,011	-	-	13,011	
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	25,000,000	0.50	0.50	2/4/13	2/4/15	10,417	-	-	10,417	

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3M	4/12/13	4/8/15	17,680	-	-	17,680
<b>Subtotals</b>		<b>\$ 403,405,000</b>			<b>\$ 479,786</b>	<b>\$ (326,657)</b>	<b>\$ -</b>	<b>\$ 153,129</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	12/31/12	7/1/13	3,934	-	-	3,934
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	1/15/13	7/1/13	522	-	-	522
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	6/20/13	7/1/13	212	-	-	212
<b>Subtotals</b>		<b>\$ 360,047,099</b>			<b>\$ 4,668</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,668</b>
<b>Grand Totals</b>		<b>\$ 6,134,150,099</b>			<b>\$ 5,178,686</b>	<b>\$ 458,549</b>	<b>\$ (1,461,377)</b>	<b>\$ 4,175,858</b>

\* Yield to maturity is calculated at purchase



# Investment Transactions

## Pooled Fund

For month ended June 30, 2013

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Purchase	6/20/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	\$ 50,000,000	0.05	0.05	\$ 100.00	\$ -	\$ 50,000,000
Purchase	6/20/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	25,000,000	0.01	0.01	100.00	-	25,000,000
Purchase	6/20/2013	7/1/2013	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	25,000,000	0.01	0.01	100.00	-	25,000,000
Purchase	6/21/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	75,000,000	0.05	0.05	100.00	-	75,000,000
Purchase	6/21/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	75,000,000	0.01	0.01	100.00	-	75,000,000
Purchase	6/21/2013	7/1/2013	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	50,000,000	0.01	0.01	100.00	-	50,000,000
Purchase	6/24/2013	6/24/2014	Negotiable CDs	RBC FLT YCD 1ML+11	78009NNK8	25,000,000	0.30	0.30	100.00	-	25,000,000
Purchase	6/24/2013	7/1/2013	Negotiable CDs	BANK OF TOKYO MITSUBISHI	06538F2N3	125,000,000	0.12	0.12	100.00	-	125,000,000
Purchase	6/30/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	3,934	0.05	0.05	100.00	-	3,934
<b>Subtotals</b>						<b>\$ 450,003,934</b>	<b>0.07</b>	<b>0.07</b>	<b>\$ 100.00</b>	<b>\$ -</b>	<b>\$ 450,003,934</b>
Sale	6/13/2013	5/1/2015	Federal Agencies	FFCB BD	3133EANJ3	\$ 50,000,000	0.50	0.54	\$ 99.89	\$ 29,167	\$ 50,136,667
Sale	6/14/2013	4/30/2016	U.S. Treasuries	US TSY NT	912828QF0	50,000,000	2.00	0.91	104.40	122,283	52,192,595
Sale	6/14/2013	12/9/2016	Federal Agencies	FHLB NT	313371PV2	52,500,000	1.63	0.57	104.16	11,849	53,809,649
Sale	6/14/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	40,000,000	0.05	0.05	100.00	-	40,000,000
Sale	6/14/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	10,000,000	0.01	0.01	100.00	-	10,000,000
Sale	6/24/2013	11/30/2013	U.S. Treasuries	US TSY NT	912828J78	25,000,000	2.00	0.62	103.41	32,787	25,238,842
Sale	6/24/2013	9/12/2013	Federal Agencies	FHLMC FLT NT FF+21	3134G2K43	50,000,000	0.31	0.55	99.94	5,167	50,029,567
Sale	6/24/2013	12/20/2013	Federal Agencies	FHLB FLT NT FF+9	313379QY8	25,000,000	0.19	0.09	100.05	528	25,005,278
Sale	6/24/2013	12/20/2013	Federal Agencies	FHLB FLT NT FF+9	313379QY8	45,000,000	0.19	0.10	100.05	950	45,009,500
<b>Subtotals</b>						<b>\$ 347,500,000</b>	<b>0.84</b>	<b>0.44</b>	<b>\$ 101.49</b>	<b>\$ 202,730</b>	<b>\$ 351,422,097</b>
Maturity	6/3/2013	6/3/2013	State/Local Agencies	CALIFORNIA SCHOOL CASH P	130583ET0	\$ 6,200,000	2.00	0.26	\$ 101.60	\$ 114,011	\$ 6,314,011
Maturity	6/4/2013	6/4/2013	Negotiable CDs	MITSUBISHI UFJ YCD	60682ACJ3	50,000,000	0.31	0.31	100.00	77,500	50,077,500
Maturity	6/7/2013	6/7/2013	Negotiable CDs	BANK OF NOVA SCOTIA FF+3	06417E2P7	25,000,000	0.48	0.47	100.00	32,264	25,032,264
Maturity	6/21/2013	6/21/2013	Negotiable CDs	BANK OF NOVA SCOTIA YCD	06417FRB8	75,000,000	0.19	0.19	100.00	36,021	75,036,021
Maturity	6/21/2013	6/21/2013	Commercial Paper	TOYOTA MOTOR CREDIT CORP	89233GTM8	100,000,000	0.00	0.09	99.99	7,750	100,000,000
<b>Subtotals</b>						<b>\$ 256,200,000</b>	<b>0.21</b>	<b>0.20</b>	<b>\$ 100.04</b>	<b>\$ 267,546</b>	<b>\$ 256,459,796</b>
Interest	6/1/2013	4/1/2016	Federal Agencies	FAMCA FLT MTN 1ML+0	31315PTF6	\$ 50,000,000	0.20	0.20	\$ 100.00	\$ 8,534	\$ 8,534
Interest	6/1/2013	12/1/2015	State/Local Agencies	NEW YORK CITY TAXABLE GO	64966GX56	12,255,000	5.13	0.66	111.80	104,780	314,341
Interest	6/3/2013	9/3/2013	Federal Agencies	FHLMC FRN FF+23	3134G2B50	50,000,000	0.34	0.46	99.96	46,222	46,222
Interest	6/3/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	35,000,000	0.01	0.01	100.00	388	388
Interest	6/4/2013	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	25,000,000	0.26	0.33	99.94	17,425	17,425
Interest	6/4/2013	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	25,000,000	0.26	0.29	99.92	17,425	17,425
Interest	6/4/2013	3/4/2015	Federal Agencies	FFCB FLT NT FF+14	3133EAQ35	100,000,000	0.25	0.29	99.92	69,389	69,389
Interest	6/5/2013	6/5/2014	Federal Agencies	FARMER MAC MTN	31315PHX0	14,080,000	3.15	0.50	105.67	221,760	221,760
Interest	6/5/2013	6/5/2017	Federal Agencies	FARMER MAC MTN	31315PZ05	9,000,000	1.11	0.80	101.36	43,568	49,950
Interest	6/5/2013	12/5/2014	Medium Term Notes	TOYOTA MTN 3ML+17	89233PTB6	10,000,000	0.45	0.43	100.05	11,605	11,605
Interest	6/6/2013	12/6/2013	Federal Agencies	FARMER MAC	31315PLT4	35,000,000	1.25	1.30	99.86	218,750	218,750
Interest	6/6/2013	6/6/2013	Federal Agencies	FHLB	313373ZN5	35,000,000	2.03	2.03	100.00	355,250	355,250
Interest	6/6/2013	9/6/2013	Federal Agencies	FHLB FLT NT FF+5	313380N06	50,000,000	0.16	0.13	100.01	23,153	23,153
Interest	6/8/2013	12/8/2014	Federal Agencies	FFCB	31331J4S9	24,000,000	1.41	1.41	99.95	168,000	168,000
Interest	6/9/2013	6/9/2016	Federal Agencies	FFCB	31331J4S9	19,000,000	1.40	1.46	99.77	133,000	133,000
Interest	6/9/2013	6/9/2016	Federal Agencies	FAMCA NT	31315PB73	10,000,000	0.90	0.90	100.00	45,000	45,000
Interest	6/9/2013	12/9/2016	Federal Agencies	FHLB NT	313371PV2	52,500,000	1.63	0.57	104.16	426,563	426,563
Interest	6/9/2013	6/9/2014	Medium Term Notes	GE CAPITAL CORP MTN	36962GX41	25,000,000	5.65	0.44	106.06	235,417	706,250
Interest	6/10/2013	6/10/2014	Medium Term Notes	MET LIFE GLOBAL FUNDING	59217EBW3	10,000,000	5.13	0.49	107.26	256,250	256,250
Interest	6/10/2013	2/10/2016	Federal Agencies	FFCB FLT NT 1ML+0	3133ECP57	50,000,000	0.20	0.21	99.97	5,810	8,577
Interest	6/11/2013	12/11/2015	Federal Agencies	FHLB	313371ZY5	25,000,000	1.88	1.89	99.93	234,375	234,375
Interest	6/11/2013	12/11/2015	Federal Agencies	FHLB	313371ZY5	50,000,000	1.88	1.93	99.74	468,750	468,750
Interest	6/11/2013	3/11/2014	Federal Agencies	FHLB FLT NT FF+12	313379RV3	50,000,000	0.21	0.24	99.97	31,431	31,431

# Investment Transactions

## Pooled Fund

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Interest	6/12/2013	12/12/2014	Federal Agencies	FHLB	313371W51	75,000,000	1.25	1.46	99.19	468,750	468,750
Interest	6/12/2013	12/12/2014	Federal Agencies	FHLB	3133XVNU1	25,400,000	2.75	1.30	105.70	349,250	349,250
Interest	6/12/2013	12/12/2014	Federal Agencies	FHLB	3133XVNU1	2,915,000	2.75	1.31	105.65	40,081	40,081
Interest	6/12/2013	12/12/2014	Federal Agencies	FHLB	3133XVNU1	50,000,000	2.75	1.37	105.35	687,500	687,500
Interest	6/12/2013	9/12/2013	Federal Agencies	FHLMC FLT NT FF+21	3134G2K43	50,000,000	0.30	0.52	99.94	42,833	42,833
Interest	6/13/2013	6/13/2014	Federal Agencies	FHLB TAP	3133XWE70	48,000,000	2.50	0.40	104.35	600,000	600,000
Interest	6/13/2013	6/13/2016	Federal Agencies	FHLB SUB NT	313771AA5	16,925,000	5.63	0.65	115.05	60,824	476,016
Interest	6/13/2013	6/13/2016	Federal Agencies	FHLB SUB NT	313771AA5	14,195,000	5.63	0.77	114.54	28,834	399,234
Interest	6/14/2013	5/14/2015	Federal Agencies	FFCB FLT NT 1ML+1	3133EAQC5	50,000,000	0.21	0.22	99.97	9,007	9,007
Interest	6/15/2013	12/15/2014	Federal Agencies	FHLB	313371W93	75,000,000	1.34	1.34	100.00	502,500	502,500
Interest	6/15/2013	12/15/2014	Federal Agencies	FHLB	3136FTV6	75,000,000	0.51	0.51	100.00	90,813	90,813
Interest	6/15/2013	12/15/2014	Federal Agencies	FHLB	3136FTV6	75,000,000	0.51	0.51	100.00	90,813	90,813
Interest	6/17/2013	5/2/2014	Medium Term Notes	JP MORGAN CHASE FLT MTN	46623EUJH3	27,475,000	1.03	0.32	100.71	36,164	73,900
Interest	6/18/2013	9/18/2015	Federal Agencies	FFCB FLT NT QTR TBILL+16	3133ECJ1	50,000,000	0.20	0.20	100.00	17,325	27,325
Interest	6/18/2013	9/18/2015	Federal Agencies	FFCB FLT NT QTR T-BILL+1	3133ECJ1	16,200,000	0.20	0.20	99.99	4,980	8,853
Interest	6/19/2013	6/19/2017	Federal Agencies	FFCB FLT NT FF+22	3133FAUW6	50,000,000	0.30	0.30	100.00	43,556	43,556
Interest	6/19/2013	11/19/2015	Federal Agencies	FFCB FLT NT MONTHLY 1ML+	3133ECLZ5	25,000,000	0.20	0.20	99.99	4,267	4,267
Interest	6/20/2013	12/20/2013	Federal Agencies	FHLB FLT NT FF+9	313379QY8	25,000,000	0.18	0.10	100.05	13,424	13,424
Interest	6/20/2013	12/20/2013	Federal Agencies	FHLB FLT NT FF+9	313379QY8	45,000,000	0.20	0.12	100.05	24,162	24,162
Interest	6/20/2013	12/20/2017	Federal Agencies	FHLB FLT NT FF+9	3135G0RT2	50,000,000	0.88	0.91	99.84	194,444	218,750
Interest	6/20/2013	12/20/2017	Federal Agencies	FHLB FLT NT FF+9	3135G0RT2	100,000,000	0.88	1.02	99.29	342,708	437,500
Interest	6/20/2013	12/20/2017	Federal Agencies	FHLB FLT NT FF+9	3135G0RT2	100,000,000	0.88	1.02	99.29	342,708	437,500
Interest	6/21/2013	11/21/2013	Negotiable CDs	WESTPAC NY FLT YCD 1ML+1	96121TQW1	50,000,000	0.34	0.20	100.07	14,500	14,500
Interest	6/22/2013	9/22/2015	Federal Agencies	FFCB FLT NT 1ML+2.5	3133EAJF6	27,953,000	0.22	0.24	99.96	5,324	5,324
Interest	6/22/2013	6/22/2015	Federal Agencies	FFCB FLT NT 1ML+2	3133EAJF6	50,000,000	0.22	0.23	99.97	9,308	9,308
Interest	6/23/2013	12/23/2013	Federal Agencies	FFCB	31331J6A6	22,000,000	1.30	1.31	99.97	143,000	143,000
Interest	6/23/2013	12/23/2013	Federal Agencies	FFCB	31331J6A6	22,000,000	1.30	1.31	99.97	143,000	143,000
Interest	6/23/2013	12/23/2014	Federal Agencies	FNMA CALL NT	3135G0GM9	25,000,000	0.83	0.77	100.16	103,125	103,125
Interest	6/23/2013	12/23/2014	Federal Agencies	FNMA GLOBAL CALL	3135G0GM9	10,000,000	0.83	0.58	100.43	19,479	41,250
Interest	6/26/2013	12/26/2017	Federal Agencies	FNMA STEP NT	3136G13T4	39,000,000	0.75	0.75	100.00	146,250	146,250
Interest	6/26/2013	12/26/2017	Federal Agencies	FNMA STEP NT	3136G13T4	29,000,000	0.75	0.75	100.00	108,750	108,750
Interest	6/26/2013	12/26/2017	Federal Agencies	FHLMC MTN CALL	3136G13Q0	29,000,000	0.75	0.75	100.00	108,750	108,750
Interest	6/26/2013	12/26/2017	Federal Agencies	FHLMC MTN CALL	3134G32W9	33,600,000	1.25	1.01	101.16	210,000	210,000
Interest	6/26/2013	12/26/2017	Federal Agencies	RBC YCD FF+22	3134G32W9	50,000,000	1.25	1.00	101.21	312,500	312,500
Interest	6/27/2013	3/26/2014	Negotiable CDs	FHLB	78009NMC7	75,000,000	0.32	0.32	100.00	64,917	64,917
Interest	6/27/2013	12/27/2013	Federal Agencies	FHLB	313371UC8	40,000,000	0.88	0.93	99.82	175,000	175,000
Interest	6/27/2013	4/27/2015	Federal Agencies	FFCB FLT NT 1ML+1.5	3133EAJP4	50,000,000	0.21	0.22	99.99	8,968	8,968
Interest	6/28/2013	12/28/2017	Federal Agencies	FHLMC CALL NT	3134G32M1	50,000,000	1.00	1.00	100.00	250,000	250,000
Interest	6/28/2013	12/28/2016	Federal Agencies	FHLB NT CALL	313381KR5	13,500,000	0.63	0.63	100.00	42,188	42,188
Interest	6/28/2013	12/28/2016	Federal Agencies	FHLB NT CALL	313381KR5	9,000,000	0.63	0.63	100.00	28,125	28,125
Interest	6/29/2013	12/29/2014	Federal Agencies	FFCB	313381KR5	27,175,000	1.72	1.74	99.93	233,705	233,705
Interest	6/29/2013	12/29/2014	Federal Agencies	FFCB	31331J6Q1	65,000,000	1.72	1.72	99.98	559,000	559,000
Interest	6/30/2013	12/30/2014	Federal Agencies	FHLB	3133724E1	50,000,000	1.21	1.21	100.00	302,500	302,500
Interest	6/30/2013	12/30/2016	Federal Agencies	FNMA CALL NT	3136FTUZ0	50,000,000	1.40	1.41	99.95	350,000	350,000
Interest	6/30/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	160,043,165	0.05	0.05	100.00	3,934	3,934
Interest	6/30/2013	12/31/2017	U.S. Treasuries	US TSY NT	912828UE8	50,000,000	0.75	0.80	99.77	183,356	187,500
<b>Subtotals</b>						<b>\$2,613,216,165</b>	<b>0.96</b>	<b>0.70</b>	<b>\$ 100.65</b>	<b>\$ 9,978,598</b>	<b>\$ 11,649,949</b>

Grand Totals	9	Purchases
	(9)	Sales
	(5)	Maturities / Calls
	(5)	Change in number of positions

# Non-Pooled Investments

As of June 30, 2013

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	3.24	3.50	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
<b>Subtotals</b>					<b>3.24</b>	<b>3.50</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>	<b>\$ 4,500,000</b>
Money Market Funds		CITI SWEEP	6/28/13	7/1/13	0.01	0.02	\$ 87,087,915	\$ 87,087,915	\$ 87,087,915	\$ 87,087,915
<b>Subtotals</b>					<b>0.01</b>	<b>0.02</b>	<b>\$ 87,087,915</b>	<b>\$ 87,087,915</b>	<b>\$ 87,087,915</b>	<b>\$ 87,087,915</b>
<b>Grand Totals</b>					<b>0.17</b>	<b>0.19</b>	<b>\$ 91,587,915</b>	<b>\$ 91,587,915</b>	<b>\$ 91,587,915</b>	<b>\$ 91,587,915</b>

## NON-POOLED FUNDS PORTFOLIO STATISTICS

(in \$ million)	Current Month		Prior Month	
	Fiscal YTD	June 2013	Fiscal YTD	May 2013
Average Daily Balance	\$ 91,451,803	\$ 91,587,766	\$ 91,439,627	\$ 91,497,131
Net Earnings	\$ 202,824	\$ 14,576	\$ 188,248	\$ 16,363
Earned Income Yield	0.22%	0.19%	0.22%	0.21%

Note:

All non-pooled securities were inherited by the City and County of San Francisco as successor agency to the San Francisco Redevelopment Agency. Book value and amortized book value are derived from limited information received from the SFRDA and are subject to verification.

File 130744

**From:** Board of Supervisors  
**To:** BOS-Supervisors , A. Miller w chair  
**Subject:** Aerial advertising

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**From:** Michael Darnaud [mailto:michael@darnaud.org]  
**Sent:** Tuesday, July 16, 2013 4:46 PM  
**To:** Board of Supervisors  
**Subject:** Aerial advertising

Dear President Chiu and Members of the Board of Supervisors,

Congratulations for tackling the problem of aerial advertising! I've been living in SOMA for two and a half years now and it's the biggest complaint I have about this wonderful neighborhood! Planes in the neighborhood are a source of noise, pollution but more importantly a danger to the community. By dragging a wind-heavy banner, these aircrafts are actually less maneuverable and it's a miracle none of them has crashed in a building so far! Let's not wait until this happens to change the rules.

I got so fed up with the noise several months ago that I called TSA, they asked me to call the FAA at 866 835 5322. Their answering system is horrible and when I got to the place where to report low flying aircrafts, it just hung up! I was able to leave a message on one of their answering machines, but I wasn't sure what would come of it, so thank you for taking action on this!

Best regards,

Michael

-----  
Michael J. Darnaud  
177 Townsend St, San Francisco 94107

File 130744

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**From:** Gregory Miller [howmiller@earthlink.net]  
**Sent:** Monday, July 22, 2013 10:51 AM *LU Gen: A/isa M.*  
**To:** Avalos, John; Chiu, David; Campos, David; Mar, Eric (BOS); Board of Supervisors; Farrell, Mark; Kim, Jane; Cohen, Malia; Wiener, Scott; Yee, Norman (BOS); Breed, London  
**Subject:** Item 7. Please eliminate small planes with advertising flying over SF

Supervisors,

I want to let you know that I support eliminating these flights -- they are noisy and intrusive for both our home and for visitors to Golden Gate Park or Ocean Beach. Sometimes, during large city events, the planes fly over the area for hours at a time -- and there will be more than one plane.

The City controls noise from the concerts, so let's do that for the planes also.

Thank you.

Gregory Miller

District 4

File 130744

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**From:** Kathy Howard [kathyhoward@earthlink.net]  
**Sent:** Monday, July 22, 2013 10:21 AM  
**To:** Avalos, John; Chiu, David; Campos, David; Mar, Eric (BOS); Board of Supervisors; Farrell, Mark; Kim, Jane; Cohen, Malia; Wiener, Scott; Yee, Norman (BOS); London.Breed@sfgov.o  
**Subject:** Item 7. Please support legislation to eliminate small planes with advertising flying over SF

*LV Clerk: Alisa M.*

Supervisors,

As a resident of the western part of San Francisco and living near Golden Gate Park and Ocean Beach, I support this legislation. During all of the major concerts and many of the minor runs, gatherings, etc., we experience the constant flyovers by small planes with advertising. These planes are flying over for hours at a time, detracting from the parkland and making it difficult to enjoy our homes.

There are two issues here -- one is the noise that they spread all over the park, the beach, and the neighborhoods; the second is that often there are 2 or 3 planes -- there is always the possibility of a crash over a concert with hundreds of thousands of attendees on the ground.

Please support this legislation.

Thank you.

Katherine Howard

Sunset District

710-2402

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**To:** BOS-Supervisors  
**Subject:** Notice of Ballot Simplification Committee Meetings for the November 5, 2013, Municipal Election  
**Attachments:** Notice of Ballot Simplification Committee meetings.pdf

**From:** Barbara.Carr@sfgov.org [mailto:Barbara.Carr@sfgov.org] **On Behalf Of** Publications@sfgov.org  
**Sent:** Monday, July 15, 2013 4:49 PM  
**To:** Lee, Mayor; BOS-Everyone  
**Cc:** Pointer Department Heads; Kawa, Steve; Elliott, Jason; Nicolas\_King@SFGOV.sfgov.org; Pointer BOS-Legislative Aides; Calvillo, Angela; Caldeira, Rick; Gulbengay, Kay; Commission, Elections; White, Joshua; Stevenson, Peg; Nickens, Norm; Arntz, John; Kuzina, Nataliya; sfdocs@sfpl.info; Fox, Jill  
**Subject:** Notice of Ballot Simplification Committee Meetings for the November 5, 2013, Municipal Election

**To:** **Honorable Edwin M. Lee, Mayor**

**Honorable Members, Board of Supervisors**

**From:** **John Arntz, Director of Elections**

**Date:** **July 15, 2013**

**RE: Notice of Ballot Simplification Committee Meetings for the November 5, 2013, Municipal Election**

Beginning Monday, July 29, the Ballot Simplification Committee will conduct public meetings to prepare an impartial summary of each local ballot measure for publication in San Francisco's Voter Information Pamphlet for the upcoming November 5, 2013, Municipal Election. The Committee must complete its digests no later than 85 days before the election, which is Monday, August 12.

Meeting agendas and related materials will be posted at [sfelections.org/bsc](http://sfelections.org/bsc) and in the Department of Elections office in City Hall, Room 48. Agendas will be posted at least 72 hours prior to the meeting, as mandated by the Sunshine Ordinance. Other agenda materials will be made available as early as possible. Please check for updates.

### **About the Ballot Simplification Committee**

The Ballot Simplification Committee works in public meetings to prepare a fair and impartial summary of each local ballot measure in simple language. These summaries, or "digests," are printed in San Francisco's Voter Information Pamphlet, which is mailed to every registered voter before the election.

Each digest must explain the primary purposes and points of the measure, but is not required to include auxiliary or subsidiary information. Each digest must include the following four sections:

- *The Way It Is Now*
- *The Proposal*
- *A "Yes" Vote Means*
- *A "No" Vote Means*

In general, each digest is limited to 300 words. Digests may exceed the 300-word limit if the Committee determines that the complexity or scope of the proposed measure requires a longer digest. In addition, digests must be written as close as possible to the eighth-grade reading level.

The Ballot Simplification Committee also assists the Department of Elections in preparing other informational material for the Voter Information Pamphlet, such as a glossary of the terms that appear in the pamphlet.

For more information about the Ballot Simplification Committee, please visit [sfelections.org/bsc](http://sfelections.org/bsc) or the Department of Elections office in City Hall, Room 48.

Barbara Carr  
Voter Information Division, Publications  
San Francisco Department of Elections  
tel: (415) 554-4375  
Publications Division



Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

BOS-11  
RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 15 PM 3:18  
BY JD

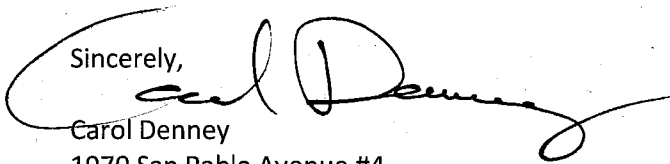
Dear Board of Supervisors,

I recently visited San Francisco over the weekend of July 6-7, and while I enjoyed the atmosphere, events, and restaurants, the amount of secondhand smoke I experienced just trying to walk from place to place was severe.

I hope San Francisco will consider making its public areas smokefree soon, so that people can enjoy San Francisco without putting their own and their family's health at risk. It seems that every restaurant and bar, even the art galleries, have groups of smokers smoking right by the entrance, and one seems to encounter a smoker about every ten feet.

Please encourage any efforts to help those of us who enjoy San Francisco be able to do so without risking our health, and thank you!

Sincerely,



Carol Denney  
1970 San Pablo Avenue #4  
Berkeley, CA 94702  
510-548-1512

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**From:** Chapin-Rienzo, Shanda on behalf of Reports, Controller  
**Sent:** Monday, July 15, 2013 1:34 PM  
**To:** Calvillo, Angela; Nevin, Peggy; BOS-Legislative Aides; BOS-Supervisors; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; Rose, Harvey; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers; Martin, John (SFO); Caramatti, Jean; McCoy, Tryg; Fermin, Leo; Littlefield, Jeff; Tang, Wallace; denisea.schmitt@flysfo.com; Lee, Kenwade; richard.corriea@flysfo.com; Enriquez, Jelina; c.ketner@sanbrunopet.com  
**Subject:** Memorandum Issued: The Airport Commission Should Better Administer and Monitor Its Contract with White Ivie Pet Hospital to Mitigate Risks

The Office of the Controller's City Services Auditor Division (CSA) today issued a memorandum on its audit of the contract between the Airport Commission (Airport) and White Ivie Pet Hospital (White Ivie) to provide veterinary services to police dogs based at the San Francisco Police Department's Airport Bureau at the San Francisco International Airport. The audit found that the Airport must improve its procedures to ensure that it effectively administers and monitors its contract with White Ivie. The Airport's monitoring of the White Ivie contract is limited to payment tracking; the Airport has no procedures for monitoring required veterinary services. Also, the Airport does not compare service cost estimates with invoiced charges, and dog handlers do not always obtain approval before veterinary visits over a prescribed dollar limit, which increases the risk of payment for unallowable or unapproved services. Finally, the Airport lacks policies and procedures for its K-9 Unit.

To view the full memorandum, please visit our website at:  
<http://openbook.sfgov.org/webreports/details3.aspx?id=1603>

This is a send-only e-mail address.

For questions about the memorandum, please contact Director of City Audits Tonia Lediju at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393 or the CSA Audits Unit at 415-554-7469.



## MEMORANDUM

TO: John L. Martin, Airport Director  
San Francisco International Airport  
  
San Francisco Airport Commission  
San Francisco International Airport

FROM: Tonia Lediju, Director of City Audits *ML*  
City Services Auditor Division

DATE: July 15, 2013

SUBJECT: The Airport Commission Should Better Administer and Monitor Its Contract  
With White Ivie Pet Hospital to Mitigate Risks

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### EXECUTIVE SUMMARY

The Airport Commission (Airport) must improve its procedures to ensure that it effectively administers and monitors its contract with White Ivie Pet Hospital (White Ivie), which provides veterinary services to police dogs based at the San Francisco Police Department's (SFPD) Airport Bureau (Airport Bureau) at San Francisco International Airport (SFO). The Airport's monitoring of the White Ivie contract is limited to payment tracking; the Airport has no procedures for monitoring required veterinary services. Also, the Airport does not compare service cost estimates with invoiced charges, and dog handlers do not always obtain approval before veterinary visits over a prescribed dollar limit, which increases the risk of payment for unallowable or unapproved services. Finally, the Airport lacks policies and procedures for its K-9 Unit.

The Airport agrees with the four audit findings and agrees to implement all recommendations.

### BACKGROUND, OBJECTIVES & METHODOLOGY

#### Background

Audit Authority. The Charter of the City and County of San Francisco (City) provides the Office of the Controller, as the City Services Auditor (CSA), with broad authority to conduct audits. The Airport's Business and Finance Division requested an audit by CSA of the White Ivie contract. As a result, CSA included this audit in its fiscal year 2012-13 approved work plan.

Mission and Services of the Airport Bureau. The Airport Bureau of the SFPD was established on July 1, 1997, as the successor to the San Francisco International Airport Police. Besides providing basic police services at SFO, the Airport Bureau enforces the Transportation Security Administration (TSA) security plan for SFO, and supports the individual security plans of the airlines. The Airport Bureau also plays a crucial role in the Airport's emergency response capabilities.

The Airport Bureau includes several specialized police units dedicated to the safety and security of SFO. One such unit is the K-9 Unit, which has a mission to support patrol operations, and specialized investigation units with highly trained dogs and experienced handlers. K-9 teams respond seven days a week, 24 hours a day, assisting in explosive, narcotic, and detective field operations.

The K-9 Unit is supervised by a lieutenant, with two sergeants and 12 police officers as K-9 teams. During fiscal year 2011-12 the Airport Bureau managed 14 working dogs, of which the Airport owns 9 and TSA owns 5. Each dog is assigned to an Airport Bureau officer, who is its handler, and each handler is principally responsible for the care and health of his or her assigned dog. Based on available TSA funding, the Airport applies for and may receive an annual grant from TSA, which for the past three years has been approximately \$60,500 per K-9 team, with a maximum award for 12 K-9 teams at the Airport. White Ivie provides most of the dogs' veterinary care.

White Ivie Contract. On August 19, 2008, the City, through the Airport, entered a three-year agreement with White Ivie with two one-year renewal options not to exceed \$180,000, requiring White Ivie to provide veterinary services to the Airport Bureau K-9 Unit.<sup>1</sup> White Ivie, now known as San Bruno Pet Hospital, provides complete care for small animals at its San Bruno facility, a few miles from SFO.

The services to be provided by White Ivie include:

- General veterinary services, including routine physical examinations, medications, dietary food and supplements, disease prevention such as immunizations, and treatment for illnesses.
- Emergency veterinary services.
- Scheduled and emergency surgical services.
- Dental services.
- Physical therapy services, water therapy, and acupuncture or non-Western therapies.
- Boarding facilities, including food, exercise, and kennel services.

The contract was amended in 2011 to increase the total not-to-exceed amount to \$270,000. In 2012 the total not-to-exceed amount was increased to \$345,000. The original contract included an option, which the Airport exercised, to extend the term for two years, or until September 30,

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<sup>1</sup> Although White Ivie directly serves the Airport Bureau of the San Francisco Police Department, the contract is with the Airport Commission. The Airport directly pays all of the bureau's non-personnel costs.

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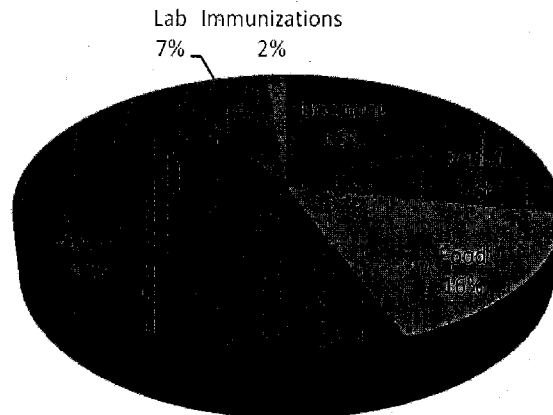
2013. According to the Airport Bureau, the contract amount increased due to the dogs' aging. In fact, of the 14 dogs, the oldest 4 (29 percent) accounted for 44 percent of the total charges. Two dogs passed away during the fiscal year under audit. Exhibit 1 shows all of the City's payments under the contract to White Ivie for the audit period.

<b>EXHIBIT 1 San Francisco Police Department Airport Bureau Payments to White Ivie Pet Hospital From July 1, 2008, Through June 30, 2012</b>	
<b>Fiscal Year</b>	<b>Amount</b>
July 1, 2008, through June 30, 2009	\$75,258
July 1, 2009, through June 30, 2010	60,608
July 1, 2010, through June 30, 2011	63,166
July 1, 2011, through June 30, 2012	70,769
<b>Total</b>	<b>\$269,801</b>

Source: City and County of San Francisco's accounting system.

During fiscal year 2011-12 the Airport Bureau authorized 94 payments, totaling \$70,769, to White Ivie. The audit analyzed 61 payments totaling \$41,477 representing 59 percent of the total dollar value of payments remitted. Exhibit 2 shows the type of expenses paid to White Ivie.

<b>EXHIBIT 2 White Ivie Pet Hospital's Charges Invoiced to San Francisco Police Department Airport Bureau, by Type, From July 1, 2011, Through June 30, 2012</b>	
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Note: White Ivie invoiced \$76,653 in charges under the contract in fiscal year 2011-12. Due to timing differences, invoiced charges do not match Airport Bureau payments made for the fiscal year.

Source: Auditor analysis based on data from White Ivie's invoiced charges.

This audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit

objectives. We believe that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

## Objectives

The purpose of this audit was to:

- Evaluate the adequacy of the Airport Bureau's monitoring procedures and internal controls over the administration of the White Ivie contract.
- Determine whether White Ivie complied with the general provisions of the contract.
- Assess whether White Ivie accurately charged for services it provided and in accordance with authorized rates and whether the City paid the proper amounts.

## Methodology

The audit focused on payments the Airport remitted to White Ivie during July 1, 2011, through June 30, 2012. To conduct this audit, CSA:

- Reviewed and gained an understanding of the contract's terms and conditions.
- Interviewed personnel at White Ivie, the Airport Bureau, and the Airport's Accounting Unit to understand billing, payment, and contract monitoring procedures.
- Interviewed personnel at other jurisdictions with K-9 units on veterinary services and costs.
- Extracted payment information from the City's accounting system to identify a sample of payments to White Ivie for testing.
- Purposefully selected 61 payments made to White Ivie.
- Traced the billing data on the sample invoices to approved rates and supporting documentation.

## RESULTS

### **Finding 1 – The Airport Bureau insufficiently monitors the White Ivie contract, increasing the risk that required services are not performed.**

Although the Airport Bureau employs basic contract monitoring procedures, such as tracking contract payments in the City's accounting system for budgetary control and cost projection purposes, its contract monitoring must improve to ensure that it receives the appropriate services at approved rates.

The Airport Bureau does not monitor whether dogs have received required veterinary services.

The Airport Bureau cannot be assured that its K-9 Unit dogs are receiving veterinary services required by TSA and Airport Bureau policies. TSA-owned dogs are required to have certain

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veterinary procedures performed each year, such as twice yearly exams and immunizations. According to the Airport Bureau, dogs owned by the Airport are only required to have annual exams and immunizations. The Airport Bureau puts the sole responsibility on the handlers for their dogs' health. Consequently, the Airport Bureau does not monitor whether any dogs have met the applicable requirements. Management of the K-9 Unit should know whether its dogs have received required services and should have this information readily available.

The Airport Bureau's insufficient monitoring is evidenced by the fact that it could not provide data on expenditures by dog or by type of service for the audit. Because the City's accounting system only provides data on batched invoices, the Airport Bureau developed its own Access database report to provide information by individual invoice. Unfortunately, this database cannot sort information by dog or by service. The audit team had to obtain data from White Ivie to determine whether all dogs received required veterinary services. Without such data, management cannot ensure that its K-9 program complies with TSA and Airport Bureau policies, or make other decisions based on data analysis.

The Airport Bureau does not request expenditure reports of White Ivie or analyze its costs.

Although the contract states that the Airport may request reports from White Ivie as needed and in any format, the Airport Bureau has not done so. To ensure that it monitors the contract effectively and makes the best health decisions for its dogs, the Airport Bureau must have the information it needs from the best available sources. The Airport Bureau could use detailed reports from White Ivie to periodically analyze contract expenditures by type, as shown in Exhibit 2, or expenditures by dog to better project expenses or health trends. The Airport Bureau could also further analyze its expenses on canine surgical and other medical procedures to determine whether it could save money by purchasing medical insurance. Although the audit team conservatively estimates that the Airport could have saved only about \$1,000 in veterinary service costs in fiscal year 2011-12 by having medical insurance, further analysis of prior years' data is needed to fully evaluate insurance costs versus benefits.

The Airport Bureau does not seek outside advice to inform canine medical decisions.

Medical advice from an independent source could be useful to the Airport Bureau. A sergeant at the California Highway Patrol's drug enforcement unit advised that communication with K-9 program experts is valuable to his unit because it provides a second opinion on medical issues. Communication with K-9 program experts or with other local agencies that use K-9 teams could provide the Airport Bureau with additional information to inform its health decisions, especially when a dog requires extensive surgery or where a second opinion may be warranted.

The Airport Bureau needs a more effective contract monitoring system.

For any contracted service, the Airport Bureau needs to assess the complexity of the service, the contract amount, and the risk of nonperformance when deciding how to implement the specific components of a contract monitoring system. A contract monitoring system consists of the structure, policies, and procedures used to ensure that the objectives of a contract are accomplished, payment is made only for goods and services allowed by the contract, and vendors meet their responsibilities. An effective contract monitoring system mitigates risk. While not all

contracts are monitored using the same components, a number of these components are universal and are necessary to have an effective contract monitoring system. Such components include training, written policies and procedures, contingency plans, communication of clear expectations, regular programmatic reports, access to records and files, and a contract administration plan.

Contract spending poses significant risks if effective processes, controls, and oversight are absent. Inadequate contract monitoring increases the risk of a vendor not meeting its responsibilities and can have adverse financial and programmatic consequences. For example, White Ivie may be overpaid for work performed or paid for work not performed. A well-written contract may have limited value if the Airport Bureau inadequately monitors White Ivie's performance to ensure that contract requirements are fulfilled.

### **Recommendations**

The Airport Commission should:

1. Create and formally document contract monitoring procedures for the White Ivie Pet Hospital contract. Include such procedures as:
  - A) Performing quarterly and annual trend analyses of expenditures by dog and by type of service using its own data and data from White Ivie reports.
  - B) Tracking required veterinary services for each dog.
  - C) Evaluating insurance costs versus benefits over a three- to five-year period.
  - D) Communicating with K-9 program experts and other local agencies that use K-9 teams to inform its medical decisions.
2. Implement contract monitoring procedures for the White Ivie Pet Hospital contract.

### **Finding 2 – The Airport Bureau no longer obtains written cost estimates from White Ivie and does not reconcile White Ivie's invoices to handler-approved cost estimates, increasing the risk of paying for services not provided.**

Handlers do not obtain service cost estimate reports from White Ivie at each veterinary visit and do not approve the estimated cost of the services in advance in writing. According to the Airport Bureau, when handlers take their dogs to White Ivie for veterinary services, veterinary staff explains the services needed and the handler agrees to allow the services. However, in the past handlers would receive from White Ivie a record of the services allowed (service estimate) that they then brought back to the Airport Bureau. Handlers no longer receive these estimates. Instead, according to the Airport Bureau, White Ivie submits invoices directly to the Airport Bureau for processing and payment. As a result, the Airport Bureau cannot reconcile White Ivie's invoices with the approved service cost estimate reports before approval for payment.



Not reconciling handler-approved service estimates to invoiced charges from White Ivie increases the risk that the Airport Bureau pays for services that were not approved or not provided. The Airport Bureau must have evidence of services that the handler agreed would be provided so that it can ensure that it pays the proper amount to White Ivie.

Of the 61 payments the audit reviewed, one duplicate payment was discovered where White Ivie billed the Airport Bureau twice for a \$62 dog bath. The handler may have discovered this double billing if the Airport Bureau had continued to require service estimate reports and the handler had reviewed the estimated services report. According to the Airport Bureau, handlers did not always return these estimates to the Airport Bureau for reconciling and payment processing, so this practice was discontinued.

The City's Payment Processing Guidelines, issued by the Office of the Controller, state that invoices should be matched to evidence of receipt, such as a packing slip, and that the invoice and supporting documents should be filed systematically for later audits. In this case, evidence of receipt would be the service estimate report that has been reviewed and approved by the dog's handler. This practice would provide an opportunity for the handler to inquire about any questionable procedures or charges on the service estimate and for White Ivie to correct any errors brought to its attention before the final charges are invoiced and provided to the Airport Bureau for payment.

### **Recommendations**

The Airport Commission should:

3. Ensure that K-9 Unit handlers obtain and approve a service estimate from White Ivie Pet Hospital for every visit and service received and that they submit these approved service estimates to the Airport Bureau.
4. Ensure that the Airport Bureau reconciles the approved service estimates from K-9 Unit handlers to invoices received from White Ivie Pet Hospital.
5. Collect from White Ivie Pet Hospital the double-billed amount of \$62.

### **Finding 3 – Handlers do not always obtain required approvals before veterinary visits, increasing the risk of paying for unnecessary or unallowable services.**

Handlers do not always obtain required approval for services before a veterinary visit. According to the Airport Bureau, handlers are required to write a memorandum to obtain pre-approval of services from a lieutenant or higher-ranking officer for veterinary services that cost more than \$800. In emergency cases where veterinary services may be received before approval, officers must verbally notify the appropriate officer as soon as feasible, and after the incident write a memorandum to the captain, through their respective supervisors, explaining the situation. The absence of veterinary visit approvals via written memorandums increases the risk of the Airport Bureau paying for unnecessary or unallowable services. The Airport Bureau's requirement is

intended to prevent handlers from making unilateral canine treatment decisions that could cause the Airport Bureau to exceed its contract budget with White Ivie.

Of six White Ivie invoices with amounts over \$800, two routine visit invoices totaling \$2,176 did not have the prior approval request memorandum and management approval and three emergency services invoices totaling \$9,733 did not have the post-service memorandum. These five invoices ranged from approximately \$900 to \$4,400 and represented 29 percent of the total cost of the sample invoices tested. According to the Airport Bureau, K-9 Unit handlers do not always obtain the necessary approvals because of the cumbersome and often time-consuming method of requesting and obtaining approval. As a result, handlers prefer to obtain verbal approvals. However, without documentation of approved requests through memorandums, the Airport Bureau cannot be assured it pays only for approved services.

### **Recommendation**

6. The Airport Commission should ensure that the Airport Bureau obtains approval of veterinary visits that cost more than \$800 and handlers write the required memorandum for visits, including emergency visits.

### **Finding 4 – The Airport Bureau does not have policies and procedures for its K-9 Unit.**

The Airport Bureau does not have a final, approved policies and procedures manual for the K-9 Unit. Such policies and procedures would define handler roles and responsibilities, thereby assisting handlers to consistently perform their duties as required by the unit, and could guide handlers regarding the use of the White Ivie contract. According to the Airport Bureau, policies and procedures have been drafted, but are not approved and have not been disseminated due to management turnover.

The lack of a comprehensive policies and procedures manual increases the risk that handlers may perform their duties incorrectly or not perform required duties. Formal written procedures enhance both accountability and consistency. According to the U.S. Government Accountability Office's *Standards for Internal Control in the Federal Government*, appropriate documentation of transactions and internal controls should be in administrative policies or operating manuals, and all documentation should be properly managed and maintained.

### **Recommendations**

The Airport Commission should:

7. Update and approve the drafted policies and procedures for the Airport Bureau's K-9 Unit.
8. Disseminate the approved policies and procedures manual to Airport Bureau K-9 Unit staff and ensure that all employees are aware of the requirements.

CSA extends its appreciation to you and your staff who assisted with this audit. For questions about this memorandum, please contact Tonia Lediju at (415) 554-5393 or [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or CSA at (415) 554-7469.

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Elisa Sullivan  
Mamadou Gning  
Aaron Obenyah

# ATTACHMENT A: DEPARTMENT RESPONSE

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San Francisco International Airport

July 3, 2013

Ms. Tonia Lediju  
Director of Audits  
Office of the Controller  
City Services Auditor Division  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place, Room 477  
San Francisco, CA 94102

Subject: Response to Draft of White Ivie Contract Audit Report  
San Francisco Police Department (SFPD), Airport Bureau

Dear Ms. Lediju:

In response to the draft audit report, dated June 7, 2013 (through e-mail on the same date), attached is the completed response form regarding the SFPD Airport Bureau White Ivie Contract Audit.

If you have any questions, please feel free to call me at (650) 821-7155.

Very truly yours,

A handwritten signature in black ink, appearing to read "Richard Corrice".

Richard Corrice  
Commander  
San Francisco Police Department, Airport Bureau

Attachment

cc: John L. Martin  
Tryg McCoy  
Jeff Littlefield  
Denise Schmitt  
Wallace Tang  
Jelina Enriquez  
Elisa Sullivan - CSA

AIRPORT COMMISSION CITY AND COUNTY OF SAN FRANCISCO

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JOHN L. MARTIN  
AIRPORT DIRECTOR

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

## RECOMMENDATIONS AND RESPONSES

Recommendation	Response
<p>The Airport Commission should:</p> <ol style="list-style-type: none"> <li>1. Create and formally document contract monitoring procedures for the White Ivie Pet Hospital contract. Include such procedures as:                             <ol style="list-style-type: none"> <li>A) Performing quarterly and annual trend analyses of expenditures by dog and by type of service using its own data and data from White Ivie reports.</li> <li>B) Tracking required veterinary services for each dog.</li> <li>C) Evaluating insurance costs versus benefits over a three- to five-year period.</li> <li>D) Communicating with K-9 program experts and other local agencies that use K-9 teams to inform its medical decisions.</li> </ol> </li> </ol>	<p>We concur with 1A) and 1B). Effective July 1, 2013, in reference to contract monitoring procedures, the K9 Unit and Fiscal Department started performing quarterly and annual analysis of expenditures and organize "by dog and types of services" vs. the current practice of tracking monthly invoices against the approved fiscal year budget. SFPD-AB staff met with vendor before July 1, 2013 to discuss types of reports we will expect from them on a monthly basis.</p> <p>We concur with 1C). We conducted an evaluation in December 2012. SFPD-AB conducted a K9 Pet Insurance Survey and contacted five airports, Oakland, San Jose, LAX, San Diego, and Seattle. Seattle was the only airport that utilized pet insurance but for only one year (Oct 2011 - Oct 2012) and decided not to renew due to cost of insurance was higher than what they normally incurred with pet hospitals. All other airports surveyed directly pay pet hospitals or use military hospitals. Three popular pet insurance companies were also, contacted to acquire quotes. Initial plans costs too much and did not cover all procedures and did not cover older canines, which SFPD-AB have on the team. Therefore, costs for insurance exceeded the benefits.</p>

Recommendation	Response
	<p>We concur with 1D). Our K9 Unit has contacted and continues to contact other K9 handler experts of other law enforcement agencies for psychological behavior versus medical advice. SFPD-AB K9 Unit entrusts the experienced veterinarians for medical advice. As necessary, SFPD-AB K9 Unit will contact other law enforcement agencies for second opinions on medical issues.</p>
<p>2. Implement contract monitoring procedures for the White Ivie Pet Hospital contract.</p>	<p>We concur. Effective July 1, 2013, the K9 Unit and Fiscal Department have implemented contract monitoring procedures which will include comparing work performed against the services allowed by the signed contract by evaluating the monthly records or reports received the veterinary firm against invoices received.</p>
<p>3. Ensure that K-9 Unit handlers obtain and approve a service estimate from White Ivie Pet Hospital for every visit and service received and that they submit these approved service estimates to the Airport Bureau.</p>	<p>We concur. Effective June 10, 2013, handlers obtain written estimates from the veterinarian. Handlers are required to seek approval to proceed with the medical care from the K9 Unit's Sergeant or Lieutenant if the cost is more than \$400 (excludes prescribed dietary food and supplements).</p>
<p>4. Ensure that the Airport Bureau reconciles the approved service estimates from K-9 Unit handlers to invoices received from White Ivie Pet Hospital.</p>	<p>We concur. Effective June 10, 2013, handlers obtain written estimates from the veterinarian. After medical care, handlers receive an invoice which will then be given to the Sergeant or Lieutenant and then reconciled with the final invoicing from the veterinarian firm sent to Airport Bureau.</p>
<p>5. Collect from White Ivie Pet Hospital the double-billed amount of \$62.</p>	<p>Corrected and credited back to SFPD-AB in billing statement dated May 20, 2013, Invoice #213133.</p>

Recommendation	Response
<p>6. Ensure that the Airport Bureau obtains approval of veterinary visits that cost more than \$800 and handlers write the required memorandum for visits, including emergency visits.</p>	<p>We concur. Effective July 1, 2013, handlers have been required to provide a written memo seeking pre-approval of services from a Lieutenant or Captain for medical care that will cost more than \$400 (excluding prescribed dietary food and supplements). In all likelihood, emergency visits will result in a memorandum after the actual urgent medical care. The memorandum requires the detailed explanation of the necessary urgency. Invoices submitted the Airport Bureau's Fiscal department for payment will be required a minimum to include an estimate of costs, an invoice and, if applicable, a copy of an approval memorandum.</p>
<p>7. Update and approve the drafted policies and procedures for the Airport Bureau's K-9 Unit.</p>	<p>We concur. By early-August (within 45 days), a draft SFPD Airport Bureau K9 Unit Policies and Procedures manual is being updated and completed for the SFPD-AB's K9 Unit and provided to the Commander for approval. Please see summary of draft procedures (attached). Expected to finalize this draft by September 2013.</p>
<p>8. Disseminate the approved policies and procedures manual to Airport Bureau K-9 Unit staff and ensure that all employees are aware of the requirements.</p>	<p>We concur. By September 2013 (60 days), a final SFPD Airport Bureau K9 Unit Policies and Procedures manual will be approved and disseminated to the K9 Unit.</p>

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July 15, 2013

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Board President David Chiu and Members of the Board of Supervisors  
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San Francisco, CA 94102-4689

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## Re: Agenda Item No. 47: Further Argument and Evidence in Support of Appeal of May 15, 2013 Historic Preservation Commission Article 11 Determination; Motion No. 0197.

Dear Board President David Chiu and Members of the Board of Supervisors:

This office represents the 765 Market Street Residential Owner's Association ("ROA"), Friends of Yerba Buena ("FYB"), Paul Sedway, Ron Wornick, Matthew Schoenberg, Joe Fang, and Margaret Collins (collectively "Appellants"), regarding the 706 Mission Street - Residential Tower and Mexican Museum Project ("the Project") and this appeal.

I am writing to rebut several contentions made by the Project Sponsor, in its counsel's July 1, 2013 letter to the Board, and by the Planning Department, in its Appeal Response.

Attached please find:

- Exhibit 1: The May 7, 2013 letter from Katherine Petrin previously submitted to the Historic Preservation Commission.
- Exhibit 2: The May 23, 2013 letter from Ms. Petrin previously submitted to the Planning Commission.
- Exhibit 3: The July 10, 2013 letter from Ms. Petrin to my office regarding her opinion that the recent reduction in height of the tower does not alter her previously expressed opinion.
- Exhibit 2 to my April 25, 2013 letter to this Board on the EIR appeal, which is an excerpt from the original Historic Preservation Commission Case Report.

The Project Sponsor contends the tower will not increase the height of the Aronson building by more than one story because it is not an "addition" because "it is more appropriately characterized as 'related new construction' because . . . it will be constructed adjacent to and not on top of the Aronson Building and will appear as a separate building." (Bradish Letter, p. 3 [emphasis added].)

The underlined words in the Project Sponsors argument betray its weakness. It is an argument based on semantics, not on-the-ground reality. As discussed in my April 25, 2013 letter



Board President David Chiu and Members of the Board of Supervisors  
706 Mission Street - HPC, Article 11, Permit to Alter (Motion No. 0197) Appeal  
**Friends of Yerba Buena, Paul Sedway, Ron Wornick, Matthew Schoenberg, Joe Fang, and Margaret Collins**

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(see Section 2 thereof) to this Board on the EIR appeal, the tower is so integrated into the Aronson Building that further reference to them as separate buildings is pure artifice.

The Project involves demolition of and alteration of the Aronson Building by attaching the tower to and programmatically integrating the tower with the Aronson Building. “As part of the project the two existing non-historic 1978 additions will be removed and the Aronson Building will be *integrated* as part of a new 47-story, 550’-tall tower with up to 215 residential units and *a portion of the Mexican Museum*. The new tower will be *adjacent to and physically connected* to the existing Aronson Building.” (HPC Case Report, p. 2 (emphasis added).)

In addition to the fact that the western portion of the Aronson Building will be demolished and the tower will be built in its place, the tower and Aronson Building will have “New exterior and interior connections . . . for programmatic and structural requirements” such that they will be “laterally connected . . . at all floor and roof levels” and will “move together during a seismic event” and “will not be structurally isolated.”<sup>1</sup>

Further, “Museum interior space will span across both new and existing buildings at the 2nd and 3rd floors, with ground floor entry within the new tower base. Museum interior space may also include all or a portion of the 1st floor Aronson Building, and/or portion of 4th floor tower for exterior terrace access and mechanical spaces.”<sup>2</sup>

Also, “The existing tower volume will cantilever approximately 7' over the existing Aronson Building starting at the 12th floor and be setback approximately 15' from the south facade of the Aronson Building.”<sup>3</sup> Even if the tower did not intrude into the airspace above the Aronson Building, its attachment to the Aronson Building results in increasing the height of the Aronson Building by 39 stories. But the plan to cantilever part of the tower over the top of the Aronson Building shows that raising the height of the Aronson Building by 39 stories is not just the result of this design, it is the developer’s specific intent.

Moreover, the language of section 1111.6(c)(6) states that “any additions to height of the building . . . shall be limited to one story above the height of the existing roof, shall be compatible with the scale and character of the building.” Thus, even if the word “addition” has a special meaning that would distinguish it from “related new construction” in some other context, there is nothing in Article 11 suggesting that, in section 1111.6(c)(6), it has any meaning other than its plain

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<sup>1</sup> HPC Case Report, pp. 16-17.

<sup>2</sup> Exhibit 6 to my April 25, 2013 letter to this Board on the EIR appeal, Major Permit to Alter, Appendix 1, p. 29.

<sup>3</sup> HPC Case Report, p. 16.

Board President David Chiu and Members of the Board of Supervisors  
706 Mission Street - HPC, Article 11, Permit to Alter (Motion No. 0197) Appeal  
**Friends of Yerba Buena, Paul Sedway, Ron Wornick, Matthew Schoenberg, Joe Fang, and  
Margaret Collins**  
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meaning. Indeed, the section speaks to “additions to height of the building,” not to “additions” as a term of art distinct from other types of construction.

In short, this project will result in one building that is more than one story higher than the Aronson Building, in violation of Planning Code Article 11, section 1111.6(c)(6).

The Project Sponsor also argues that Planning Code section 1111.6(c)(6), which requires that the tower be compatible in scale with the Aronson Building, does not apply at all because it only applies to “additions” and the tower is not an “addition.” As above, the Project Sponsor puts too much weight on the word “addition,” and ignores the phrase in which it occurs, which speaks to “additions to height of the building.”

Finally, this argument “proves too much.” It is absurd to interpret Article 11 as not requiring compatibility in height between the tower and the Category 1 Significant building to which it is being attached and with which it is being physically and programmatically integrated.

Regarding the outsized scale of the Project in comparison to the Conservation District and the Aronson Building, the Planning Department responds:

As proposed, the tower would be located in a setting of a number of existing towers, including existing towers within this Conservation District. The Conservation District includes seven existing towers of heights up to 484 feet, two of which are located in the immediate vicinity of the proposed tower. Heights of contributing buildings within this Conservation District vary and range from the two-story Burdette Building (90 Second Street) to the 26-story Telephone and Telegraph Tower (140 New Montgomery Street). The adjacent Aronson Building and the Williams Building, nearest to the proposed tower are 10 and 8 stories in height, respectively. Additionally, there are several non-contributing high-rise towers located within this Conservation District, including the St. Regis Hotel & Residences (42 stories, 484 feet), which is located less than half a block down Mission Street from the project site, and the UC Berkeley Extension SOMA Center/Paramount Residences (43 stories, 420 feet), which is located directly across Third Street from the project site.

(Planning Dept. Appeal Response, p. 8.)

This discussion is remarkable because it focuses only on that which is irrelevant, namely, (1) it completely ignores the actual scale of the District as expressed in section 6 of Appendix F to Article 11, which is predominantly in the 3 to 8 story range;<sup>4</sup> (2) it only references tall buildings in

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<sup>4</sup>See Planning Code, Article 11, Appendix F, Section 6: “(b) Scale. More than two-thirds of the contributing buildings are three-to-eight story brick or concrete commercial loft buildings

Board President David Chiu and Members of the Board of Supervisors  
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Margaret Collins**

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the District; and (3) it references tall buildings in the District that, with only one exception, are “non-contributing”; and (4) it references only one somewhat “tall” contributing building in the District, which is the 26-story Telephone and Telegraph Tower at 140 New Montgomery Street; but even this building is only about half the height of this Project.

For the Planning Department to base its finding of compatibility in height on the presence of one mid-size contributing building while ignoring the predominantly small scale of the District based on two-thirds of the contributing buildings represents a failure of analysis and makes a mockery of Article 11.

Regarding Appellants’ CEQA claims raised in this appeal, the Planning Department responds:

In challenging the HPC’s CEQA approval findings, the Appellant first challenges, not the HPC’s rejection of alternatives or statement of overriding considerations (in which the HPC set forth its reasons for approving the project despite its contribution to a significant and unavoidable cumulative impact to shadow on public open space), but rather the impact determinations made in the EIR. (See Appeal Letter, issues numbered 6a-d.)

(Planning Dept. Appeal Response, p. 9.)

This characterization of Appellants’ grounds for appeal is incorrect. Appellants fully accept the EIR’s determination that the Project will have a significant cumulative impact on shadow on Union Square. This appeal claims that new information that was inexplicably omitted from the EIR, the omission of which rendered the EIR fundamentally misleading such that it frustrated meaningful public comment, requires recirculation of a revised EIR that includes the omitted information. This omitted information consists of matters which the Planning Department now concedes: that the HPC has jurisdiction over the tower portion of the Project, that the tower is located within the NMMS Conservation District, and that Article 11’s height compatibility and other standards apply to the tower.

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constructed during the five years after the 1906 Earthquake and Fire. The scale of the District varies from the small buildings on Howard, Mission, Natoma, and Second Streets, such as the Phoenix Desk Company Building at 666 Mission Street, the Burdette Building at 90 Second Street, and the Emerson Flag Company Building at 161 Natoma Street; to medium-scaled structures on Mission and New Montgomery Streets, such as the Veronica Building at 647 Mission Street, and the Standard Building at 111 New Montgomery Street; to large-scale buildings on New Montgomery Street, such as the Pacific Telephone and Telegraph Building at 140 New Montgomery.”

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The Planning Department does recognize that Appellants challenge the HPC's CEQA findings on grounds that there is insufficient evidence to determine that the significant cumulative impact on shadow on Union Square is unavoidable, stating:

The Appellant next alleges that the HPC found that it was not feasible to mitigate the proposed project's contribution to a significant and unavoidable impact to cumulative shadow impacts to Union Square and that this finding was not supported by substantial evidence in the record. However, the HPC made no such finding. Rather, the EIR identified a significant and unavoidable impact to cumulative shadow on outdoor recreation facilities and other public areas generally, not just to Union Square. The HPC found that it was not feasible to mitigate the project's cumulative shadow contribution to public open space, which includes Union Square as well as Jessie Square and public sidewalks, without a significant reduction in the tower height far beyond what was later proposed by the project sponsor. This finding was supported by substantial evidence in the record, including the Economic & Planning Systems, Inc.'s May 2013 Report on the Financial Feasibility of 706 Mission Street.

(Planning Dept. Appeal Response, pp. 9-10.)

To date, Appellants have given the HPC the benefit of the doubt and construed its finding that the proposed project's significant cumulative shadow impacts on public open spaces as applying to Union Square because the EIR specifically finds the Project's shadow impact on Union Square in particular to be significant. Because of that, the HPC was required, under Public Resources Code § 21081, to find that there are no feasible mitigation measures or alternatives that would reduce the impact. Accepting the Planning Department's contention that the HPC made no such finding, this represents a separate legal violation that Appellants also appeal.

The Planning Department response argues that any complaints about the EIR are foreclosed by this Board's denial of Appellants' previous appeal of the EIR certification.<sup>5</sup> This is incorrect because this appeal claims that new information that was inexplicably omitted from the EIR, the omission of which rendered the EIR fundamentally misleading such that it frustrated meaningful public comment, requires recirculation of a revised EIR that includes the omitted information.

The Planning Department further argues:

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<sup>5</sup>See e.g., "Third, the Appellant appears to contend that the EIR should have analyzed an alternative to the project with a tower less than 520 feet but more than 351 feet in height, and that because it did not, the HPC's finding that the alternatives identified in the EIR were infeasible is not supported by substantial evidence in the record. But, this argument is again an attack on the EIR itself, specifically its alternatives analysis." (Planning Dept. Appeal Response, p.10.) See also, Response 4 at Planning Dept. Appeal Response, p.11.

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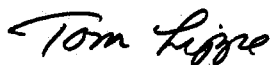
The Appellant contends that the EIR “has not properly analyzed how the project conflicts with the San Francisco Planning Code and will result in significant impacts to historical resources.” It is not clear what the Appellant means by this statement and he provides no evidence in support of this contention.

(Planning Dept. Appeal Response, p. 11.) The “conflicts” to which appellants refer are the alleged violations of Article 11 raised in this appeal.

The Planning Department response also argues that “the Appellant contends that the EIR’s cumulative impact analysis impermissibly compares the project impacts to the already degraded setting. Again, it is not clear what the Appellant means by this statement and he provides no evidence in its support.” (Planning Dept. Appeal Response, p. 11.) Appellants claim in this regard relates to the fact that the Department’s and HPfC’s conclusion that the Project is compatible in the scale with the District and the Aronson Building is based on the presence of other tall buildings that degrade, not contribute, to the historic character of the District.

Thank you for your attention to this matter.

Very Truly Yours,



Thomas N. Lippe

# **EXHIBIT 1**

7 May 2013

Thomas N. Lippe  
Lippe Gaffney Wagner LLP  
329 Bryant Street, Suite 3D  
San Francisco, California 94107

Re: Case No. 2008.1084E; SCH #2011042035  
Proposed Alterations to the Aronson Building, 706 Mission Street

Mr. Lippe:

As a follow up to my letter to Lippe Gaffney Wagner LLP, dated 25 April 2013, regarding the above-referenced project, I am writing to provide further comments on the project mentioned above. These comments are based on the review of the documents listed below. The review of such documents does not change the opinion expressed in my original letter dated 25 April 2013.

In addition to the documents originally reviewed for the preparation of this letter, I have reviewed:

- *Notice of Submission of Supplemental Appeal Response to the Supplemental Materials provided for the Appeals of the EIR Certification for the 706 Mission Street - The Mexican Museum and Residential Tower Project* by the San Francisco Planning Department dated 6 May 2013.
- *706 Mission EIR Appeal Response* prepared by the Planning Department dated 29 April 2013
- *Draft Environmental Impact Report (DEIR) for the proposed 706 Mission Street – The Mexican Museum and Residential Tower Project (2008.1084E)*.
  - Including Appendix B *Historic Resource Evaluation for the Aronson Building (HRE)* by Knapp and VerPlanck Preservation Architects dated 23 June 2011
- *Project Sponsor Supplemental Appeal Response*, dated 6 May 2013, including,
  - Memo from Page & Turnbull dated 6 May 2013 regarding 706 Mission Street Project: New Construction within the New Montgomery, Mission & Second Street Conservation District
  - Memo from Page & Turnbull dated 3 May 2013 regarding the Proposed Tower Adjacent to Aronson Building
  - Memo from Page & Turnbull dated 26 April 2011 regarding the Proposed Tower Adjacent to Aronson Building

This letter focuses on **Impacts on Historic Resources** and the failure of the proposed project to meet *The Secretary of the Interior's Standards for Rehabilitation*, specifically Standards 9 and 10, and to comply with Article 11 of the Planning Code.

The project does not, in my opinion, comply with Standard 9 based on the height of the proposed tower in relation to the height of the historic building. At 550', the proposed tower is

out of scale with the historic Aronson Building at 144'. *The Secretary of the Interior's Standards for Rehabilitation and Illustrated Guidelines for Rehabilitating Historic Buildings, New Additions* specifically recommends against, "Designing a new addition so that its size and scale in relation to the historic building are out of proportion, thus diminishing the historic character." The transition in height between the 10-story Aronson Building and the 47-story proposed tower is not sympathetic or compatible with the proportions and massing of the Aronson Building.

One of the main points of discussion has been whether the proposed 550' tower should be characterized as an addition, alteration, or related new construction. Since Standard 9 applies equally to new additions, exterior alterations, and related new construction in relationship to historic buildings, the re-characterization of the project as "related new construction" does not change our opinion that the project does not comply with Standard 9.

Standard 10 of *The Secretary of the Interior's Standards for Rehabilitation* deals with the issue of reversibility or removal of an addition or related new construction. It is a goal of the proposed project to seismically strengthen the historic Aronson Building through the construction of the tower. The rehabilitated historic building would be tied to a new tower programmatically and structurally on all floors. Should the proposed tower be removed in the future (a highly unlikely scenario), the Aronson Building would be materially impaired by the loss of its west elevation and threatened by the removal of the structural system that assures seismic stability. With regard to Standard 10 of *The Secretary of the Interior's Standards*, the proposed project does not, in my opinion, comply.

Further, the question of compatibility between the Aronson Building and the proposed tower is ambiguous in the Historic Resource Evaluation prepared for the project. The *Historic Resource Evaluation for the Aronson Building* (HRE) by Knapp and VerPlanck Preservation Architects dated 23 June 2011 addresses compatibility of the proposed project within its existing context, including its impact on nearby historic resources. The HRE's analysis of the proposed tower was based on the premise that the construction of the tower would occur on the parcel to the west of the Aronson Building, **outside** the New Montgomery-Mission-Second Conservation District, a fact that the Planning Department now views differently. The HRE states that the project complies with Standards 9 and 10 and finds that the proposed tower is compatible within the context of new high-rise construction. Yet, it also states that the tower is not compatible with the historic Aronson Building itself. It states:

*Preservation Brief 14* recommends that new infill construction should be compatible with the surrounding context in terms of scale, setback, and façade rhythm. The proposed tower is admittedly much taller than the Aronson Building (550' versus 144'). Because the Aronson Building is surrounded by taller contemporary buildings, the proposed tower is in keeping with its context, if not the historic Aronson Building. (pg. 88)

In addition, since the proposed construction of the tower would occur on a portion of the Aronson Building parcel within the New Montgomery-Mission-Second Conservation District, the HRE analysis is deficient with regard to the compatibility of the proposed tower and the district.



Under the provisions of Article 11, the New Montgomery-Mission-Second Conservation District is distinguished by concentrations of buildings that exhibit a high level of historic architectural integrity and create a cohesive district of two-to-eight story masonry buildings of similar scale, massing, setback, materials, fenestration pattern, style, and architectural detailing.

Article 11 Appendix F Section 7 deals with guidelines for review of new construction and certain alterations. It states that such work, "shall be compatible with the District in general with respect to the building's composition and massing, scale, materials and colors, and detailing and ornamentation...". Section 7 further states that new construction should maintain the character of surrounding buildings by relating to their prevailing height, mass, proportions, rhythm and composition. The proposed tower portion of the project is not consistent with the architectural character of the district in terms of style, materials, or any of the above-stated characteristics. The proposed tower portion of the project bears no relationship to the architectural character of the New Montgomery-Mission-Second Street Conservation District.

Sincerely,

A handwritten signature in black ink, appearing to read "Katherine T. Petrin". The signature is fluid and cursive, with the first name being more prominent.

Katherine Petrin  
Architectural Historian

## **EXHIBIT 2**

23 May 2013

Thomas N. Lippe  
Lippe Gaffney Wagner LLP  
329 Bryant Street, Suite 3D  
San Francisco, California 94107

Re: Case No. 2008.1084E; SCH #2011042035  
Proposed Alterations to the Aronson Building, 706 Mission Street

Mr. Lippe:

In response to an inquiry by Lippe Gaffney Wagner LLP, I offer clarification with regard to the following statements included in the *Draft Environmental Impact Report (DEIR) for the proposed 706 Mission Street – The Mexican Museum and Residential Tower Project (2008.1084E)*:

- 1) “The proposed project would *not damage the historic visual setting* of St. Patrick’s Church and the Jessie Street Substation *because the historic visual setting of these resources no longer exists.*” (DEIR p. IV.D.57)

We disagree with the above DEIR statement which seems to imply that because the historic visual setting has been previously altered, the construction of the proposed 47-story tower would do no further harm. We disagree precisely because of the location of the proposed tower and its relationship to the designated historic resources located at Jessie Square, specifically St. Patrick’s Church and the Jessie Street Substation (City of San Francisco Landmarks No. 4 and No. 87, respectively). The height and location of the proposed tower results in a significant adverse impact upon the historic resources at Jessie Square.

The San Francisco Redevelopment Authority acquired St. Patrick’s Church in 1971 as part of the Yerba Buena Center Plan. An objective of the Yerba Buena Center Redevelopment Plan called for the visual enhancement of the church through the creation of a public plaza (now Jessie Square). In 2003, a surface parking lot was transformed to create Jessie Square, the one-acre plaza fronted by two designated local landmarks, St. Patrick’s Church (on the west) and the Jessie Street Substation (now the Contemporary Jewish Museum on the north).

Informed by the scale of St. Patrick’s Church and the Jessie Street Substation, the plaza was conceived by the City as a space that would be defined by the architectural dialogue between low-scale buildings and open space. To introduce a new element on the east side of the plaza, a 550 foot tower, as proposed by the Project, would result in an abrupt transition that is not compatible with the surrounding scale, architectural massing and overall composition of nearby historic resources, including, St. Patrick’s Church, the Jessie Street Substation, and the New Montgomery-Mission-Second Conservation District.

The National Register nomination for the Jessie Street Substation dated 09/06/1974 discusses the building’s physical characteristics and *setting*, both of which contribute to its historical significance and eligibility for inclusion on the National Register. The nomination states that it is

“a fine example of early twentieth century classical architecture in the San Francisco Bay Area and [is] one of the most interesting buildings of its type in the state.”

The City of San Francisco Landmark Nomination Case Report for St. Patrick's Church dated 09/03/1968 describes the physical characteristics that justify its historical significance and eligibility for designation.

We disagree with the DEIR statement that the proposed project would not damage the historic visual setting of St. Patrick's Church and the Jessie Street Substation “because the historic visual setting of these resources no longer exists.” Does the “historic visual setting” of St. Patrick's Church and the National Register-listed Jessie Street Substation refer to the historic visual setting at the time these resources were constructed? Does the historic visual setting no longer exist because of previous demolition related to the implementation of the Yerba Buena Center Redevelopment Plan? In either case, the height and location of the proposed 47-story tower diminishes the historic visual setting of the designated historic resources at Jessie Square and results in a significant adverse impact upon those resources.

With regard to the following DEIR statement:

2) “The proposed project would not block any *views* of the Aronson Building as *seen from within* these two [Aronson Hist Dist & NMMS] historic districts.” (DEIR p. IV.D.56)

The analysis of historic significance is not based solely on “views” from or “within” the Conservation District. Compatibility with Article 11 of the Planning Code is based on multiple factors. Pursuant to Appendix F of Article 11, Section 7 (a), the features of new construction that are to be considered for compatibility with the Conservation District are composition and massing, scale, materials and colors, and detailing and ornamentation.

Just because the proposed tower and the Aronson Building are both vertical in orientation (as opposed to horizontally composed) does not make them compatible as is stated in the Memo from Page & Turnbull dated 6 May 2013 titled *706 Mission Street Project: New Construction within the New Montgomery, Mission & Second Street Conservation District*.

It is the juxtaposition in scale that is the pertinent question regarding compatibility. A 47-story addition to a 10-story building overpowers the historic form and compromises the historic character of the Aronson Building and the two districts, especially at the far western edge of the New Montgomery-Mission-Second Conservation District where it transitions to the low-scale historic resources that define Jessie Square.

As stated in the *Historic Resource Evaluation for the Aronson Building* (HRE) by Knapp and VerPlanck Preservation Architects dated 23 June 2011 (Appendix B *Draft Environmental Impact Report (DEIR) for the proposed 706 Mission Street* (2008.1084E)):

The proposed tower is admittedly much taller than the Aronson Building (550' versus 144'). Because the Aronson Building is surrounded by taller contemporary buildings, the proposed tower is in keeping with its context, if not the historic Aronson Building. (pg. 88)

The proposed tower may be compatible with the recently-constructed, **non-contributing** high-rise towers (all lower in height than the proposed tower) that are found in the Conservation District, but it is not compatible with the historic resources that comprise the Conservation District or with the Aronson Building itself. The proposed tower portion of the project bears no relationship to the architectural character of the New Montgomery-Mission-Second Street Conservation District.

Moreover, the HRE states that "The proposed tower will ...obstruct some views of the Jessie Street Substation from Mission Street," and "The proposed tower would partially obscure views of the Aronson Building from both the Jessie Street Substation and St. Patrick's Church and Rectory." This statement appears to contradict the DEIR statement that "The proposed project would not block any views of the Aronson Building as seen from within these two [Aronson Hist Dist & NMMS] historic districts."

Sincerely,



Katherine Petrin  
Architectural Historian

## **EXHIBIT 3**

10 July 2013

Thomas N. Lippe  
Lippe Gaffney Wagner LLP  
329 Bryant Street, Suite 3D  
San Francisco, California 94107

Re: Aronson Building, 706 Mission Street, San Francisco, APN 3706-093

Mr. Lippe:

As requested by your firm, Lippe Gaffney Wagner LLP, I have reviewed, commented on, and provided a professional opinion on the proposed project at the historically significant Aronson Building, 706 Mission Street, San Francisco, on various occasions since April 2013. The nature of these comments has to do with the architectural compatibility between the 10-story Aronson Building, a qualified historic resource under the California Environmental Quality Act (CEQA), and a proposed 47-story tower addition measuring approximately 550 feet in height. In addition, we have evaluated the compatibility between the proposed tower and the surrounding districts, including the New Montgomery-Mission-Second Conservation District.

This letter addresses a change to the proposed project since our last review. The changes are described in a Planning Department memo to the Planning Commission, dated 20 May 2013. To address shadow impacts, the Project Sponsor has proposed reducing the height of the proposed tower the height of the tower from a maximum of 520 feet (with an additional 30-foot tall mechanical penthouse) to a maximum of 480 feet (with an additional 30-foot tall mechanical penthouse). No other changes to the tower envelope or architectural expression are proposed. The height reclassification proposed in association with the project would also be revised; that would reclassify the site from the existing 400-I Height and Bulk District to the 480-I Height and Bulk District (rather than the previously-requested 520-I District).

The proposed 40' height reduction has no bearing on our original findings, expressed in a letter dated 25 April 2013. Our original findings remain unchanged. Those are, that the proposed project does not meet Standards 9 or 10 of *The Secretary of the Interior's Standards for Rehabilitation* or the standards set forth in Planning Code Section 1111.6(c)(6). Nor does the new construction bear any relationship to the architectural character of the New Montgomery-Mission-Second Street Conservation District, in terms of height and scale, and it does not meet the standards set forth in Planning Code Section 1113.6(a).

We disagree with the analysis and conclusions of the *Major Permit to Alter Case Report*. Because of the significance of the two qualified historical resources, the Aronson Building and the New Montgomery-Mission-Second Street Conservation District, and the material impairment caused by the proposed alterations, the proposed project would, in my opinion, result in a substantial adverse change.

Sincerely,

A handwritten signature in black ink, appearing to read "Katherine T. Petrin". The signature is fluid and cursive, with the first name being the most prominent.

Katherine T. Petrin



# **EXHIBIT 2**

TO LGW's APRIL 25, 2013 LETTER  
TO THIS BOARD ON THE EIR APPEAL



# SAN FRANCISCO PLANNING DEPARTMENT

## Permit to Alter Case Report

HEARING DATE: APRIL 3, 2013

*Filing Date:* October 24, 2012  
*Case No.:* 2008.1084H  
*Project Address:* 706 Mission Street  
*Conservation District:* New Montgomery-Mission-Second Conservation District  
*Category:* Category I (Significant) – Aronson Building  
*Zoning:* C-3-R (Downtown Retail)  
400-I Height and Bulk District  
*Block/Lot:* 3706/093  
*Applicant:* Margo Bradish  
Cox Castle & Nicholson LLP  
555 California Street, 10<sup>th</sup> Floor  
San Francisco, CA 94104  
*Staff Contact* Lily Yegazu - (415) 575-9076  
[lily.yegazu@sfgov.org](mailto:lily.yegazu@sfgov.org)  
*Reviewed By* Tim Frye - (415) 557-6822  
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Information:  
**415.558.6377**

### PROPERTY DESCRIPTION

The project site is located at 706 Mission Street in Assessor's Block 3706, Lot 093 at the intersection of Market and Third Streets. Historically known as the Aronson Building, the subject property is a Category I (Significant) Building located within the New Montgomery-Mission-Second Conservation (NMMS) District and the C-3-R (Downtown Retail) Zoning District with a 400-I Height and Bulk limit.

The Aronson Building was constructed in 1903 based on design by the architectural firm of Hemenway & Miller. The existing building is a ten-story, steel-frame, commercial building with a flat roof and is rectangular in plan. A 1978 addition extends along the west side of the building that is slightly taller than the original structure. A second, smaller addition, also constructed in 1978 is attached to the north façade. Both additions are constructed of cast-in-place reinforced concrete and are clad in yellow face brick.

The primary facades along Mission and Third Streets are five and four bays wide, respectively, have a base, shaft, and capital composition, with matching decorative details. The base consists of storefront bays delineated by pointed cast iron pilasters that have been infilled with non-historic buff-colored brick and contemporary storefronts. Historic entrances were located at the north end of Third Street façade and west end of Mission Street façade. At Mission Street, the infilled former entrance is framed by a pair of Colusa sandstone Ionic pilasters that support a projecting architrave that extends along entirety of both primary facades. The pilasters on the Third Street facade are missing their capitals. The second floor is

clad with Colusa sandstone with bays delineated by cast iron pilasters. Each bay contains three windows separated by cast iron mullions capped by a scrolled bracket. The third floor is clad in buff-colored terra cotta rusticated to resemble stone masonry. Each bay contains a pair of recessed windows divided by a masonry pilaster capped by a composite capital.

## PROJECT DESCRIPTION

The proposed Major Permit to Alter is for an interior and exterior rehabilitation as well as seismic upgrade of the Aronson Building. As part of the project the two existing non-historic 1978 additions will be removed and the Aronson Building will be integrated as part of a new 47-story, 550'-tall tower with up to 215 residential units and a portion of the Mexican Museum. The new tower will be adjacent to and physically connected to the existing Aronson Building. As part of the proposed project, the Aronson Building will be restored and rehabilitated for possible residential or commercial, as well as retail and cultural use with a one-story rooftop solarium addition and roof garden/outdoor terrace. The proposed project is fully described in the conceptual plans and Architectural Design Intent Statement prepared by Handel Architects establishing the design intent and parameters for the new development and for the treatment of the historic Aronson Building based on recommendations included in the Historic Structure Report (HSR) prepared by Page & Turnbull (Exhibit J). The scope of work subject to this Major Permit to Alter includes the following:

### East (Third St) and south (Mission St) facades

- The brick infill at the ground levels of the Third and Mission Street elevations are proposed to be removed. Any extant historic entry materials on the westernmost edge of the Mission Street elevation are exposed during removal of the brick infill, the materials are proposed to be retained, cleaned and protected. However, if no historic entryway materials exist, a new contemporary arched opening is proposed to be constructed in this location.
- The non-historic fire escapes and landings on the primary facades (Third and Mission Streets) will be removed and the cornice and any historic fabric will be repaired as required.
- Character-defining features of the Aronson Building that are deteriorated, such as the terra cotta, brick, Colusa sandstone, and cast ironwork will be rehabilitated and repaired. Features that are missing or deteriorated beyond repair will be replaced in kind or are proposed to be replaced with substitute materials.
- A new storefront system is proposed to be installation along the two primary facades (Third and Mission Streets).
- A new bronze portal surround is proposed to be integrated with the existing bronze door frame of the main entry way along the Third Street facade. The portal will match the storefronts in finish and will be setback from the historic pilasters and entablature. New glass double doors are also proposed at this location within the existing opening.
- A new canopy, 8' 6" high above the sidewalk grade, is proposed at the historic entryway along the Third Street façade. The proposed canopy will be approximately 7' 6" in width to fit in within the existing opening while still being setback from the historic pilasters on either side. The canopy will project approximately 4' from the face of the building and will be contemporary in design with a simple detail.
- The non-historic windows on the upper floors of the Third and Mission Street facades are proposed

to be replaced with new operable aluminum windows that will have similar proportions to the stiles and rails of the historic windows and will fit within existing openings.

#### West Façade

- The non-historic 10-story 1978 brick addition which currently obscures the historic west façade will be removed to make way for the proposed tower. The new tower will abut and connect to the west façade of the Aronson Building with new openings proposed along the west façade for circulation between the two structures as well as seismic, structural, mechanical, electrical and plumbing improvements. Existing openings in the original west wall will be reused, where feasible. The new tower will be setback approximately 6' from the Aronson Building's Mission Street façade to expose the historic brick on the west façade of the Aronson Building. The exposed brick will be cleaned, repointed as required and existing cracks will be repaired. The exterior finish of the new tower where it abuts the Aronson Building will comprise of transparent curtain-wall system to differentiate it from the Aronson Building.

#### North Façade

- The non-historic 3-story 1978 brick addition including existing windows, doors and grilles along the north façade will be removed. Openings within the party wall will be patched utilizing salvaged brick removed for new openings proposed along the same facade.
- The existing brick along the north wall will be inspected, repaired, cleaned, and repointed as required. Damaged or missing bricks will be replaced with salvaged brick removed for the proposed window openings.
- New simple punched openings within the existing brick party wall will be introduced to accommodate new metal framed windows with approximately 70% of the existing wall area retained. Each window will be approximately 45 square feet in size (5' x 9') and will be setback approximately 14' 5" from the Third Street façade at floors 4 through 10, and approximately 27' at floors 1 through 3.
- New metal framed transparent storefront openings will also be introduced at the ground floor, similar in material, divisions, frame profile and depth to the storefronts proposed on the Third and Mission Street facades. The new storefront openings will be approximately 250 square feet (12' x 16') each and in combination with the proposed upper floor windows, will cover approximately 30% of the north façade.
- A new metal canopy is also proposed immediately above the new storefronts on the north façade along with a recessed horizontal metal channel that will extend to and align with the cornice datum line of the Third Street façade.

#### Roof

- Selective removal of existing roofing material and structure as well as seismic upgrade and reinforcement as required is proposed for the existing roof.
- The roof of the Aronson Building will be rehabilitated to function as a residential amenity outdoor terrace/roof garden.
- The existing wood flagpole will be retained and rehabilitated.
- A new one-story, approximately 1,533 square feet (73' x 21') solarium structure, setback

approximately 23' from the Third Street façade, 27' from the Mission Street façade and 21' from the north façade is also proposed on the roof of the Aronson Building. The roof of the solarium will include a private outdoor terrace that will be used by residents.

- New transparent glass perimeter railing/windscreens, approximately 3' 6" in height and setback approximately 1' 6" from the interior of the existing parapet wall is proposed along the Third and Mission Street facades. The railing/windscreen is proposed to extend along the north façade but will be approximately 10' in height along this elevation to address wind issues.

## **OTHER ACTIONS REQUIRED**

The proposed Major Permit to Alter will require Building Permit(s) for the proposed removal of the two non-historic 1978 additions as well as the fire escapes and landings, and the existing mechanical penthouse on the roof. In addition Building Permit(s) will be required for the proposed rehabilitation of the Aronson Building and the new addition features including new solarium on the roof, ground floor storefronts, and new window openings along the north façade.

In addition to the above-mentioned building permits, other parts of the proposed project not within the jurisdiction of this Commission, including the new tower, will require discretionary approvals that include but are not limited to the following:

- Actions by the Board of Supervisors: adoption of Zoning Map amendments, possible adoption of SUD, approval of Agreement of Purchase and Sale.
- Actions by the Planning Commission: recommendation of Zoning Map amendment, possible recommendation of adoption of an SUD, General Plan referral, approval of a Section 309 Determination of Compliance and Request for Exceptions, approval of Conditional Use Authorization (if required), approval of amendment of the quantitative shadow standard for Union Square.
- Actions by the Recreation and Park Commission: approval of amendment of the quantitative shadow standard for Union Square and recommendation to the Planning Commission
- Actions by the Successor Agency to the Redevelopment Agency, and the Oversight Board of the Successor Agency: approval of the Agreement of Purchase and Sale for the Mexican Museum parcel, approval of parking structure bond purchase/defeasance documents.
- Actions by the Planning Department: approval of the site permit, approval of the Vesting Tentative Map, approval of demolition, grading, and building permits.
- Actions by the Department of Public Works: Approval of the Vesting Tentative Map, approval of a street improvement permit and/or encroachment permit.
- Actions by the Department of Building Inspection: approval of the site permit, approval of demolition, grading, and building permits

## **PUBLIC/NEIGHBORHOOD INPUT**

The Department has received no public input on the Major Permit to Alter Request as of the date of this report.

## BACKGROUND

On February 2, 2011, the project sponsor presented an earlier version of the proposed Permit to Alter to the Architectural Review Committee (ARC) of the Historic Preservation Commission to seek ARC comments and recommendations regarding the compatibility of the proposed project with *Secretary's Standards*. The ARC provided comments and recommendations on the design, primarily concerning the proposed storefront system, new window openings on the north elevation, and the rooftop solarium. The project design has since been modified by the Project Sponsor in response to the ARC's comments. The ARC letter is included as Exhibit G in the packet.

On July 18, 2012, the Historic Preservation Commission held a public hearing and took public comment to assist the Commission in its preparation of any comments of the Commission on the Draft Environmental Impact Report (DEIR) for the proposed 706 Mission Street – The Mexican Museum and Residential Tower Project (2008.1084E). After discussion, the Commission determined that the DEIR presented sufficiently addressed and responded to the comments made previously by the ARC and that the write-up regarding the treatment to the building was adequate.

## COMPLIANCE WITH THE PLANNING CODE PROVISIONS

The proposed Major Permit to Alter is in compliance with all other provisions of the Planning Code.

## APPLICABLE PRESERVATION STANDARDS

### ARTICLE 11

Pursuant to Section 1110 of the Planning Code, unless delegated to Planning Department Preservation staff through the Minor Permit to Alter process pursuant to Section 1111.1 of the Planning Code, the Historic Preservation Commission is required to review any applications for the construction, alteration, removal, or demolition for Significant buildings, Contributory buildings, or any building within a Conservation District. In evaluating a request for a Permit to Alter, the Historic Preservation Commission must find that the proposed work is in compliance with the *Secretary of the Interior's Standards for the Treatment of Historic Properties*, Section 1111.6 of the Planning Code, as well as the designating Ordinance and any applicable guidelines, local interpretations, bulletins, related appendices, or other policies. These standards, in relevant part(s), are listed below:

- a) The proposed alteration shall be consistent with and appropriate for the effectuation of the purposes of this Article 11.

*The proposed project is consistent with Article 11.*

- b) For Significant Buildings - Categories I and II, and for Contributory Buildings - Categories III and IV, proposed alterations of structural elements and exterior features shall be consistent with the architectural character of the building, and shall comply with the following specific requirements:

- (1) The distinguishing original qualities or character of the building may not be damaged or destroyed. Any distinctive architectural feature which affects the overall appearance of the building shall not be removed or altered unless it is the only feasible means to protect the

public safety.

*Based on Staff analysis, the project will rehabilitate all of the primary character-defining features of the Aronson Building, including majority of the structural system, building massing, scale and proportions; and all historic materials on both primary (Third and Mission Streets) facades.*

- (2) The integrity of distinctive stylistic features or examples of skilled craftsmanship that characterize a building shall be preserved.

*The proposed project will retain and restore all distinctive materials, features, and finishes as well as construction techniques and examples of craftsmanship that characterize the building. As conditioned, the project will rehabilitate all of the character-defining features of the Aronson Building, such as the wall cladding in buff-colored glazed brick, the terra cotta and sandstone ornament, including sandstone entablatures and piers, brick pilasters, capitals, frizzes, spandrel panels and window sills, cast iron pilasters between ground-floor storefronts, galvanized sheet metal cornice with paired scrolled brackets and block modillions historic entrance locations on Third and Mission Street facades, as well as the wood flagpole on the roof.*

- (3) Distinctive architectural features which are to be retained pursuant to Paragraph (1) but which are deteriorated shall be repaired rather than replaced, whenever possible. In the event replacement is necessary, the new material shall match the material being replaced in composition, design, color, texture and other visual qualities. Repair or replacement of missing architectural features shall be based on accurate duplication of features, substantiated by historic, physical or photographic evidence, if available, rather than on conjectural designs or the availability of different architectural elements from other buildings or structures. Replacement of non-visible structural elements need not match or duplicate the material being replaced.

*Any deteriorated historic features and materials will be repaired rather than replaced wherever feasible. If replacement of a deteriorated element is required, or if the element is missing, it will be replaced in kind, or if the material is no longer available, it will be replaced using an acceptable substitute material that matches the profile and configuration of the original based on physical or photographic documentation. As conditioned, a mock-up of any substitute material proposed will be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the approval architectural addendum.*

- (4) Contemporary design of alterations is permitted, provided that such alterations do not destroy significant exterior material and that such design is compatible with the size, scale, color, material, and character of the building and its surroundings.

*The proposed storefronts on the primary and secondary elevations will be compatible with the adjoining historic fabric and the original design of the building in terms of materials, proportions, profiles, and configuration based on historic photographs of the Aronson Building. New windows on the north elevation will be clearly differentiated by utilizing a contemporary detailing including simple punched windows while being compatible with the character of the building in size, fenestration pattern and organization. The canopies on the Third Street façade and the north façade will also be contemporary in design with simple details to be easily distinguished from the historic fabric of the building yet be compatible with the existing building.*

- (5) In the case of Significant Buildings - Category I, any additions to height of the building (including addition of mechanical equipment) shall be limited to one-story above the height of the existing roof, shall be compatible with the scale and character of the building, and shall in no event cover more than 75 percent of the roof area.

*The proposed rooftop solarium will be one-story above the existing roof, will cover less than 75 percent (approximately 17.5%) of the roof area and will use materials and design that is compatible with the scale and character of the building including glazing similar to that on the Third and Mission Street facades in terms of material, divisions, frame profile and depth. In addition, given the one-story height and the 23' setback from the Third Street façade and 27' setback from the Mission Street façade, the new rooftop addition will be minimally visible from the public right-of-way. Furthermore, as conditioned, the proposed 10' high glass guardrail/windscreen along the north façade will be setback a minimum of 5' to minimize its view from the public right-of-way (across Third Street).*

#### THE SECRETARY OF THE INTERIOR'S STANDARDS

The proposed Major Permit to Alter must be undertaken in a manner consistent with the Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Properties. The proposed Major Permit to Alter includes rehabilitation as the primary treatment associated with the Aronson Building portion of the project. The Secretary of the Interior's Standards define rehabilitation as, "The act or process of making possible a compatible use for a property through repair, alterations, and additions while preserving those portions or features which convey its historical, cultural, or architectural values". The Rehabilitation Standards provide, in relevant part(s):

- Standard 1:** A property shall be used for its historic purpose or be placed in a new use that requires minimal change to the defining characteristics of the building and its site and environment.

*The project will retain commercial uses, or introduce new uses that will be compatible with the building. With the exception of the building structural system and window frames at upper floors, there are no character-defining features on the interior. The window frames and the structural system will be retained and the new interior layout and features, including partition walls, stairs and other major building elements will be designed in a manner that will not obscure the fenestration of the rehabilitated Third and Mission Street facades. Therefore, the proposed alteration of the interior to accommodate the new use will not impact historic fabric or features that characterize the building.*

- Standard 2:** The historic character of a property shall be retained and preserved. The removal of historic materials or alteration of features and spaces that characterize a property shall be avoided.

*The existing Aronson Building will be maintained and protected prior to and during construction to prevent deterioration and/or damage, and ensure preservation of historic fabric. In addition, the proposed exterior alterations to the building such as the new windows, storefront systems, and canopy on the north elevation occur on secondary elevations. Furthermore, the proposed one-story solarium addition on the rooftop will be substantially setback from the edges of the building (23'*



*from the Third Street façade, 27' from the Mission Street façade and 21' from the north façade) and will be minimally visible from the street. The proposed glass rail/windscreen along the primary facades will not be visible from the streets given its 3' 6" height and 1' 6" setback from the parapet wall. As conditioned, the 10' high portion of the glass railing/windscreen along the north façade will be setback at least 5' from the parapet wall, ensuring minimal visibility from across Third Street. The proposed new tower construction will also be located on a tertiary, previously altered elevation and will not result in the loss of any historic materials or features.*

**Standard 3:** Each property will be recognized as a physical record of its time, place and use. Changes that create a false sense of historical development, such as adding conjectural features or architectural elements from other buildings, shall not be undertaken.

*The introduction of new storefronts and windows on the primary elevations are based on photographic documentation on the primary elevations is compatible with the adjoining historic fabric and are consistent with the original design of the building in terms of proportions, profiles and configurations. The new punched windows on the north elevation will be clearly differentiated but compatible with the character of the Aronson Building. As conditioned, the replacement windows on the primary facades will be wood framed single light windows and as such will be compatible with the existing building as they are based on physical and photographic documentation.*

**Standard 4:** Most properties change over time; those changes that have acquired historic significance in their own right shall be retained and preserved.

*There are no identified changes to the Aronson Building that have acquired historic significance in their own right. Other existing incompatible and non-historic 1978 additions on the north and west elevations, and storefront infill will be removed as part of the proposed rehabilitation.*

**Standard 5:** Distinctive features, finishes, and construction techniques or examples of craftsmanship that characterize a property shall be preserved.

*The proposed project will retain and restore all distinctive materials, features, and finishes as well as construction techniques and examples of craftsmanship. Specifically the proposed project will rehabilitate all of the character-defining features of the Aronson Building, such as the exterior cladding in buff-colored glazed brick, the terra cotta and sandstone ornament, including sandstone entablatures and piers, brick pilasters, capitals, frieze, spandrel panels and window sills, cast iron pilasters between ground-floor storefronts, galvanized sheet metal cornice with paired scrolled brackets and block modillions historic entrance locations on Third and Mission Street facades, as well as the wood flagpole on the roof. The original building entrance including the bronze door frame and arched transom frame at the Third Street entrance will be retained, cleaned and rehabilitated. As part of the proposed project, any extant material associated with the Mission Street historic entryway exposed during demolition will be retained, cleaned and rehabilitated. As conditioned, Department Preservation Staff will review and approve the final design, including materials and details for a new compatible contemporary arched opening that will be built at the original location with new metal portal surround, side lights and new glass entry double doors,*

*matching those proposed for the Third Street façade, if no historic entryway is found after demolition.*

**Standard 6:** Deteriorated historic features shall be repaired rather than replaced. Where the severity of deterioration requires replacement of a distinctive feature, the new feature shall match the old in design, color, texture, and other visual qualities and, where possible, materials. Replacement of missing features shall be substantiated by documentary, physical, or pictorial evidence.

*The proposed project will retain and restore all distinctive materials, features, and finishes, as well as construction techniques and examples of craftsmanship that characterize the building. The project also proposes to replace elements deteriorated beyond repair or missing elements in kind. If the material is no longer available, it will be replaced using a substitute material that matches the profile and configuration of the original based on physical or photographic documentation and following the practice outlined in Preservation Brief 16 - Use of Substitute Materials on Historic Building Exteriors. As conditioned, site mock-up of any substitute material used will be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the approval of architectural addendum.*

**Standard 7:** Chemical or physical treatments, such as sandblasting, that cause damage to historic materials shall not be used. The surface cleaning of structures, if appropriate, shall be undertaken using the gentlest means possible.

*The project will comply with Rehabilitation Standard 7, in such that the project will adhere to the recommendations in the HSR and as conditioned, will following the masonry cleaning practice outlined in Preservation Brief 1 – Cleaning and Water-Repellent Treatments for Historic Masonry Buildings, which include but are not limited to, exercising extreme care in the cleaning of brick and conducting mock-ups to ensure no damage will occur as a result of cleaning; cleaning of terra cotta proceed with the gentlest means, which may require several mock-ups prior to selection of the proper techniques and that the treatment approaches for the various historic materials be determined by a qualified preservation architect.*

**Standard 8:** Significant archeological resources affected by a project shall be protected and preserved. If such resources must be disturbed, mitigation measures shall be undertaken.

*Mitigation measures are identified in the EIR and incorporated in the Mitigation Monitoring and Reporting Program, which require archaeological monitoring during construction of the adjacent tower to ensure that the project will not result in a significant impact to archaeological resources.*

**Standard 9:** New additions, exterior alterations, or related new construction will not destroy historic materials, features, and spatial relationships that characterize the property. The new work will be differentiated from the old and will be compatible with the historic materials, features, size, scale and proportion, and massing to protect the integrity of the property and its environment.

*The proposed additions, exterior alterations and related new construction will not destroy historic*

*materials, features and spatial relationship that characterize the Aronson Building in that most of the new additions are proposed on secondary facades. The one-story solarium will be added on the rooftop and will be substantially setback from the primary facades of the Aronson Building (23' from the Third Street façade, 27' from the Mission Street façade and 21' from the north façade) minimizing the perceived mass and visibility of the addition from the public right-of-way. The canopy, new storefront system and new window openings along the north façade are also additions located on secondary elevations and are designed in a manner to be compatible with and not destroy historic materials, features, and spatial relationships that characterize the Aronson Building. In addition, the proposed tower construction will be located on the previously altered west elevation that has no ornamental detail or historic fenestration. The new storefronts on the primary facades will be designed to closely match the historic storefronts in proportion, profiles and configuration based on physical and photographic evidence. As conditioned, the replacement windows on upper floors of the primary facades will consist of wood window frames with profiles, configuration, color and operation that will closely match the historic windows based on physical and photographic evidence to ensure compatibility with the character of the Aronson Building.*

*All new work will be clearly differentiated from the old yet be compatible with the historic materials, features, size, proportion, and massing. Specifically the proposed storefronts, new canopies, new windows on the north façade, solarium on the roof top will be clearly differentiated through the use of contemporary detailing and materials. In addition,, the tower will be differentiated in its modern, contemporary design vocabulary.*

**Standard 10:** New additions and adjacent or related new construction will be undertaken in such a manner that, if removed in the future, the essential form and integrity of the historic property and its environment will not be impaired.

*The proposed additions and alternations will not remove significant historic fabric, and have been designed to be unobtrusive to the architectural character of the building and district in conformance with Secretary's Standards. While unlikely, if removed in the future, the proposed alterations at the roof, the primary and secondary facades, including the new adjacent tower, will not have an impact on the physical integrity or significance of the Aronson Building or the district in conformance with Standard 10 of the Secretary's Standards.*

## **STAFF ANALYSIS**

Based on the requirements of Article 11 and the Secretary of the Interior's Standards, the Department has determined the following:

**Storefront:** The ground floor of the Aronson Building on both the Mission and Third Street facades has been modified with the addition of brick infill. The Sponsor proposes to remove the existing non-historic brick infill and replace with a new glass storefront system to open up the ground floor and rehabilitate the exterior of the ground floor based on historic photographic evidence. The new storefront framing will extend to the perimeters of the opening between the existing pilasters and cornice and will have a prominent horizontal transom division corresponding with the original storefront configuration and minor vertical divisions to align with existing window openings on the upper floors. In addition, the storefronts will have a base that aligns with the existing pilaster bases. The new storefront system will

comprise of aluminum framing and clear glass. In response to the ARC comments, the new storefront will have proportions and configurations similar to the original storefront depicted in historic photos, with the introduction of a larger transom panel. The existing pilasters between the bays will be retained and restored. Storefronts that had been previously removed at the corner of Mission and Third Streets to accommodate recessed entries into the tenant spaces will also be reintroduced as part of the rehabilitation project.

New aluminum framed transparent openings will be added at the ground level along the north façade. The new storefront framing will be similar to that on the Mission and Third Street facades in material, divisions, frame profile and depth. In response to the ARC comments/feedback, the proposed storefronts along the north façade will retain solid brick wall between the storefront bays allowing the storefronts to align with the revised window pattern on the upper levels.

As conditioned, the storefronts appear to reference the configuration and surrounds of the storefront system on the primary as well as secondary (north) façades, and are consistent with the historic character of the ground floor glazed storefronts of the Aronson Building. The Department believes that in concept the proposed storefront systems are compatible with the character-defining features of the subject building and meet the *Secretary's Standards*. The Department recommends the following conditions of approval as part of the proposed scope of work:

- (1) Construction details of the proposed storefront and entrance doors that indicate all exterior profiles and dimensions shall be based on historic photograph documentation and shall and are subject to review and approval prior to the approval of the architectural addendum by the Department Preservation Staff.
- (2) All storefront finishes shall have a non-metallic powder coated or painted finish. All color and finish samples for storefronts will be submitted to Department Preservation Staff for review and approval as part of the architectural addendum.

**Entryway:** The existing original entryway along the Third Street façade will be rehabilitated by retaining the existing entrance opening and ornament, including bronze door frame and arched transom frame. New glass entry doors will be installed in the existing bronze door frame. The original arched entryway along Mission Street will be reversed by retaining, cleaning and rehabilitating any extant historic entryway that may be exposed during demolition. However, if no historic entryway exists, a new compatible contemporary arched opening is proposed to be built at the original location with new metal portal surround, side lights and new glass entry double doors, matching those proposed for the Third Street façade.

- (3) The final design incorporating any historic fabric if discovered and, including shop drawings for the new contemporary arched opening proposed at the Mission Street shall be based on photographic or physical evidence and shall be included in the architectural addendum for review and approval by Department Preservation Staff.
- (4) All exterior materials and finish samples shall be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the approval of site permit or architectural addendum.

**Canopy:** A new canopy with integrated signage and lighting is proposed above the existing Third Street entryway. The new canopy will be integrated into the existing entry systems and will be confined within the entry bay. The Department believes that the concept of locating a canopy aligned with the proposed transom line is appropriate in that it serves as a continuation of the horizontal element created by the transom line on the proposed storefront system and will identify and provide prominence to the existing entryway.

A new metal canopy is also proposed at the ground level of the north façade, intended to encourage pedestrian activity and connections to the ground floor program, along with the new storefront system proposed on this façade. The new metal canopy above the storefront will align with the recessed horizontal metal channel above the new storefronts. Furthermore, a new recessed horizontal metal channel above the new storefront will extend to the building edge to align with the Third Street façade cornice datum line.

The Department believes that the canopy finish should match the proposed for the storefront to ensure compatibility with the building. In addition, attachment details should be submitted to Department Preservation Staff for review and approval.

- (5) Final design, including finish and materials to match proposed storefronts, and shop drawings for the attachment details of the canopies at the Third Street entry and north façade shall be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the architectural addendum.
- (6) Attachment details of the proposed canopies indicating that the canopies will be attached in a manner that will avoid damage to the historic fabric shall be submitted for review and approval by Department Preservation Staff prior to approval of the architectural addendum.

**Signage:** New signage and lighting integrated with the storefront canopy is proposed above the existing entrance along Third Street. The proposed signage and lighting integrated within the new canopy also appears to be appropriate by providing identification to one of the main entrances to the Aronson Building. However, at this time, the overall signage program for the Aronson Building ground floor tenant spaces has not been developed and submitted as part of this application packet. When such a sign program is developed, it will need to be reviewed by staff under a new (Minor) Permit to Alter utilizing the Department's Sign Guidelines. As such, as conditioned below, the proposed location of the canopy and sign appear to be compatible with the subject building.

- (7) The sign program for the Aronson Building, including lighting proposed, shall be submitted for review and approval by staff under a new (Minor) Permit to Alter at a later date.

**Existing Windows:** The existing non-historic windows on the upper floors of the Third and Mission Street facades are proposed to be replaced with new operable aluminum windows. The replacement windows are proposed to closely match the exterior profiles and dimensions of the historic wood windows based on photographic documentation.

The Department believes that the installation of aluminum windows may be in conflict with #2 of Section 1111.6 of the Planning Code which stipulates, "The integrity of distinctive stylistic features or examples of skilled craftsmanship that characterize a building shall be preserved." The Department and the

Commission's policy has been that replacement windows closely match the historic (extant or not) windows in terms of configuration, material, and all exterior profiles and dimensions. The department believes that as documented by historic photographs, the historic wood windows are distinctive and that they are an example of the craftsmanship of the building from the period in which it was constructed. As such, the Department recommends that the replacement windows should be wood windows based on department policy and previous action by the Commission.

It should be noted, that the HPC has approved substitute window materials for a Category I building only once. The Commission approved replacement windows to be wood-clad aluminum windows instead of wood upon the Project Sponsor demonstrating certain extenuating circumstances. A Certificate of Appropriateness for 403-405 Taylor Street was approved in 2009 where the Commission found the replacement of all windows from the 2nd -floor and above with wood aluminum-clad windows to be acceptable because of the deterioration and the amount of water infiltration into the building associated with the existing historic windows. The Commission did not find that approving that project will set a precedent for other window replacement projects and is based solely on the conditions associated with the specific building.

- (8) The replacement windows for the non-historic windows on the Third and Mission Street elevations shall be wood windows that closely match the configuration, material, and all exterior profiles and dimensions of the historic windows based on historic photographic evidence.

**Exterior Repairs:** The exterior of the building will be cleaned and repaired as part of the project. All cleaning and repair work will be undertaken using gentlest means possible and best preservation practices as fully described in the Historic Structures Report by Page & Turnbull. In addition, a condition of approval is included requiring a façade inspection be conducted on the building facades and plans indicating the extent of damage be submitted for review and approval by Department Preservation Staff prior to installation prior to commencement of repair work.

- (9) Documentation indicating the results of a thorough façade inspection shall be submitted for review and approval by Department Preservation Staff. The façade inspection document shall clearly identify the extent of damage and the parts that will be repaired, replaced in kind or those that are damaged beyond repair, requiring replacement with substitute materials.

**Colusa Sandstone:** The Colusa sandstone on the façade is proposed to be retained and existing paint and any unsound materials will be removed. The existing substrate, anchorage, and reinforcing will be assessed and repaired as required. Units will be reinforced and patched, with materials replaced in kind or with compatible substitute materials where damage is beyond repair. A coating material is proposed for the Colusa sandstone to closely match the existing historic material.

- (10) Cleaning of the Colusa sandstone shall be conducted consistent with the masonry cleaning practice outlined in Preservation Brief 1 – Cleaning and Water-Repellent Treatments for Historic Masonry Buildings. The coating or paint type, color, and layering on the Colusa sandstone shall be researched before attempting its removal. Analysis of the nature of any unsound materials or paint to be removed from the sandstone shall be submitted to Department Preservation Staff for review and approval. In addition, initial testing shall be done on a small obscure location on the façade. All existing coatings shall be removed from the sandstone by gentlest means possible. A

mock-up of proposed coating shall be conducted prior to selection of a product to ensure that coating shall not alter the natural finish, color or texture of the stone.

Terra Cotta: The historic terra cotta on the primary facades is proposed to be cleaned and any spalls identified will be reinforced and patched. Where damage is beyond repair it will be replaced in kind or with a substitute material as appropriate. Cracked units and substrates will be stabilized and repointed as needed.

- (11) Cleaning of the terra cotta shall be conducted consistent with the masonry cleaning practice outlined in Preservation Brief 1 – Cleaning and Water-Repellent Treatments for Historic Masonry Buildings, which include but are not limited to, exercising extreme care in the cleaning of brick and conducting mock-ups to ensure no damage will occur as a result of cleaning. In addition, cleaning of the terra cotta shall proceed with the gentlest means, which may require several mock-ups prior to selection of the proper techniques as determined by a qualified preservation architect.

Architectural Cast Iron: Existing cast iron on the primary facades will be retained and failing or deteriorated paint will be removed. Missing cast iron elements, such as scroll capitals along the Third Street facade, is proposed to be replaced with an acceptable substitute material. Where damage is beyond repair, it is proposed to be replaced in kind or with a substitute material as appropriate.

- (12) All proposed replacement of missing elements within the architectural features shall be in kind. Only in instances where entire features are missing (e.g. scroll capitals along Third Street) shall be replaced with substitute material after review and approval by Department Preservation Staff.

Exterior Paint: Exterior paint of the cast iron pilasters will be selected to either closely match the existing historic materials or will be complementary to the existing building facades.

- (13) Prior to application of the exterior paint finish on the cast iron, a paint analysis shall be performed on representative samples after proper cleaning of the existing materials for review and approval by Department Preservation Staff.

Sheet Metal: The existing entablature with paired scrolled brackets, block modillions and architectural sheet metal cornice is proposed to be retained. Failing paint, rust and corrosion will be removed, and all elements will be repainted. As proposed, cornice openings where fire escape is removed will be repaired and the cornice at the southwest corner of the building where the west annex addition will be removed is proposed to be repaired in-kind or replaced with substitute materials to complete the original return at the roofline. However, the Department recommends that the cornice be repaired in-kind. The use of substitute material is not appropriate at this location due to potential material incompatibility that could result in galvanic corrosion, weathering differently than surrounding historic materials, and further damage to the historic fabric.

- (14) Substitute materials shall not be used to repair the existing cornice or replace missing cornice details and instead shall be replaced in-kind.

**Substitute Materials:** Aside from the cornice repair, using substitute materials for features that are

missing or damaged beyond repair is acceptable and may be found to be in conformance with the *Secretary's Standards* provided that the work is done consistent with *Preservation Brief 16 - Use of Substitute Materials on Historic Building Exteriors* and the following conditions are met:

- (15) A mock-up of any replacement material proposed shall be reviewed and approved by Department Preservation Staff prior to installation.
- (16) Specifications and shop drawings for all replacement of the exterior materials on the Aronson Building shall be included in the architectural addendum for review and approval by Department Preservation Staff.
- (17) The replacement material shall closely match the characteristics of the historic material. The shop drawings for any replacement material proposed shall be included in the architectural addendum and are subject to review and approval by Department Preservation Staff to ensure that the replacement features, if applicable, closely match all exterior profiles, dimensions, and detailing of the historic features as well as match the color, tone, and texture from a representative range of cleaned samples from the building
- (18) Prior to the production of the building features proposed to be replaced with substitute materials and the approval of the architectural addendum, Department Preservation Staff shall review site mock-ups of the replacement materials, including a mock-up of all exterior finish.

**New Window Openings:** In addition to the proposed removal of the 1978 non-historic addition along the north façade, existing doors, windows and grilles will also be removed from the north elevation. Existing openings within the party wall will be patched utilizing brick salvaged from the new openings. The common red brick along the north wall will be inspected, repaired, cleaned, and repointed. New selective openings will be made within the north wall with approximately 70% of the existing wall area retained. In response to the ARC comments and feedback, the new openings above the ground level will be organized in a regular pattern and will be comprised of aluminum framed windows expressed as simple punched openings. The windows will be setback approximately 14' 5" from the northeast corner at floors 4 through 10, and approximately 27' at floors 1 through 3 to expose more of the existing brick finish.

The new windows will be compatible in size, fenestration pattern, and organization yet distinguishable from the original fabric of the Aronson Building through the use of contemporary detailing and materials. Staff believes the framing finish and material should match those proposed on the storefront along the Third and Mission Streets as well as the north façade to ensure consistency and compatibility. As such, the Department believes that as conditioned, the approach proposed by the Project Sponsor is in conformance with the *Secretary's Standards and Article 11*.

- (19) The frames and finishes of the new windows proposed on the upper floors of the north façade shall match those proposed for the storefronts along the Third and Mission Street facades as well as the storefronts on the north façade.

**Rooftop Addition:** The existing non-historic structures on the roof will be demolished and the Aronson Building roof will be rehabilitated to function as a residential amenity outdoor terrace/roof garden for the adjacent new tower. A new structural roof diaphragm will provide a seismic upgrade and support required for the exterior cornice, parapet anchorage, landscaped roof terrace and new solarium. New 3' 6" high transparent glass perimeter railings/windcreens along the Third and Mission Street facades is



proposed and will be setback approximately 1' 6" from the existing parapet wall. The continuation of the railing/windscreen along the north (secondary) façade is proposed to be 10' in height to address wind issues. The 10' high portion of the railing/windscreen along the north façade will be setback 5' from the parapet wall to ensure that it does not read as a full height addition at the face of the building and to minimize its view from across Third Street.

The new one-story solarium structure will be setback 23' from the Third Street façade, 27' from the Mission Street façade and 21' from the north facade. The solarium will be comprised of glazing that matches the proposed storefronts on the Third and Mission Street facades in terms of material, divisions, frame profile and depth. In addition, in response to the ARC feedback, the exterior finish of the proposed solarium will comprise of masonry and metal material with colors complementary to the existing Aronson Building. The roof of the solarium will include both an area that is planted and a glass roof area. The roof will also include a small private outdoor terrace that will be used exclusively by the tower residents. Due to the 10-story height of the existing Aronson Building, and adjacent buildings, as well as the substantial setbacks provided, the new one-story solarium construction will be minimally visible from the public right-of-way. In conformance with the *Secretary's Standards*, the proposed vertical addition will be clearly differentiated but compatible with the scale and character of the building through setbacks, massing, and use of contemporary cladding materials.

- (20) Final design, including details and finish material samples of the proposed solarium and glass railing/windscreen on the roof shall be reviewed and approved by Department Preservation Staff.

**Adjacent Tower:** After the demolition of the 1978 ten-story, non-historic addition along the west (secondary) façade, a new tower will be built adjacent to the Aronson Building. Unused openings within the party wall will be patched, utilizing salvaged brick that is removed for new openings. The existing common red brick along the west wall will be inspected, repaired, cleaned, repointed, and seismically upgraded as required. Salvaged bricks will be used in areas where brick needs to be replaced.

The new tower is designed to read as an entirely separate building, consistent with one of the key requirements for additions to historic resources in dense urban locations in *Preservation Brief 14: New Exterior Additions to Historic Buildings: Preservation Concerns*. In addition, the new tower volume will be setback approximately 6' from the southwest corner to expose the existing red brick wall and allow the two buildings to be expressed independently. Furthermore, the proposed 6' setback will ensure that the existing cornice along the Mission Street façade will not be impacted by the adjacent tower construction and will allow the return of the cornice along the west wall. The existing tower volume will cantilever approximately 7' over the existing Aronson Building starting at the 12<sup>th</sup> floor and be setback approximately 15' from the south façade of the Aronson Building. As proposed, the cantilevered portion of the tower over the Aronson Building. Given the distance clear space provided between the roof floor level of the Aronson Building and the bottom of the cantilever portion of the new tower, the visual separation between the two structures is continued.

New exterior and interior connections between the tower and existing Aronson Building will be established for programmatic and structural requirements, while still maintaining a visual separation between the two buildings. As fully described in the attached memorandum (Exhibit J) prepared by Page & Turnbull dated February 14, 2013 (revised 2/22/13), the Aronson Building is proposed to be seismically

upgraded by either of the following two approaches:

- The Aronson building will be seismically independent and separated by a seismic joint with an air space in between the two buildings; or
- The Aronson Building will be laterally connected to the new tower at all floor and roof levels and allow the building to move together during a seismic event, a design in which the tower and Aronson Building will not be structurally isolated but will remain visibly independent of one another.

Based on the above-mentioned memo, both approaches will not result in any exterior visual impacts to the Aronson Building and no character-defining features of the Aronson Building will be removed with either seismic upgrade approaches. Furthermore, the seismic performance will be the same in both approaches and both approaches will result in an equal level of protection of the Aronson Building with neither approach increasing the likelihood of earthquake damage to the historic Aronson Building.

In addition, Mitigation Measure M-NO-2c: Vibration Monitoring and Management Plan, of the Mitigation Monitoring and Reporting Program for the 706 Mission Street – Mexican Museum Project Environmental Impact Report pertaining to the potential for direct physical damage to the Aronson Building resulting from vibration during construction of the proposed project tower will ensure the protection of the Aronson Building.

The proposed conceptual design of the project tower will be contemporary in architectural vocabulary and will not include overt historic references. This approach visually distinguishes the proposed tower from the existing Aronson Building, allowing the proposed tower to appear as a new building adjacent to the historic Aronson Building rather than as an addition to the Aronson Building.

The use of historically appropriate colors and in-kind materials for the restoration and rehabilitation of the Aronson Building will ensure that the project will not detrimentally change or alter significant character-defining features of the resource. The palette of finish colors and materials for the new construction are also compatible with, yet differentiated, from the features, materials, and design of the historic Aronson Building, and with the site's overall historic character. Furthermore, new storefronts and windows on the primary (Third and Mission Street) elevations will be compatible with the original design of the Aronson Building in terms of proportions, profiles and configuration.

## ENVIRONMENTAL REVIEW STATUS

An Environmental Impact Report (EIR) and Mitigation Monitoring and Reporting Program (MMRP) have been prepared for the 706 Mission Street Project. The Final EIR was certified by the Planning Commission on March 21, 2013. A copy of the Final EIR was sent transmitted to the Historic Preservation Commission on March 7, 2013 and may be accessed online at [http://sfmea.sfplanning.org/2008.1084E\\_RTC1.pdf](http://sfmea.sfplanning.org/2008.1084E_RTC1.pdf). The Historic Preservation Commission must consider the EIR before acting on the proposed project and must adopt findings under the California Environmental Quality Act and adopt the MMRP as conditions of approval if it decides to approve the proposed Permit to Alter.

The EIR analysis identified potentially significant environmental impacts, including site-specific and cumulative effects of the project in accordance with the provisions set forth in the CEQA Guidelines. The

EIR identified potentially significant impacts in some areas. The EIR prepared for the project evaluated the proposed rehabilitation of the Aronson Building and also evaluated the compatibility of the proposed new construction on site.

Under CEQA, no mitigation measures are required for impacts that are less than significant. As more fully described in the Final EIR the proposed alterations to the Aronson Building under the proposed project will retain and preserve character-defining features of the Aronson Building. New alterations will be differentiated from, yet compatible with, the old. As such, the proposed project will conform to the Secretary's Standards and will therefore have less-than-significant impact on the Aronson Building historic resource under CEQA Guidelines 15064.5(b)(3).

Furthermore, as fully detailed in the EIR, the design of the proposed tower will not result in a substantial adverse change in the significant of the Aronson Building historical resource. As such, no mitigation measures are necessary to address historic resource impacts to the Aronson Building from the proposed tower portion of the project.

Mitigation Measure M-NO-2c: Vibration Monitoring and Management Plan, in the EIR address the potential for direct physical damage to the Aronson Building resulting from vibration during construction of the proposed project tower.

Mitigation measures have been adopted to reduce impacts to Cultural and Paleontological Resources, Noise, Air Quality, and Hazards and Hazardous Materials to a less than significant level. With the required mitigation measures, all potential project impacts, with the exception of identified significant impacts that cannot be avoided or reduced to a less-than-significant level as described below, will be avoided or reduced to a less-than-significant level.

The EIR identified that the proposed project's tower design would cause significant and unavoidable impacts related to Wind and Shadow. The Planning Commission certified the Final EIR for the project on March 21, 2013. All mitigation measures identified in the Final EIR are included in the Mitigation Monitoring and Reporting Program attached to the draft motion.

## **PLANNING DEPARTMENT RECOMMENDATION**

Planning Department staff recommends ADOPTION of CEQA findings and the MMRP and APPROVAL WITH CONDITIONS of the proposed project as it appears to meet the provisions of Article 11 of the Planning Code regarding Major Alteration to a Category I (Significant) Building and the *Secretary of the Interior Standards for Rehabilitation* with the following conditions:

### **Storefront**

- (1) Construction details of the proposed storefront and entrance doors that indicate all exterior profiles and dimensions shall be based on historic photograph documentation and shall and are subject to review and approval prior to the approval of the architectural addendum by the Department Preservation Staff.
- (2) All storefront finishes shall have a non-metallic powder coated or painted finish. All color and finish samples for storefronts will be submitted to Department Preservation Staff for review and approval as part of the architectural addendum.

### Entryway

- (3) The final design incorporating any historic fabric if discovered and, including shop drawings for the new contemporary arched opening proposed at the Mission Street shall be based on photographic or physical evidence and shall be included in the architectural addendum for review and approval by Department Preservation Staff.
- (4) All exterior materials and finish samples shall be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the approval of site permit or architectural addendum.

### Canopy

- (5) Final design, including finish and materials to match proposed storefronts, and shop drawings for the attachment details of the canopies at the Third Street entry and north façade shall be reviewed and approved by Department Preservation Staff prior to fabrication and prior to the architectural addendum.
- (6) Attachment details of the proposed canopies indicating that the canopies will be attached in a manner that will avoid damage to the historic fabric shall be submitted for review and approval by Department Preservation Staff prior to approval of the architectural addendum.

### Signage

- (7) The sign program for the Aronson Building, including lighting proposed, shall be submitted for review and approval by staff under a new (Minor) Permit to Alter at a later date.

### Existing Windows

- (8) The replacement windows for the non-historic windows on the Third and Mission Street elevations shall be wood windows that closely match the configuration, material, and all exterior profiles and dimensions of the historic windows based on historic photographic evidence.

### Exterior Repairs

- (9) Documentation indicating the results of a thorough façade inspection shall be submitted for review and approval by Department Preservation Staff. The façade inspection document shall clearly identify the extent of damage and the parts that will be repaired, replaced in kind or those that are damaged beyond repair, requiring replacement with substitute materials.

### Colusa Sandstone

- (10) Cleaning of the Colusa sandstone shall be conducted consistent with the masonry cleaning practice outlined in Preservation Brief 1 – Cleaning and Water-Repellent Treatments for Historic Masonry Buildings. The coating or paint type, color, and layering on the Colusa sandstone shall be researched before attempting its removal. Analysis of the nature of any unsound materials or paint to be removed from the sandstone shall be submitted to Department Preservation Staff for review and approval. In addition, initial testing shall be done on a small obscure location on the façade. All existing coatings shall be removed from the sandstone by gentlest means possible. A mock-up of proposed coating shall be conducted prior to selection of a product to ensure that coating shall not alter the natural finish, color or texture of the stone.

Terra Cotta

- (11) Cleaning of the terra cotta shall be conducted consistent with the masonry cleaning practice outlined in Preservation Brief 1 – Cleaning and Water-Repellent Treatments for Historic Masonry Buildings, which include but are not limited to, exercising extreme care in the cleaning of brick and conducting mock-ups to ensure no damage will occur as a result of cleaning. In addition, cleaning of the terra cotta shall proceed with the gentlest means, which may require several mock-ups prior to selection of the proper techniques as determined by a qualified preservation architect.

Architectural Cast Iron

- (12) All proposed replacement of missing elements within the architectural features shall be in kind. Only in instances where entire features are missing (e.g. scroll capitals along Third Street) shall be replaced with substitute material after review and approval by Department Preservation Staff.

Exterior Paint

- (13) Prior to application of the exterior paint finish on the cast iron, a paint analysis shall be performed on representative samples after proper cleaning of the existing materials for review and approval by Department Preservation Staff.

Sheet Metal

- (14) Substitute materials shall not be used to repair the existing cornice or replace missing cornice details and instead shall be replaced in-kind.

Substitute Materials

- (15) A mock-up of any replacement material proposed shall be reviewed and approved by Department Preservation Staff prior to installation.
- (16) Specifications and shop drawings for all replacement of the exterior materials on the Aronson Building shall be included in the architectural addendum for review and approval by Department Preservation Staff.
- (17) The replacement material shall closely match the characteristics of the historic material. The shop drawings for any replacement material proposed shall be included in the architectural addendum and are subject to review and approval by Department Preservation Staff to ensure that the replacement features, if applicable, closely match all exterior profiles, dimensions, and detailing of the historic features as well as match the color, tone, and texture from a representative range of cleaned samples from the building
- (18) Prior to the production of the building features proposed to be replaced with substitute materials and the approval of the architectural addendum, Department Preservation Staff shall review site mock-ups of the replacement materials, including a mock-up of all exterior finish.

New Window Openings

- (19) The frames and finishes of the new windows proposed on the upper floors of the north façade shall match those proposed for the storefronts along the Third and Mission Street facades as well as the storefronts on the north façade.

**Rooftop Addition**

- (20) Final design, including details and finish material samples of the proposed solarium and glass railing/windscreen on the roof shall be reviewed and approved by Department Preservation Staff.

**ATTACHMENTS**

- A. Draft Motion with attached CEQA Findings and Mitigation Monitoring and Reporting Program
- B. Parcel Map
- C. Sanborn Map
- D. Aerial Photo
- E. Zoning Map
- F. Site Photos
- G. Architectural Review Committee Letter
- H. Major Permit to Alter Application and Plans
- I. Historic Structure Report, prepared by Page & Turnbull (December 2010)
- J. Memo from Page & Turnbull dated February 14, 2013 (revised 2/22/13)
- K. Link to Final Environmental Impact Report <http://www.sf-planning.org/index.aspx?page=1828>

*LY: G:\Documents\PTA\706 Mission St\2008.1084H - 706 Mission St.docx*

deg. Clark  
305-11 Electronically  
COB, ~~CPA~~

COXCASTLE NICHOLSON

Cox, Castle & Nicholson LLP  
555 California Street, 10<sup>th</sup> Floor  
San Francisco, California 94104-1513  
P 415.262.5100 F 415.262.5109  
File 130664

July 15, 2013

Margo N. Bradish  
415.262.5101  
mbradish@coxcastle.com

BY PERSONAL DELIVERY AND EMAIL

Board President David Chiu and Members of the Board of Supervisors File No. 56238  
c/o Ms. Angela Calvillo  
Clerk of the Board of Supervisors  
City & County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 15 PM 3:55  
AK

Re: Project Sponsor's Response to Further Argument Related to Appeal of Historic Preservation Commission Motion No. 0197

Dear President Chiu and Supervisors:

We write on behalf of 706 Mission Street Co. LLC ("Project Sponsor") in response to the July 1, 2013, letter ("Supplemental Appeal Letter") submitted by 765 Market Street Residential Owner's Association, Friends of Yerba Buena, Paul Sedway, Ron Wornick, Matthew Schoenberg, Joe Fang, and Margaret Collins (collectively, "Appellants") regarding further arguments related to their appeal of the unanimous May 15, 2013, Historic Preservation Commission (the "HPC") Article 11 Determination; Motion No. 0197 (the "Appeal"). The Appeal pertains to the Major Permit to Alter for 706 Mission Street – The Mexican Museum and Residential Tower Project ("Project").

Appellants submitted a letter dated May 15, 2013 (the "Initial Appeal Letter"), in support of the Appeal. Both the Planning Department of the City & County of San Francisco (the "Department") and the Project Sponsor responded to the Initial Appeal Letter in their respective July 1, 2013 responses to the Appeal, which are incorporated herein by this reference. The Supplemental Appeal Letter is almost entirely a restatement of the Initial Appeal Letter. To avoid repetition, this letter responds only to new arguments raised in the Supplemental Appeal Letter. For the reasons detailed below, Appellants' additional arguments also lack merit. We respectfully request that the Board deny the Appeal and uphold the HPC's unanimous approval of Motion No. 0197 and the Major Permit to Alter for the Project.

I. Summary

Appellants' new arguments raised in the Supplemental Appeal Letter are meritless. The HPC properly found that the tower is compatible with the Aronson Building and the New Montgomery-Mission-Second Street Conservation District. The HPC made specific findings pursuant to each of the requirements in Article 11 regarding compatibility in terms of massing, scale, materials and colors, and detailing and ornamentation. Further, the tower's compatibility in scale with other buildings outside and non-contributory building within the NMMS District is directly relevant to whether the tower is compatible with the overall scale and design of the NMMS District.

Document is available  
at the Clerk's Office  
Room 244, City Hall

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**From:** Chris Daly [chris.daly@seiu1021.org]  
**Sent:** Tuesday, July 16, 2013 10:06 AM  
**To:** Board of Supervisors; Chiu, David  
**Cc:** Mar, Eric (BOS); Farrell, Mark; Tang, Katy; Breed, London; Kim, Jane; Yee, Norman (BOS); Wiener, Scott; Campos, David; Cohen, Malia; Avalos, John *Yang, Victor*  
**Subject:** SEIU Local 1021 on Kaiser Rate  
**Attachments:** SEIU 1021 on Kaiser Rate.pdf

July 16, 2013

To: San Francisco Board of Supervisors  
Re: 2014 Kaiser Rates

SEIU Local 1021 appreciates the scrutiny that Kaiser's proposed rate increase has received from the San Francisco Health Services System ("HSS") and the Budget and Finance Committee of the Board of Supervisors. We call on the Board of Supervisors to continue to bring pressure on Kaiser to ensure a fair rate and increased transparency.

Kaiser's 2014 proposed premium includes a 5.25% increase, or an additional \$15 million, even though members are healthier and using fewer services. For months, Kaiser has refused to justify the increase, leading HSS to analyze Kaiser renewal pricing, utilization, and costs over the past seven years.

HSS found that we paid \$87 million more to Kaiser than it cost Kaiser to provide care for HSS members between 2010 and 2012. This amounts to a 13% profit margin, far in excess of Blue Shield's 2% profit pledge.

Here are the undisputed facts:

- HSS members' utilization of inpatient, outpatient, and pharmacy services at Kaiser has either slowed or decreased, but the unit cost charged for services has increased sharply from 2007 to 2014. For example, while inpatient utilization declined by 36% over seven years, Per Member Per Month inpatient costs increased by 19%.
- Kaiser's 2014 premium includes additional fees for unreported and unverifiable "Integrated Care Management" (ICM) and "Other Medical Services" (OMS). HSS is therefore unable to validate the services associated with fees that account for 14% of the 2014 premium.
- In one year, ICM and OMS fees rose from \$49 to \$71 (+40%) for employees and from \$49 to \$106 (+115%) for early retirees. The increase in these fees alone adds \$14.9 million to the 2014 premium. In total, these fees for unreported services are \$39.2 million of the 2014 premium. Kaiser admits these are new charges, not new services.
- The 2014 premium includes \$41.5 million to cover Kaiser's "projected costs" based on trend data and other information that Kaiser calls "proprietary" and refuses to disclose. Kaiser reports that the annual trend applied to HSS renewals, ranging from 6.21% to 12.67% over the past seven years, is based on desired revenue targets.
- Kaiser's self-reported profits are \$2 billion annually over the past four years. These profits are calculated after accounting for the costs of community benefits, facilities expansion, and infrastructure upgrades.
- HSS would have saved \$84 million in active employee premiums between 2007 and 2012 if Kaiser profits were capped at 4 percent and ICM and OMs costs capped at 10% of the total premium.



Health care is a seller's market. We cannot do without health care services even if we can't afford them. While Kaiser has thrived under the cover of "proprietary," the City has had to cut back on services in a recession caused by Wall Street profiteering. City employees have given up wage increases and are paying more and more their benefits.

Kaiser's refusal to negotiate and to disclose information repeatedly requested by HSS is intolerable. If Kaiser can make a 13% profit from doing business with HSS, shield 29% of the 2014 premium from HSS scrutiny, increase fees in response to declining utilization, then what's to stop other commercial health plans and providers from adopting these same practices?

HSS is to be commended for putting the facts on the table for public consideration and for aggressive and successful efforts over the past four years to improve the quality, efficiency, and affordability of health care services for city beneficiaries.

Kaiser, however, has remained intransigent on its 2014 premium demand, adopting a "take it or leave it position." We believe the Board of Supervisors should engage Kaiser and the Health Service System to ensure:

1. Kaiser rebates \$11 million of the 2014 premium million to HSS;
2. Kaiser fully reports the utilization and cost of medical services and discloses the trend data and other information that it uses internally to project its costs
3. SEIU has a seat at the table in future HSS negotiations with Kaiser and other contracted health plans;
4. Kaiser withdraws its opposition to SB 746 establishing transparency.

Thank you very much for your attention to this matter.

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Zimmerman trial

From: Nancy Rossman [mailto:nancyrossman@sbcglobal.net]  
Sent: Monday, July 15, 2013 5:29 PM  
To: Board of Supervisors  
Subject: Zimmerman trial

San Francisco is a city that protects the civil rights of its citizens. Now we, as a city must go on line to implore that Department of Justice of the United States to protect the civil rights of all its citizens. The civil rights of equal justice for all was absent in the delayed arrest of Zimmerman, in the prosecutor's lame case, the jury selection and the final verdict. Please add San Francisco to the cities requesting this case be reviewed on a civil rights basis. Thank you for your attention. Nancy Rossman

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** Letter to Each Supervisor re Library Budget  
**Attachments:** pw-LettertoSupervisors-re-Library-Budget-Reserve-Request--7-15-13.doc

-----Original Message-----

From: Library Users Association [mailto:libraryusers2004@yahoo.com]  
Sent: Monday, July 15, 2013 7:59 PM  
To: Board of Supervisors  
Subject: Letter to Each Supervisor re Library Budget

Please distribute attached document to each Supervisor.

Thank you.

Peter Warfield  
Executive Director  
Library Users Association  
415/7 5 3 - 2 1 8 0

# Library Users Association

P.O. Box 170544, San Francisco, CA 94117-0544

Tel./Fax (415) 753-2180

July 15, 2013

Each Supervisor, and  
Clerk of the Board  
Board of Supervisors  
City Hall  
San Francisco, CA

Subject: **Requesting You Place on Reserve the Library's \$1 Million Funding for Hours Changes**

Dear Supervisors:

Library Users Association requests the Board of Supervisors place on reserve the approximately \$1 million of S.F. Public Library's \$100 million budget for FY 2013-2014 – specifically the portion that has been allocated for library hours changes – because those changes have not been fully, fairly, and frankly discussed with the public, the Library Commission, and the Board of Supervisors.

We ask that you require the library to do the following:

**1. Make no cuts to evening or weekend open hours.** These hours currently represent less than half of the library's open hours, and are consistently requested for increase by the public.

The library's initial plan was to eliminate every open hour after 8pm – at 18 branches, all 42 evening sessions per week open til 9pm. After Library Users Association made public this attack on accessibility for working people, students, and others, the library reduced the cuts, but the current plan still reduces existing weekend and evening (8-9pm) time as follows:

- a. Reduces two evenings from 9pm to 8pm at Marina Branch
- b. Eliminates one of two evenings per week, from 6-9pm, at Noe Valley Branch
- c. Eliminates popular morning hours on Saturdays at Park Branch (opening at noon instead of 10am)

**2. Actually add BOTH evening and weekend open hours.**

**The library has not added a single evening hour to any branch open after 8pm. Neither has it added a single day of the week to the schedule of days when any location is open until 9pm.**

In other words, no consideration appears to have been given to those who interpret “more evenings” or “more evening hours” as meaning either of these or both:

- “I’d like the library to be open until 10pm or later, at least some of the time,” and/or
- “I’d like the library to provide more evenings during the week when my branch/the Main Library/any branch is open.”

**3. Increase evening open hours at the Main Library.** Do this by (a) closing later than 8pm, and (b) adding one or more evenings per week open after 6pm to the current skimpy three per week. **The Main Library is currently open only three evenings after 6pm, and only until 8pm.**

We note that the so-called “*Benchmark Comparisons to Select Urban Library Systems*” presented to the Library Commission at its meeting June 6, 2013 shows SFPL doing poorly compared to the comparison libraries. **Most of these comparison libraries provide more evenings per week and later evening closures (typically 9pm) than San Francisco – even though they spend far less per person per year.**

At a minimum, require the library administration to explain why it will not increase evening hours at the Main Library, and **insist that City Librarian Luis Herrera provide cost figures for later hours and added evenings.**

At the Budget and Finance Committee meeting June 19, Mr. Herrera was asked about increasing Main Library hours. He replied that, “In a perfect world” that might be possible, and he would not rule out adding hours at the Main in the future.

Well, how perfect does it have to get? SFPL spends the second-highest amount per capita of 87 North American libraries serving 500,000 or more people, and its \$100 per capita is more than double the average. Additionally, SFPL is getting a FY 2013-14 budget increase of \$8.5 million (9%) to \$100 million – yet is only spending a fraction on what should be a priority.

**4. Stop the reduction of days when evening service is available.** The library’s plan shifts open evenings so that there are **no locations open Mondays after 6pm.** Instead, those open evenings have been shifted to another day. As a result, patrons who could go to a library location open until 9pm FOUR days per week (Monday through Thursday) would, under the new regime, be able to go only on THREE days, Tuesday through Thursday.

**5. Explain why only \$1 million or less of an \$8.5 million budget increase (9% increase to \$100M) is going for added open hours** – and why the Books budget and Children and Teen Periodicals budget are frozen.

We note that the Library Commission and public were told in February that the Library budget would increase by some \$3 million. At that time, \$1 million for more hours seemed a reasonable amount – but the amount was approved for 56 hours – not 36 as is now the case under the library’s revised June 20 plan. In June, we learned from the Legislative Analyst that the library’s expected budget increase is triple what was discussed in February – but the Library Commission never reconsidered priorities or approved how to spend the additional money.

**The library has systematically kept the public in the dark about its plans.** It has provided no information about proposed changes, for posting to the public at branches or the Main. The library publication, “At the Library” reported nothing in June or July about the original May 16 hours plan or the June 20 revision. The publicatation did, however, report on the addition of three days to open time at three branches – without saying that they were previously funded and were unrelated to any recent discussions of system-wide hours . The library administration has spoken publicly about additional hours, but never mentioned or even acknowledged that there would be evening and weekend cuts. And when asked at Budget and Finance June 19 about evening hours cuts, City Librarian Luis Herrera answered that there are many evenings open after 8pm – as though that was something new – instead of acknowledging the planned cuts.

**In conclusion: We ask you to put the funding for library hours changes on reserve – so that there can be a full public explanation and discussion – and require** of the Library not just that there be no cuts to existing evening or weekend hours, but that there be **real additions to evenings and weekends – including at the Main Library.**

Sincerely yours,

Peter Warfield  
Executive Director, Library Users Association, and  
Former member and co-chair, Supervisor-created  
Library Citizens Advisory Committee (LCAC)

Janis Seeman  
Librarian (retired),  
and Former member, LCAC

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**To:** BOS-Supervisors  
**Subject:** HRC Ltr to Mayor Lee and Board of Supervisors re DACA Day 9-4-13  
**Attachments:** 7-15-13 HRC Ltr to Mayor Lee & BOS re DACA Day for 9-4-13.pdf

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**From:** Cowan, Sheryl  
**Sent:** Tuesday, July 16, 2013 12:41 PM  
**To:** Calvillo, Angela  
**Cc:** pmongerodriguez@gmail.com; Michael Sweet (msweet@foxrothschild.com); Nevin, Peggy  
**Subject:** HRC Ltr to Mayor Lee and Board of Supervisors re DACA Day 9-4-13

Hello Ms. Calvillo:

Please find attached a letter written to Mayor Lee and the San Francisco Board of Supervisors, signed by SF Human Rights Commission Chair Michael Sweet, respectfully requesting that September 4, 2013 be declared "DACA Day" (Deferred Action for Childhood Arrivals Day).

Sheryl E. Cowan  
Commission Secretary & Executive Assistant to the Director  
Human Rights Commission  
25 Van Ness, 8<sup>th</sup> Floor  
San Francisco, CA 94102  
(415) 252-2506  
[Sheryl.Cowan@sfgov.org](mailto:Sheryl.Cowan@sfgov.org)  
[www.sf-hrc.org](http://www.sf-hrc.org)

City and County of San Francisco  
**HUMAN RIGHTS COMMISSION**

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Theresa Sparks  
Executive Director

Edwin M. Lee  
Mayor

**COMMISSIONERS** July 15, 2013

Michael Sweet  
*Chair*

Honorable Mayor Edwin M. Lee  
City Hall, Room 201  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Douglas Chan  
*Vice Chair*

Susan Christian  
Sheryl Evans Davis  
Mark Kelleher  
Faye Woo Lee  
Todd Mavis  
Nazly Mohajer  
Michael Pappas  
Richard Pio Roda

Members of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Honorable Mayor Lee and Members of the Board of Supervisors:

This year the San Francisco Human Rights Commission - Equity Advisory Committee, one of two citizen advisory committees charged with providing advice and assistance to the Commission, has prioritized supporting eligible undocumented youth and young adults in applying for the Deferred Action for Childhood Arrivals ("DACA") Program as one of its main issue focuses.

On June 15, 2012, the Obama Administration via the Department of Homeland Security announced the implementation of Deferred Action for Childhood Arrivals, which officially began on August 15, 2012. This program offers access to work permits and specific protections from deportations to immigrants who were brought to the United States as children and who meet other specific requirements as indicated by the U.S. Citizenship and Immigration Services (USCIS). Some of the requisites for completing an application include providing proof of enrollment in school, graduation from high school or completion of a general education development certificate ("GED"), entrance to the country prior to 16-years of age, residency in the country for a continuous 5-years prior to June 15, 2012, and having been present in the country on June 15, 2012.

To apply for Deferred Action, there are multiple lengthy forms that are necessary to fill out which also require \$465.00 in application fees. "Notarios" (fraudulent immigrant consultants) operating in Spanish-speaking communities and hiding under the guise of travel agencies, translation services and other businesses have been offering to help undocumented immigrants navigate the process of applying for relief under the President's program, but can charge hefty fees for services that are unnecessary or that they are not legally authorized to provide.

Last year, the San Francisco Office of Civic Engagement and Immigrant Affairs ("OCEIA") and the Department of Children, Youth, & Their Families ("DCYF") adopted a memorandum of understanding ("MOU") agreeing to work collaboratively to inform and assist the City's potential applicants for Deferred Action for Childhood Arrivals.





This MOU established a grants program administered by OCEIA to fund community-based organizations to provide legal services, culturally and linguistically competent application assistance, outreach and education, and other services to eligible applicants for DACA.

Despite initial successes in recruiting and serving significant numbers of eligible applicants, many of these legal service providers have recently expressed a growing underutilization of their services from potential applicants.

Over the last six months, the San Francisco Human Rights Commission ("SF HRC") Equity Advisory Committee, the San Francisco Youth Commission and the Office of Civic Engagement and Immigrant Affairs have been working with the San Francisco Unified School District ("SFUSD") to support with publicizing and connecting eligible SFUSD students with the grant-funded legal services and application assistance services for DACA.

As a result of the efforts from this partnership, the San Francisco Unified School District has developed a centralized online platform that streamlines the process for San Francisco youth and young adults who are applying for the DACA program. Additionally, these organizations successfully persuaded SFUSD to waive all fees associated with obtaining official documentation for Deferred Action in light of the \$465.00 in application fees already required by USCIS.

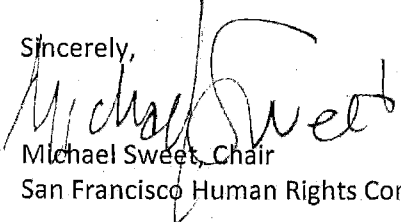
Furthermore, this spring the San Francisco Board of Supervisors, the San Francisco Immigrant Rights Commission, and the San Francisco Youth Commission, each respectively passed a mirrored resolution urging the San Francisco Unified School District to coordinate efforts with City departments to support greater student and youth participation in the DACA program.

To assist more DACA eligible youth and young adults in applying to the federal program, the SF HRC - Equity Advisory Committee, the Office of Civic Engagement and Immigrant Affairs and the San Francisco Youth Commission are currently working to encourage that Mayor Edwin Lee and the San Francisco Board of Supervisors proclaim a day at the beginning of the academic school year as "DACA Day" in celebration of the one-year anniversary of the DACA program. "DACA Day" would comprise a press event to bring attention to the program and to advertise the free legal services being offered by the City. Additionally, using volunteers from the legal service provider agencies organized by the Office of Civic Engagement and Immigrant Affairs with the support of the HRC - Equity Advisory Committee and the San Francisco Youth Commission "DACA Day" would sponsor DACA eligibility screenings at targeted SFUSD and City College school sites throughout the City.

By unanimous vote of Commissioners present at the July 11, 2013 meeting, this letter respectfully serves to express the Human Right's Commissions formal support of aforementioned organizations' efforts to encourage that Mayor Edwin Lee and Members of the Board of Supervisors recognize a "DACA Day," at the beginning of the academic school year, on September 4, 2013.

We gratefully thank you for your consideration. If there are any questions or concerns, please contact Ms. Zoe Polk at the SF HRC by email [zoe.polk@sfgov.org](mailto:zoe.polk@sfgov.org) or by phone to 415-252-2517.

Sincerely,



Michael Sweet, Chair

San Francisco Human Rights Commission

---

**From:** Board of Supervisors  
**To:** Young, Victor  
**Subject:** File: 130537: Keep the Arboretum Fee - Free for EVERYONE!

---

**From:** Golden Gate Park Preservation Alliance [mailto:ggppa@earthlink.net]  
**Sent:** Tuesday, July 16, 2013 2:11 PM  
**To:** Board of Supervisors; Campos, David; Chiu, David; Mar, Eric (BOS); Avalos, John; Yee, Norman (BOS); Kim, Jane; Cohen, Malia; Farrell, Mark; Wiener, Scott  
**Subject:** Keep the Arboretum Fee - Free for EVERYONE!

Dear Supervisors,

Please vote to remove all fees from the San Francisco Arboretum. This is a policy of exclusion that is not worthy of San Francisco. Where the gardens used to be a joyous place for everyone to visit, they have now become what many call the Ghost Garden.

I visited the Arboretum for many years, and it was always a joy to be able to walk in without showing ID or otherwise being hassled. In recent years, with the fee, I have seen many people turn away from the gates in disappointment at the charges or not have their ID with them. In addition, the hours are so short, that most San Franciscans - and visitors - cannot enjoy the gardens into the evening, as they used to. How can someone who has a job come to the gardens if the gates are locked early?

How can people who live across the city make it in to see the garden in the evening, with the gates locked? There is an environmental justice component to this decision that is not being carefully considered.

The gardens are now open some evenings to members of the Arboretum Society only -- how is this not privatization?

Having a free arboretum is also an inspiration for young folks, to explore, read the plant labels, and learn about various plant communities on their own time. School tours may be available, but the best way to learn is for young folks to learn on their own, exploring as they go.

These fees are the first step towards privatizing this garden. Fees for San Franciscans will be the next step, as happened with the Tea Garden. Just as many of our clubhouses have been privatized, the City will move on to charging for Golden Gate Park and for neighborhood parks.

You can stop this privatization of our parks now -- please -- no fees.

Thank you for your consideration.

Katherine Howard, ASLA  
Golden Gate Park Preservation Alliance

Member, Board of Supervisors  
District 8



Orig: LU Clerk  
c: COB, Leg. Dep, cpage  
City and County of San Francisco

**SCOTT WIENER**  
威善高

DATE: July 16, 2013  
TO: Angela Calvillo  
Clerk of the Board of Supervisors  
FROM: Supervisor Scott Wiener  
Chairperson  
RE: Land Use and Economic Development Committee  
COMMITTEE REPORTS

Handwritten signature of Scott Wiener, consisting of the letters "SW" in a stylized, cursive font.

RECEIVED  
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SAN FRANCISCO  
2013 JUL 16 PM 2:22

Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matters are of an urgent nature and request they be considered by the full Board on July 23, 2013, as Committee Reports:

**130186 Environment Code - Repealing Yellow Pages Distribution Pilot Program**

Ordinance amending the Environment Code by repealing Yellow Pages Distribution Pilot Program.

**130570 Planning Code, Zoning Map - Yerba Buena Center Mixed-Use Special Use District**

Ordinance amending the Planning Code and Zoning Map, by adding Section 249.71, to create the Yerba Buena Center Mixed-Use Special Use District (SUD) located at 706 Mission Street, Assessor's Block No. 3706, Lot No. 093 and portions of Lot No. 277, to facilitate the development of the 706 Mission Street, The Mexican Museum and Residential Tower Project, by modifying specific Planning Code regulations related to permitted uses, the provision of a cultural/museum use within the SUD, floor area ratio limitations, dwelling unit exposure, height of rooftop equipment, bulk limitations, and curb cut locations; amending the Zoning Map to add the SUD and increase the height of property in the SUD from 400 feet to 480 feet; and making environmental findings and findings of consistency with the General Plan.

**130744 Police Code - Aerial Signs and Advertising**

Ordinance amending the Police Code to prohibit the use of aircraft, self-propelled, or buoyant objects to display any sign or advertising device in the airspace over the City and County of San Francisco; and making environmental findings.

These matters will be heard in the Land Use and Economic Development Committee on July 22, 2013, at 1:30 p.m.

Member, Board of Supervisors  
District 8




Orig: LU Clerk  
c: COB, Leg Dep, Cpage  
City and County of San Francisco

**SCOTT WIENER**  
威善高

---

DATE: July 16, 2013  
TO: Angela Calvillo  
Clerk of the Board of Supervisors  
FROM: Supervisor Scott Wiener  
Chairperson  
RE: Land Use and Economic Development Committee  
COMMITTEE REPORT



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 17 AM 9:40  
all

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Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on July 23, 2013, as a Committee Report:

**130459 Planning Code - Mission Alcoholic Beverage Special Use District and  
Valencia Street Neighborhood Commercial Transit District**

Ordinance amending the Planning Code, Mission Alcoholic Beverage Special Use District controls, to allow the transfer of liquor licenses and relocation of uses under specified circumstances, to restrict the sale of alcohol for off-site consumption, and to exempt from the controls grocery stores and certain institutional, arts, and other uses; establishing operating conditions for certain establishments with a liquor license; amending the Valencia Street Neighborhood Commercial Transit District controls to restrict the conversion of existing ground floor retail uses to restaurants; and making environmental findings, Planning Code, Section 302, findings, and findings of consistency with the General Plan and the priority policies of Planning Code, Section 101.1.

This matter will be heard in the Land Use and Economic Development Committee on July 22, 2013, at 1:30 p.m.

July 16, 2013

TO: STATE, CITY AND LOCAL OFFICIALS

**NOTICE: PACIFIC GAS AND ELECTRIC COMPANY APPLIES TO RECOVER COSTS FOR HERCULES MUNICIPAL UTILITY ACQUISITION (A.13-07-001)**

Bos-11  
cpa ge

On July 1, 2013, Pacific Gas and Electric Company (PG&E) submitted an application to the California Public Utilities Commission (CPUC) to collect \$6.4 million from PG&E customers over the period 2014–16 to cover costs associated with PG&E's recent purchase of the Hercules Municipal Utility (HMU) assets. HMU is a municipal electric utility that has been owned and operated by the city of Hercules since March 2003. Once the purchase is complete, PG&E intends to provide electric service to all customers formerly served by HMU.

**How will PG&E's application affect me?**

PG&E's electric revenue requirements will increase by \$3.4 million in 2014; however, the purchase of HMU's assets is expected to generate \$1.6 million in benefits, reducing the net increase to \$1.8 million in the first year of the acquisition. Even without the expected benefits, this increase is less than one percent of PG&E's total revenues. Initially, system average bundled rates will increase 0.025 percent. This is expected to have a negligible impact on bills; a residential customer who uses 550kWh a month will see an increase of less than three cents a month (individual customer bills may vary).

**How do I find out more about PG&E's application?**

You can view PG&E's application and exhibits online at [pge.com/RegCases](http://pge.com/RegCases). Select "Hercules Municipal Utility" from the Cases dropdown menu.

If you have questions about PG&E's application, please contact PG&E at **1-800-743-5000**. For TDD/TTY (speech-hearing impaired), call **1-800-652-4712**.

Para más detalles llame al **1-800-660-6789** • (詳情請致電) **1-800-893-9555**

If you would like a copy of PG&E's application and exhibits, please write to PG&E at the address below:

Pacific Gas and Electric Company  
HMU Application  
P.O. Box 7442  
San Francisco, CA 94120

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 17 AM 11:50  
D

A copy of PG&E's application and exhibits are also available for review at the CPUC, 505 Van Ness Avenue, San Francisco, CA 94102, Monday–Friday, 8 a.m.–noon. PG&E's application (without exhibits) is available on the CPUC's website at [www.cpuc.ca.gov/puc](http://www.cpuc.ca.gov/puc).

**How does the CPUC's decision making process work?**

The application will be reviewed through the CPUC formal administrative law process. The application will be assigned to a CPUC Administrative Law Judge (ALJ). The ALJ presides over the proceeding, which develops a formal record that the ALJ relies upon in drafting a Decision to present to the five-member Commission. The CPUC's Division of Ratepayer Advocates (DRA) will review this application and participate in the proceeding. The DRA is an independent arm of the CPUC, which represents the interests of all utility customers. The DRA's views do not necessarily reflect those of the CPUC. Other parties of record may also participate.

Evidentiary hearings are often held in a proceeding to give parties of record an opportunity to present evidence or cross-examine witnesses. Members of the public may attend but not participate in these hearings.

After considering all proposals and evidence presented, the ALJ will issue a draft decision based upon the established record. When the CPUC acts on this application, it may adopt all or part of PG&E's request, amend or modify it or deny the application.

If you would like to follow this proceeding or any other issue before the CPUC, you may utilize the CPUC's free and confidential subscription service. Sign up at: <http://subscribecpuc.cpuc.ca.gov/fpss/>

If you would like to learn how you can participate in this proceeding, or if you have comments or questions, you may access the CPUC's Public Advisor's website at [www.cpuc.ca.gov/puc](http://www.cpuc.ca.gov/puc) and click on "Public Advisor" from the CPUC Information menu below. You can also:

**E-mail:** [public.advisor@cpuc.ca.gov](mailto:public.advisor@cpuc.ca.gov)  
**Mail:** Public Advisor's Office  
505 Van Ness Avenue, Room 2103  
San Francisco, CA 94102  
**Call:** 1-415-703-2074 or 1-866-849-8390 (toll-free)  
**TTY** 1-415-703-5282 or 1-866-836-7825 (toll-free)

If you are writing or emailing the Public Advisor's Office, please include the application number (A.13-07-001). All comments will be circulated to the Commissioners, the assigned ALJ and the CPUC staff.

Date/ Time: 2013-07-16 13:58:36.553

Service Request  
Number: 2607740

### Request for City Services

#### CUSTOMER CONTACT INFORMATION:

Name:

Phone:

Address:

Email:

#### DEPARTMENTS:

Department: \* **Board of Supervisors (BOS)**

Sub-Division:\* **Clerk of the Board**

#### PROPERTY ADDRESS:

Point of Interest:

Street Number:

Street Name:

Street Name 2:

City:

ZIP Code:

X coordinate:

Y coordinate:

Latitude:

Longitude:

CNN:

Unverified Address:

#### ADDITIONAL LOCATION INFORMATION:

Location Description:

(e.g. 600-block of Market St. or in front of Main Library entrance)

#### REQUEST DETAILS:

20

Nature of Request: \* **Request for Service**

**ADDITIONAL REQUEST DETAILS:**

Additional Request Details: \* **Why dont you consider annual adjustments for the Homeowners Exemption.I am a former Marine a native born and raise in SF**

**BACK**

**OFFICE USE \*\*\*\*\***

**Source**

**Agency Request**

**Number:**

**Responsible**

**Agency Request**

**Number:**

**Service**

**Request Work**

**Status:**

**Work**

**Status**

**Updated:**

**Media URL:**

Submit Cancel

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**From:** Board of Supervisors  
**To:** BOS-Supervisors; Young, Victor  
**Subject:** Support the Resolution slated for the July 23, 2013 BOS to Save Marcus Bookstores!

**From:** Ahimsa Porter Sumchai MD [mailto:ahimsaportersumchaimd@comcast.net]  
**Sent:** Wednesday, July 17, 2013 1:13 PM  
**To:** Board of Supervisors; Mar, Eric (DPH); asumchai@gmail.com  
**Cc:** Board Supervisors  
**Subject:** Support the Resolution slated for the July 23, 2013 BOS to Save Marcus Bookstores!

In 1970 I represented San Francisco at the White House Conference on Youth. That year I met Dr.'s Julian and Raye Richardson, owners of Marcus Bookstores. In 1971 Rich hired me to work behind the counter at Marcus Bookstores. It was my first job. I also served as a babysitter for Karen Johnson's daughter Tamiko. I am urging you with all my heart to support the resolution urging the Sweis' to re-sell the Victorian property that houses the nation's oldest Black bookstore to the Karen and Gregory Johnson in partnership with Westside Community Mental Health - an organization I served on the Board of Directors of in 1970.

Your support of this resolution will send a strong message to the Historic Preservation Commission that is meeting on August 21, 2013 to vote on a resolution designating Marcus Bookstores Historic Landmark Status. This is an idea I first proposed to my social network of over 5000 friends in response to the potential eviction. Supporters have taken the necessary steps to enact this important intervention that will confer greater city protection on the *property*.



Member, Board of Supervisors  
District 9



City and County of San Francisco

Orig: NSS Clerk  
C: COB, Leg Dep, cpage

DAVID CAMPOS

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DATE: July 18, 2013  
TO: Angela Calvillo  
Clerk of the Board of Supervisors  
FROM: Supervisor David Campos  
RE: Neighborhood Services and Safety Committee  
COMMITTEE REPORT

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RECEIVED  
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SAN FRANCISCO  
2013 JUL 17 PM 3:42  
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Pursuant to Board Rule 4.20, as Chair of the Neighborhood Services and Safety Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on July 30, 2013, as a Committee Report:

**130520 Liquor License Transfer - 810 Mission Street**

To be PREPARED IN COMMITTEE AS A RESOLUTION:

Resolution determining that the premise-to-premise transfer of a Type 21 off-sale general license from 810 Mission Street to 810 Mission Street (District 6), to Sylvain Montassier for TamerSF, Inc., dba SF Deli and Wine, will serve the public convenience or necessity of the City and County of San Francisco, in accordance with California Business and Professions Code, Section 23958.4, and recommending that the California Department of Alcoholic Beverage Control impose conditions on the issuance of the license.

This matter will be heard in the Neighborhood Services and Safety Committee Special Meeting on July 25, 2013, at 2:00 p.m.

22

Member, Board of Supervisors  
District 8



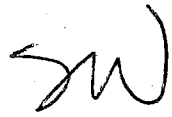
Orig: LU Comm Clerk  
COB, Leg Dep,  
cpage  
City and County of San Francisco

**SCOTT WIENER**  
威善高

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DATE: July 18, 2013

TO: Angela Calvillo  
Clerk of the Board of Supervisors

FROM: Supervisor Scott Wiener   
Chairperson

RE: Land Use and Economic Development Committee  
COMMITTEE REPORT

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Pursuant to Board Rule 4.20, as Chair of the Land Use and Economic Development Committee, I have deemed the following matter is of an urgent nature and request it be considered by the full Board on July 23, 2013, as a Committee Report:

**130464      Administrative Code - California Environmental Quality Act Procedures,  
Appeal of Exempt Project Modification**

Ordinance amending Administrative Code, Chapter 31, to provide for appeal to the Environmental Review Officer to reconsider a determination of the Environmental Review Officer that an exempt project modification does not require a new decision under the California Environmental Quality Act; and making environmental findings.

This matter will be heard in the Land Use and Economic Development Committee on July 22, 2013, at 1:30 p.m.

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2013 JUL 18 PM 12:39

23

orig: GAO Clerk, Chan  
Cohen  
C + SB clerk, Chair Kim  
Sup. Avalos, COB, Leg Dep  
cpage

President, District 3  
BOARD of SUPERVISORS



City Hall  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-7450  
Fax No. 554-7454  
TDD/TTY No. 544-5227

DAVID CHIU  
邱信福  
市參事會主席

PRESIDENTIAL ACTION

Date: 7/18/2013  
To: Angela Calvillo, Clerk of the Board of Supervisors

Madam Clerk,

Pursuant to Board Rules, I am hereby:

Waiving 30-Day Rule (Board Rule No. 3.23)  
File No. \_\_\_\_\_ (Primary Sponsor)

Transferring (Board Rule No. 3.3)  
File No. 130749 Avalos  
(Primary Sponsor)

From: City & School District Committee

To: Government Audit & Oversight Committee

Assigning Temporary Committee Appointment (Board Rule No. 3.1)

Supervisor \_\_\_\_\_

Replacing Supervisor \_\_\_\_\_

For: \_\_\_\_\_ Meeting  
(Date) (Committee)

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2013 JUL 18 PM 12:35

David Chiu  
David Chiu, President  
Board of Supervisors



Edwin M. Lee, Mayor  
Mohammed Nuru, Director

Deputy Director for Buildings  
30 Van Ness Avenue, 4th Floor  
San Francisco, CA 94102

(415) 557-4700 ■ www.sfdpw.org

Orig: Sup Avalos  
(directly)

BDS-10, File 130616(V4)



Cpage

Edgar Lopez, Deputy Director and City Architect

July 18, 2013

Supervisor John Avalos, District 11  
City Hall, 1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

RE: Requested information from July 17, 2013 Budget and Finance Sub-committee

Dear Supervisor Avalos,

At the July 17 Budget and Finance Sub-committee hearing (Item #16 – File No 130616 – *Alternative Bid Process to Award Contracts to Certain Core Trade Subcontractors to Perform Pre-Construction Design-Assist Services – Moscone Expansion Project*), you requested the status of Local Hire figures and Local Business Enterprise (LBE) participation for the Moscone Renovation and San Francisco General Hospital Rebuild Projects. These figures are listed below:

Moscone Renovation Project – \$38.5M construction (completed in May 2012).

LBE Goal 18%                      Achieved 28.6%  
32 LBE subcontracts awarded for \$11,057,343  
Local Hire achieved – 18.2%

San Francisco General Hospital Rebuild - \$690M construction (completion date April 2015)

LBE Goal 5%                      Currently tracking at 9%  
151 LBE subcontracts awarded to date for a value of \$62.8M  
Local Hire currently tracking at 25.7%  
126 CityBuild Academy graduates hired by contractors since October 2009

CMD will assign an overall goal for LBE participation prior to the anticipated start of construction in December 2014. The Moscone Expansion Project team will work diligently to meet or exceed these as well as all Local Hire goals for construction during the 42 month duration.

Sincerely,

Brook Mebrahtu,  
Project Manager  
Department of Public Works



CC: Angela Calvillo, Clerk of the Board

President David Chiu

Supervisor London Breed

Supervisor David Campos

Supervisor Malia Cohen

Supervisor Mark Farrell

Supervisor Jane Kim

Supervisor Eric Mar

Supervisor Katy Tang

Supervisor Scott Weiner

Supervisor Norman Yee

BOS-11, LU clerk  
Cpage

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2013 JUL 19 AM 11:03

File 130712



SAN FRANCISCO  
CHAMBER OF COMMERCE

July 19, 2013

The Honorable David Chiu  
President, San Francisco Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

RE: Table File #130712: Interim Zoning Controls – Formula Retail Uses on Market St. from 6<sup>th</sup> St. to Van Ness Avenue

Dear President Chiu;

The San Francisco Chamber of Commerce, representing over 1500 local businesses (both small businesses and formula retailers), has concerns with the resolution (File # 130712) coming before the Board of Supervisors Land Use Committee on July 22, 2013 to establish interim zoning controls that would require a Conditional Use Permit (CUP) for formula retail uses on Market Street between 6<sup>th</sup> Street and Van Ness Avenue.

The proposed requirement to pursue and win a CUP for formula retail comes when property owners in the Central Market area are populating their buildings with residential, office and retail uses. They need flexibility in order to fill the ground floor spaces with neighborhood-serving retail that activates the pedestrian environment and creates a thriving commercial hub in what has been an economically depressed urban center for decades. This requirement would create uncertainty and hardship for those property owners who have taken a giant leap of faith and invested enormous capital attempting to revitalize the condition of the Central Market corridor.

The resolution requires the permit applicant to "provide the Planning Department as part of its conditional use application a complete economic impact analysis of the proposed use, prepared by an independent licensed professional...", while at the same time stating that the interim controls, "will allow [the] City to examine the cost and benefits of allowing formula retail as this area is being promoted for business attraction and revitalization...". Requiring the permit applicant to carry out and pay for an economic analysis of the impacts of formula retail at the same time the City is carrying out its own analysis is unnecessary, duplicative and adds additional, potentially prohibitive burdens to the property owner.

Finally, the resolution states that the interim controls "are intended and designed to deal with and ameliorate the problems and conditions associated with the proliferation and high concentration of formula retail uses on Market Street from between 6<sup>th</sup> Street and Van Ness Avenue...". This does not recognize that a formula retailer is often an anchor in a commercial corridor, and its economic activity lifts neighboring, non-formula retailers to create a balanced, sustainable commercial environment. In fact, given the high number of storefront vacancies in the blocks between 6<sup>th</sup> Street and Van Ness

Avenue currently, certain formula retail uses may ameliorate the problems and conditions that have dominated the neighborhood for many years.

Given that the Planning Department, Office of Economic and Workforce Development and other city agencies are working with the mayor's office to begin study of formula retail use and its economic impact citywide, we feel the introduction of these interim controls are premature, and may hinder new development finally taking a foothold in the Central Market area. We urge the Board of Supervisors to table this resolution until a citywide study on formula retail has been completed.

Sincerely,

A handwritten signature in black ink, appearing to read "Jim Lazarus", with a long horizontal flourish extending to the right.

Jim Lazarus  
Senior Vice President for Public Policy

cc: BOS Clerk (Please Distribute to All Supervisors Prior to 7/22/13 Land Use Committee Mtg.); Mayor Ed Lee

BOS-11  
cpage

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2013 JUL 19 PM 2:34

MARTIN CHIN  
926 Rockdale Drive  
San Francisco, CA 94127-1725  
(415) 571-8166

July 18, 2013

File 130635

Ms. Angela Calvillo  
Clerk of the Board,  
Room 244, City Hall  
1 Dr. Carlton Goodlett Place  
San Francisco, CA 94102

RE: **Hearing on 07/23/2013 for Top of Broadway Community Benefit District**

Dear Ms. Calvillo:

I am the Owner of real property located at 1032-1034 Kearny Street, San Francisco, CA. The property's parcel number is: #0163-20. I am unable to attend to hearing scheduled on 7/23/2013 to consider the establishment of the Top of Broadway Community Benefit District ("CBD").

In lieu of attending the meeting, **I am writing to express my strong opposition of the Top of Broadway CBD, the proposed property-based assessment district.** My primary concern and objection is the additional assessment to property owners, in addition our current property tax assessment. The core services proposed under the CBD should be provided and funded with revenues collected under our regular property tax assessment. Additionally, I am afraid and anticipate mismanagement of the CBD that will result in revenues collected under the CBD assessments to be spent more on administration, organization, and corporate operations vs. proposed core services for the district.

I would appreciate your giving my opposition of establishment of the Top of Broadway CBD your utmost consideration. **I am NOT in favor of proposed Top of Broadway CBD.** Thank You.

Very truly yours,




Martin Chin



SUSANNE BEILICKE KELLY  
COUNSEL  
DIRECT DIAL (415) 995-5179  
DIRECT FAX (415) 995-3520  
E-MAIL skelly@hansonbridgett.com

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2013 JUL 19 PM 2:35

BY 



BOS-11  
COB, Leg Dep, leg clerk  
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File 130694

July 18, 2013

VIA U.S. MAIL AND EMAIL

Angela Calvillo  
Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, CA 94102-4689

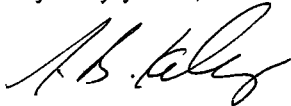
Re: Appeal of Exemption from Environmental Review  
3725 Jackson Street – Block 0989, Lot 025  
Building Permit No. 2012.0620.3070

Dear Clerk of the Board:

On behalf of the Appellant in the above-referenced Appeal, we hereby withdraw the Appeal. With this withdrawal, we do not intend to pursue the Appeal and as such, will not file any documentation with the Board or attend the hearing that has been set for July 30.

Please do not hesitate to contact us should you require any further information from us to complete this withdrawal.

Very truly yours,



Susanne B. Kelly

SBK:bxv

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** I just signed "Make fiber broadband a priority for San Francisco"

**From:** Kristi Kuhnen [mailto:mail@changemail.org]  
**Sent:** Monday, July 22, 2013 9:58 AM  
**To:** Board of Supervisors  
**Subject:** I just signed "Make fiber broadband a priority for San Francisco"

Dear San Francisco Board of Supervisors,

I just signed Dana S's petition "[Make fiber broadband a priority for San Francisco](#)" on Change.org.

As other cities embrace high-speed fiber broadband, San Francisco is getting left behind. Our city has underutilized public fiber and several local Internet Service Providers eager to deploy gigabit speed broadband to businesses and households, yet this is stymied by rules and regulations that have not kept pace with technology. Deployment of fiber and ultra-high speed broadband provides a unique opportunity to create innovation and new jobs, extend public access and develop valuable infrastructure that would serve our city for decades to come. I encourage you to develop policy to encourage fiber deployment and make ultra fast broadband a priority for San Francisco.

Sincerely,  
Kristi Kuhnen Mountain View, California

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There are now 20 signatures on this petition. Read reasons why people are signing, and respond to Dana S by clicking here:  
<http://www.change.org/petitions/make-fiber-broadband-a-priority-for-san-francisco?response=9272c59f571d>

---

**From:** Matt Rampone [mailto:changemail.org]  
**Sent:** Saturday, July 20, 2013 6:07 PM  
**To:** Board of Supervisors  
**Subject:** I just signed "Make fiber broadband a priority for San Francisco"

Dear San Francisco Board of Supervisors,

I just signed Dana S's petition "[Make fiber broadband a priority for San Francisco](#)" on Change.org.

As other cities embrace high-speed fiber broadband, San Francisco is getting left behind. Our city has underutilized public fiber and several local Internet Service Providers eager to deploy gigabit speed broadband to businesses and households, yet this is stymied by rules and regulations that have not kept pace with technology. Deployment of fiber and ultra-high speed broadband provides a unique opportunity to create innovation and new jobs, extend public access and develop valuable infrastructure that would serve our city for decades to come. I encourage you to develop policy to encourage fiber deployment and make ultra fast broadband a priority for San Francisco.

Sincerely,  
Matt Rampone San Francisco, California

---

There are now 19 signatures on this petition. Read reasons why people are signing, and respond to Dana S by clicking here:

<http://www.change.org/petitions/make-fiber-broadband-a-priority-for-san-francisco?response=9272c59f571d>



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**To:** BOS-Supervisors  
**Subject:** Entertainment Commission report for the Board of Supervisors  
**Attachments:** EHP report Q1 and Q2.pdf

**From:** Blackstone, Cammy  
**Sent:** Friday, July 19, 2013 04:57  
**To:** Calvillo, Angela  
**Cc:** Kane, Jocelyn  
**Subject:** Entertainment Commission report for the Board of Supervisors

Hi Angela,

This is the quarterly report on Extended Hours Premises permits that is to be submitted to you by 7/20. Feel free to call me if you have any questions or feedback.

Thanks,

Cammy Blackstone  
Deputy Director  
San Francisco Entertainment Commission  
415-554-7793 [www.sfgov.org/entertainment](http://www.sfgov.org/entertainment)



Entertainment Commission



Clerk of the Board  
San Francisco Board of Supervisors

July 19, 2013

As mandated in section 1070.35 of the Police Code, please find the Extended Hours Premises report from January 1 to June 30, 2013. There was a staff change at Entertainment Commission right between the first and second quarters, so this report covers January 1 through June 30, 2013.

**BACKGROUND**

Extended Hours Premises Quarterly Report

Ordinance #238-09 passed in November 2009. The Extended Hours Premises permits from the date of passage and prior total 76:

- 33 food establishments
- 26 nightclubs
- 2 adult entertainment
- 5 event spaces
- 3 music halls
- 1 billiard parlor
- 6 hotels

Since 2009, there have been 34 EHP permits issued. Below is a break out on permits by type and the annual increase in EHP permits by percentage.

year	number	venue type		increase
2010	3 permits issued	2 clubs	1 event space	4% increase
2011	5 permits issued	4 clubs	1 event space	6% increase
2012	16 permits issued	3 clubs	13 food	16% increase
2013	10 applicants as of 6/30/13	1 club	9 food	9% increase

## 2013 (Q1 & Q2)

During the first two quarters of 2013, ten establishments applied for Extended Hours Premises permits. Of those applications, eight permits were granted. One application was denied on a Planning Department issue and one venue closed before the application process was completed.

### 2013 Extended Hours Premises permit applicants

<u>venue</u>	<u>address</u>	<u>venue type</u>	<u>police district</u>
Hotel Nikko	222 Mason Street	nightclub/theater	Central
Paris Pizza	448 Broadway	food	Central
Pizza Royal	464 Broadway	food	Central
Milan Pizza	606 Geary	food	Central
J Tu Café* ( <i>closed</i> )	582 Sutter	food	Central
Pizelle di North Beach	314 Broadway	food	Central
Nizario's* ( <i>denied</i> )	379 Columbus	food	Central
Happy Donuts	2600 Bayshore	food	Ingleside
Lori's Diner	500 Sutter	food	Central
Lori's Diner	336 Mason	food	Tenderloin

### Summary of business and security plans and conditions

#### **HOTEL NIKKO**

**Business plan:** to present American Standard, Jazz, Broadway, R&B, Light Pop music and comedy 7 days a week in the showroom, banquets and other events with the ability to go past 2:00am.

**Security plan:** Hotel Nikko has a private in house security staff, all LEAD, NERT, TIPS, high rise and first-aid trained and certified. They have 24 hour security coverage, a minimum of three officers per shift, with 60 surveillance cameras throughout the hotel and perimeter.

**Conditions:** no additional conditions placed on the permit

#### **PARIS PIZZA**

**Business plan:** to serve pizza and sandwiches to people and workers leaving nightclubs.

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while MPC 1070 privilege is being exercised.

#### **PIZZA ROYAL**

**Business plan:** to serve pizza and sandwiches to people and workers leaving nightclubs.

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while

MPC 1070 privilege is being exercised.

#### **MILAN PIZZA**

**Business plan:** to serve pizza and sandwiches to tourists and people and workers leaving nightclubs.

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while MPC 1070 privilege is being exercised.

#### **PIZELLE DI NORTH BEACH**

**Business plan:** to serve pizza and sandwiches to people and workers leaving nightclubs.

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while MPC 1070 privilege is being exercised.

#### **HAPPY DONUTS**

**Business plan:** to serve coffee, donuts, sandwiches and pastries to the early risers.

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while MPC 1070 privilege is being exercised. No "A" frames on sidewalk for advertising purposes. Interior lighting shall be sufficient to to illuminate and make easily discernible the appearance of all persons on or about the premises.

#### **LORI'S DINER (both locations)**

**Business plan:** serve diner food 24 hours a day

**Security plan:** proposed using in house security

**Conditions:** In addition to abiding by the Good Neighbor Policy, permit holder shall install security camera to monitor premises and maintain footage for 30 days. Permit holder shall ensure no loitering within 100 feet of the restaurant. Permit holder shall have at least one security guard on premises while MPC 1070 privilege is being exercised.

I hope this information is helpful. Please let me know should you like any additional information.

Regards,



Cammy Blackstone, Deputy Director

San Francisco Entertainment Commission

BOARD of SUPERVISORS



City Hall  
Dr. Carlton B. Goodlett Place, Room 244  
San Francisco 94102-4689  
Tel. No. 554-5184  
FaNo No. 554-5163  
TDD/TTY No. 544-5227

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**MEMORANDUM**

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Date: July 23, 2013  
To: Board of Supervisors  
From: *AC* Angela Calvillo, Clerk of the Board  
Subject: Sole Source Contracts

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Sunshine Ordinance Section 67.24(e) requires that at the end of each fiscal year each City Department provide the Board of Supervisors with a list of all sole source contracts entered into during the past fiscal year.

Attached is the report on the sole source contracts for Fiscal Year 2012-2013.

The departments' responses are on file in Communications Page folders in the Clerk of the Board's Office and on the Board's website (Meeting Information – Communications).

Attachment

c: Ben Rosenfield, Controller



**Report from City Departments**  
**Sole Source Contracts - Fiscal Year 2012-2013**

Department	Communications Page Folder	
	Date	Item #
Adult Probation	7/9/13	2
Airport	7/23/13	17
Arts Commission	7/23/13	17
Asian Art Museum	7/9/13	2
Assessor-Recorder	7/23/13	17
Board of Appeals	7/9/13	2
Board of Supervisors	7/16/13	15
Building Inspection	7/23/13	17
Children, Youth & Their Families	7/30/13	31
City Administrator/General Services Agency	7/30/13	31
City Attorney	7/23/13	17
Civil Service	7/9/13	2
Controller	7/30/13	31
District Attorney	7/23/13	17
Economic & Workforce Development	7/23/13	17
Elections	7/23/13	17
Emergency Management	7/30/13	31
Environment	7/23/13	17
Ethics	7/9/13	2
Film Commission	7/9/13	2
Fine Arts Museums	7/30/13	31
Fire	7/30/13	31
Health Service System	7/30/13	31
Human Resources	7/30/13	31
Human Rights	7/9/13	2
Human Services	7/9/13	2
Juvenile Probation	7/9/13	2
Law Library	7/23/13	17
Mayor's Office	7/30/13	31
Mayor's Office of Community Development & Housing	7/30/13	31
Mayor's Office on Disability	7/9/13	2
Municipal Transportation Authority	7/9/13	2
Office of Citizen Complaints	7/9/13	2
Planning	7/9/13	2
Police	7/30/13	31
Port	7/23/13	17
Public Defender	7/30/13	31
Public Health	7/9/13	2
Public Library	7/23/13	17
Public Utilities Commission	7/23/13	17
Public Works	7/30/13	31
Recreation & Park	7/30/13	31
Residential Rent Stabilization & Arbitration (Rent Board)	7/16/13	15
Retirement	7/30/13	31
Sheriff	7/30/13	31
Small Business	7/30/13	31
Status of Women	7/23/13	17
Technology	7/30/13	31
Treasurer-Tax Collector	7/23/13	17
War Memorial & Performing Arts	7/23/13	17

July 30, 2013 – Communications Page

From the Clerk of the Board, the following departments have submitted their reports regarding Sole Source Contracts for FY2012-2013:

Controller's Office  
Dept. Children, Youth and their Families  
Dept. of Emergency Management  
Dept. of Public Works  
Dept. of Technology  
Fine Arts Museum  
Fire Dept.  
General Services Agency  
Health Service System  
Human Resources Dept.  
Mayor's Office  
Mayor's Office of Housing & Community Dev.  
Office of Small Business  
Police Dept.  
Recreation and Park Dept.  
Sheriff's Dept.  
Public Defender  
Retirement System

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**From:** Conover, Lily  
**Sent:** Tuesday, July 16, 2013 10:30 AM  
**To:** Board of Supervisors  
**Cc:** Rosenfield, Ben; Zmuda, Monique  
**Subject:** Sole Source Contracts for Fiscal Year 2012-2013  
**Attachments:** 2013 Sole Source Contracts Memo to BOS.doc

Clerk of the Board,

In accordance with Sunshine Ordinance Section 67.24(e), the Controller's office is providing the Board of Supervisors with a list of all sole source contracts entered into during fiscal year 12/13.

Please contact me at (415) 554-7525 or [lily.conover@sfgov.org](mailto:lily.conover@sfgov.org) if you have any questions.

Best Regards,

Lily Conover  
Contracts Manager  
Office of the Controller  
City and County of San Francisco  
(415) 554-7525  
[lily.conover@sfgov.org](mailto:lily.conover@sfgov.org)



## MEMORANDUM

**TO:** Clerk of the Board, Board of Supervisors

**FROM:** Ben Rosenfield, Controller

**DATE:** 7/16/13

**SUBJECT:** Sole Source Contract Reporting Requirement for FY 12/13

In accordance with Sunshine Ordinance Section 67.24 (e), the Controller's Office is submitting the following information.

Vendor Name	Service	Start Date	End Date	Amount	Reason
A C L SERVICES LTD	ACL Software Maintenance	1/1/2013	12/31/2013	\$ 2,640	Proprietary software
CANAUDIT INC	eMerge Network Penetration and Vulnerability Assessment	7/1/2012	12/31/2012	\$ 41,950	Only vendor that could provide services
CANAUDIT INC	Network Penetration & Vulnerability Assessment - DPH, HSA, MTA	2/1/2013	6/30/2013	\$ 251,795	Only vendor that could provide services
CANAUDIT INC	Network Security Training Services	10/22/2012	12/31/2012	\$ 20,750	Only vendor that could provide services
CARDON SOLUTIONS LLC	Executive Information System (EIS) Upgrade Services	8/1/2010	12/31/2013	\$ 50,000	Only vendor that could provide services
DOCULYNX INC	Hosting services for historical payroll reports	9/1/2012	8/31/2014	\$ 154,750	Only vendor that could provide services
JOBAPS INC	Professional services, software and support	8/7/2012	12/31/2012	\$ 50,000	Proprietary software and related services
JOBAPS INC	Professional services, software and support	1/1/2013	12/31/2013	\$ 197,103	Proprietary software and related services
ORACLE USA INC	Oracle Database License	5/13/2013	7/15/2014	\$ 425,696	Proprietary software
ORACLE USA INC	Oracle Software Training	10/1/2012	11/30/2012	\$ 32,093	Only vendor that could provide services
SJOBORG EVASHENK CONSULTING INC	Review of Overhead Rates for the Final Design Package of the Central Subway Project	8/15/2012	12/31/2013	\$ 0	6 month term extension only
SMART ERP SOLUTIONS, INC.	PeopleSoft Integration Software & Solutions	2/1/2013	1/15/2016	\$ 495,740	Proprietary software and related services
TIMELINK INTERNATIONAL CORP.	eMerge PeopleSoft HCM Implementation	6/3/2013	3/1/2014	\$ 66,000	Services related to proprietary software

Please contact Lily Conover at (415) 554-7525 if you have any questions.

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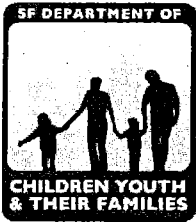
**From:** Emily Davis [edavis@dcyf.org]  
**Sent:** Monday, July 22, 2013 6:35 PM  
**To:** Nevin, Peggy; Laura Moye  
**Subject:** RE: Copy of current Cityspan contract  
**Attachments:** DCYF Sole Source Contracts FY2012-2013.pdf

Hi Peggy,

I attached a PDF detailing DCYF's sole source contract. Let me know if you need anything else.

**Emily Davis**

Executive Assistant  
Department of Children, Youth and Their Families (DCYF)  
City and County of San Francisco  
1390 Market Street, Suite 900  
San Francisco, CA 94102  
Tel. 415-554-8991  
Fax. 415-554-8965  
[www.dcyf.org](http://www.dcyf.org)  
Twitter: [@SF\\_DCYF](https://twitter.com/SF_DCYF)



**Edwin M. Lee**  
Mayor

**Maria Su**  
Director

MEMORANDUM

Date: July 23, 2013

To: Angela Calvillo  
Clerk of the Board, Board of Supervisors  
angela.calvillo@sfgov.org

From: Maria Su, Director

Subject: Sole Source Contracts for Fiscal Year 2012-2013

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Please find DCYF's only sole source contract for Fiscal Year 2013-2013 below:

Term	Vendor	Amount	Reason
7/1/2012 - 6/30/2013	City Span Technology	\$244,000	Software Maintainance

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**From:** Lee, William  
**Sent:** Wednesday, July 17, 2013 3:56 PM  
**To:** Board of Supervisors; Calvillo, Angela  
**Cc:** Nevin, Peggy; Kronenberg, Anne; Ebarle, David; Alden, Amiee; Monette-Shaw, Patrick  
**Subject:** FW: Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** DEM Sole Source Contracts Memo\_07-17-2013.pdf

Dear Ms. Calvillo:

On behalf of Director Kronenberg, I have attached a memo outlining DEM's response to your request for information concerning sole source contracts and the department's annual report.

My apologies for the delay in responding to your initial request.

Please let me know if you have any questions regarding this submission.

Thank you!

William T. Lee  
Deputy Director of Administration and Support  
Department of Emergency Management  
1011 Turk Street  
San Francisco, CA 94102  
Tel.: 415-558-3866  
Fax: 415-558-3841



**Department of Emergency Management**  
1011 Turk Street, San Francisco, CA 94102

Division of Emergency Communications  
Phone: (415) 558-3800 Fax: (415) 558-3843

Division of Emergency Services  
Phone: (415) 487-5000 Fax: (415) 487-5043



Edwin M. Lee  
Mayor

Anne Kronenberg  
Executive Director

**MEMORANDUM**

**TO:** Angela Calvillo  
Clerk of the Board  
Board of Supervisors

**FROM:** Anne Kronenberg *AK*  
*For* Executive Director  
Department of Emergency Management

**DATE:** July 17, 2013

**RE:** Sole Source Contracts and Annual Report for Fiscal Year 2012-2013

This memo addresses the Department of Emergency Management's (DEM) Sole Source Contracts and Annual Report for FY 2012-2013. In accordance with Sunshine Ordinance Section 67.24(e), we are providing the Board of Supervisors with a list of all existing sole source contracts as well as those that were added during the past fiscal year. The list of applicable contracts is as follows:

Doc ref. #	Term	Vendor	Amount	Reason
BPED13000006	3/7/13-3/19/14	Oracle America, Inc.	\$159,352.91	The City requires maintenance service on the PeopleSoft software so that updates and optimizations can be applied periodically to meet DEM requirements and CCSF directives. The maintenance includes telephone support, software updates, bug fixes, and configuration management assistance.
RQED13000001	7/1/12-6/30/13	Radio IP software Inc.	\$47,861.14	The City requires 24/7/365 maintenance service on this server because it provides a mission critical public safety function. The maintenance includes 24 hour telephone support, software updates, bug fixes, and configuration management assistance.
RQED13000003	7/6/12-7/9/13	NICE Systems Inc.	\$51,068.00	The City requires maintenance service on the NICE DVLR system so that updates and optimizations can be applied periodically to meet DEM requirements and CCSF directives. The maintenance includes telephone support, software updates, bug fixes, hardware/software support, and configuration management assistance.



Doc ref. #	Term	Vendor	Amount	Reason
RQED13000013	9/15/12-9/14/13	Stratus Technologies, Inc.	\$12,684.00	They City requires a 24/7/365 service agreement on this server because it provides a mission critical public safety function. The service agreement must include 24 hour monitoring with "call home" automatic error reporting, hardware replacement, firmware support, bug fix support, software updates, and Windows 2003 OS support.
RQED13000059		Motorola Solution Inc.	\$465,103.91	The current radio system that DEM has is 700MHz system installed by Motorola solution. It requires Motorola's Radio subscribers to communicate with it.
BPED13000010	1/1/13-12/31/14	FILLER SECURITY STRATEGIES INC	\$299,950.00	Provide strategy and effectiveness reports using guidance issued by the Department of Homeland Security (DHS), new risk and capabilities data, exercise and evaluation data, and feedback from regional stakeholders. The contractor will 1) pinpoint gaps in terrorism preparedness and assist in identifying funding priorities, and 2) demonstrate the effective use of investments to local, state, and federal policy makers.
POED13000052	2/26/2012	MOTOROLA SOLUTIONS INC	\$129,740.49	Purchase of proprietary radio communications equipment for P25 Project
POED13000067	5/28/13	MOTOROLA SOLUTIONS INC	\$26,643.75	Purchase of proprietary radio communications equipment for P25 Project
POHC13000033	10/22/12	LIFE TECHNOLOGIES CORPORATION	\$108,500.00	Purchase of laboratory equipment that identifies a broad spectrum of bacterial and fungal agents. The equipment determines DNA sequence of a suspect organism.
POHC13000052	1/22/13	SMITHS DETECTION-DANBURY	\$80,067.58	Purchase of HAZMAT ID 360 equipment used for advanced chemical detection.
POPC13000032	3/31/13	LYNCH DISPLAY VANS INC	\$884,162.60	Purchase of Mobile Command Vehicle.
POPC13000082	2/15/13	SMITHS DETECTION-DANBURY	\$55,000.00	Purchase of HAZMAT ID 360 equipment used for advanced chemical detection.
POPC13000084	2/19/13	MOTOROLA SOLUTIONS INC	\$38,829.84	Purchase of proprietary radio communications equipment for P25 Project.
POPC13000085	2/19/13	MOTOROLA SOLUTIONS INC	\$79,620.66	Purchase of proprietary radio communications equipment for P25 Project
POPC13000176	7/9/13	MOTOROLA SOLUTIONS INC	\$100,385.39	Purchase of proprietary radio communications equipment for P25 Project
BPHC13000067	1/1/13-11/30/13	HUGE MEDIA INC	\$20,000.00	Provide support of emergency preparedness website for SFDPH

Doc ref. #	Term	Vendor	Amount	Reason
BPED13000012	2/1/13-1/31/18	REMOTE SATELLITE SYSTEMS INTL INC	\$230,480.00	Upgrade critical satellite communications @ SFFD 870 Bush St, SFFD 698 2nd St, DPW 2323 Cesar Chavez St, and DEM 1011 Turk St.
POED13000011	9/06/2012	REMOTE SATELLITE SYSTEMS INTL INC	\$17,935.00	Install Satellite Communication Equipment for two emergency response vehicles
POED13000019	10/17/2012	CLEAR CHANNEL COMMUNICATIONS	\$15,140.00	Public Awareness Radio Campaign at local radio stations
POED13000022	10/26/2012	CLEAR CHANNEL OUTDOOR	\$30,000.00	Public Awareness Campaign at SF Digital Shelters
POPC13000042	12/21/2012	QINETIQ NA - TECHNOLOGY SOLUTIONS GROUP	\$121,730.49	Purchase of Small Unmanned Ground Vehicle for special operations
POPC13000053	12/12/2012	MOTOROLA SOLUTIONS INC	\$74,828.54	Purchase of proprietary radio communications equipment for P25 Project
POPC13000078	2/11/2013	BERKELEY NUCLEONICS	\$50,047.50	Purchase of HAZMAT Radiation Isotope Identifier used for advanced chemical detection.
POPC13000099	3/28/2013	MOTOROLA SOLUTIONS INC	\$65,346.10	Purchase of proprietary radio communications equipment for P25 Project
POSH13000007	9/15/2012	ENFORCEMENT TECHNOLOGY GROUP INC	\$25,497.49	Purchase of Crisis Response Throw Phone System
POSH13000043	7/10/2013	MOTOROLA SOLUTIONS INC	\$92,211.30	Purchase of proprietary radio communications equipment for P25 Project

Regarding the submission of an annual report, Charter Section 4.103 does not apply to DEM because this Department does not have a board or commission associated with the Department. With that said, DEM will ensure compliance with Administrative Code Section 8.16, whereby any official published documents relating to the functions of our Department will be provided to the San Francisco Public Library within 10 days from the date of publication.

If you have any questions regarding this request, please feel free to contact my Deputy Director of Administration and Support, William Lee, at 415-558-3866.

Thank you.

cc: William Lee, DEM Deputy Director of Administration and Support



Edwin M. Lee, Mayor  
Mohammed Nuru, Director



Date: July 17, 2013  
To: Board of Supervisors  
Attention Clerk of the Board  
From: Mohammed Nuru, Director  
Subject: Sole Source Contracts for Fiscal Year 2012/2013

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 18 PM 3:40  
AK

Pursuant to Section 67.24(e) of the Sunshine Ordinance, the San Francisco Department of Public Works (DPW) does not have any current sole source agreements in place, nor has DPW entered into any Sole Source Contracts for Fiscal Year 2012/2013.

In addition and in conformance with the requirements of Administrative Code Section 8.16, the San Francisco Department of Public Works has submitted two copies of this report to the San Francisco Public Library.

ec: Jocelyn Quintos, Business Services Division Manager  
Stacey Camillo, Division Manager, Contract Administration



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**From:** Galvis, Teresa  
**Sent:** Tuesday, July 23, 2013 1:32 PM  
**To:** Nevin, Peggy  
**Cc:** Gamino, Miguel; Gary, Kendall; Touitou, Marc (SFCityCIO); Benvenuti, Elaine  
**Subject:** Sole Source Contracts and Annual Reports - Department of Technology  
**Attachments:** Sole Source Contracts for FY 2012-13 for Department of Technology 7 23 13.xlsx

Hi Peggy,

Attached is the Sole Source Contract report for the Department of Technology.

Thank you very much.

*Teresa Galvis  
Executive Secretary  
Office of the CIO  
City and County of San Francisco  
One South Van Ness, 2<sup>nd</sup> Floor  
San Francisco, CA 94103  
Tel. (415) 581-4090*

# Board of Supervisors

Sole Source Contracts for Fiscal Year 2012-2013

for

Department of Technology

Term	Vendor	Amount	Reason
3/1/12 to 9/1/12	Acoustic Technology Incorporated	\$ 27,667.50	Equipment parts for maintenance and repair of the City's existing Outdoor Public Warning System.
2/5/13 to 2/4/16	Comcast	\$ 446,386.20	Cable television and internet services to City facilities.
11/1/12 to 12/1/12	California Surveying & Drafting Supply, Inc.	\$ 10,208.63	High accuracy survey grade GPS hardware and software for design, planning, building and maintenance of wireless, microwave and radio communication systems for the public safety wireless infrastructure/radio shop team.
9/27/12 to 9/28/13	D&B Corp.	\$ 25,000.00	Software license renewal.
7/1/12 to 6/30/13	Golden State Cellular	\$ 15,000.00	Wireless service for PUC in the Hetchy area.
7/1/13 to 6/30/14	Golden State Cellular	\$ 30,000.00	Wireless service for PUC in the Hetchy area.
10/9/12 to 9/30/13	Hewlett-Packard	\$ 48,261.84	License and maintenance renewal for HP OpenView (Peregrine) software.
4/6/13 to 4/5/14	Hewlett-Packard	\$ 42,051.62	Renewal of Arcsight Security Incident Management System maintenance.
4/2/12 to 4/1/13	IBM	\$ 191,393.76	Renewal of the hardware and software maintenance for the IBM City Lotus Notes email.
8/1/12 to 12/20/12	IBM	\$ 33,864.00	Overlap period for the CICS and Cobol old and new versions of the software that is being used simultaneously.
7/1/13 to 6/30/14	IBM	\$ 554,172.89	Annual renewal of the software maintenance for the Mainframe at 200 Paul.
11/1/12 to 10/31/13	Network Monitoring Systems	\$ 25,380.00	Yearly maintenance support and updates for the Teleweb-on-line billing software. This support includes the custom modifications to the application that NMS has made under contract to the City. This support includes NMS providing the resources to apply all updates to the Teleweb system.
2/11/13 to 4/1/13	Motorola	\$ 23,663.00	Onsite training on Radio System.

**Board of Supervisors**  
**Sole Source Contracts for Fiscal Year 2012-2013**  
**for**  
**Department of Technology**

<b>Term</b>	<b>Vendor</b>	<b>Amount</b>	<b>Reason</b>
3/28/13 to 3/27/14	Oracle	\$ 14,758.55	Maintenance and service support renewal for Partitioning for the Controller EIS data warehouse.
5/31/13 to 5/30/14	Oracle	\$ 116,416.43	The Oracle Enterprise Edition Database provides a managed and secure repository for data. The Oracle Application Server and Internet Developer Suite provide an application development and runtime environment.
9/1/12 to 8/31/13	Oracle	\$ 105,890.33	The Oracle Enterprise Edition Database provides a managed and secure repository for data. The Oracle Application Server and Internet Developer Suite provide an application development and runtime environment.
9/1/12 to 8/31/13	Oracle	\$ 51,324.65	The Oracle Internet Development Suite provides an application development and runtime environment for the City departments to create software applications.
9/1/12 to 8/31/13	Oracle	\$ 365,530.11	The Oracle Enterprise Edition Database has been in use for City systems since 1990 and provides a managed data repository for various systems in departments such as Retirement, Controller's Office, San Francisco International Airport, San Francisco Port, MTA, Public Health, HSS, DPT, Tax Collector, PUC, Trial Courts, 911-Fire, 911-Police, Human Rights Commission and City Planning



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**From:** Michele Gutierrez [mgutierrez@famsf.org]  
**Sent:** Wednesday, July 17, 2013 12:12 PM  
**To:** Nevin, Peggy  
**Subject:** RE: Sole Source Contracts and Annual Reports - Response Required - Final Reminder

Hi Peggy,  
The Fine Arts Museums do not have any Sole Source Contracts.

*Michele Gutierrez-Canepa*

Chief Financial Officer  
Foundation Fiscal Officer  
deYoung Museum  
50 Hagiwara Tea Garden Dr.  
San Francisco, CA 94118  
Phone: 415-750-3682  
Fax: 415-750-2652  
Cell: 650-224-7762



JOANNE HAYES-WHITE  
CHIEF OF DEPARTMENT



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

EDWIN M. LEE  
MAYOR

2013 JUL 18 PM 2:49

*JHB*  
**SAN FRANCISCO FIRE DEPARTMENT**  
CITY AND COUNTY OF SAN FRANCISCO

July 17, 2013

Angela Calvillo  
Clerk of the Board  
Board of Supervisors  
Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

As required by Sunshine Ordinance Section 67.24(e), the San Francisco Fire Department is providing the following information on its sole source contracts from FY12-13:

Term	Vendor	Amount	Reason
3 years	Kidde Fire Trainers, Inc.	\$258,719	Only vendor qualified to perform maintenance and repair of Department's Fire Simulator.
4 years	The Regents of the University of California	\$1,500,000	Contract covers Medical Director positions for the Fire Department and the Department of Emergency Management. Contract is with UCSF so that the medical directors are also emergency room physicians at SF General Hospital.

Sincerely,

*Joanne Hayes-White*  
Joanne Hayes-White  
Chief of Department

---

**Subject:** Annual Sole Source Contract Report for Fiscal Year 2012-2013  
**Attachments:** ADM Sole Source Contracts 2013.xlsx

---

**From:** Martinez, Norman  
**Sent:** Tuesday, July 16, 2013 10:08  
**To:** Calvillo, Angela  
**Cc:** Bukowski, Kenneth; Nguyen, Adam; Guevarra, Diosdado; Khaw, Lynn  
**Subject:** Annual Sole Source Contract Report for Fiscal Year 2012-2013

Dear Ms. Calvillo:

Attached is a report of sole source contract for departments/division under the City Administrator and Administrative Services for FY 2012-2013. The attached report provides the purchase order number, vendor name, description of goods or services delivered, contract amount and justification.

Please let me know if you have questions or need additional information.

Thank you.

Norman D. Martinez  
Accounting Manager  
General Services Agency  
1 Dr. Carlton B. Goodlett Place, Rm 352  
San Francisco, CA 94102  
ph: (415) 554-7517  
fx: (415) 554-6156

Document No	sfx	Vendor Name	I/C	Index Code Title
DPAD1200094	01	XEROX CORPORATION	701101	REPRODUCTION & MAILROOM
DPAD13000520	01	XEROX CORPORATION	701101	REPRODUCTION & MAILROOM
DPCM13000018	01	VENTURE LABS INC	745008	MEDICAL EXAMINER
DPCM13000063	01	NATIONAL MEDICAL SERVICES INC	745008	MEDICAL EXAMINER
DPPR13000029	01	CLEASBY MANUFACTURING CO	701001	CENTRAL SHOPS
DPPR13000044	01	GCS ENVIRONMENTAL EQUIPMENT SERVICES INC	701001	CENTRAL SHOPS
DPPR13000066	01	MUNICIPAL MAINTENANCE EQUIPMENT	701001	CENTRAL SHOPS
DPPR13000072	01	PACIFIC GAS & ELECTRIC CO	701001	CENTRAL SHOPS
DPRE13000428	01	HONEYWELL INTERNATIONAL INC	708032	1 SOUTH VAN NESS BUILDING
POAD13000084	01	CONSTELLATION JUSTICE SYSTEMS	705018	JUSTIS PROJECT - CITY ADM OFF
POPR13000007	01	AG TRANSMISSION REPAIR	701001	CENTRAL SHOPS
POPR13000008	01	A-Z BUS SALES INC	701001	CENTRAL SHOPS
<b>Total</b>				

S/O	Subobject Title	Original Balance	Adjustments Balance	Adjusted Balance	Liquidations Balance
02999	OTHER EQUIP MAINT	35,000	0	35,000	(34,232)
02999	OTHER EQUIP MAINT	40,000	0	40,000	(38,397)
04431	LABORATORY SUPPLIES	20,000	20,000	40,000	(38,050)
02789	OTHER MEDICAL SERVICES	40,000	15,000	55,000	(53,247)
04331	VEHICLE PARTS-SUPPLIES	5,000	0	5,000	(3,337)
04331	VEHICLE PARTS-SUPPLIES	20,000	95,000	115,000	(112,820)
04331	VEHICLE PARTS-SUPPLIES	50,000	80,000	130,000	(102,678)
04799	FUELS & LUBRICANTS	100,000	25,000	125,000	(107,003)
02899	OTHER BLDG MAINT SVCS	100,000	12,600	112,600	(102,297)
03596	SOFTWARE LICENSING FEES	320,150	0	320,150	0
02921	VEHICLE MAINT (NON CENTRAL SHOPS)	12,106	0	12,106	(12,106)
02921	VEHICLE MAINT (NON CENTRAL SHOPS)	18,308	0	18,308	(18,308)

Remaining Balance	Vouchered Balance	Reason	Initiator
768	34,232	Xerox is the original manufacturer of the equipment and the software is proprietary. Xerox does not license their software to third party providers. The vendor has confirmed that software is essential to proper functioning of the equipment.	Nneka
1,603	38,397	Xerox is the original manufacturer of the equipment and the software is proprietary. Xerox does not license their software to third party providers. The vendor has confirmed that software is essential to proper functioning of the equipment.	Grace
1,950	38,050	Sole source to match lab equipment	Al
1,753	53,247	Sole source to match lab equipment	Al
1,663	3,323	Cleasby Mfg is the sole authorized dealer for parts and service for paving equipment at Central Shops.	Grace
2,180	112,820	Company is the only manufacturer of the product and GCS is the sole authorized dealer in No. California.	Grace
27,322	101,438	MME is the sole authorized dealer for parts and service for Vac Con and Steamer within No. Ca.	Grace
17,997	107,003	PG&E is the sole supplier for CNG in San Francisco	Grace
10,303	102,297	Manufacturer is sole source for modification, maintenance, of existing hardware and software for building safety systems.	Al
320,150	0	The vendor owns the source code of the software and is the only vendor who can add or modify new modules into the software.	Walt
0	12,094	Emergency repair needed on SFFD firetruck. Repairs made by vendor available to perform inspection and provide repair estimate. Bidding the work would be impractical as it would require towing the vehicle to shops for inspection adding costs and delay to the repair.	Rich Ferrari
0	18,211	vendor contacted who had parts on hand for emergency rush repairs to minimize the rental fees for large asphalt grinder in middle of large Dpw paving project.	Rich Ferrari

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**Subject:** Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** Sole Source Memo 12-13 NONE.doc

**From:** Wong, Fan-Wa  
**Sent:** Thursday, July 18, 2013 9:51 AM  
**To:** Ghotbi, Lisa; Sass, Gregg  
**Cc:** Dodd, Catherine  
**Subject:** RE: Sole Source Contracts and Annual Reports - Response Required - Final Reminder

HSS did not enter into any Sole Source Contracts for FY12-13. I furnished this form with the answer NONE.

**From:** Gard, Susan  
**Sent:** Wednesday, July 17, 2013 4:27 PM  
**To:** Board of Supervisors  
**Cc:** Buick, Jeanne; Lewis, Brent  
**Subject:** Department of Human Resources sole source contracts

Dear Clerk,

Below please find the sole source contract information for the Department of Human Resources. Please let me know if you need further information.

Term	Vendor	Amount	Reason
September 2012- September 2015	AON—iVOS	\$1,250,000	iVOS, is a "one-system" solution for workers' compensation claims management. The system encompasses claim and case management, billing, events management, litigation, and other related functions. Because various claims-related processes are integrated in one platform, the Department of Human Resources is more efficient and has better claims outcomes.
Annual: July 1, 2013- June 30, 2014	American Insurance Services Group	\$30,000	On line research services for workers' compensation claims

Susan Gard  
Chief of Policy  
City and County of San Francisco  
Human Resources Department  
415.551.8942

---

**From:** Ryerson, Olga  
**Sent:** Monday, July 22, 2013 4:52 PM  
**To:** Board of Supervisors; Nevin, Peggy  
**Cc:** Howard, Kate; Simonelli, Anabel  
**Subject:** Sole Source Contracts; Mayor's Yearly Report  
**Attachments:** Sole Source Contracts .pdf

**Importance:** High

Dear Peggy:

Attached is the Mayor's Office report on sole source contracts for the fiscal year 2012-2013.

Thank you!

Olga

---

Olga A. Ryerson  
Confidential Secretary to the Mayor  
City & County of San Francisco  
City Hall, Room 200  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Phone: (415) 554-6910  
Fax: (415) 554-6113



Office of the Mayor  
City & County of San Francisco



Edwin M. Lee

July 22, 2013

Ms. Angela Calvillo  
Clerk of the Board  
Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

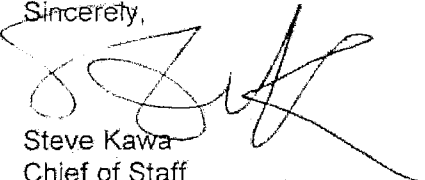
Subject: Sole Source Contract Report  
Fiscal Year 2012-2013

Dear Ms. Calvillo:

Per Sunshine Ordinance Section 67.24( e), the Office of the Mayor hereby reports that it did not enter into any sole source contracts during the Fiscal Year 2012-2013.

If you have any questions, please contact Kate Howard at 415-554-6515.

Sincerely,

  
Steve Kawa  
Chief of Staff

---

**From:** Chansin, Lise  
**Sent:** Wednesday, July 17, 2013 4:23 PM  
**To:** Board of Supervisors  
**Subject:** Sole Source Contracts for Fiscal Year 2012-2013  
**Attachments:** Sole Source Contracts FY2012-13.PDF

Please see attached memo from Director Olson Lee regarding Sole Source Contracts for Fiscal Year 2012-2013.

Thank you,

Lise Chansin  
Mayor's Office of Housing and Community Development  
1 South Van Ness Avenue, 5<sup>th</sup> Floor  
San Francisco, CA 94103  
(415) 701-5514

## Mayor's Office of Housing and Community Development



EDWIN M. LEE  
MAYOR

OLSON LEE  
DIRECTOR

To: Angela Calvillo, Clerk of the Board of Supervisor

From: Olson Lee, Director *OL*

Re: Sole Source Contracts for Fiscal Year 2012-2013

Date: July 17, 2013

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In compliance with Sunshine Ordinance Section 67.24(e), this memo serves to inform the Board of Supervisors that the Mayor's Office of Housing and Community Development did not enter into any sole source contract during the Fiscal Year 2012-2013.

If you have additional questions, please contact my office at (415) 701-5500.

---

**Subject:** Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** OSB Sole Source 12\_13 Report.pdf

**From:** Dick-Endrizzi, Regina

**Sent:** Wednesday, July 17, 2013 12:48 PM

**To:** Nevin, Peggy

**Subject:** RE: Sole Source Contracts and Annual Reports - Response Required - Final Reminder

Kindly,

**Regina Dick-Endrizzi** | Executive Director | Office of Small Business

[regina.dick-endrizzi@sfgov.org](mailto:regina.dick-endrizzi@sfgov.org) | D: 415.554.6481 | O: 415.554.6134 | c: 415.902-4573

*Office of Small Business  
Sole Source Contracts 12-13 Annual Report*

Term	Vendor	Amount	Reason
January 17, 2013 to January 16, 2014	Xtech	\$7,175.00	Salesforce.com CRM data management
March 26, 2013 to March 25, 2014	Xtech	\$734.40	Vertical Response - Email Marketing Software Subscription
May 15, 2013	SF State	\$9,999.00	Conference Facilities
June 24, 2013 to October 15, 2013	2Bridge Communications	\$5,000.00	Professional Services

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**From:** Christine.Fountain@sfgov.org on behalf of ChiefSuhr@sfgov.org  
**Sent:** Wednesday, July 17, 2013 4:11 PM  
**To:** Nevin, Peggy; Board of Supervisors  
**Subject:** Re: Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** SFPD Sole Source Contracts 2012 - 2013.pdf

Ms. Nevin,

We apologize for the tardiness in returning this document.

If you have any questions, please contact our office.

Chris Fountain, Office Manager  
415-734-3633

for

Chief Gregory P. Suhr  
Chief of Police  
San Francisco Police Department

# Memorandum

San Francisco Police Department



**To:** Clerk of the Board, Room 244  
Board of Supervisors

**From:** Gregory P. Suhr, Chief of Police  
San Francisco Police Department

**Date:** July 17, 2013

**Subject:** Sole Source Contracts for Fiscal Year 2012 - 2013

APPROVED	YES	NO
_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>

Below is the list of sole source contracts for Fiscal Year 2012-2013.

If you have any questions, please contact my office, (415) 553-1551.

SAN FRANCISCO POLICE DEPARTMENT			
Term	Vendor	Amount	Reason
July 2012- June 2016	Applied Bio Systems	\$159,578.60	Maintenance on the SFPD's Crime Genetic Analyzer
July 2011- June 2013	Data Works Plus	\$74,897.30	Maintenance on the SFPD's Mugshot system
July 2012- June 2013	Identix Inc.	\$46,505.00	Maintenance on the SFPD's live scan machines
April 2010- March 2013	JSI Telecom	\$90,675.00	Maintenance on the SFPD's phone inception System
Nov. 2009- Nov 2013	JOEL USA Inc.	\$49,267.00	Maintenance on the SFPD's Crime Lab's Microscopes
Nov. 2011- Oct. 2013	Leads Online LLC.	\$178,000.00	Online pawn shop search information. Sole source per 21.30 Admin Code
July 2011- June 2014	Millipore	\$47,638.00	Maintenance on SFPD's Crime Lab's watering system
Dec. 2010- Nov. 2012	NEC Solutions America	\$1,136,000.00	Maintenance on the SFPD palm print and fingerprint system
Jan. 2011- Dec. 2012	NEC Solutions America	\$11,014.00	Contractor will provide Data backup service on the SFPD's AFIS system

SFPD Sole Source Contracts FY 2012/13

July 17, 2013

Page 2

July 2009- June 2013	Oxford Instruments	\$64,599.00	Maintenance on the SFPD's Crime Lab's Scanning Microscopes
July 2012- June 2014	Pets Unlimited Inc.	\$50,000.00	Only vendor who will respond 24/7 to provide emergency veterinarian service to the SFPD's canines
April 2012- June 2013	Pressteck Inc.	\$6285.00	Maintenance on the SFPD's offset duplicator machines
July 2009- June 2016	Psyche Medics Corp	\$100,000.00	Provides the SFPD with Pre- Employment Drug
March 2009- June 2014	Quiagen Corp	\$35,100.00	Maintenance on the SFPD Crime Lab's Bio Robot Analyzers
May 2013- Feb. 2016	Shot Spotter Inc.	\$654,880.00	Maintenance on the City Shot Spotter System
Dec. 2008- May 2011	Thermo Electron Corp	\$36,994.00	Maintenance on the SFPD's Spectrometer
Oct. 2012- Jan 2015	Tecan US.	\$23,904.00	Contractor provides maintenance on the Crime Lab Liquid handling platform
March 2009- Nov. 2014	Tiburon Inc.	\$800,000.00	Maintenance and enhancements on the ICAD software system

jv/cf



---

**From:** McFadden, Sean  
**Sent:** Wednesday, July 17, 2013 11:26 AM  
**To:** Board of Supervisors  
**Cc:** Petrucione, Katharine; White, Staci  
**Subject:** RPD Sole Source Contracts 12-13  
**Attachments:** bos12-13 solesource.pdf

Attached is RPD's 12-13 sole source log. Please feel free to contact me directly if you have any questions.

Thanks.

Sean

**Sean McFadden**

Manager, Purchasing and Contract Administration

San Francisco Recreation and Park Department | City & County of San Francisco  
McLaren Lodge in Golden Gate Park | 501 Stanyan Street | San Francisco, CA | 94117

(415) 831-2779 | [sean.mcfadden@sfgov.org](mailto:sean.mcfadden@sfgov.org)



Visit us at [sfrecpark.org](http://sfrecpark.org)  
Like us on [Facebook](#)  
Follow us on [Twitter](#)  
Watch us on [sfRecParkTV](#)  
Sign up for our [e-News](#)

**FY12-13 Sole Source Log  
Recreation and Park Department**

Description	Term	Vendor	Total \$	Reason
Golden Gate Park Band	1 year/renewal	Golden Gate Park Band	\$ 80,000.00	The Golden Gate Park Band has been playing free public concerts on Sundays in Golden Gate Park continuously since September of 1882. This contract is renewed annually.
CLASS Software License / Maintenance	1 year/renewal	The Active Network	\$ 48,000.00	Proprietary CLASS software for recreation programs. Modified: Additional licenses.

---

**Subject:** Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** FY12-13 Sole Source List - bos (2).doc

**From:** Mawhorter, Bree  
**Sent:** Friday, July 19, 2013 2:50 PM  
**To:** Nevin, Peggy  
**Cc:** Luong, Mylan; Gorwood, Kathy  
**Subject:** FW: Sole Source Contracts and Annual Reports - Response Required - Final Reminder

Please see attached.

Bree

Bree Mawhorter  
Deputy Director / CFO  
415.554.4316  
415-554-7225

**San Francisco Sheriff's Department  
List of Sole Source Contracts in Fiscal Year 2012-13**

<b>Term</b>	<b>Vendor</b>	<b>Amount</b>	<b>Reason</b>
7/1/12-6/30/13	Rapid Notify, Inc.	\$12,075.00	Rapid Notify, Inc. provides access for proprietary telecommunication systems for as needed automated telephone alerts to communities in San Mateo County regarding any emergencies arising from San Francisco County Jails located in San Bruno. This is an annual fee.
7/1/12-6/30/13	Chevron USA, Inc.	\$15,000.00	Sheriff's Department employees use City vehicles to travel distances outside the City. When outside SF, staff need a convenient purchasing mechanism, such as a gasoline credit card, to refuel their vehicles. Employees transport prisoners to Atascadero State Hospital and other remote locations, travel to Sacramento for mandated meetings, and participate in out-of-county witness interviews and/or other investigations.
June, 2013	Motorola Solution, Inc.	\$92,211.56	Motorola Solution Inc. is the only provider for P25 radio equipment. The department uses this equipment to communicate to E911 CAD system utilized by officers of SFFD, SFPD and other Bay Area Agencies-such as BART.
7/1/12-6/30/13	Sirron Software Corporation	\$10,452.00	Sirron Software Corporation supports and maintains the Civil Administration System Software. This is annual fee.
7/1/12-6/30/13	Recology Peninsula Services/San Bruno Garbage Co. Inc.	\$120,000.00	San Bruno Garbage Co., Inc. is the sole source garbage collector for all San Bruno addresses under the terms of the San Bruno Municipal Code. The San Francisco County Jails located in San Bruno fall under this requirement.
July, 2012	Enforcement Technology Group, Inc.	\$25,497.49	Enforcement Technology Group, Inc. is the Sole Source manufacturer and distributor of Direct-Link Crisis Response Throw Phone Systems. Throw Phone System is a specialized technology that incorporates multiple communication features and a Throw Phone Component to allow for covert audio and/or video data gathering.
July, 2012	Lynch Display Vans	\$552,704.00	Lynch Display Vans is the only supplier for fully multiplexed electrical systems. The LDV Multiplex system is designed to bring all electronic systems into a common control touch screen with the ability to distribute and control strategic onboard systems.
7/1/12-6/30/13	Training Innovations, Inc	\$600.00	Training Innovations, Inc. provides support for proprietary software for training records. This is an annual fee.

---

**From:** Angela.Auyong@sfgov.org  
**Sent:** Monday, July 22, 2013 9:33 AM  
**To:** Nevin, Peggy  
**Subject:** Re: FW: Sole Source Contracts and Annual Reports - Response Required - Final Reminder  
**Attachments:** PDR Sole Source Contract List 12-13.pdf

Hello Peggy,

I am so sorry for the detail. Attached please find the report from the Public Defender's Office. Do we still need to send the original via interoffice mail?

Thank you again for your phone message and emails.

Angela

Angela Auyong  
Office Manager  
Office of the Public Defender  
555 Seventh Street  
San Francisco CA 94103  
Tel: 415-553-1677  
Fax: 415-553-1607

# SAN FRANCISCO PUBLIC DEFENDER

JEFF ADACHI – PUBLIC DEFENDER  
MATT GONZALEZ – CHIEF ATTORNEY



July 19, 2013

Board of Supervisors  
C/o Clerk of the Board  
City Hall, Room 244

RE: Sole Source Contracts for FY 2012-2013

Dear Madam Clerk:

The Public Defender's office had one sole source contract for the fiscal year 2012/2013.

<u>Term</u>	<u>Vendor</u>	<u>Amount</u>	<u>Reason</u>
7/1/2012-6/30/2013	Chevron	\$8,950	No potential contractors comply

Please feel free to contact me at 553-1677 if you have any questions. Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read "Angela Auyong".

Angela Auyong  
Executive Assistant

Adult Division - HOJ  
555 Seventh Street  
San Francisco, CA 94103  
P: 415.553.1671  
F: 415.553.9810  
[www.sfpUBLICDEFENDER.org](http://www.sfpUBLICDEFENDER.org)

Juvenile Division - YGC  
375 Woodside Avenue, Rm. 118  
San Francisco, CA 94127  
P: 415.753.7601  
F: 415.566.3030

Juvenile Division - JJC  
258A Laguna Honda Blvd.  
San Francisco, CA 94116  
P: 415.753.8174  
F: 415.753.8175

Clean Slate  
P: 415.553.9337  
[www.sfpUBLICDEFENDER.org/services](http://www.sfpUBLICDEFENDER.org/services)

Reentry Council  
P: 415.553.1593  
[www.sfrENTRY.com](http://www.sfrENTRY.com)

Bayview Magic  
P: 415.558.2428  
[www.bayviewmagic.org](http://www.bayviewmagic.org)

MoMagic  
P: 415.563.5207  
[www.momagic.org](http://www.momagic.org)



City and County of San Francisco  
Employees' Retirement System  
Office of the Executive Director

July 22, 2013

Ms. Angela Calvillo  
Clerk of the Board  
Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102-4689

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 JUL 23 PM 3:21  
BY \_\_\_\_\_

Subject: **SFERS Sole Source Contracts Fiscal Year 2012-2013**

Dear Ms. Calvillo,

The following are the sole source contracts in effect for the San Francisco Employees' Retirement System during the fiscal year 2012-13. The department did not enter into any new sole source contracts during the past fiscal year.

<u>Vendor</u>	<u>Amount</u>	<u>Purpose</u>
Oracle USA, Inc. 500 Oracle Parkway Redwood City, CA 94065	\$ 477,666.60	Oracle provides licensed software maintenance support for the San Francisco Employees' Retirement System. Software is proprietary and is maintained only through Oracle. Annual maintenance and support of the software is required.
Public Storage 90 South Van Ness Avenue San Francisco, CA 94103	\$ <u>5,348.00</u>	Local off-site storage facility for disaster recovery hardware and equipment.
Totals	\$ <u>483,014.60</u>	

Please contact me should you have further questions.

Very truly yours,

Jay Huish  
Executive Director