

FILE NO. 130260

Petitions and Communications received from March 11, 2013, through March 18, 2013, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on March 26, 2013.

**Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.**

From Clerk of the Board, reporting the following individuals have submitted a Form 700 Statement: (1)

Scott Wiener - Supervisor - Annual  
Ashley Summers - Legislative Assistant - Assuming  
Nathan Albee - Legislative Assistant - Annual  
Frances Hsieh - Legislative Assistant - Annual  
Andres Power - Legislative Assistant - Annual  
Adam Taylor - Legislative Assistant - Annual  
Andrea Bruss - Legislative Assistant - Annual  
Lynne Howe - Legislative Assistant - Leaving  
Camelin Blackstone - Legislative Assistant - Leaving  
Andrea Ausberry - SOTF - Annual  
Hanley Chan - SOTF - Leaving

From the Mayor, submitting notice of appointments to the War Memorial Board of Trustees and Commission on Aging and Adult Services. Copy: Clerk of the Board, Legislative Deputy, Deputy City Attorney, Rules Committee Clerk. (2)

Diane B. Wilsey, War Memorial Board of Trustees  
Charlotte Mailliard Shultz, War Memorial Board of Trustees  
Neil Sims, Commission on Aging and Adult Services

From the Mayor, submitting notice of appointment to the Library Commission. Copy: Clerk of the Board, Legislative Deputy, Deputy City Attorney, Rules Committee Clerk. (3)

Teresa Ono

From the Mayor, designating Supervisor Mark Farrell as Acting-Mayor from March 14, 2013, until March 16, 2013. Copy: Each Supervisor. (4)

From the Mayor, designating Supervisor London Breed as Acting-Mayor from March 16, 2013, until March 21, 2013. Copy: Each Supervisor. (5)

From Office of the Treasurer and Tax Collector, submitting the CCSF Investment Report for February 2013. Copy: Each Supervisor. (6)

From San Francisco Film Commission, submitting the Scene in San Francisco Rebate Program for CY2012, Annual Report. Copy: Each Supervisor. (7)

\*From Office of the Controller, submitting Department of Public Health: The Department's Siloed and Decentralized Purchasing Structure Results in Inefficiencies report. Copy: Each Supervisor. (8)

From Office of the Controller, submitting The Office of the Medical Examiner's Payroll Operations Are Generally Adequate, But Should Be Improved report. Copy: Each Supervisor. (9)

From Office of the Controller, submitting Mandatory Seismic Retrofit for Wood-Frame Buildings: Economic Impact Report. Copy: Each Supervisor. (10)

From concerned citizens, submitting support to the Mandatory Seismic Retrofit Program for Wood-Frame Buildings. File No. 130119. 19 letters. Copy: Each Supervisor, Land Use & Economic Committee Clerk. (11)

From concerned citizens, regarding Woodhouse on Marina Green. File No. 120987. 5 letters. Copy: Each Supervisor, Government Audit & Oversight Committee Clerk. (12)

From Round The Diamond, submitting the Golden State Warriors Arena Classroom proposal. Copy: Each Supervisor. (13)

From concerned citizens, regarding the Masonic Avenue Bike Lane proposal. 4 letters. Copy: Each Supervisor. (14)

From Allen Jones, regarding renaming SFO after Harvey Milk. File No. 130037. Copy: Each Supervisor. (15)

From Rosales Law Partners, LLP, submitting response to the Budget Analyst's report regarding SFO Advertising Lease. File No. 130072. Copy: Each Supervisor, Budget & Finance Committee Clerk. (16)

From concerned citizens, concerning California Pacific Medical Center. File No. 130232. 2 letters. Copy: Each Supervisor, Land Use & Economic Development Committee Clerk. (17)

From Kevin Rhoads, supporting Sharp Park. Copy: Each Supervisor. (18)

From Juvenile Probation Commission, regarding addressing concerns for public and Juvenile Probation Officer safety. Copy: Each Supervisor, Neighborhood Services & Safety Committee Clerk. (19)

From Aaron Goodman, submitting memo regarding Planning Commission hearing on CEQA. Copy: Each Supervisor, Legislative Clerk. (20)

From National Federation of the Blind of California, regarding general information about their chapter. Copy: Each Supervisor. (21)

From Ana Guimoye, regarding Laguna Honda Hospital. Copy: Each Supervisor. (22)

From California Public Utilities Commission, submitting Notice of Application of PG&E for Recovery of 2012 Costs. Copy: Each Supervisor, City Attorney, Controller. (23)

From Veterans Affairs Commission, regarding Endorsement of Jan Karski Exhibit Installation at City Hall. 2 letters. Copy: Each Supervisor. (24)

\*(An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is available at the Clerk's Office, Room 244, City Hall.)

**BOARD of SUPERVISORS**



**City Hall**  
**1 Dr. Carlton B. Goodlett Place, Room 244**  
**San Francisco 94102-4689**  
**Tel. No. 554-5184**  
**Fax No. 554-5163**  
**TDD/TTY No. 544-5227**

Date: March 18, 2013  
To: Honorable Members, Board of Supervisors  
From: Angela Calvillo, Clerk of the Board  
Subject: Form 700

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This is to inform you that the following individuals have submitted a Form 700 Statement:

Scott Wiener – Supervisor - Annual  
Ashley Summers - Legislative Assistant – Assuming  
Nathan Allbee – Legislative Assistant – Annual  
Frances Hsieh – Legislative Assistant – Annual  
Andres Power - Legislative Assistant – Annual  
Adam Taylor - Legislative Assistant – Annual  
Andrea Bruss - Legislative Assistant – Annual  
Lynne Howe – Legislative Assistant - Leaving  
Camelin Blackstone - Legislative Assistant - Leaving  
Andrea Ausberry – SOTF – Annual  
Hanley Chan – SOTF - Leaving

OFFICE OF THE MAYOR  
SAN FRANCISCO



Orig: Rules Clerk  
C: COB, Leg Dep, Dep City Attorney  
EDWIN M. LEE *copy file*  
MAYOR

**Notice of Appointment**

March 13, 2013

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 MAR 13 PM 4:24

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Carlton B. Goodlett Place  
San Francisco, California 94102

Honorable Board of Supervisors:

Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Diane B. Wilsey to the War Memorial Board of Trustees, for a term ending January 2, 2017

Charlotte Mailliard Shultz to the War Memorial Board of Trustees, for a term ending January 2, 2017

Neil Sims to the Commission on Aging and Adult Services, assuming the seat formerly held by Thomas Crites, for a term ending

I am confident that Trustee Wilsey, Trustee Shultz, and Mr. Sims, electors of the City and County, will continue to serve our community well. Attached are their qualifications to serve, which will demonstrate how these appointments represent the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to these appointments, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

*Edwin M. Lee*  
Edwin M. Lee  
Mayor

OFFICE OF THE MAYOR  
SAN FRANCISCO



EDWIN M. LEE  
MAYOR

March 13, 2013

Angela Calvillo  
Clerk of the Board, Board of Supervisors  
San Francisco City Hall  
1 Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointments:

Diane B. Wilsey to the War Memorial Board of Trustees, for a term ending January 2, 2017

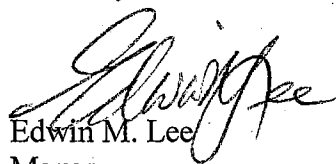
Charlotte Mailliard Shultz to the War Memorial Board of Trustees, for a term ending January 2, 2017

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Should you have any questions related to these appointments, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

  
Edwin M. Lee  
Mayor

## Dede Wilsey

Diane Buchanan Wilsey was born in Washington, D.C., and lived there until her father was appointed Ambassador to Luxembourg. Four years later, Ambassador Buchanan was named Chief of Protocol and the family returned to Washington, D.C., where she lived until she married and moved to San Francisco.

Dede Wilsey is the President of the Board of Trustees of the Fine Arts Museums, and was Chairman of the \$200 million campaign to rebuild the de Young. Previously she chaired successful capital campaigns for Grace Cathedral, where she is a trustee, and for the Immaculate Conception Academy. She was also a Coro Fellow, former President of the Women's Board of the Presbyterian Hospital, and a trustee of University High School. She is currently chairing a \$1.2 billion campaign to build a new Children's, Women's and Cancer Hospital for UCSF at Mission Bay.

Dede currently serves on the Board of Directors of the San Francisco Opera and the San Francisco Ballet. She is a trustee emerita of Connecticut College and was an arbitrator for the Better Business Bureau. In addition to her community and civic commitments, Dede is the owner of Rutherford River Ranch Vineyards in the Napa Valley, is President of the Wilsey Foundation and is CEO of Wilsey Properties.

## **Charlotte Mailliard Shultz**

Charlotte Mailliard Shultz is Chief of Protocol and Director of Special Events for the City and County of San Francisco and has served seven San Francisco mayors. In 2004 Charlotte was appointed Chief of Protocol for the State of California by Governor Arnold Schwarzenegger. She is a member of the Board of Trustees of the San Francisco War Memorial and Performing Arts Center, the San Francisco Ballet, San Francisco Museum of Modern Art, World Affairs Council and Grace Cathedral. She is a member of the Board of Directors of the San Francisco Airport Improvement Corporation, the Commonwealth Club of California, and the San Francisco Opera. She is also a member of the Board of Governors for the San Francisco Symphony.

As Chief of Protocol, she has staged numerous civic events ranging from welcomes for the Patriarch of the Greek Orthodox Church, H.M. Queen Elizabeth II, President of the USSR Mikhail Gorbachev, the President of South Korea, President of France Francois Mitterand, President Corazon Aquino of the Phillippines, President Jiang Zemin of China, and Hu Jintao, the current president of China. She has also arranged five San Francisco 49er Super Bowl victory celebrations and the celebration for the 50<sup>th</sup> anniversary of the Golden Gate Bridge. She was chairman of the building committee of the new San Francisco Public Library and co-chairman of the Committee to Restore the Opera House. She has received numerous awards, including the State of California Woman of the Year Award in 1996 and 2000, and the United Nations Association of San Francisco's Eleanor Roosevelt Humanitarian Award for Lifetime Achievement in 1992.

Charlotte is married to former Secretary of State George P. Shultz.



**Neil Sims**  
**912 Cole Street, # 143**  
**San Francisco, CA 94117**  
**415 816-6308 mobile**  
**nsims@gmail.com**  
**http://miniurl.com/16373**

**SUMMARY** Seasoned human capital executive with strong business management and staff development experience. Successful entrepreneur, who has hired, trained and managed large, distributed staffs. Eighteen years of executive search experience as a company builder and partner to top-tier Silicon Valley companies as well as large, multi-nationals. Successful track record of establishing best practice standards and performance systems in order to insure reward processes that encourage high quality results.

**EXPERIENCE**

2006 to Present BOYDEN GLOBAL EXECUTIVE SEARCH, San Francisco, CA  
*Global leader in retained executive search with more than 70 offices worldwide.*

Managing Director, Technology Practice.

A member of the firm's Technology Practice Group. Clients include Fortune 500 multinationals along with early-stage and mid-cap companies. Work closely with founders and venture investors on new business concepts as well as providing the leadership necessary to attract senior executives to global opportunities with large, multi-national firms. Projects have included online search and advertising, enterprise computing, Web 2.0, as well as mobile devices and applications. Assignments completed range from Global Head of Consumer Supply Chain for the world's largest cell phone manufacturer to the Chief Financial Officer for an emerging casual games company. Currently leading the Global Cleantech Practice initiative, coordinating partners worldwide with clients seeking functional and domain expertise in various geographies.

1992 to 2006 OPTIMUM EXECUTIVE SEARCH, San Francisco, CA  
*Regional provider of technical, marketing and sales executive to the software industry of the Western United States.*

Founder and CEO.

As the founder of Optimum Executive Search, built one of the most well respected search firms in Silicon Valley. Established a significant reputation within the entrepreneurial community by securing top management as well as departmental staff for high-visibility, young companies. Established offices in San Francisco and Seattle with close ties to the Venture Capital community. Hired, trained and managed a staff of 18. Created training and performance optimization systems to standardize around industry best practices. Clients included Oracle, Macromedia, NeXT Computer, Adobe Systems, Ariba, Informatica and many others.

Project scope often began with a masthead assignment and included key staff development in support of the same executive. Provided "Internal Staffing Partner" services to several early-stage technology companies.

1991 to 1992 WOLLBERG MICHELSON, San Francisco, CA  
*Regional employment agency with offices across the Western United States.*

Staffing Consultant.

Staffed full time personnel into large, mid-peninsula businesses. Initiated the technology practice for the company by establishing the first client relationships in Wollberg Michelson's history within the software industry. Recognized as the top gross dollar producer within the first three months of employment. Consistently maintained ranking as one of the top three revenue producers companywide.

Neil Sims - continued

1980 to ENVIRONMENT CONTROL, Fullerton, CA  
1991 *Nationwide Real Estate Services Franchisor*

Franchise Owner and Managing Partner.

Built and managed a chain of commercial service franchise offices. Served as Director of Franchise Development with responsibility for the creation and nurturing of new locations. Negotiated minority partnership agreements with numerous entrepreneurs in order to grow franchise territories. Established seven offices in Northern and Central California with a payroll of more than 300 people. Directed all sales, marketing and human resources functions as well as business operations in the field. Assumed a leadership role nationwide in bringing the corporate structure to computer-based, automated systems. Supervised successful operational growth by creating a combination of field leadership and quality control programs. Developed strong repeat and referral business through customer service and strong quality standards. Attracted significant new business through direct sales efforts and high-visibility community involvement. Awarded "Fastest Growing New Company in the Nation" as a direct result of aggressive sales efforts. Ranked in the top three out of 100 franchises four times; ratings based on sales, profit, and quality control. Recognized for developing the Unit Manager concept, a position structure and company building block that later became a nationwide standard.

**EDUCATION** California State University, Fresno  
Fresno, CA

OFFICE OF THE MAYOR  
SAN FRANCISCO



Orig: Riles Clerk  
c. COB, Leg Dep. Dep City Atty  
EDWIN M. LEE *cpa*  
MAYOR *Asfile*

March 11, 2013

Angela Calvillo  
Clerk of the Board, Board of Supervisors  
San Francisco City Hall  
1 Carlton B. Goodlett Place  
San Francisco, CA 94102

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 MAR 13 PM 4:24

Dear Ms. Calvillo,

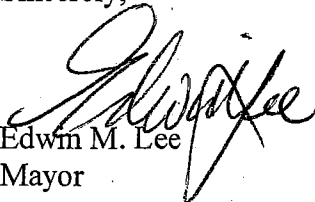
Pursuant to Section 3.100 (18) of the Charter of the City and County of San Francisco, I hereby make the following appointment:

Teresa Ono to the Library Commission for a term ending January 15, 2017

I am confident that Ms. Ono, an elector of the City and County, will continue to serve our community well. Attached are her qualifications to serve, which will demonstrate how this appointment represent the communities of interest, neighborhoods and diverse populations of the City and County of San Francisco.

Should you have any questions related to this appointment, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

  
Edwin M. Lee  
Mayor

OFFICE OF THE MAYOR  
SAN FRANCISCO



EDWIN M. LEE  
MAYOR

### Notice of Appointment

March 11, 2013

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Carlton B. Goodlett Place  
San Francisco, California 94102

Honorable Board of Supervisors:

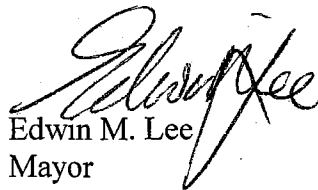
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Should you have any questions related to this appointment, please contact my Director of Appointments, Nicole Wheaton, at (415) 554-7940.

Sincerely,

  
Edwin M. Lee  
Mayor

**Teresa Ono, Commissioner**

Teresa Ono was appointed to the Library Commission by Mayor Gavin Newsom in May 2009. She currently serves as Advancement Services Manager at San Francisco State University. She has been Director of Development at the Japanese Cultural & Community Center of Northern California where she raised over \$370,000. In 2005, as Executive Director she raised over \$300,000 to support 24 events of the Celebration of the 100th Anniversary of Japantown. Previously she worked for AT&T, rising to Director of Law and Government Affairs. She has a strong community background serving as Vice President and Treasurer of the Golden Gate chapter of the Japanese American Citizens League (JACL). She serves on the Executive Committee of the Cherry Blossom Festival as well as Past Chairperson of the Senior Appreciation Brunch and current member of the planning committee.

OFFICE OF THE MAYOR  
SAN FRANCISCO



RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

Orig: cpage  
C: BOS-11, COB, Dep City Attorney  
EDWIN M. LEE 3 Dep.  
MAYOR *Asfile*

2013 MAR 13 AM 9:25

BY: *FILE*

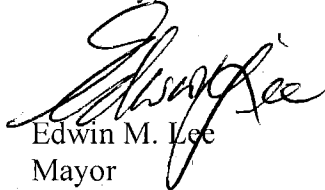
March 13, 2013

Ms. Angela Calvillo  
San Francisco Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Charter Section 3.100, I hereby designate Supervisor Mark Farrell as Acting-Mayor from the time I leave the State of California on Thursday, March 14, 2013 at 3:10 p.m., until Saturday, March 16 at 6:00 p.m.

Sincerely,

  
Edwin M. Lee  
Mayor

cc: Mr. Dennis Herrera, City Attorney

OFFICE OF THE MAYOR  
SAN FRANCISCO



RECEIVED  
OF SUPERVISORS  
SAN FRANCISCO

Orig: cpage  
C: BOS-11, COB, 3 Dep. Dep City  
EDWIN M. LEE *Attorney*  
MAYOR *AC file*

MAR 13 AM 9:25

March 13, 2013

Ms. Angela Calvillo  
San Francisco Board of Supervisors  
1 Dr. Carlton B. Goodlett Place, Room 244  
San Francisco, CA 94102

Dear Ms. Calvillo,

Pursuant to Charter Section 3.100, I hereby designate Supervisor London Breed as Acting-Mayor from Saturday, March 16, 2013 at 6:01 p.m., until I return on Thursday, March 21, 2013 at 2:00 p.m.

In the event I am delayed, I designate Supervisor Breed to continue to be the Acting-Mayor until my return to California.

Sincerely,

A handwritten signature in black ink, appearing to read "Edwin M. Lee".

Edwin M. Lee  
Mayor

cc: Mr. Dennis Herrera, City Attorney

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**From:** Starr, Brian  
**Sent:** Friday, March 15, 2013 1:15 PM  
**To:** Starr, Brian  
**Cc:** Rosenfield, Ben; Board of Supervisors; 'cynthia.fong@sfcta.org'; 'graziolij@sfusd.edu'; Bullen, Jessica; Cisneros, Jose; Durgy, Michelle; 'sfdocs@sfpl.info'; Lediju, Tonia; Rydstrom, Todd; Marx, Pauline; 'Peter Goldstein'; Torre, Rosanne  
**Subject:** CCSF Investment Report for the month of February 2013  
**Attachments:** CCSF Monthly Investment Report for 2013-Feb.pdf

All,

Attached please find the CCSF Investment Report for the month of February 2013.

Thank you,

Brian Starr, CFA  
Investment Analyst  
Office of the Treasurer and Tax Collector  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
City Hall - Room 140  
San Francisco, CA 94102  
415-554-4487 (phone)  
415-554-5660 (fax)



Office of the Treasurer & Tax Collector  
City and County of San Francisco



José Cisneros, Treasurer

Pauline Marx, Chief Assistant Treasurer  
Michelle Durgy, Chief Investment Officer

Investment Report for the month of February 2013

March 15, 2013

The Honorable Edwin M. Lee  
Mayor of San Francisco  
City Hall, Room 200  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

The Honorable Board of Supervisors  
City and County of San Francisco  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4638

Ladies and Gentlemen,

In accordance with the provisions of California State Government Code Section 53646, we forward this report detailing the City's pooled fund portfolio as of February 28, 2013. These investments provide sufficient liquidity to meet expenditure requirements for the next six months and are in compliance with our statement of investment policy and California Code.

This correspondence and its attachments show the investment activity for the month of February 2013 for the portfolios under the Treasurer's management. All pricing and valuation data is obtained from Interactive Data Corporation.

**CCSF Pooled Fund Investment Earnings Statistics \***

<i>(in \$ million)</i>	Current Month		Prior Month	
	Fiscal YTD	February 2013	Fiscal YTD	January 2013
Average Daily Balance	\$ 5,091	\$ 5,542	\$ 5,033	\$ 5,628
Net Earnings	35.44	3.97	31.46	5.09
Earned Income Yield	1.05%	0.93%	1.06%	1.06%

**CCSF Pooled Fund Statistics \***

<i>(in \$ million)</i>	% of Portfolio	Book Value	Market Value	Wtd. Avg. Coupon	Wtd. Avg. YTM	WAM
<b>Investment Type</b>						
U.S. Treasuries	16.0%	\$ 939	\$ 950	1.15%	0.98%	1,304
Federal Agencies	63.9%	3,758	3,793	1.06%	0.97%	1,048
State & Local Government						
Agency Obligations	1.4%	87	86	2.31%	0.50%	288
Public Time Deposits	0.02%	1	1	0.51%	0.51%	101
Negotiable CDs	3.8%	225	225	0.41%	0.41%	80
Commercial Paper	6.2%	369	369	0.00%	0.18%	22
Medium Term Notes	4.3%	259	257	1.14%	0.49%	584
Money Market Funds	4.3%	255	255	0.04%	0.04%	1
<b>Totals</b>	<b>100.0%</b>	<b>\$ 5,893</b>	<b>\$ 5,936</b>	<b>0.96%</b>	<b>0.83%</b>	<b>912</b>

In the remainder of this report, we provide additional information and analytics at the security-level and portfolio-level, as recommended by the California Debt and Investment Advisory Commission.

Very truly yours,

  
José Cisneros  
Treasurer

cc: Treasury Oversight Committee: Peter Goldstein, Joe Grazioli, Todd Rydstrom  
Ben Rosenfield, Controller, Office of the Controller  
Tonia Lediju, Internal Audit, Office of the Controller  
Cynthia Fong, Deputy Director for Finance & Administration, San Francisco County Transportation Authority  
Jessica Bullen, Fiscal and Policy Analyst  
San Francisco Public Library

\* Please see last page of this report for non-pooled funds holdings and statistics.

# Portfolio Summary

## Pooled Fund

As of February 28, 2013

<i>(in \$ million)</i>							
<b>Security Type</b>	<b>Par Value</b>	<b>Book Value</b>	<b>Market Value</b>	<b>Market/Book Price</b>	<b>Current % Allocation</b>	<b>Max. Policy Allocation</b>	<b>Compliant?</b>
U.S. Treasuries	\$ 935	\$ 939	\$ 950	101.21	16.00%	100%	Yes
Federal Agencies	3,748	3,758	3,793	100.92	63.90%	85%	Yes
State & Local Government							
Agency Obligations	84	87	86	98.77	1.45%	20%	Yes
Public Time Deposits	1	1	1	100.00	0.02%	100%	Yes
Negotiable CDs	225	225	225	99.96	3.79%	30%	Yes
Bankers Acceptances	-	-	-	-	0.00%	40%	Yes
Commercial Paper	369	369	369	100.04	6.22%	25%	Yes
Medium Term Notes	257	259	257	99.22	4.32%	15%	Yes
Repurchase Agreements	-	-	-	-	0.00%	100%	Yes
Reverse Repurchase/ Securities Lending Agreements	-	-	-	-	0.00%	\$75mm	Yes
Money Market Funds	255	255	255	-	4.30%	100%	Yes
LAIF	-	-	-	-	0.00%	\$50mm	Yes
<b>TOTAL</b>	<b>\$ 5,875</b>	<b>\$ 5,893</b>	<b>\$ 5,936</b>	<b>100.73</b>	<b>100.00%</b>	<b>-</b>	<b>Yes</b>

The City and County of San Francisco uses the following methodology to determine compliance: Compliance is pre-trade and calculated on both a par and market value basis, using the result with the lowest percentage of the overall portfolio value. Cash balances are included in the City's compliance calculations.

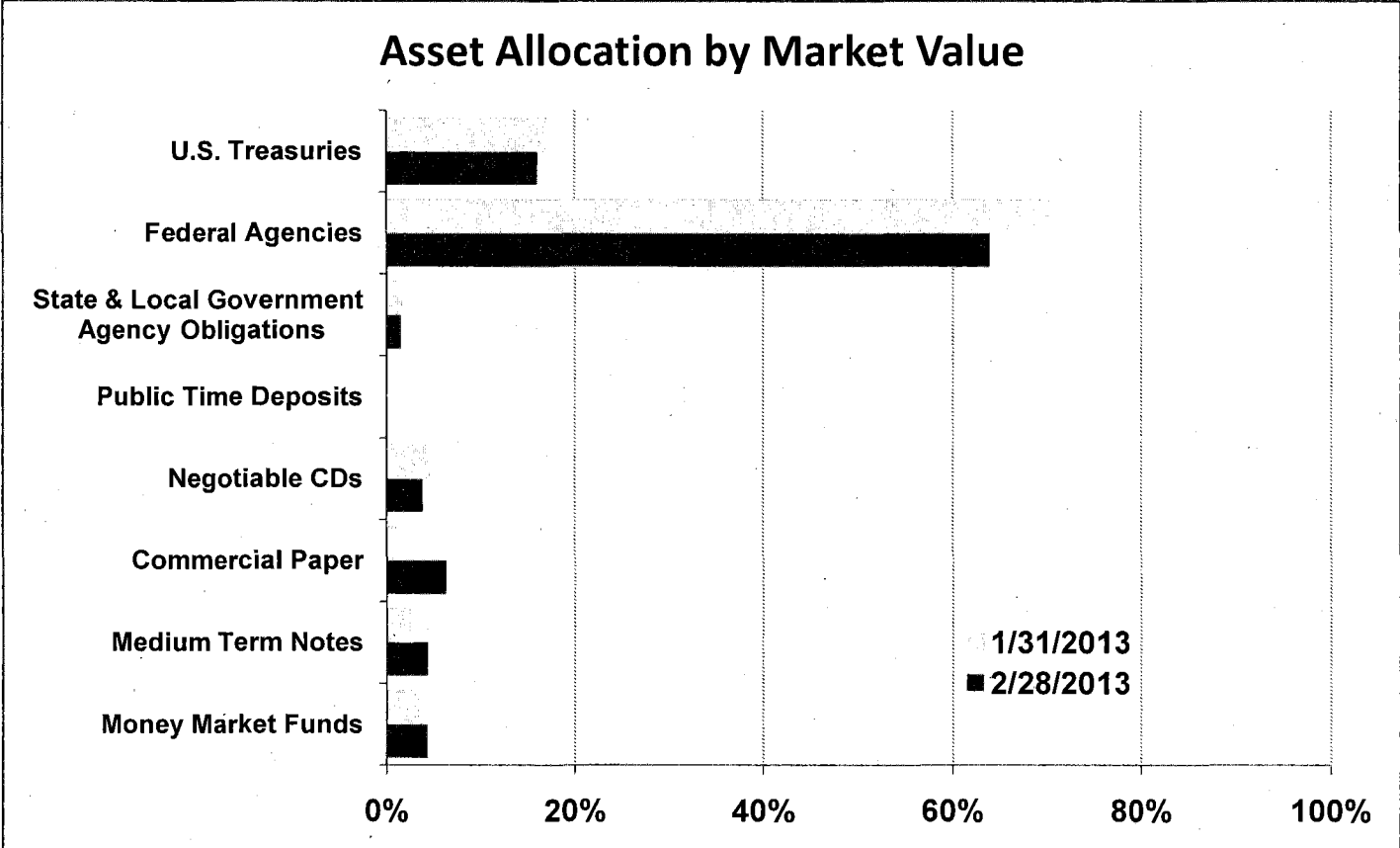
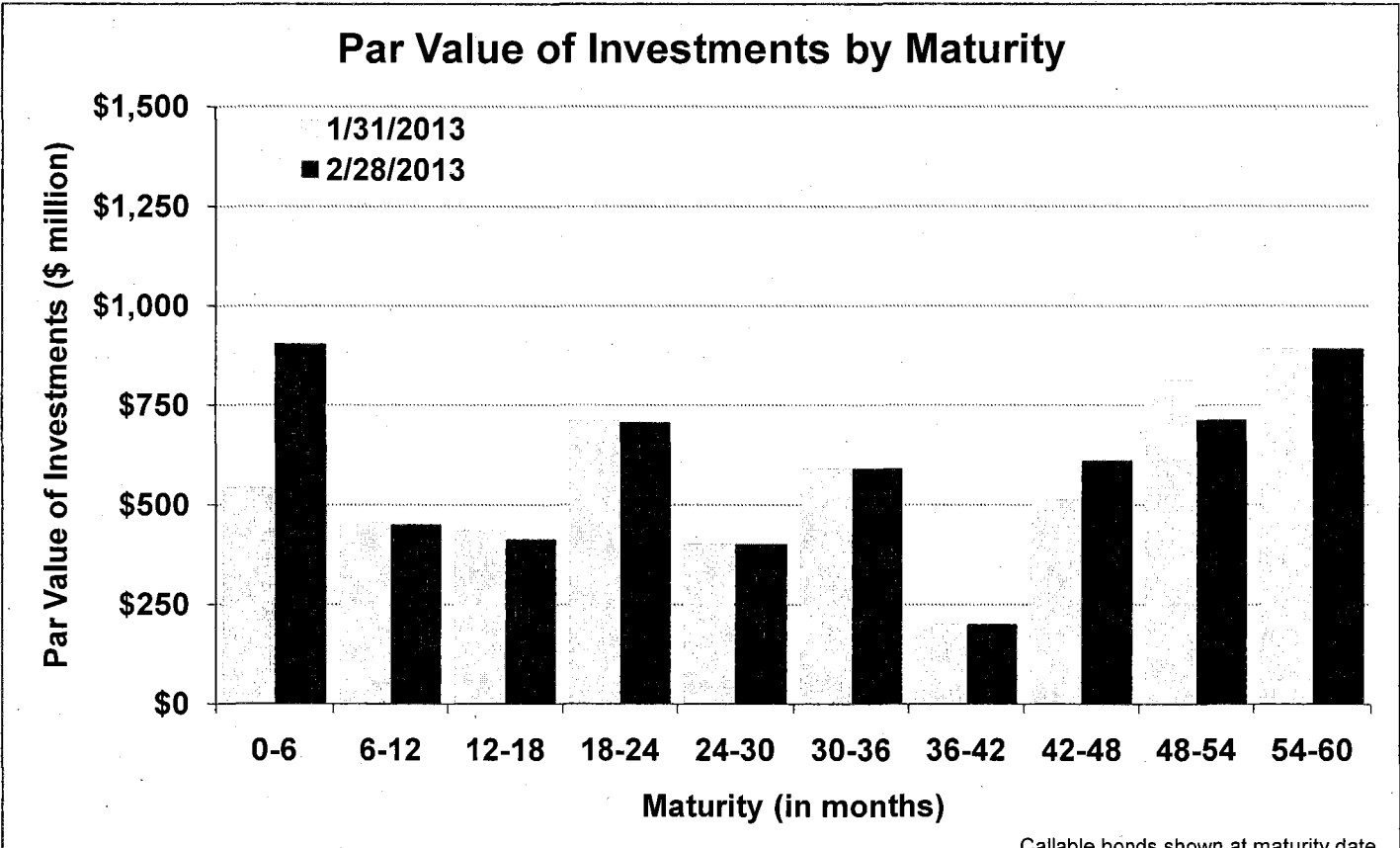
Please note the information in this report does not include cash balances. Due to fluctuations in the market value of the securities held in the Pooled Fund and changes in the City's cash position, the allocation limits may be exceeded on a post-trade compliance basis. In these instances, no compliance violation has occurred, as the policy limits were not exceeded prior to trade execution.

The full Investment Policy can be found at <http://www.sftreasurer.org/>, in the Reports & Plans section of the About menu.

Totals may not add due to rounding.

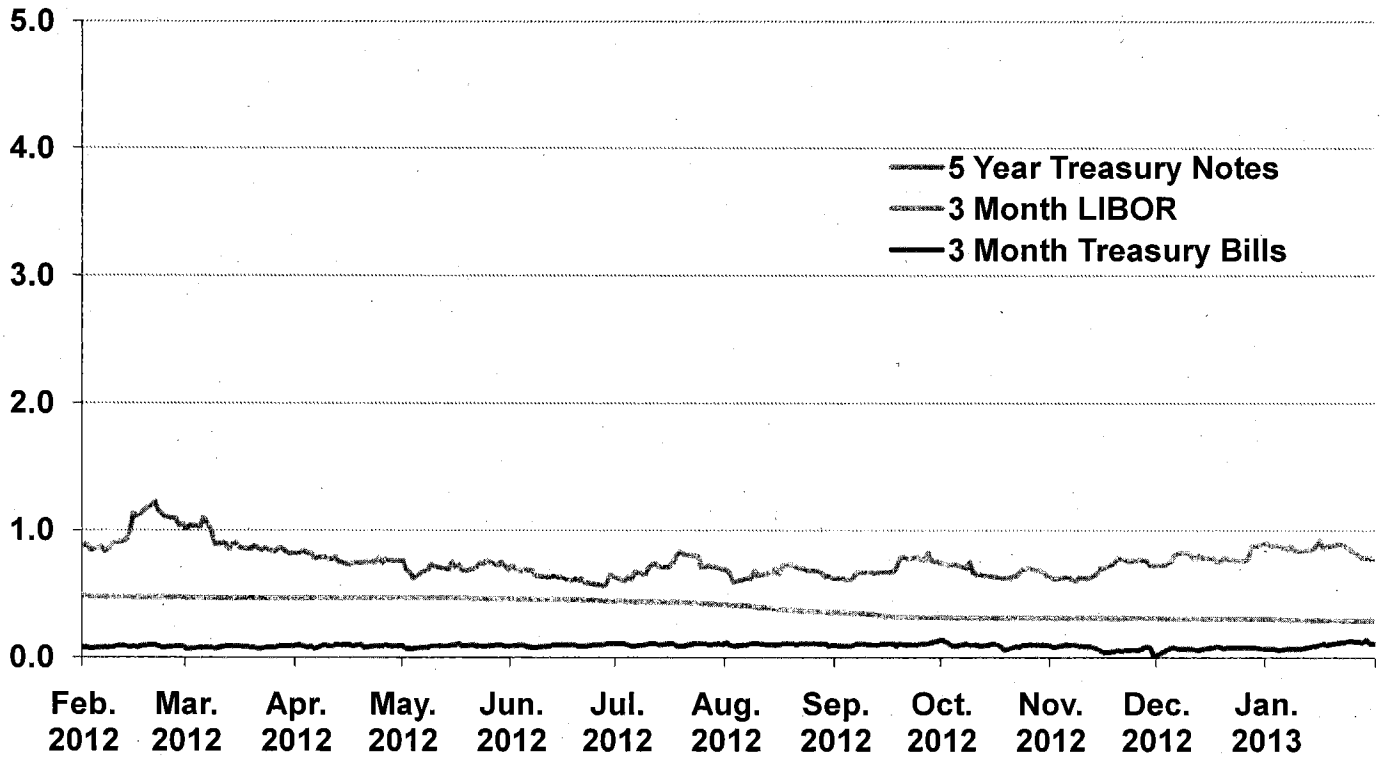
# Portfolio Analysis

## Pooled Fund



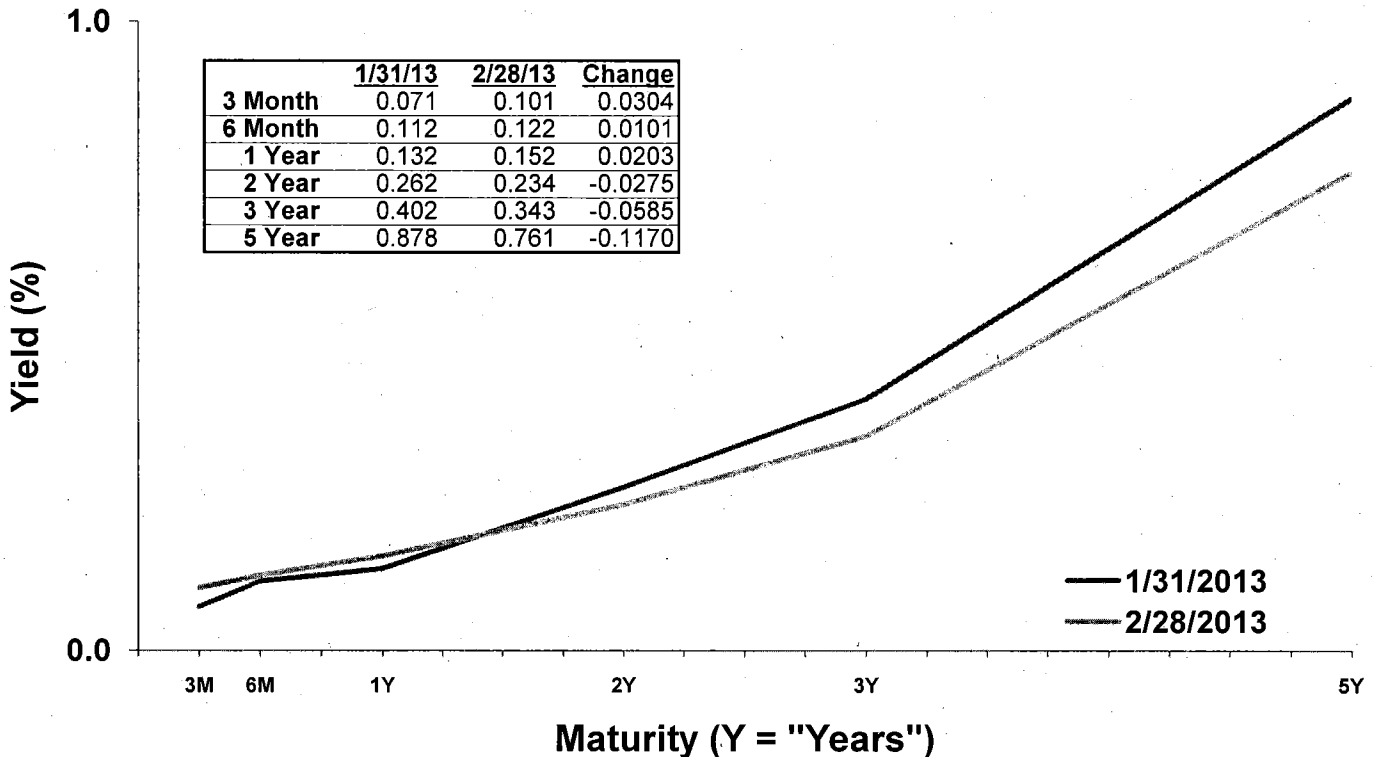
# Yield Curves

## Yields (%) on Benchmark Indices



Source: Bloomberg

## U.S. Treasury Yield Curves



Source: Bloomberg

# Investment Inventory

## Pooled Fund

As of February 28, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
U.S. Treasuries	912828JT8	US TSY NT	6/1/11	11/30/13	0.75	2.00	\$ 25,000,000	\$ 25,851,563	\$ 25,255,562	\$ 25,341,750
U.S. Treasuries	912828PQ7	US TSY NT	6/1/11	1/15/14	0.88	1.00	25,000,000	25,226,563	25,075,600	25,180,750
U.S. Treasuries	912828LC2	US TSY NT	6/1/11	7/31/14	1.40	2.63	25,000,000	26,382,813	25,618,438	25,854,500
U.S. Treasuries	912828MW7	US TSY NT	2/24/12	3/31/15	2.02	2.50	50,000,000	53,105,469	52,086,787	52,332,000
U.S. Treasuries	912828PE4	US TSY NT	12/23/11	10/31/15	2.62	1.25	25,000,000	25,609,375	25,421,542	25,619,250
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	2.70	1.38	50,000,000	49,519,531	49,733,486	51,426,000
U.S. Treasuries	912828P3	US TSY NT	12/16/10	11/30/15	2.70	1.38	50,000,000	49,519,531	49,733,486	51,426,000
U.S. Treasuries	912828P3	US TSY NT	12/23/10	11/30/15	2.70	1.38	50,000,000	48,539,063	49,186,477	51,426,000
U.S. Treasuries	912828QF0	US TSY NT	3/15/12	4/30/16	3.07	2.00	50,000,000	52,199,219	51,686,992	52,527,500
U.S. Treasuries	912828RJ1	US TSY NT	10/11/11	9/30/16	3.51	1.00	75,000,000	74,830,078	74,877,518	76,412,250
U.S. Treasuries	912828S0	US TSY NT	3/14/12	2/28/17	3.94	0.88	100,000,000	99,695,313	99,754,501	101,273,000
U.S. Treasuries	912828S0	US TSY NT	3/21/12	2/28/17	3.94	0.88	25,000,000	24,599,609	24,676,138	25,318,250
U.S. Treasuries	912828S0	US TSY NT	3/21/12	2/28/17	3.94	0.88	25,000,000	24,599,609	24,676,138	25,318,250
U.S. Treasuries	912828SM3	US TSY NT	4/4/12	3/31/17	4.00	1.00	50,000,000	49,835,938	49,865,742	50,859,500
U.S. Treasuries	912828TM2	US TSY NT	9/17/12	8/31/17	4.44	0.63	60,000,000	59,807,813	59,825,342	59,920,200
U.S. Treasuries	912828TS9	US TSY NT	10/18/12	9/30/17	4.51	0.63	75,000,000	74,636,461	74,665,123	74,812,500
U.S. Treasuries	912828UA6	US TSY NT	12/18/12	11/30/17	4.68	0.63	50,000,000	49,820,141	49,828,027	49,801,000
U.S. Treasuries	912828UE8	US TSY NT	12/31/12	12/31/17	4.75	0.75	75,000,000	74,958,984	74,960,332	75,070,500
U.S. Treasuries	912828UE8	US TSY NT	1/4/13	12/31/17	4.75	0.75	50,000,000	49,890,862	49,894,344	50,047,000
<b>Subtotals</b>					<b>3.50</b>	<b>1.15</b>	<b>\$ 935,000,000</b>	<b>\$ 938,627,933</b>	<b>\$ 936,821,576</b>	<b>\$ 949,966,200</b>
Federal Agencies	31331KM31	FFCB FLT T-BILL+22	12/12/11	5/1/13	0.17	0.31	\$ 20,000,000	\$ 20,002,800	\$ 20,000,338	\$ 20,006,800
Federal Agencies	3137EABM0	FHLMC BONDS	5/13/11	6/28/13	0.33	3.75	25,000,000	26,608,250	25,246,309	25,299,000
Federal Agencies	3134G2B50	FHLMC FRN FF+23	9/1/11	9/3/13	0.51	0.38	50,000,000	49,979,500	49,994,798	50,056,500
Federal Agencies	313380NQ6	FHLB FLT NT FF+5	12/4/12	9/6/13	0.52	0.20	50,000,000	50,005,750	50,003,938	50,012,000
Federal Agencies	3134G2K43	FHLMC FLT NT FF+21	9/13/11	9/12/13	0.54	0.36	50,000,000	49,969,500	49,991,853	50,053,500
Federal Agencies	31315PLT4	FARMER MAC	12/6/10	12/6/13	0.77	1.25	35,000,000	34,951,700	34,987,661	35,256,200
Federal Agencies	313379QY8	FHLB FLT NT FF+9	11/30/12	12/20/13	0.81	0.24	25,000,000	25,012,022	25,009,180	25,013,000
Federal Agencies	313379QY8	FHLB FLT NT FF+9	12/12/12	12/20/13	0.81	0.24	45,000,000	45,020,967	45,016,526	45,023,400
Federal Agencies	31331J6A6	FFCB	12/23/10	12/23/13	0.82	1.30	22,000,000	21,993,125	21,998,137	22,196,020
Federal Agencies	313371UC8	FHLB	11/18/10	12/27/13	0.83	0.88	40,000,000	39,928,000	39,980,906	40,221,600
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	1.02	0.30	25,000,000	24,985,000	24,994,964	25,034,750
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	1.01	0.30	25,000,000	24,992,500	24,997,482	25,034,750
Federal Agencies	313379RV3	FHLB FLT NT FF+12	6/11/12	3/11/14	1.03	0.27	50,000,000	49,986,700	49,992,183	50,040,000
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	11/10/10	3/21/14	1.05	1.35	24,500,000	24,564,827	24,500,000	24,743,530
Federal Agencies	31315PHX0	FARMER MAC MTN	4/10/12	6/5/14	1.25	3.15	14,080,000	14,878,195	14,548,153	14,561,677
Federal Agencies	3133XWE70	FHLB TAP	5/15/12	6/13/14	1.27	2.50	48,000,000	50,088,480	49,290,510	49,393,440
Federal Agencies	3133724E1	FHLB	12/31/10	6/30/14	1.32	1.21	50,000,000	50,000,000	50,000,000	50,646,000
Federal Agencies	3137EACU1	FHLMC BONDS	6/2/11	7/30/14	1.42	1.00	75,000,000	74,946,000	74,975,854	75,822,000
Federal Agencies	3134G2UA8	FHLMC NT	12/1/11	8/20/14	1.47	1.00	28,000,000	28,247,744	28,133,976	28,300,440
Federal Agencies	31398A3G5	FNMA EX-CALL NT	4/4/12	9/8/14	1.51	1.50	13,200,000	13,515,216	13,397,587	13,417,140
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	12/12/11	11/21/14	1.72	0.54	26,500,000	26,523,585	26,513,822	26,643,100
Federal Agencies	31331J4S9	FFCB	12/16/10	12/8/14	1.76	1.40	24,000,000	23,988,000	23,994,657	24,470,400
Federal Agencies	31331J4S9	FFCB	12/8/10	12/8/14	1.76	1.40	19,000,000	18,956,680	18,980,816	19,372,400
Federal Agencies	313371W51	FHLB	12/8/10	12/12/14	1.77	1.25	75,000,000	74,391,000	74,729,380	76,135,500
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.75	2.75	25,400,000	26,848,308	26,037,060	26,520,140
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.75	2.75	2,915,000	3,079,668	2,987,432	3,043,552
Federal Agencies	3133XVNU1	FHLB	12/8/10	12/12/14	1.75	2.75	50,000,000	52,674,000	51,188,242	52,205,000
Federal Agencies	313371W93	FHLB	12/15/10	12/15/14	1.78	1.34	75,000,000	75,000,000	75,000,000	76,394,250

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Federal Agencies	3136FTVNG	FNMA FLT QTR FF+35	12/15/11	12/15/14	1.79	0.50	75,000,000	75,000,000	75,000,000	75,362,250
Federal Agencies	3135G0GM9	FNMA CALL NT	12/23/11	12/23/14	1.81	0.83	25,000,000	25,040,000	25,016,252	25,082,250
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.81	1.72	27,175,000	27,157,065	27,166,800	27,864,430
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.81	1.72	65,000,000	64,989,600	64,995,245	66,649,050
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	9/4/12	3/4/15	2.01	0.28	100,000,000	99,924,300	99,939,091	100,099,000
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	4/30/12	4/27/15	2.16	0.22	50,000,000	49,992,600	49,994,667	50,009,000
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	5/3/12	5/1/15	2.17	0.40	50,000,000	50,000,000	50,000,000	50,177,500
Federal Agencies	3133EANJ3	FFCB BD	5/1/12	5/1/15	2.16	0.50	50,000,000	49,944,000	49,959,547	50,161,500
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	6/8/12	5/14/15	2.21	0.21	50,000,000	49,985,500	49,989,105	50,000,500
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	12/5/12	6/22/15	2.31	0.22	50,000,000	49,987,300	49,988,476	50,006,000
Federal Agencies	3137EACM9	FHLMC BONDS	12/15/10	9/10/15	2.47	1.75	50,000,000	49,050,000	49,493,150	51,704,500
Federal Agencies	313370JB5	FHLB	12/15/10	9/11/15	2.47	1.75	75,000,000	73,587,000	74,245,747	77,540,250
Federal Agencies	31315PGT0	FARMER MAC	9/15/10	9/15/15	2.47	2.13	45,000,000	44,914,950	44,956,776	46,606,950
Federal Agencies	31398A3T7	FNMA NT EX-CALL	10/14/11	9/21/15	2.49	2.00	25,000,000	25,881,000	25,572,221	26,012,750
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	11/30/12	9/22/15	2.56	0.23	27,953,000	27,941,120	27,942,174	27,951,323
Federal Agencies	31398A4M1	FNMA	12/15/10	10/26/15	2.60	1.63	25,000,000	24,317,500	24,627,622	25,799,000
Federal Agencies	31398A4M1	FNMA	12/23/10	10/26/15	2.60	1.63	42,000,000	40,924,380	41,410,478	43,342,320
Federal Agencies	31331J2S1	FFCB	12/15/10	11/16/15	2.66	1.50	25,000,000	24,186,981	24,552,093	25,748,750
Federal Agencies	3134G3V23	FHLMC CALL NT	11/20/12	11/20/15	2.71	0.53	25,000,000	25,000,000	25,000,000	25,015,000
Federal Agencies	313371ZY5	FHLB	12/3/10	12/11/15	2.72	1.88	25,000,000	24,982,000	24,990,038	26,069,250
Federal Agencies	313371ZY5	FHLB	12/14/10	12/11/15	2.72	1.88	50,000,000	49,871,500	49,928,454	52,138,500
Federal Agencies	313375RN9	FHLB NT	4/13/12	3/11/16	2.99	1.00	22,200,000	22,357,620	22,322,078	22,601,376
Federal Agencies	3133EAJU3	FFCB NT	4/12/12	3/28/16	3.03	1.05	25,000,000	25,220,750	25,171,440	25,474,000
Federal Agencies	3133792Z1	FHLB NT	4/18/12	4/18/16	3.10	0.81	20,000,000	19,992,200	19,993,892	20,212,400
Federal Agencies	3135G0RZ8	FNMA CALL NT	11/30/12	5/26/16	3.22	0.55	22,540,000	22,541,377	22,541,377	22,528,054
Federal Agencies	313373ZN5	FHLB	6/6/11	6/6/16	3.17	2.03	35,000,000	35,000,000	35,000,000	36,725,150
Federal Agencies	31315PB73	FAMCA NT	2/9/12	6/9/16	3.23	0.90	10,000,000	10,000,000	10,000,000	10,157,000
Federal Agencies	31315PA25	FAMCA NT	7/27/11	7/27/16	3.31	2.00	15,000,000	14,934,750	14,955,571	15,676,800
Federal Agencies	313370TW8	FHLB BD	10/11/11	9/9/16	3.40	2.00	25,000,000	25,727,400	25,521,945	26,346,250
Federal Agencies	3135G0CM3	FNMA NT	10/11/11	9/28/16	3.50	1.25	25,000,000	24,856,450	24,896,571	25,598,000
Federal Agencies	3134G3P38	FHLMC NT CALL	12/14/12	10/5/16	3.55	0.75	75,000,000	75,179,063	75,130,078	75,041,250
Federal Agencies	3135G0ES8	FNMA NT	12/14/11	11/15/16	3.62	1.38	50,000,000	50,309,092	50,232,936	51,503,500
Federal Agencies	313381GA7	FHLB NT	11/30/12	11/30/16	3.71	0.57	23,100,000	23,104,389	23,104,116	23,048,025
Federal Agencies	313371PV2	FHLB NT	12/6/12	12/9/16	3.67	1.63	52,500,000	54,683,475	54,556,702	54,570,600
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.79	0.63	13,500,000	13,500,000	13,500,000	13,468,950
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.79	0.63	9,000,000	9,000,000	9,000,000	8,979,300
Federal Agencies	3136FTUZ0	FNMA CALL NT	12/30/11	12/30/16	3.74	1.40	50,000,000	49,975,000	49,980,843	50,473,500
Federal Agencies	3134G33C2	FHLMC NT	1/3/13	1/3/17	3.81	0.60	50,000,000	50,000,000	50,000,000	49,899,000
Federal Agencies	3133ECB37	FFCB NT	12/20/12	1/12/17	3.83	0.58	14,000,000	14,000,000	14,000,000	13,965,700
Federal Agencies	31315PWW5	FARMER MAC MTN	5/4/12	1/17/17	3.82	1.01	49,500,000	49,475,250	49,479,584	50,187,060
Federal Agencies	3136FTL31	FNMA STEP BD CALL	4/30/12	2/7/17	3.89	0.75	30,765,000	30,872,678	30,821,996	30,916,056
Federal Agencies	3133786Q9	FHLB NT	1/10/13	2/13/17	3.89	1.00	67,780,000	68,823,225	68,797,591	68,863,124
Federal Agencies	3137EADC0	FHLMC NT	3/12/12	3/8/17	3.94	1.00	50,000,000	49,697,500	49,756,273	50,603,000
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.96	0.88	14,845,000	14,698,035	14,726,557	14,950,400
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.96	0.88	55,660,000	55,157,087	55,254,692	56,055,186
Federal Agencies	3136FTZ77	FNMA STR NT	3/13/12	3/13/17	3.95	1.00	50,000,000	50,000,000	50,000,000	50,013,500
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	4.01	1.26	12,500,000	12,439,250	12,450,063	12,718,750
Federal Agencies	3134G3TR1	FHLMC MTN CALL	4/12/12	4/12/17	4.00	1.45	30,000,000	30,000,000	30,000,000	29,970,300
Federal Agencies	3136G0CC3	FNMA STRNT	4/18/12	4/18/17	4.06	0.85	30,000,000	30,000,000	30,000,000	30,265,200
Federal Agencies	31315PUQ0	FARMER MAC MTN	4/26/12	4/26/17	4.06	1.13	10,500,000	10,500,000	10,500,000	10,649,520

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Federal Agencies	3133EAPB8	FFCB CALL NT	5/2/12	5/2/17	4.07	1.23	25,000,000	25,000,000	25,000,000	25,041,000
Federal Agencies	3135G0KP7	FNMA CALL NT	5/3/12	5/3/17	4.03	1.75	75,000,000	75,858,000	75,148,093	75,041,250
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	5/9/12	5/9/17	4.16	0.50	25,000,000	25,000,000	25,000,000	24,757,250
Federal Agencies	3137EADF3	FHLMC NT	5/14/12	5/12/17	4.10	1.25	25,000,000	25,133,000	25,111,781	25,549,000
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	6/11/12	5/23/17	4.16	0.85	50,000,000	50,290,500	50,183,044	50,348,000
Federal Agencies	31315PZQ5	FARMER MAC MTN	12/28/12	6/5/17	4.17	1.11	9,000,000	9,128,513	9,123,763	9,135,180
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	6/19/12	6/19/17	4.27	0.36	50,000,000	50,000,000	50,000,000	50,002,000
Federal Agencies	3136G0ZA2	FNMA STEP NT	9/12/12	9/12/17	4.46	0.75	15,000,000	15,000,000	15,000,000	15,038,850
Federal Agencies	3136G0B59	FNMA STEP NT	9/20/12	9/20/17	4.48	0.70	64,750,000	64,750,000	64,750,000	64,874,968
Federal Agencies	3136G0D81	FNMA STEP NT	9/27/12	9/27/17	4.50	0.72	100,000,000	100,000,000	100,000,000	100,375,000
Federal Agencies	3136G0Y39	FNMA STEP NT	11/8/12	11/8/17	4.63	0.63	50,000,000	50,000,000	50,000,000	50,150,500
Federal Agencies	3135G0RT2	FNMA NT	1/10/13	12/20/17	4.71	0.88	50,000,000	49,941,806	49,944,091	50,054,000
Federal Agencies	3135G0RT2	FNMA GLOBAL	1/29/13	12/20/17	4.71	0.88	100,000,000	99,385,532	99,397,842	100,108,000
Federal Agencies	3136G13T4	FNMA STEP NT	12/26/12	12/26/17	4.75	0.75	39,000,000	39,000,000	39,000,000	39,124,020
Federal Agencies	3136G13Q0	FNMA STEP NT	12/26/12	12/26/17	4.75	0.75	29,000,000	29,000,000	29,000,000	29,100,630
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.69	1.25	33,600,000	33,991,272	33,944,777	33,919,200
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.69	1.25	50,000,000	50,605,000	50,533,108	50,475,000
Federal Agencies	3134G32M1	FHLMC CALL NT	12/28/12	12/28/17	4.72	1.00	50,000,000	50,000,000	50,000,000	49,776,000
<b>Subtotals</b>					<b>2.82</b>	<b>1.06</b>	<b>\$ 3,748,463,000</b>	<b>\$ 3,757,942,524</b>	<b>\$ 3,754,090,501</b>	<b>\$ 3,792,586,959</b>
State/Local Agencies	130583ER4	CALIFORNIA SCHOOL CASH PROG	7/2/12	3/1/13	0.00	2.00	\$ 6,435,000	\$ 6,510,032	\$ 6,435,000	\$ 6,435,000
State/Local Agencies	130583ET0	CALIFORNIA SCHOOL CASH PROG	7/2/12	6/3/13	0.26	2.00	6,200,000	6,298,952	6,227,683	6,227,094
State/Local Agencies	107889RL3	TOWNSHIP OF BRICK NJ BAN	7/26/12	7/26/13	0.41	1.00	23,915,000	24,033,858	23,962,869	23,978,614
State/Local Agencies	022168KZ0	ALUM ROCK ESD SAN JOSE CA	7/13/12	9/1/13	0.51	0.80	1,665,000	1,665,000	1,665,000	1,665,250
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	3/29/12	3/15/14	1.03	2.61	15,000,000	15,606,300	15,320,933	15,342,000
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	1.03	2.61	11,115,000	11,542,594	11,366,253	11,368,422
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	1.03	2.61	8,150,000	8,463,531	8,334,230	8,335,820
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/2/12	4/1/14	1.06	5.25	2,820,000	3,044,359	2,947,105	2,944,390
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	7/24/12	8/1/14	1.42	0.75	1,125,000	1,125,000	1,125,000	1,130,366
State/Local Agencies	64966DPC7	NEW YORK CITY GO	6/7/12	11/1/14	1.61	4.75	8,000,000	8,774,720	8,538,859	8,562,560
<b>Subtotals</b>					<b>0.78</b>	<b>2.31</b>	<b>\$ 84,425,000</b>	<b>\$ 87,064,345</b>	<b>\$ 85,922,930</b>	<b>\$ 85,989,516</b>
Public Time Deposits		BANK OF THE WEST PTD	4/9/12	4/9/13	0.11	0.53	\$ 240,000	\$ 240,000	\$ 240,000	\$ 240,000
Public Time Deposits		SAN FRANCISCO FCU PTD	4/9/12	4/9/13	0.11	0.53	240,000	240,000	240,000	240,000
Public Time Deposits		BANK OF SAN FRANCISCO PTD	5/18/12	4/9/13	0.11	0.53	240,000	240,000	240,000	240,000
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PTI	8/3/12	4/9/13	0.11	0.50	240,000	240,000	240,000	240,000
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	2/7/13	2/7/14	0.94	0.48	240,000	240,000	240,000	240,000
<b>Subtotals</b>					<b>0.28</b>	<b>0.51</b>	<b>\$ 1,200,000</b>	<b>\$ 1,200,000</b>	<b>\$ 1,200,000</b>	<b>\$ 1,200,000</b>
Negotiable CDs	60682AAX4	MITSUBISHI UFJ FIN GRP YCD	9/12/12	3/12/13	0.03	0.44	\$ 50,000,000	\$ 50,000,000	\$ 50,000,000	\$ 49,998,625
Negotiable CDs	06417ER96	BANK OF NOVA SCOTIA YCD	4/26/12	3/21/13	0.06	0.46	50,000,000	50,000,000	50,000,000	49,997,500
Negotiable CDs	60682ACJ3	MITSUBISHI UFJ YCD	12/6/12	6/4/13	0.26	0.31	50,000,000	50,000,000	50,000,000	49,977,569
Negotiable CDs	06417E2P7	BANK OF NOVA SCOTIA FF+38	6/7/12	6/7/13	0.28	0.52	25,000,000	25,000,000	25,000,000	25,024,272
Negotiable CDs	06417FAY6	BANK OF NOVA SCOTIA YCD	9/4/12	8/30/13	0.50	0.38	50,000,000	50,000,000	50,000,000	49,921,639
<b>Subtotals</b>					<b>0.22</b>	<b>0.41</b>	<b>\$ 225,000,000</b>	<b>\$ 225,000,000</b>	<b>\$ 225,000,000</b>	<b>\$ 224,919,605</b>
Commercial Paper	06538BQ63	BANK OF TOKYO MITSUBISHI NY CI	2/27/13	3/6/13	0.00	0.00	\$ 200,000,000	\$ 199,993,389	\$ 199,993,389	\$ 199,994,722
Commercial Paper	9113AQC35	UNITED POSTAL SERVICE CP	2/27/13	3/12/13	0.03	0.00	100,000,000	99,997,776	99,997,776	99,994,194
Commercial Paper	24423EQS0	JOHN DEERE BANK CP	2/27/13	3/26/13	0.07	0.00	8,200,000	8,199,201	8,199,201	8,198,918
Commercial Paper	91411SSM3	UNIVERSITY OF CALIFORNIA CP	2/27/13	5/21/13	0.23	0.00	11,000,000	10,995,689	10,995,689	10,994,308

# Investment Inventory

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
Commercial Paper	89233GSU1	TOYOTA CP	8/31/12	5/28/13	0.24	0.00	50,000,000	49,838,750	49,838,750	49,971,889
<b>Subtotals</b>					<b>0.05</b>	<b>0.00</b>	<b>\$ 369,200,000</b>	<b>\$ 369,024,804</b>	<b>\$ 369,024,804</b>	<b>\$ 369,154,031</b>
Medium Term Notes	592179JG1	MET LIFE GLOBAL FUNDING MTN	9/6/12	4/10/13	0.11	5.13	\$ 3,710,000	\$ 3,815,909	\$ 3,729,613	\$ 3,727,474
Medium Term Notes	36962G3T9	GE MTN	6/12/12	5/1/13	0.17	4.80	17,648,000	18,300,800	17,771,284	17,772,065
Medium Term Notes	78008KNA7	RBC MTN	1/30/13	1/15/14	0.88	1.13	30,580,000	30,834,357	30,813,783	30,795,283
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	11/13/12	6/10/14	1.25	5.13	10,000,000	10,725,948	10,589,359	10,576,900
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	1/28/13	12/5/14	1.76	0.48	10,000,000	10,011,774	10,011,552	10,010,500
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	1/10/13	1/9/15	1.85	0.69	25,000,000	25,000,000	25,000,000	25,023,500
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	1/22/13	1/22/15	1.89	0.50	100,000,000	100,000,000	100,000,000	99,088,000
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	1/23/13	1/23/15	1.89	0.47	35,000,000	35,000,000	35,000,000	35,033,600
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	2/4/13	2/4/15	1.93	0.50	25,000,000	25,000,000	25,000,000	24,651,250
<b>Subtotals</b>					<b>1.59</b>	<b>1.14</b>	<b>\$ 256,938,000</b>	<b>\$ 258,688,788</b>	<b>\$ 257,915,591</b>	<b>\$ 256,678,573</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	2/28/13	3/1/13	0.00	0.05	\$ 205,016,269	\$ 205,016,269	\$ 205,016,269	\$ 205,016,269
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	2/28/13	3/1/13	0.00	0.01	50,000,000	50,000,000	50,000,000	50,000,000
<b>Subtotals</b>					<b>0.00</b>	<b>0.04</b>	<b>\$ 255,016,269</b>	<b>\$ 255,016,269</b>	<b>\$ 255,016,269</b>	<b>\$ 255,016,269</b>
<b>Grand Totals</b>					<b>2.44</b>	<b>0.96</b>	<b>\$ 5,875,242,269</b>	<b>\$ 5,892,564,664</b>	<b>\$ 5,884,991,671</b>	<b>\$ 5,935,511,153</b>



# Monthly Investment Earnings

## Pooled Fund

For month ended February 28, 2013

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle	Maturity	Earned	Amort.	Realized	Earned Income
						Date	Date	Interest	Expense	Gain/(Loss)	/Net Earnings
U.S. Treasuries	912828JT8	US TSY NT	\$ 25,000,000	2.00	0.62	6/1/11	11/30/13	\$ 38,462	\$ (26,116)	\$ -	\$ 12,346
U.S. Treasuries	912828PQ7	US TSY NT	25,000,000	1.00	0.65	6/1/11	1/15/14	19,337	(6,615)	-	12,722
U.S. Treasuries	912828LC2	US TSY NT	25,000,000	2.63	0.85	6/1/11	7/31/14	50,760	(33,494)	-	17,266
U.S. Treasuries	912828MW7	US TSY NT	50,000,000	2.50	0.48	2/24/12	3/31/15	96,154	(76,882)	-	19,272
U.S. Treasuries	912828PE4	US TSY NT	25,000,000	1.25	0.61	12/23/11	10/31/15	24,171	(12,118)	-	12,053
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	52,885	7,433	-	60,317
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	52,885	7,433	-	60,317
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	2.00	12/23/10	11/30/15	52,885	22,688	-	75,573
U.S. Treasuries	912828QF0	US TSY NT	50,000,000	2.00	0.91	3/15/12	4/30/16	77,348	(40,861)	-	36,487
U.S. Treasuries	912828RJ1	US TSY NT	75,000,000	1.00	1.05	10/11/11	9/30/16	57,692	2,620	-	60,312
U.S. Treasuries	912828SJ0	US TSY NT	100,000,000	0.88	0.94	3/14/12	2/28/17	67,640	4,708	-	72,348
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	16,910	6,211	-	23,121
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	16,910	6,211	-	23,121
U.S. Treasuries	912828SM3	US TSY NT	50,000,000	1.00	1.07	4/4/12	3/31/17	38,462	2,521	-	40,983
U.S. Treasuries	912828TM2	US TSY NT	60,000,000	0.63	0.69	9/17/12	8/31/17	28,989	2,975	-	31,963
U.S. Treasuries	912828TS9	US TSY NT	75,000,000	0.63	0.73	10/18/12	9/30/17	36,058	5,989	-	42,047
U.S. Treasuries	912828UA6	US TSY NT	50,000,000	0.63	0.71	12/18/12	11/30/17	24,038	3,025	-	27,063
U.S. Treasuries	912828UE8	US TSY NT	75,000,000	0.75	0.76	12/31/12	12/31/17	43,508	629	-	44,137
U.S. Treasuries	912828UE8	US TSY NT	50,000,000	0.75	0.80	1/4/13	12/31/17	29,006	1,741	-	30,746
<b>Subtotals</b>			<b>\$ 935,000,000</b>					<b>\$ 824,098</b>	<b>\$ (121,903)</b>	<b>\$ -</b>	<b>\$ 702,195</b>
Federal Agencies	31331KM31	FFCB FLT T-BILL+22	\$ 20,000,000	0.31	0.25	12/12/11	5/1/13	\$ 4,785	\$ (155)	\$ -	\$ 4,630
Federal Agencies	3137EABM0	FHLMC BONDS	25,000,000	3.75	0.69	5/13/11	6/28/13	78,125	(57,955)	-	20,170
Federal Agencies	3134G2B50	FHLMC FRN FF+23	50,000,000	0.38	0.45	9/1/11	9/3/13	14,583	783	-	15,366
Federal Agencies	313380NQ6	FHLB FLT NT FF+5	50,000,000	0.20	0.18	12/4/12	9/6/13	7,681	(583)	-	7,097
Federal Agencies	3134G2K43	FHLMC FLT NT FF+21	50,000,000	0.36	0.46	9/13/11	9/12/13	13,806	1,170	-	14,975
Federal Agencies	31315PLT4	FARMER MAC	35,000,000	1.25	1.30	12/6/10	12/6/13	36,458	1,234	-	37,692
Federal Agencies	313379QY8	FHLB FLT NT FF+9	25,000,000	0.24	0.18	11/30/12	12/20/13	4,569	(874)	-	3,695
Federal Agencies	313379QY8	FHLB FLT NT FF+9	45,000,000	0.24	0.18	12/12/12	12/20/13	8,225	(1,574)	-	6,651
Federal Agencies	31331J6A6	FFCB	22,000,000	1.30	1.31	12/23/10	12/23/13	23,833	176	-	24,009
Federal Agencies	313371UC8	FHLB	40,000,000	0.88	0.93	11/18/10	12/27/13	29,167	1,776	-	30,943
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.30	0.36	3/4/11	3/4/14	5,811	383	-	6,194
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.30	0.33	3/4/11	3/4/14	5,811	192	-	6,003
Federal Agencies	313379RV3	FHLB FLT NT FF+12	50,000,000	0.27	0.29	6/11/12	3/11/14	10,306	584	-	10,889
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	24,500,000	1.35	1.27	11/10/10	3/21/14	27,563	-	-	27,563
Federal Agencies	31315PHX0	FARMER MAC MTN	14,080,000	3.15	0.50	4/10/12	6/5/14	36,960	(28,434)	-	8,526
Federal Agencies	3133XWE70	FHLB TAP	48,000,000	2.50	0.40	5/15/12	6/13/14	100,000	(77,045)	-	22,955
Federal Agencies	3133XWE70	FHLB TAP	-	2.50	0.40	6/11/12	6/13/14	41,667	672,415	(593,500)	120,581
Federal Agencies	3133724E1	FHLB	50,000,000	1.21	1.21	12/31/10	6/30/14	50,417	-	-	50,417
Federal Agencies	3137EACU1	FHLMC BONDS	75,000,000	1.00	1.02	6/2/11	7/30/14	62,500	1,310	-	63,810
Federal Agencies	3134G2UA8	FHLMC NT	28,000,000	1.00	0.67	12/1/11	8/20/14	23,333	(6,986)	-	16,348
Federal Agencies	31398A3G5	FNMA EX-CALL NT	13,200,000	1.50	0.51	4/4/12	9/8/14	16,500	(9,950)	-	6,550
Federal Agencies	3136FTFR8	FNMA FLT QTR FF+39	26,500,000	0.54	0.49	12/12/11	11/21/14	10,902	(614)	-	10,288
Federal Agencies	31331J4S9	FFCB	24,000,000	1.40	1.41	12/16/10	12/8/14	28,000	231	-	28,231
Federal Agencies	31331J4S9	FFCB	19,000,000	1.40	1.46	12/8/10	12/8/14	22,167	830	-	22,997
Federal Agencies	313371W51	FHLB	75,000,000	1.25	1.46	12/8/10	12/12/14	78,125	11,640	-	89,765
Federal Agencies	3133XVNU1	FHLB	25,400,000	2.75	1.30	11/23/10	12/12/14	58,208	(27,400)	-	30,808
Federal Agencies	3133XVNU1	FHLB	2,915,000	2.75	1.31	11/23/10	12/12/14	6,680	(3,115)	-	3,565
Federal Agencies	3133XVNU1	FHLB	50,000,000	2.75	1.37	12/8/10	12/12/14	114,583	(51,107)	-	63,476

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	313371W93	FHLB	75,000,000	1.34	1.34	12/15/10	12/15/14	83,750	-	-	83,750
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	75,000,000	0.50	0.50	12/15/11	12/15/14	30,938	-	-	30,938
Federal Agencies	3135G0GM9	FNMA CALL NT	25,000,000	0.83	0.77	12/23/11	12/23/14	17,188	(1,532)	-	15,655
Federal Agencies	31331J6Q1	FFCB	27,175,000	1.72	1.74	12/29/10	12/29/14	38,951	344	-	39,295
Federal Agencies	31331J6Q1	FFCB	65,000,000	1.72	1.72	12/29/10	12/29/14	93,167	199	-	93,366
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	100,000,000	0.28	0.32	9/4/12	3/4/15	22,054	2,327	-	24,380
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	50,000,000	0.22	0.22	4/30/12	4/27/15	8,502	190	-	8,692
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	50,000,000	0.40	0.40	5/3/12	5/1/15	16,796	-	-	16,796
Federal Agencies	3133EANJ3	FFCB BD	50,000,000	0.50	0.54	5/1/12	5/1/15	20,833	1,432	-	22,265
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	50,000,000	0.21	0.22	6/8/12	5/14/15	8,295	379	-	8,674
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	50,000,000	0.22	0.23	12/5/12	6/22/15	8,605	383	-	8,987
Federal Agencies	3137EACM9	FHLMC BONDS	50,000,000	1.75	2.17	12/15/10	9/10/15	72,917	15,376	-	88,292
Federal Agencies	313370JB5	FHLB	75,000,000	1.75	2.31	12/15/10	9/11/15	109,375	22,856	-	132,231
Federal Agencies	31315PGT0	FARMER MAC	45,000,000	2.13	2.17	9/15/10	9/15/15	79,688	1,304	-	80,992
Federal Agencies	31398A3T7	FNMA NT EX-CALL	25,000,000	2.00	1.08	10/14/11	9/21/15	41,667	(17,154)	-	24,512
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	27,953,000	0.23	0.24	11/30/12	9/22/15	4,919	324	-	5,243
Federal Agencies	31398A4M1	FNMA	25,000,000	1.63	2.22	12/15/10	10/26/15	33,854	10,760	-	44,614
Federal Agencies	31398A4M1	FNMA	42,000,000	1.63	2.19	12/23/10	10/26/15	56,875	17,035	-	73,910
Federal Agencies	31331J2S1	FFCB	25,000,000	1.50	2.20	12/15/10	11/16/15	31,250	12,668	-	43,918
Federal Agencies	3134G3V23	FHLMC CALL NT	25,000,000	0.53	0.53	11/20/12	11/20/15	11,042	-	-	11,042
Federal Agencies	313371ZY5	FHLB	25,000,000	1.88	1.89	12/3/10	12/11/15	39,063	275	-	39,337
Federal Agencies	313371ZY5	FHLB	50,000,000	1.88	1.93	12/14/10	12/11/15	78,125	1,974	-	80,099
Federal Agencies	313375RN9	FHLB NT	22,200,000	1.00	0.82	4/13/12	3/11/16	18,500	(3,091)	-	15,409
Federal Agencies	3133EAJU3	FFCB NT	25,000,000	1.05	0.82	4/12/12	3/28/16	21,875	(4,275)	-	17,600
Federal Agencies	313379221	FHLB NT	20,000,000	0.81	0.82	4/18/12	4/18/16	13,500	149	-	13,649
Federal Agencies	3135G0RZ8	FNMA CALL NT	22,540,000	0.55	0.55	11/30/12	5/26/16	10,331	-	-	10,331
Federal Agencies	313373ZN5	FHLB	35,000,000	2.03	2.03	6/6/11	6/6/16	59,208	-	-	59,208
Federal Agencies	31315PB73	FAMCA NT	10,000,000	0.90	0.90	2/9/12	6/9/16	7,500	-	-	7,500
Federal Agencies	31315PA25	FAMCA NT	15,000,000	2.00	2.09	7/27/11	7/27/16	25,000	1,000	-	26,000
Federal Agencies	313370TW8	FHLB BD	25,000,000	2.00	1.39	10/11/11	9/9/16	41,667	(11,347)	-	30,320
Federal Agencies	3135G0CM3	FNMA NT	25,000,000	1.25	1.37	10/11/11	9/28/16	26,042	2,216	-	28,257
Federal Agencies	3134G3P38	FHLMC NT CALL	75,000,000	0.75	0.72	12/14/12	10/5/16	46,875	(17,813)	-	29,063
Federal Agencies	3135G0ES8	FNMA NT	50,000,000	1.38	1.25	12/14/11	11/15/16	57,292	(4,813)	-	52,478
Federal Agencies	313381GA7	FHLB NT	23,100,000	0.57	0.57	11/30/12	11/30/16	10,973	(84)	-	10,888
Federal Agencies	313371PV2	FHLB NT	52,500,000	1.63	0.57	12/6/12	12/9/16	71,094	(41,760)	-	29,333
Federal Agencies	313381KR5	FHLB NT CALL	13,500,000	0.63	0.63	12/28/12	12/28/16	7,031	-	-	7,031
Federal Agencies	313381KR5	FHLB NT CALL	9,000,000	0.63	0.63	12/28/12	12/28/16	4,688	-	-	4,688
Federal Agencies	3136FTUZ0	FNMA CALL NT	50,000,000	1.40	1.41	12/30/11	12/30/16	58,333	383	-	58,716
Federal Agencies	3134G33C2	FHLMC NT	50,000,000	0.60	0.60	1/3/13	1/3/17	25,000	-	-	25,000
Federal Agencies	3133ECB37	FFCB NT	14,000,000	0.58	0.58	12/20/12	1/12/17	6,767	-	-	6,767
Federal Agencies	31315PWW5	FARMER MAC MTN	49,500,000	1.01	1.02	5/4/12	1/17/17	41,663	403	-	42,066
Federal Agencies	3136FTL31	FNMA STEP BD CALL	30,765,000	0.75	0.68	4/30/12	2/7/17	19,228	(4,653)	-	14,575
Federal Agencies	3133786Q9	FHLB NT	67,780,000	1.00	0.72	1/10/13	2/13/17	56,483	(14,355)	-	42,128
Federal Agencies	3137EADC0	FHLMC NT	50,000,000	1.00	1.13	3/12/12	3/8/17	41,667	4,649	-	46,315
Federal Agencies	3133782N0	FHLB NT	14,845,000	0.88	1.08	3/12/12	3/10/17	10,824	2,256	-	13,081
Federal Agencies	3133782N0	FHLB NT	55,660,000	0.88	1.06	3/12/12	3/10/17	40,585	7,720	-	48,306
Federal Agencies	3136FTZ77	FNMA STR NT	50,000,000	1.00	1.00	3/13/12	3/13/17	41,667	-	-	41,667
Federal Agencies	31315PTQ2	FARMER MAC MTN	12,500,000	1.26	1.36	4/10/12	4/10/17	13,125	932	-	14,057
Federal Agencies	3134G3TR1	FHLMC MTN CALL	30,000,000	1.45	1.45	4/12/12	4/12/17	36,250	-	-	36,250
Federal Agencies	3136G0CC3	FNMA STRNT	30,000,000	0.85	0.85	4/18/12	4/18/17	21,250	-	-	21,250

# Monthly Investment Earnings

## Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	31315PUQ0	FARMER MAC MTN	10,500,000	1.13	1.13	4/26/12	4/26/17	9,844	-	-	9,844
Federal Agencies	3133EAPB8	FFCB CALL NT	25,000,000	1.23	1.23	5/2/12	5/2/17	25,625	-	-	25,625
Federal Agencies	3135G0KP7	FNMA CALL NT	75,000,000	1.75	1.51	5/3/12	5/3/17	109,375	(65,819)	-	43,556
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	25,000,000	0.50	0.50	5/9/12	5/9/17	10,417	-	-	10,417
Federal Agencies	3137EADF3	FHLMC NT	25,000,000	1.25	1.14	5/14/12	5/12/17	26,042	(2,042)	-	24,000
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	50,000,000	0.85	0.73	6/11/12	5/23/17	35,417	(11,440)	-	23,976
Federal Agencies	31315PZQ5	FARMER MAC MTN	9,000,000	1.11	0.80	12/28/12	6/5/17	8,325	(2,111)	-	6,214
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	50,000,000	0.36	0.36	6/19/12	6/19/17	14,125	-	-	14,125
Federal Agencies	3136G0ZA2	FNMA STEP NT	15,000,000	0.75	0.75	9/12/12	9/12/17	9,375	-	-	9,375
Federal Agencies	3136G0B59	FNMA STEP NT	64,750,000	0.70	0.70	9/20/12	9/20/17	37,771	-	-	37,771
Federal Agencies	3136G0D81	FNMA STEP NT	100,000,000	0.72	0.72	9/27/12	9/27/17	60,000	-	-	60,000
Federal Agencies	3136G0Y39	FNMA STEP NT	50,000,000	0.63	0.63	11/8/12	11/8/17	26,042	-	-	26,042
Federal Agencies	3135G0RT2	FNMA NT	50,000,000	0.88	0.91	1/10/13	12/20/17	36,458	1,280	-	37,738
Federal Agencies	3135G0RT2	FNMA GLOBAL	100,000,000	0.88	1.02	1/29/13	12/20/17	72,917	11,119	-	84,036
Federal Agencies	3136G13T4	FNMA STEP NT	39,000,000	0.75	0.75	12/26/12	12/26/17	24,375	-	-	24,375
Federal Agencies	3136G13Q0	FNMA STEP NT	29,000,000	0.75	0.75	12/26/12	12/26/17	18,125	-	-	18,125
Federal Agencies	3134G32W9	FHLMC MTN CALL	33,600,000	1.25	1.01	12/26/12	12/26/17	35,000	(20,029)	-	14,971
Federal Agencies	3134G32W9	FHLMC MTN CALL	50,000,000	1.25	1.00	12/26/12	12/26/17	52,083	(30,969)	-	21,114
Federal Agencies	3134G32M1	FHLMC CALL NT	50,000,000	1.00	1.00	12/28/12	12/28/17	41,667	-	-	41,667
<b>Subtotals</b>			<b>\$ 3,748,463,000</b>					<b>\$ 3,316,012</b>	<b>\$ 293,575</b>	<b>\$ (593,500)</b>	<b>\$ 3,016,087</b>
State/Local Agencies	130583ER4	CALIFORNIA SCHOOL CASH PROG.	\$ 6,435,000	2.00	0.24	7/2/12	3/1/13	\$ 10,725	\$ (8,681)	\$ -	\$ 2,044
State/Local Agencies	130583ET0	CALIFORNIA SCHOOL CASH PROG.	6,200,000	2.00	0.26	7/2/12	6/3/13	10,333	(8,246)	-	2,087
State/Local Agencies	107889RL3	TOWNSHIP OF BRICK NJ BAN	23,915,000	1.00	0.50	7/26/12	7/26/13	19,929	(9,118)	-	10,811
State/Local Agencies	967244L36	CITY OF WICHITA KS	-	0.75	0.55	8/9/12	8/15/13	1,112	3,934	(8,292)	(3,247)
State/Local Agencies	022168KZ0	ALUM ROCK ESD SAN JOSE CA	1,665,000	0.80	0.80	7/13/12	9/1/13	1,110	-	-	1,110
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	15,000,000	2.61	0.53	3/29/12	3/15/14	32,563	(23,710)	-	8,852
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	11,115,000	2.61	0.42	6/8/12	3/15/14	24,129	(18,562)	-	5,567
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	8,150,000	2.61	0.42	6/8/12	3/15/14	17,692	(13,611)	-	4,082
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	2,820,000	5.25	1.04	5/2/12	4/1/14	12,338	(8,987)	-	3,350
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	1,125,000	0.75	0.75	7/24/12	8/1/14	704	-	-	704
State/Local Agencies	64966DPC7	NEW YORK CITY GO	8,000,000	4.75	0.68	6/7/12	11/1/14	31,667	(24,735)	-	6,932
<b>Subtotals</b>			<b>\$ 84,425,000</b>					<b>\$ 162,301</b>	<b>\$ (111,716)</b>	<b>\$ (8,292)</b>	<b>\$ 42,293</b>
Public Time Deposits		BANK OF THE WEST PTD	\$ 240,000	0.53	0.53	4/9/12	4/9/13	\$ 99	\$ -	\$ -	\$ 99
Public Time Deposits		SAN FRANCISCO FCU PTD	240,000	0.53	0.53	4/9/12	4/9/13	98	-	-	98
Public Time Deposits		BANK OF SAN FRANCISCO PTD	240,000	0.53	0.53	5/18/12	4/9/13	99	-	-	99
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PTI	240,000	0.50	0.50	8/3/12	4/9/13	92	-	-	92
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	240,000	0.48	0.48	2/7/13	2/7/14	69	-	-	69
<b>Subtotals</b>			<b>\$ 1,200,000</b>					<b>\$ 457</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 457</b>
Negotiable CDs	78009NDY9	RBC YCD	\$ -	0.49	0.14	1/30/13	2/8/13	\$ 562	\$ (401)	\$ -	\$ 161
Negotiable CDs	78009NDY9	RBC YCD	-	0.49	0.14	1/28/13	2/8/13	1,553	(1,107)	-	446
Negotiable CDs	78009NKN5	RBC YCD	-	0.13	0.14	1/29/13	2/19/13	325	25	-	350
Negotiable CDs	60682AAX4	MITSUBISHI UFJ FIN GRP YCD	50,000,000	0.44	0.44	9/12/12	3/12/13	17,111	-	-	17,111
Negotiable CDs	06417ER96	BANK OF NOVA SCOTIA YCD	50,000,000	0.46	0.46	4/26/12	3/21/13	17,889	-	-	17,889
Negotiable CDs	60682ACJ3	MITSUBISHI UFJ YCD	50,000,000	0.31	0.31	12/6/12	6/4/13	12,056	-	-	12,056
Negotiable CDs	06417E2P7	BANK OF NOVA SCOTIA FF+38	25,000,000	0.52	0.52	6/7/12	6/7/13	10,174	-	-	10,174
Negotiable CDs	06417FAY6	BANK OF NOVA SCOTIA YCD	50,000,000	0.38	0.38	9/4/12	8/30/13	14,778	-	-	14,778
<b>Subtotals</b>			<b>\$ 225,000,000</b>					<b>\$ 74,447</b>	<b>\$ (1,483)</b>	<b>\$ -</b>	<b>\$ 72,964</b>

## Monthly Investment Earnings Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM <sup>1</sup>	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Commercial Paper	06538BQ63	BANK OF TOKYO MITSUBISHI NY CI	\$ 200,000,000	0.00	0.17	2/27/13	3/6/13	\$ 1,889	\$ -	\$ -	\$ 1,889
Commercial Paper	9113AQC35	UNITED POSTAL SERVICE CP	100,000,000	0.00	0.09	2/27/13	3/12/13	500	-	-	500
Commercial Paper	24423EQS0	JOHN DEERE BANK CP	8,200,000	0.00	0.13	2/27/13	3/26/13	59	-	-	59
Commercial Paper	91411SSM3	UNIVERSITY OF CALIFORNIA CP	11,000,000	0.00	0.17	2/27/13	5/21/13	104	-	-	104
Commercial Paper	89233GSU1	TOYOTA CP	50,000,000	0.00	0.43	8/31/12	5/28/13	16,722	-	-	16,722
<b>Subtotals</b>			<b>\$ 369,200,000</b>					<b>\$ 19,274</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 19,274</b>
Medium Term Notes	592179JG1	MET LIFE GLOBAL FUNDING MTN	\$ 3,710,000	5.13	0.31	9/6/12	4/10/13	\$ 15,845	\$ (13,729)	\$ -	\$ 2,116
Medium Term Notes	36962G3T9	GE MTN	17,648,000	4.80	0.61	6/12/12	5/1/13	70,592	(56,589)	-	14,003
Medium Term Notes	78008KNA7	RBC MTN	30,580,000	1.13	0.30	1/30/13	1/15/14	28,669	(19,202)	-	9,467
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	10,000,000	5.13	0.49	11/13/12	6/10/14	42,708	(35,412)	-	7,296
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	10,000,000	0.48	0.45	1/28/13	12/5/14	3,737	(195)	-	3,543
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	25,000,000	0.69	0.69	1/10/13	1/9/15	13,319	-	-	13,319
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	100,000,000	0.50	0.50	1/22/13	1/22/15	41,667	-	-	41,667
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	35,000,000	0.47	0.47	1/23/13	1/23/15	12,849	-	-	12,849
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	25,000,000	0.50	0.50	2/4/13	2/4/15	9,375	-	-	9,375
<b>Subtotals</b>			<b>\$ 256,938,000</b>					<b>\$ 238,761</b>	<b>\$ (125,127)</b>	<b>\$ -</b>	<b>\$ 113,634</b>
Money Market Funds	61747C707	MS INSTL GOVT FUND	\$ 205,016,269	0.05	0.05	2/28/13	3/1/13	\$ 6,097	\$ -	\$ -	\$ 6,097
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	50,000,000	0.01	0.01	2/28/13	3/1/13	88	-	-	88
<b>Subtotals</b>			<b>\$ 255,016,269</b>					<b>\$ 6,185</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 6,185</b>
<b>Grand Totals</b>			<b>\$ 5,875,242,269</b>					<b>\$ 4,641,535</b>	<b>\$ (66,654)</b>	<b>\$ (601,792)</b>	<b>\$ 3,973,089</b>

<sup>1</sup> Yield to maturity is calculated at purchase

# Investment Transactions

## Pooled Fund

For month ended February 28, 2013

Transaction	Settle Date	Maturity	Type of Investment	Issuer Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Purchase	2/4/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	\$ 10,172	0.05	0.05	\$ 100.00	\$ -	\$ 10,172
Purchase	2/4/2013	2/4/2015	Medium Term Notes	TOYOTA MTN FIX-TO-FLOAT	89233P7L4	25,000,000	0.50	0.50	100.00	-	25,000,000
Purchase	2/7/2013	2/7/2014	Public Time Deposits	TRANS PACIFIC NATIONAL B		240,000	0.48	0.48	100.00	-	240,000
Purchase	2/27/2013	3/26/2013	Commercial Paper	JOHN DEERE BANK CP	24423EQS0	8,200,000	0.00	0.13	99.99	-	8,199,201
Purchase	2/27/2013	5/21/2013	Commercial Paper	UNIVERSITY OF CALIFORNIA	91411SSM3	11,000,000	0.00	0.17	99.96	-	10,995,689
Purchase	2/27/2013	3/12/2013	Commercial Paper	UNITED POSTAL SERVICE CP	9113AQC35	100,000,000	0.00	0.09	100.00	-	99,996,750
Purchase	2/27/2013	3/6/2013	Commercial Paper	BANK OF TOKYO MITSUBISHI	06538BQ63	200,000,000	0.00	0.17	100.00	-	199,993,389
Purchase	2/28/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	60,000,000	0.05	0.05	100.00	-	60,000,000
Purchase	2/28/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	6,097	0.05	0.05	100.00	-	6,097
Purchase	2/28/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	40,000,000	0.01	0.01	100.00	-	40,000,000
<b>Subtotals</b>						<b>\$ 444,456,269</b>	<b>0.04</b>	<b>0.14</b>	<b>\$ 100.00</b>	<b>\$ -</b>	<b>\$ 444,441,297</b>
Sale	2/12/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	\$ 30,000,000	0.05	0.05	\$ 100.00	\$ -	\$ 30,000,000
Sale	2/13/2013	6/13/2014	Federal Agencies	FHLB TAP	3133XWE70	50,000,000	2.50	0.40	104.19	208,333	51,709,333
<b>Subtotals</b>						<b>\$ 80,000,000</b>	<b>1.58</b>	<b>0.27</b>	<b>\$ 102.62</b>	<b>\$ 208,333</b>	<b>\$ 81,709,333</b>
Call	2/14/2013	8/15/2013	State/Local Agencies	CITY OF WICHITA KS	967244L36	\$ 4,105,000	0.75	0.55	\$ 100.20	\$ 15,821	\$ 4,120,821
<b>Subtotals</b>						<b>\$ 4,105,000</b>	<b>0.75</b>	<b>0.55</b>	<b>\$ 100.20</b>	<b>\$ 15,821</b>	<b>\$ 4,120,821</b>
Maturity	2/8/2013	2/8/2013	Negotiable CDs	RBC YCD	78009NDY9	\$ 5,900,000	0.49	0.14	\$ 100.01	\$ 723	\$ 5,929,746
Maturity	2/8/2013	2/8/2013	Negotiable CDs	RBC YCD	78009NDY9	16,300,000	0.49	0.14	100.01	2,440	16,382,497
Maturity	2/19/2013	2/19/2013	Negotiable CDs	RBC YCD	78009NKN5	5,000,000	0.13	0.14	100.00	379	5,000,578
<b>Subtotals</b>						<b>\$ 27,200,000</b>	<b>0.42</b>	<b>0.14</b>	<b>\$ 100.01</b>	<b>\$ 3,542</b>	<b>\$ 27,312,821</b>
Interest	2/1/2013	5/1/2013	Federal Agencies	FFCB FLT T-BILL+22	31331KM31	\$ 20,000,000	0.30	0.26	\$ 100.01	\$ 15,359	\$ 15,359
Interest	2/1/2013	8/1/2014	State/Local Agencies	WHISMAN SCHOOL DIST MTN	62451FFC9	1,125,000	0.75	0.75	100.00	4,389	4,389
Interest	2/1/2013	7/1/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	10,000,000	0.01	0.01	100.00	134	134
Interest	2/3/2013	5/1/2015	Federal Agencies	FARMER MAC FLT NT FF+26	31315PWJ4	50,000,000	0.41	0.41	100.00	52,472	52,472
Interest	2/3/2013	4/9/2013	Public Time Deposits	FIRST NAT. BANK OF NOR.		240,000	0.50	0.50	100.00	304	304
Interest	2/4/2013	7/1/2013	Money Market Funds	CITI SWEEP		-	0.02	0.02	100.00	6	6
Interest	2/4/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	175,000,000	0.05	0.05	100.00	10,172	10,172
Interest	2/7/2013	2/7/2017	Federal Agencies	FNMA STEP BD CALL	3136FTL31	30,765,000	0.75	0.68	100.35	115,369	115,369
Interest	2/9/2013	5/9/2017	Federal Agencies	FHLB FIX-TO-FLOAT CALL N	3133794Y2	25,000,000	0.50	0.50	100.00	31,250	31,250
Interest	2/14/2013	5/14/2015	Federal Agencies	FFCB FLT NT 1ML+1	3133EAQC5	50,000,000	0.22	0.23	99.97	9,287	9,287
Interest	2/18/2013	4/9/2013	Public Time Deposits	BANK OF SAN FRANCISCO PT		240,000	0.53	0.53	100.00	325	325
Interest	2/20/2013	8/20/2014	Federal Agencies	FHLMC NT	3134G2UA8	28,000,000	1.00	0.67	100.88	140,000	140,000
Interest	2/21/2013	11/21/2014	Federal Agencies	FNMA FLT QTR FF+39	3136FTRF8	26,500,000	0.52	0.47	100.09	36,563	36,563
Interest	2/22/2013	9/22/2015	Federal Agencies	FFCB FLT NT 1ML+2.5	3133EAJF6	27,953,000	0.23	0.24	99.96	5,529	5,529
Interest	2/22/2013	6/22/2015	Federal Agencies	FFCB FLT NT 1ML+2	3133EAVE5	50,000,000	0.22	0.23	99.97	9,675	9,675
Interest	2/27/2013	4/27/2015	Federal Agencies	FFCB FLT NT 1ML+1.5	3133EAJP4	50,000,000	0.22	0.23	99.99	9,416	9,416
Interest	2/28/2013	2/28/2017	U.S. Treasuries	US TSY NT	912828SJ0	100,000,000	0.88	0.94	99.70	437,500	437,500
Interest	2/28/2013	2/28/2017	U.S. Treasuries	US TSY NT	912828SJ0	25,000,000	0.88	1.21	98.40	109,375	109,375
Interest	2/28/2013	2/28/2017	U.S. Treasuries	US TSY NT	912828SJ0	25,000,000	0.88	1.21	98.40	109,375	109,375
Interest	2/28/2013	8/31/2017	U.S. Treasuries	US TSY NT	912828TM2	60,000,000	0.63	0.69	99.68	169,890	187,500
Interest	2/28/2013	7/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	205,010,172	0.05	0.05	100.00	6,097	6,097
<b>Subtotals</b>						<b>\$ 959,833,172</b>	<b>0.35</b>	<b>0.36</b>	<b>\$ 99.90</b>	<b>\$ 1,272,485</b>	<b>\$ 1,290,096</b>
<b>Grand Totals</b>	<b>10</b>	<b>Purchases</b>									
	<b>(2)</b>	<b>Sales</b>									
	<b>(4)</b>	<b>Maturities / Calls</b>									
	<b>4</b>	<b>Change in number of positions</b>									

# Non-Pooled Investments

As of February 28, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	3.53	3.50	\$ 5,100,000	\$ 5,100,000	\$ 5,100,000	\$ 5,100,000
<b>Subtotals</b>					<b>3.53</b>	<b>3.50</b>	<b>\$ 5,100,000</b>	<b>\$ 5,100,000</b>	<b>\$ 5,100,000</b>	<b>\$ 5,100,000</b>
Money Market Funds		CITI SWEEP	2/28/13	3/1/13	0.00	0.02	\$ 86,392,905	\$ 86,392,905	\$ 86,392,905	\$ 86,392,905
<b>Subtotals</b>					<b>0.00</b>	<b>0.02</b>	<b>\$ 86,392,905</b>	<b>\$ 86,392,905</b>	<b>\$ 86,392,905</b>	<b>\$ 86,392,905</b>
<b>Grand Totals</b>					<b>0.20</b>	<b>0.21</b>	<b>\$ 91,492,905</b>	<b>\$ 91,492,905</b>	<b>\$ 91,492,905</b>	<b>\$ 91,492,905</b>

## NON-POOLED FUNDS PORTFOLIO STATISTICS

(in \$ million)	Current Month		Prior Month	
	Fiscal YTD	February 2013	Fiscal YTD	January 2013
Average Daily Balance	\$ 91,418,418	\$ 91,492,746	\$ 91,408,738	\$ 91,491,321
Net Earnings	\$ 139,207	\$ 16,219	\$ 122,988	\$ 16,363
Earned Income Yield	0.23%	0.23%	0.23%	0.21%

Note: All non-pooled securities were inherited by the City and County of San Francisco as successor agency to the San Francisco Redevelopment Agency. Book value and amortized book value are derived from limited information received from the SFRDA and are subject to verification.

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** SF Film Commission Annual Report CY2012  
**Attachments:** San Francisco Film Commission Report to the Board of Supervisors CY2012 final.docx

**From:** Robbins, Susannah  
**Sent:** Wednesday, March 13, 2013 1:02 PM  
**To:** Board of Supervisors; Wheaton, Nicole  
**Cc:** Rufo, Todd  
**Subject:** SF Film Commission Annual Report CY2012

Attached please find the Annual Report to the Board of Supervisors for the Scene in San Francisco Rebate Program for CY2012.

I am also sending two hard copies to the Library.

Susannah Greason Robbins  
Executive Director  
San Francisco Film Commission  
City Hall, Room 473  
San Francisco, CA 94102  
415-554-6642 (direct line)  
415-554-6301 (fax)



## **San Francisco Film Commission Report to the Board of Supervisors**

### **RE: Scene in San Francisco Rebate Program**

**CY2012**

#### **Purpose:**

The purpose of the Scene in San Francisco Rebate Program is to help increase the number of qualified film productions being made in San Francisco, increase the number of City residents employed in the film making industry and encourage the resulting economic benefits.

#### **History:**

In 2006, the Scene in San Francisco Rebate Program was created to increase film production, local hiring and economic benefits to San Francisco. The program gives qualifying productions a dollar for dollar refund of: (a) fees or taxes paid into the City's general fund; (b) moneys paid to the City for use of City property, equipment, or employees, including additional police services; and (c) use fees for film production in the City. The program was originally appropriated \$1.8 million to be spent over three years. In 2009, the program was extended for three more years with a new allocation of \$1.8 million and the total rebate per production was capped at \$600,000.

In June 2012, the Scene in San Francisco Rebate Program was extended an additional 2 years, with an additional allocation of up to \$2 million.

#### **Eligibility:**

To qualify for the rebate, a production must be a feature length film, television production, or documentary (i.e. commercials are ineligible) and filmed primarily in San Francisco. Productions with budgets less than \$3 million must film 55 percent of their principal photography in San Francisco and productions with budgets greater than \$3 million must film 65 percent in San Francisco. In 2009, the Board of Supervisors added a requirement that productions show demonstrated efforts to hire vulnerable San Francisco residents through the First Source Hiring Program. In September 2012, the Board of Supervisors voted to include unscripted television episodes (reality) and feature length documentaries in the types of productions eligible to apply for the rebate.



**CY2012 Applications to the Scene in San Francisco Rebate Program:**

In CY2012, three feature length films applied for the Scene in San Francisco Rebate program. Two of them received a rebate.

Woody Allen’s *Blue Jasmine* received a rebate of \$160,508.34. The film was shot primarily in San Francisco, hiring 64 local crew and 104 local background extras for a total of 168 local hires. In addition, they hired 18 employees from the First Source Hiring Program who performed security for the film. The production had a local spend of more than \$827,000, including 399 hotel room days. The film stars Cate Blanchett, Sally Hawkins, Peter Sarsgaard, Louis C.K and William Baldwin and is scheduled for release in the US in July of 2013.

*Test* was the second film which received a rebate from the Scene in San Francisco Rebate Program, with a total rebate of \$1,618. The film was written and directed by local independent film maker, Chris Mason Johnson and shot for a total of 25 days in San Francisco. The film had a total of 34 local crew and had a total local expenditure of \$41,137. The production had no FSHP employees, as they had no paid entry level positions on this film.

The third film which applied for the rebate never completed filming, so they did not receive any rebate for CY2012.

Additionally the Disney stop motion animation film *Cinderbiter* was expected to receive a rebate in CY2012, after applying in CY2011, but the film folded before completing production and did not ask for any final rebate.

**Other Production:**

In CY2012, the Film Office issued permits for a total of 1062 shoot days. This is down 1.5% since CY1011, but up 25% since CY2010 and up 65.6% since CY2009. Permit fees collect in CY2012 were \$164,700, down 7% since CY2011, but up 6.5% since 2010 and 49% since CY2009.

**SHOOTING DAYS CY2009 – CY2012**

	C/I	D	Feature	MW	Music Video	PSA	Short	Still Photo	St Film	TV Comm	TV Series	Web	Total Shoot Days	Total Permit Fees
2009	29	49	28	3	0	0	11	188	61	59	172	41	641	\$110,200
2010	60	38	36	0	0	0	22	312	57	96	189	38	848	\$154,546
2011	71	41	193	0	8	0	9	307	69	137	187	57	1079	\$177,700
2012	91	63	219	0	6	1	9	290	32	103	161	87	1062	\$164,700

CI = Corporate Industrial, D = Documentary, MW = Movie of the Week, PSA = Public Service Announcement,

St Film = Student Film, TV Comm = TV Commercial

Notable productions which shot a portion of their films or television shows in San Francisco were 20<sup>th</sup> Century Fox's *The Internship*, starring Vince Vaughn and Owen Wilson, the television shows *Alcatraz*, *Red Widow*, *X Factor*, *4 Weddings*, and *Startups Silicon Valley*, along with a viral video for Ken Block & DC Shoes *Gymkhana*, which had close to 42 million views.

The Film Office strives to service productions in an attentive and timely fashion, hoping to make their work in our City a fluid and positive experience. In that light, the Film Office received some positive feedback from *Blue Jasmine* producer Helen Robins after wrapping the latest Woody Allen film: "Filming in San Francisco does present its challenges, as does any city in the world, but I had a great crew and I've never had a better personal experience working with a film commission. The Film Office team was always there for anything we needed and really understood our need for flexibility."



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**To:** BOS-Supervisors  
**Subject:** Issued: Department of Public Health: The Department's Siloed and Decentralized Purchasing Structure Results in Inefficiencies

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**From:** Chapin-Rienzo, Shanda **On Behalf Of** Reports, Controller

**Sent:** Thursday, March 14, 2013 1:16 PM

**To:** Garcia, Barbara; [health.commission.dph@sfdph.org](mailto:health.commission.dph@sfdph.org); Wagner, Greg; Pickens, Roland; Sangha, Baljeet; Okubo, Anne; Nakai, Russell; Kennedy, Reid; Calvillo, Angela; Nevin, Peggy; BOS-Legislative Aides; BOS-Legislative Aides; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; [sfdocs@sfpl.info](mailto:sfdocs@sfpl.info); [gmetcalf@spur.org](mailto:gmetcalf@spur.org); CON-Media Contact; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers

**Subject:** Issued: Department of Public Health: The Department's Siloed and Decentralized Purchasing Structure Results in Inefficiencies

The Office of the Controller's City Services Auditor Division (CSA) today issued a report on its audit of the Department of Public Health's (Public Health) purchasing of medical supplies. The audit found that Public Health should further centralize its purchasing system to achieve increased cost savings. Public Health:

- Has a decentralized, siloed purchasing structure which promotes inefficiency in the purchasing function.
- Cannot take full advantage of purchasing enhancements to achieve cost savings because the invoice details that are to be recorded in two purchasing systems significantly differ, so invoice data cannot be compared for departmentwide analysis.
- Lacks departmentwide purchasing policies and procedures.
- Lacks established criteria to determine when to expend staff time seeking waivers from city requirements and when to pay a mark-up to use a city-approved vendor.
- Has not given some clinics access to a list of vendors offering preferred pricing.
- Has a limited ability to analyze historical purchase orders because its reporting system only retains 180 days of purchase order data.

To view the full report, please visit our website at: <http://co.sfgov.org/webreports/details.aspx?id=1545>

This is a send-only e-mail address.

For questions about the report, please contact Director of City Audits Tonia Lediju at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393, or the CSA Audits Unit at 415-554-7469.

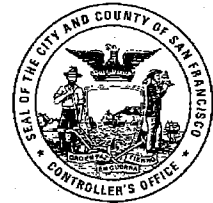
# City and County of San Francisco

Office of the Controller – City Services Auditor

Document is available  
at the Clerk's Office  
Room 244, City Hall

## DEPARTMENT OF PUBLIC HEALTH:

The Department's Siloed and  
Decentralized Purchasing Structure  
Results in Inefficiencies



March 14, 2013

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**From:** Chapin-Rienzo, Shanda on behalf of Reports, Controller  
**Sent:** Wednesday, March 13, 2013 1:54 PM  
**To:** Rosenfield, Ben; Hart, Amy; Callahan, Micki; Ahern, William; Keller, Susan; Keller, Susan; Ponder, Steve; Ponder, Steve; Gran, Martin; Gran, Martin; Gard, Susan; Gard, Susan; Kelly, Naomi; Kelly, Naomi; Nakajima, Steve; Nakajima, Steve; Yeung, Linda; Yeung, Linda; Calvillo, Angela; Nevin; Peggy; BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; sfdocs@sfpl.info; gmetcalf@spur.org; CON-Media Contact; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers  
**Subject:** Issued: The Office of the Medical Examiner's Payroll Operations Are Generally Adequate, but Should Be Improved

The Office of the Controller's City Services Auditor Division (CSA) today issued a memorandum on its audit of the Office of the Medical Examiner's payroll operations. The audit found that the Medical Examiner correctly paid advanced POST (Peace Officer Standards and Training) premium only to eligible employees. However, the Medical Examiner:

- Did not comply with its policy requiring employees to work set schedules, which resulted in an employee earning unnecessary compensatory time off at time-and-a-half.
- Lacked written policies and procedures for reporting, approving, and entering payroll or defining the level of reviews required during payroll processing.
- Could not provide some payroll documentation requested for the audit.
- Did not always properly approve timesheets.
- Overpaid another employee by \$63 and underpaid one employee by \$14 due to system input errors.

To view the full memorandum, please visit our website at: <http://co.sfgov.org/webreports/details.aspx?id=1544>

This is a send-only email address.

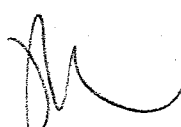
For questions about the memorandum, please contact Director of City Audits Tonia Lediju at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or 415-554-5393, or the CSA Audits Unit at 415-554-7469.



## MEMORANDUM

TO: Dr. Amy Hart, Chief Medical Examiner  
Office of the Medical Examiner

Micki Callahan, Director of Human Resources  
Department of Human Resources

FROM: Tonia Lediju, Director of City Audits  
City Services Auditor Division 

DATE: March 13, 2013

SUBJECT: The Office of the Medical Examiner's Payroll Operations Are Generally Adequate, but Should Be Improved

### EXECUTIVE SUMMARY

The payroll operations and the administration of standby pay, advanced Peace Officer Standards and Training (POST) premium pay, and overtime compensation at the Office of the Medical Examiner (Medical Examiner) are generally adequate, but need some improvement to reduce the risks related to the payroll process. The audit found that the Medical Examiner correctly paid advanced POST premium only to eligible employees. However, the Medical Examiner:

- Did not comply with its policy requiring employees to work set schedules, which resulted in an employee earning unnecessary compensatory time off at time-and-a-half.
- Lacked written policies and procedures for reporting, approving, and entering payroll or defining the level of reviews required during payroll processing.
- Could not provide some payroll documentation requested for the audit.
- Did not always properly approve timesheets.
- Overpaid one employee by \$63 and underpaid another employee by \$14 and due to system input errors.

The Medical Examiner agrees with the six findings and concurs with the 13 recommendations addressed to it. The Department of Human Resources (DHR) concurs with one recommendation and partially concurs with two recommendations. The responses of the Medical Examiner and DHR are attached.

## BACKGROUND, OBJECTIVES & METHODOLOGY

### Background

In accordance with its fiscal year 2012-13 work plan, the Office of the Controller's (Controller) City Services Auditor Division (CSA) audited the Medical Examiner's payroll processes. This audit is part of an ongoing program of auditing the payroll operations of departments across the City and County of San Francisco (City).

### Operations

The Medical Examiner, part of the City's General Services Agency, practices forensic pathology for the City and is appointed by law to investigate and certify a variety of deaths of legal or public health interest and is legally required to determine the cause, circumstances, and manner of death for those cases found to be under the office's legal jurisdiction. The Medical Examiner also examines living persons to evaluate injuries or collect evidence. The Medical Examiner's forensic laboratory analyzes drugs and poisons, and blood and urine for cases involving driving under the influence of drugs or alcohol. Examination and test results are often presented as expert testimony in San Francisco's criminal courts. The Medical Examiner is headed by the chief medical examiner, who is required to be a physician certified by the American Board of Pathology. The Medical Examiner operates seven days a week, 24 hours a day.

### Payroll Processes

The Medical Examiner's payroll staff administers the office's payroll. The Medical Examiner uses the citywide payroll system, Time Entry and Scheduling System (TESS), to submit employees' time information to the Controller's Payroll and Personnel Services Division (PPSD). TESS, maintained by PPSD, contains the configurations and formulas for calculating the employees' hours entered by payroll staff and the pay rules in the City's labor agreements, or memorandums of understanding (MOUs), with employee organizations. GEAC,<sup>1</sup> another PPSD system, used data from TESS and calculated the final pay based on the hours worked and applicable tax and payroll deductions.

On August 27, 2012, the Controller's eMerge Division implemented a new system called Oracle's PeopleSoft Human Capital Management 9.0 (PeopleSoft eMerge), which provides improved human resources, benefits administration, and payroll services to the City's active and retired workforce. As a result, data from TESS now directly interfaces with PeopleSoft eMerge, instead of Geac.

A payroll and personnel clerk (payroll clerk) enters time in TESS based on paper timesheets submitted by Medical Examiner supervisors or timekeepers. Besides using manual timesheets for time entry, the payroll clerk relies on manually completed paper forms to obtain approvals for overtime and shift pay.

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<sup>1</sup> The former name of a vendor, Geac Computer Corporation.

Exhibit 1 shows the Medical Examiner's key payroll processes.

<b>EXHIBIT 1 Key Payroll Processes Performed by the Medical Examiner</b>	
Process	Frequency
Submit timesheets completed by payroll staff	Biweekly
Data entry of timesheets	Biweekly
Distribute checks	Biweekly

\*During the audit period, payroll clerks entered time into TESS by the Thursday after each pay period's end.

Source: Interviews of Medical Examiner staff.

### Payroll Expenditures

The Medical Examiner's fiscal year 2011-12 budget includes \$3.73 million in salaries and wages, including various premium pays and overtime.<sup>2</sup> Exhibit 2 lists the Medical Examiner's payroll expenditures for the third quarter of fiscal year 2011-12 by pay type.

<b>EXHIBIT 2 Medical Examiner Payroll Expenditures Quarter 3 of Fiscal Year 2011-12</b>	
Pay Type	Amount Expended
Regular pay	\$743,469
Overtime pay	38,886
Other pay (including premium pays)	214,289
<b>Total</b>	<b>\$996,644</b>

Source: Geac data for January 1 through March 31, 2012.

Employees may receive premium pay for specific and in-demand skills that have been approved in labor negotiations and documented. Examples of premium pays for which Medical Examiner employees are eligible include:

- Standby pay: available for work outside of normal work hours
- Advanced POST<sup>3</sup> pay: certificates beyond those the job requires

In the third quarter of fiscal year 2011-12 the Medical Examiner's highest premium pays were standby pay and advanced POST pay, totaling \$29,996 and \$13,296, respectively.

The Medical Examiner has approximately 40 employees represented primarily by four employee organizations (bargaining units). Exhibit 3 lists the MOUs negotiated with the four bargaining units of Medical Examiner staff whose pay is the subject of the audit.

<sup>2</sup> Fiscal year 2011-12 salaries from the City's financial system.

<sup>3</sup> POST is a program of the State of California.



**EXHIBIT 3 Medical Examiner Memorandums of Understanding Effective During  
Fiscal Year 2011-12**

Bargaining Unit	Labor Organization
IFPTE Local 21	International Federation of Professional and Technical Engineers
MEA	San Francisco Municipal Executives' Association
SEIU Local 1021	Service Employees International Union
UAPD Unit 8-CC	Union of American Physicians and Dentists

Source: Department of Human Resources.

**Objectives**

The primary objectives of this audit were to:

- Verify the accuracy of standby pay, advanced POST pay, and overtime compensation paid to Medical Examiner employees during the third quarter of fiscal year 2011-12.
- Assess whether the Medical Examiner complied with the applicable MOU in determining eligibility of employees for standby pay, advanced POST pay, and overtime compensation.
- Determine whether the Medical Examiner uses adequate and effective internal controls in the payroll process.

The audit period was January 1 through March 31, 2012.

**Methodology**

CSA gathered information on payroll processes and premium pays, and conducted fieldwork to accomplish the audit objectives. CSA:

- Interviewed key Medical Examiner personnel about payroll procedures and internal controls.
- Analyzed 713 pay records<sup>4</sup> from TESS for the third quarter of fiscal year 2011-12 using audit analytic software.
- Evaluated and verified timesheet approval controls for a sample of 47 paper timesheets.
- Tested all advanced POST premium pay records for the audit period for accuracy and determined employee eligibility for premium pay.
- Tested whether occurrences of overtime, standby pay, and compensatory time used were paid accurately to eligible employees.
- Observed payroll staff during the time entry process.

CSA then documented the results of the fieldwork.

<sup>4</sup> A pay record is a single instance of a pay type earned on a single date by an employee.

This performance audit was conducted in accordance with generally accepted government auditing standards. These standards require planning and performing the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for the findings and conclusions based on the audit objectives. CSA believes that the evidence obtained provides a reasonable basis for the findings and conclusions based on the audit objectives.

## RESULTS

### **Finding 1 –The Medical Examiner did not comply with its policy requiring employees to work set schedules, which resulted in an employee earning unnecessary compensatory time off at time-and-a-half.**

The Medical Examiner allows certain employees to work outside of their set schedules, contrary to office policy. Because the office operates 24 hours per day, seven days a week, the Medical Examiner requires that its assistant medical examiners work set schedules for at least 8 hours per scheduled day. Of the four assistant medical examiners employed during the audit period, three worked hours outside of their set schedules. At an extreme, of ten days examined for one assistant medical examiner, the employee worked fewer hours than required by the set schedule and worked hours outside of the set schedule on nine of the ten days. Although employees must sometimes work outside of their set schedules, these situations should be the exception, not the rule. Routinely, the Medical Examiner tolerates noncompliance with its policy. Continually allowing employees to work hours of the day that deviate from their required schedule erodes the purpose of establishing such a schedule.

Due to this ongoing practice, assistant medical examiners may earn unnecessary compensatory time<sup>5</sup> off at time-and-a-half. The MOU between the City and the Union of American Physicians and Dentists' (UAPD Unit 8-CC) states that employees may be granted compensatory time off at the rate of one-and-a-half times for time worked in excess of normal work schedules, which is the same rate paid to employees eligible to receive overtime. Additionally, according to DHR,<sup>6</sup> employees under UAPD Unit 8-CC are also granted compensatory time off at time-and-a-half when they complete an eight-hour day, even if they have not worked a 40-hour week, although this is not clearly written in the MOU.

For example, during a seven-day work week, one assistant medical examiner worked only 29 hours, but received 5.25 hours of compensatory time at time and a half for time worked outside the employee's normal schedule. In this instance, the employee completed an 8-hour day before earning the compensatory time at time and a half. The employee also reported at least 40 hours that week, but some of those hours were vacation, compensatory time used, or sick time. If the Medical Examiner more strictly enforced its policy for the employee to work within the set schedule, the compensatory time off at time and a half would not have been needed.

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<sup>5</sup> Compensatory time at a rate of time and a half is granted to employees designated by the City's Annual Salary Ordinance as ineligible to receive overtime pay. Instead of receiving overtime pay, employees who have accrued compensatory time can later use it to take paid time off.

<sup>6</sup> The Department of Human Resources negotiates and administers MOUs with bargaining units and advises city departments in these areas, fulfilling a critical role in the payroll process.

The MOU could be more clearly written to explain specifically when employees are eligible for compensatory time off. Moreover, DHR needs to establish with departments how to accurately interpret and appropriately administer MOUs. According to DHR, the MOU between the City and UAPD Unit 8-CC allows sick time, vacation, holidays, and compensatory time used to count toward compensatory time off even though such time does not actually constitute time worked. Yet, the MOU does not define whether the "normal work schedule" is daily or weekly and it does not clarify whether only actual time worked may be used to earn compensatory time off.

Well-written MOUs increase the consistent application of MOU provisions. For example, the International Federation of Professional and Technical Engineers' (Local 21) MOU, Section III.G, states that, for purposes of the article related to overtime compensation and compensatory time off, the terms "time worked," "hours worked," and "actual hours worked" include time actually worked and time paid but not worked on recognized city holidays. Local 21's MOU makes it clear that paid time off, such as vacation, sick leave, and compensatory time used, does not count when determining if overtime pay or compensatory time off is applicable because that time was not actually worked by the employee. Explicitly defining terms used throughout an MOU can help to ensure that their meanings are clear to all parties and help to avoid terms from being misinterpreted or manipulated.

### **Recommendations**

The Office of the Medical Examiner should:

1. No longer approve routine deviations from its policy requiring assistant medical examiners to work set schedules and counsel and/or discipline employees who repeatedly violate it.

The Department of Human Resources should:

2. Ensure that departments accurately interpret and appropriately administer the overtime and compensatory provisions in the memorandum of understanding (MOU) with the Union of American Physicians and Dentists and any other MOUs containing the same provisions by developing and implementing training sessions or issuing formal memorandums.
3. Attempt to negotiate changes in the City's memorandum of understanding with the Union of American Physicians and Dentists that would clearly define what constitutes a "normal work schedule" and whether that schedule is daily or weekly.
4. Consider negotiating in the City's memorandum of understanding with the Union of American Physicians and Dentists that only time worked may be used for the purpose of calculating overtime.

**Finding 2 – The Medical Examiner lacked formal payroll policies and procedures.**

During the period under audit, the Medical Examiner did not have written payroll policies and procedures on reporting, approving, and entering payroll data, such as overtime and standby time. Instead, the Medical Examiner relied on the diligence and institutional memory of payroll clerks to ensure that they properly processed the office's payroll. Although the Medical Examiner has since drafted payroll policies and procedures, during the audit period it lacked guidance on many key aspects of payroll, including the following.

- Review of Pay. The Medical Examiner had not documented its procedures requiring the office administrator to review and approve the information in TESS after comparing it to the source documents and to document this approval.
- Retroactive Pay Code Changes. The Medical Examiner lacked criteria for when it is acceptable to change pay codes after a timesheet has been approved and processed — for example, a change of vacation used to sick leave used. According to the payroll clerk, symbol changes require approval from the employee's direct supervisor before they can be processed, but no written guidance for the approval process existed. Without such guidance, each supervisor was allowed to decide when symbol changes were permitted. This practice risked allowing some employees to be authorized for symbol changes denied to other employees in the same job classification and working under the same MOU.
- Hours to Be Reported on Timesheets. The Medical Examiner lacked written guidance on the types of time employees are to enter when completing timesheets. The result of this lack of policy was evident in the audit's review of a sample of 31 paper timesheets for employees that received overtime pay or earned compensatory time off. Of these 31 timesheets, 12 employees reported time such as overtime and compensatory time, while 19 other employees who were entitled to overtime or compensatory time only reported regular hours worked. Although all Medical Examiner employees are required to complete a request form for time worked beyond their regular hours, some employees did not report that time on their timesheets. As a result, payroll clerks had to reconcile employees' timesheets to the multiple request forms.

In one instance, an employee's timesheet did not account for time spent out of the office (and not working) during a work day, for which the employee was paid \$503 for regular time. Other employees' timesheets do not indicate whether or not they took a lunch break. Both of these practices cause payroll clerks to have to make assumptions, which may be incorrect, about the actual hours Medical Examiner employees worked. Besides the uncertainty that results from these practices, having various time-reporting methods makes the payroll clerks' job more difficult and time-consuming, forcing them to decipher the work and leave hours employees record. Without consistency in reporting time, payroll input errors can occur, resulting in over- and underpayments.

- Frequency of Entering Time in TESS. The Medical Examiner lacked a written policy on when employee time is to be entered in TESS. Payroll clerks entered all employee time in TESS biweekly, usually by the Thursday after each pay period. Inputting all of the

office's pay data once every two weeks, rather than weekly, increases the risk that errors and other pay data issues will not be remedied by the TESS data entry deadline, which is three working days after the pay period. Also, if a payroll clerk is out of the office when the biweekly data entry is to occur, the time required for another employee (who has other responsibilities) to enter the payroll information is greater than it would be if payroll data were entered more frequently.

- Time Recording for Set Schedules. The Medical Examiner lacked written guidance explaining its requirements for time recording when employees miss work within their set schedules or need to work outside their set schedules. When assistant medical examiners did not follow their assigned schedules, the Medical Examiner required that they use either accrued compensatory time, sick leave (if applicable), or vacation to cover the hours not worked during the scheduled hours of the day. Conversely, these employees earned compensatory time off for hours worked outside and in excess of their set schedule. This practice considered whether the employee worked at least eight hours in a day when determining which portion of the hours worked in excess of the employee's work schedule should be calculated at straight time and which hours should be calculated at time and a half. According to DHR, the Medical Examiner's method of recording time is permissible under the MOU between the City and UAPD Unit 8-CC based on past city practices. However, without a documented policy on these pay practices, it can appear that Medical Examiner employees receive overtime pay or compensatory time without working the minimum regular hours required.

The United States Government Accountability Office states that an organization's internal control and transactions need to be clearly documented, and the documentation should appear in management directives, administrative policies, or operating manuals. Written policies and procedures, especially in the form of a manual, can easily be used by staff, which can enhance both accountability and consistency. Without documented procedures, payroll clerks may inconsistently process payroll, payroll documents may not be retained for as long as they should be, and a new clerk may have more difficulty assuming the job's duties.

## **Recommendations**

The Office of the Medical Examiner should:

5. Document and implement formal policies and procedures for reporting, reviewing, approving, and entering payroll data such as overtime and standby time. At a minimum, the policy should provide for:
  - a. A payroll procedures checklist.
  - b. Review of entries made in the Time Entry and Scheduling System.
  - c. Payroll staff to document its review and approval.
6. Implement a policy for supervisors and payroll staff to follow when approving and processing symbol changes.

7. Consider whether it would be more efficient to use an electronic time-reporting system or develop a uniform process for reporting on timesheets the various components of employees' paid hours. If it deems it more efficient to develop a process, the Office of the Medical Examiner should add this process to its payroll policies and should distribute the process procedures to staff.
8. Require employees to submit time weekly and require payroll data to be entered in the Time Entry and Scheduling System at least weekly.
9. Document in its policies and procedures its requirements for employees to use leave hours when absent during set schedules.
10. Document the policy on when employees earn overtime or compensatory time at a straight rate or at time and a half in its policies and procedures.

**Finding 3 – The Medical Examiner could not provide certain payroll documentation requested.**

Of the payroll documentation requested for the audit from the period of January 1 through March 31, 2012, the Medical Examiner could not provide one timesheet, one overtime request form, and one request for leave form, representing \$1,479 of employee pay that could not be substantiated. The San Francisco Ethics Commission's Records Management Policy states that payroll records should be maintained for a minimum of two years. City departments should retain and systematically file timesheets and supporting documents so that hours recorded and paid can be verified in the future.

**Recommendation**

11. The Office of the Medical Examiner should require staff to retain payroll records for at least two years.

**Finding 4 – Medical Examiner supervisors did not properly approve some compensatory time, overtime, and request for leave forms and timesheets before submittal to payroll staff.**

The inherent risk of input error is associated with many manual processes, and the Medical Examiner's practice of manually inputting pay data only once every two weeks increases this risk. Of a sample of 47 paper timesheets, 29 related compensatory time/overtime request forms, and 9 request for leave forms:

- One timesheet did not include the date of the supervisor's approval and one overtime request form for the same employee during the same pay period did not include the supervisor's approval signature, resulting in \$4,928 of unapproved pay.

- Two timesheets, each from a different pay period, for an employee were dated one day before the end of the work period, resulting in two days or \$726 of unapproved pay.
- One timesheet was approved nine days after the pay period end, which was after the TESS submittal deadline, resulting in \$5,669 of improperly approved pay.
- Four requests for leave forms representing \$943 in vacation pay were approved after the employee had taken the time off. In some instances, forms were approved three days after the leave ended.
- Compensatory time/overtime request forms did not include the date of the employee's request or date of the supervisor's approval.

Because compensatory time/overtime request forms do not include a supervisor approval date, pre-approval of all time before its submittal to payroll staff cannot be substantiated. PPSD states that all time should be approved by departmental operations staff before the departmental payroll staff receives the timesheets. The Medical Examiner also requires compensatory time and overtime to be pre-approved. Proper, timely approval can only be evidenced if the timesheets and overtime requests include both the approver's signature and the approver's date of signature. If timesheets and overtime request forms are not approved before they are provided to the Payroll unit, payroll staff cannot be sure that hours submitted are accurate and appropriate, which could lead to inaccurate payments to employees.

The Medical Examiner's Administrative Guidelines state that advance notice of ten working days must be approved for an employee to receive vacation compensation. Such advance notice can help the Medical Examiner plan for meeting its workload. Failure to establish and adhere to a vacation pre-approval policy may impede the Medical Examiner's ability to ensure that it is adequately staffed, causing delays that can affect families of decedents and other cooperating agencies or creating the need for other employees to work overtime.

### **Recommendations**

The Office of the Medical Examiner should:

12. Require supervisors to properly sign and date all timesheets.
13. Require that compensatory time and overtime request forms include the date of the employee's request and date of the supervisor's approval.
14. Adhere to its policy that a ten working day notice is required to be submitted by the employee and approved by a supervisor for vacation compensation.

**Finding 5 – Incorrect hours entered in the system and on employee timesheets resulted in both over- and underpayments.**

Of a sample of 47 individual employee pay periods, 2 (4 percent) resulted in an incorrect pay amount and 1 (2 percent) earned an incorrect amount of compensatory hours due to input errors. As a result of these errors, employees were overpaid \$63 and underpaid \$14 and the compensatory time earned for one employee was underreported by 0.38 hour. Specifically:

- Of 22 standby payments, 1 (5 percent) was paid incorrectly because the wrong standby hours were entered in TESS. Before the PeopleSoft eMerge conversion, employee pay was calculated in Geac using hours entered into TESS for specific pay codes and the pay codes' corresponding rates. The timesheet showed that the employee had been approved for 16 hours of standby pay. However, the payroll clerk erroneously entered 13 hours of standby pay in TESS. As a result, the employee was underpaid for 3 hours of standby time, or \$14 of standby pay.
- Of 40 pay records with overtime pay or compensatory time earned, 2 (5 percent) included incorrectly entered data.
  - In one instance, the compensatory time earned form and corresponding timesheet showed that the employee worked 5.5 hours of regular time. However, the payroll clerk erroneously entered 6 hours of regular pay into TESS. This resulted in the employee being overpaid for 0.5 hour or \$63 in regular pay.
  - In another instance, the employee worked 3.75 hours of compensatory time. However, the employee incorrectly requested 3.5 hours of compensatory time earned on the Compensatory Time Earned form. Because the employee also recorded two hours of compensatory time used that day, the first two hours of compensatory time earned should have been calculated at straight time and the remaining 1.75 hours should have been calculated at time and a half. Therefore, the employee should have earned 4.625 hours of compensatory time instead of the 4.250 hours that were recorded in TESS. As a result of the error, the employee's compensatory time earned was underreported by 0.375 hour.

CSA informed the Medical Examiner of the errors, which agreed to remedy them by issuing Problem Description Forms to PPSD.

**Recommendations**

The Office of the Medical Examiner should:

15. Correct the errors by completing a Problem Description Form for each, and submitting them to the Office of the Controller's Payroll and Personnel Services Division.
16. Improve its review of employees' hours by reconciling hours reported on timesheets with those entered in the Time Entry and Scheduling System.



**Finding 6 – Advanced Peace Officer Standards and Training premium pay was calculated accurately and paid to eligible employees.**

The Medical Examiner accurately calculated advanced POST premium pay for the third quarter of fiscal year 2011-12. According to the Service Employees International Union (SEIU) and Municipal Executives' Association (MEA) MOUs, certain employees must obtain and maintain a POST Advanced Certificate to be eligible for the additional pay of 6 percent of base pay per hour worked. An analysis of all of the Medical Examiner's 571 POST premium pay records for the third quarter of fiscal year 2011-12, which were related to only seven employees, showed that the pay rate used complied with the SEIU and MEA MOUs. CSA also verified that each employee completed at least the minimum of 24 hours of qualifying training every two years that is required to maintain the POST certification.

CSA extends its appreciation to you and your staff who assisted with this audit. For questions about this memorandum, please contact me at [Tonia.Lediju@sfgov.org](mailto:Tonia.Lediju@sfgov.org) or (415) 554-5393, or the CSA Audits Unit at (415) 554-7469.

cc: Office of the Medical Examiner  
Bill Ahern  
Sue Keller  
Office of the City Administrator  
Naomi Kelly  
Linda S. Yeung  
Stephen Nakajima  
Department of Human Resources  
Susan Gard  
Martin Gran  
Steve Ponder  
Controller  
Ben Rosenfield  
Monique Zmuda  
Irella Blackwood  
Elisa Sullivan  
Kate Kaczmarek  
Nicole Doran

## **ATTACHMENT A: MEDICAL EXAMINER RESPONSE**

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City and County of San Francisco

Chief Medical Examiner



Edwin M. Lee  
Mayor

February 15, 2013

Ms. Tonia Lediju  
Director of City Audits  
City Hall, Room 476  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

RE: PAYROLL AUDIT  
Office of the Chief Medical Examiner

Dear Ms. Lediju:

Thank you for the opportunity to review the results of the payroll audit of the Office of the Chief Medical Examiner by the City Services Auditor Division.

Attached are our responses for your review and consideration.

If you have any questions or need further information, please contact me at (415) 553-1694.

Sincerely,

A handwritten signature in cursive script that reads "Amy P. Hart, M.D.".

Amy P. Hart, M.D.  
Chief Medical Examiner

Enclosure

## DEPARTMENT OF HUMAN RESOURCES RESPONSE

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City and County of San Francisco

Edwin M. Lee  
Mayor



Department of Human Resources

Micki Callahan  
Human Resources Director

March 7, 2013

Tonia Lediju  
Director of City Audits  
City Hall, Room 476  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

RE: DHR's Response to the Controller's Audit of the Medical Examiner's Payroll Operations

Dear Ms. Lediju:

Attached please find the Department of Human Resources ("DHR's") response to your office's recent audit of payroll operations at the Medical Examiner's ("ME's") Office. Finding 1 deals with a situation in which the Medical Examiner was allowing employees to work hours outside of the normal work day, then report to work after normal ME business hours and granting them compensatory time off ("CTO").

The current MOU covering these employees (the UAPD MOU) allows the employing agency to compensate employees for time worked in excess of normal work schedules with CTO. While the MOU *allows* an employing agency to award CTO, it neither mandates that the City award CTO under all circumstances, nor does it require that the employer grant employees discretionary time off to work hours outside of their set schedules when doing so would result in added costs or lower productivity to the agency. There is no evidence that the ME's Office was at all confused by the MOU language, which it has administered for many years. The problems identified in Finding 1 stemmed from the ME's practice of allowing employees work outside of their set schedules, then granting the employees CTO for working after hours.

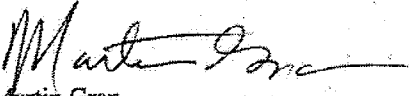
We note that the MOU language at issue stems from the days of Salary Standardization Ordinance – the salary-setting system that predates collective bargaining. The Salary Standardization Ordinance provisions on CTO did not require that an employee actually work 40 hours before becoming eligible for CTO. Thus, for example, if an employee is out sick on a Tuesday, but works 12 hours on Thursday, he or she would be eligible for CTO for the extra four hours' worked on Thursday, notwithstanding the sick day on Tuesday.

Page 2 of 2 DHR's Response to the Controller's  
Audit of the Medical Examiner's Payroll Operations

The City has been moving towards a standard by which only holidays and jury duty are counted towards time worked in evaluating CTO requests. As noted in the Audit, the IFTPE Local 21 language largely meets this standard, as does the SEIU Local 1021 MOU. DHR will continue to move in this direction, and will discuss this issue with the two involved departments and the Mayor's Office as we prepare for bargaining next year. DHR will also review the MOU language in question – be it in the UAPD MOU or other MOUs with similar language – to ensure that all departments understand the MOU language and are administering the MOU consistently.

I hope the Controller's Office finds this response helpful in addressing the above Finding.

Very truly yours,

  
Martin Gran  
Director, Employee Relation Division

cc: Micki Callahan, DHR  
Steve Ponder, DHR

For each recommendation, the responsible agency should indicate whether it concurs, does not concur, or partially concurs. If it concurs with the recommendation, it should indicate the expected implementation date and implementation plan. If the responsible agency does not concur or partially concurs, it should provide an explanation and an alternate plan of action to address the identified issue.

## RECOMMENDATIONS AND RESPONSES

Recommendation	Responsible Agency	Response
<p>1. No longer approve routine deviations from its policy requiring assistant medical examiners to work set schedules and counsel and/or discipline employees who repeatedly violate it.</p>	<p>Office of the Medical Examiner</p>	<p>We concur. The Office of the Medical Examiner will change its policy to reflect current practices regarding the Assistant Medical Examiners' fixed work schedules and the procedures to follow for approval from the Chief Medical Examiner for any variations from those fixed schedules. This will be completed by July 1, 2013.</p>
<p>2. Ensure that departments accurately interpret and appropriately administer the overtime and compensatory provisions in the memorandum of understanding (MOU) with the Union of American Physicians and Dentists and any other MOUs containing the same provisions by developing and implementing training sessions or issuing formal memorandums.</p>	<p>Department of Human Resources</p>	<p>Concur. As an initial matter, we note that none of the issues identified as problems at the Medical Examiner's Office stem from the Medical Examiner's Office misunderstanding the MOU sections regarding compensatory time off. That said, the Department of Human Resources will contact department personnel administering MOUs with similar language to review the MOU language and provide training on the proper application of such compensatory time provisions. This will be done by June 30, 2013.</p>
<p>3. Attempt to negotiate changes in the City's memorandum of understanding with the Union of American Physicians and Dentists that would clearly define what constitutes a "normal work schedule" and whether that schedule is daily or weekly.</p>	<p>Department of Human Resources</p>	<p>Partially concur. The current UAPD MOU runs through June 30, 2014. In the spring of 2014, the Department of Human Resources will meet with the two departments that administer this MOU to consider proposing changes to the current MOU language.</p>

Recommendation	Responsible Agency	Response
		Ultimately, the Mayor's Office determines what proposals the City will make. Moreover, there is no guarantee that the UAPD will agree to any particular City's proposal or that the City will prevail in arbitration, should either party invoke binding arbitration at the conclusion of MOU negotiations.
4. Consider negotiating in the City's memorandum of understanding with the Union of American Physicians and Dentists that only time worked may be used for the purpose of calculating overtime.	Department of Human Resources	Partially concur. The current UAPD MOU runs through June 30, 2014. In the spring of 2014, the Department of Human Resources will meet with the two departments that administer this MOU to consider proposing changes to the current MOU language. Ultimately, the Mayor's Office determines what proposals the City will make. Moreover, there is no guarantee that the UAPD will agree to any particular City's proposal or that the City will prevail in arbitration, should either party invoke binding arbitration at the conclusion of MOU negotiations.
5. Document and implement formal policies and procedures for reporting, reviewing, approving, and entering payroll data such as overtime and standby time. At a minimum, the policy should provide for: <ul style="list-style-type: none"> <li>a. A payroll procedures checklist.</li> <li>b. Review of entries made in the Time Entry and Scheduling System.</li> <li>c. Require its payroll staff to document its review and approval.</li> </ul>	Office of the Medical Examiner	We concur. We will create a written policy and place it in our Administrative Guidelines by July 1, 2013.

March 13, 2013

Recommendation	Responsible Agency	Response
6. Implement a policy for supervisors and payroll staff to follow when approving and processing symbol changes.	Office of the Medical Examiner	We concur. We will create a written policy and place it in our Administrative Guidelines by July 1, 2013.
7. Consider whether it would be more efficient to use an electronic time-reporting system or develop a uniform process for reporting on timesheets the various components of employees' paid hours. If it deems it more efficient to develop a process, the Office of the Medical Examiner should add this process to its payroll policies and should distribute the process procedures to staff.	Office of the Medical Examiner	We concur. We will consider an electronic system. We revised our payroll form and began using it in January 2013.
8. Require employees to submit time weekly and require payroll data to be entered in the Time Entry and Scheduling System at least weekly.	Office of the Medical Examiner	We concur. We are following this practice at this time.
9. Document in its policies and procedures its requirements for employees to use leave hours when absent during set schedules.	Office of the Medical Examiner	We concur. We will create a written policy and place it in our Administrative Guidelines by July 1, 2013.
10. Document the policy on when employees earn overtime or compensatory time at a straight rate or at time-and-a-half in its policies and procedures.	Office of the Medical Examiner	We concur. We will create a written policy and place it in our Administrative Guidelines by July 1, 2013.
11. Require staff to retain payroll records for at least two years.	Office of the Medical Examiner	We concur.

Recommendation	Responsible Agency	Response
12. Require supervisors to properly sign and date all timesheets.	Office of the Medical Examiner	We concur.
13. Require that compensatory time and overtime request forms include the date of the employee's request and date of the supervisor's approval.	Office of the Medical Examiner	We concur. We have reviewed all payroll sheets and added a line for the date where necessary.
14. Adhere to its policy that a ten working day notice is required to be submitted by the employee and approved by a supervisor for vacation compensation.	Office of the Medical Examiner	We concur.
15. Correct the errors by completing a Problem Description Form for each, and submitting them to the Office of the Controller's Payroll and Personnel Services Division.	Office of the Medical Examiner	We concur.
16. Improve its review of employees' hours by reconciling hours reported on timesheets with those entered in the Time Entry and Scheduling System.	Office of the Medical Examiner	We concur.



---

**From:** Toy, Debbie [debbie.toy@sfgov.org]  
**Sent:** Friday, March 15, 2013 3:23 PM  
**To:** Calvillo, Angela; BOS-Supervisors; BOS-Legislative Aides; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; Rose, Harvey; sfdocs@sfpl.info; gmetcalf@spur.org; Matz, Jennifer; Licavoli, Madeleine; BOS Legislation; CON-EVERYONE  
**Subject:** Controller's Office, Economic Analysis Division: Mandatory Seismic Retrofit for Wood-Frame Buildings: Economic Impact Report

The Controller's Office has issued an economic impact report on the proposed legislation to mandate seismic retrofitting for wood-frame buildings in San Francisco. The report may be downloaded here:

<http://co.sfgov.org/webreports/details.aspx?id=1546>

**Main Conclusions:**

Approximately 44,000 San Francisco housing units are in "soft-story" wooden-frame buildings that are particularly susceptible to damage in an earthquake. Seismic retrofitting can significantly reduce the damage these buildings may experience in an earthquake. Retrofitting can reduce post-earthquake costs, reduce building collapse rates, and increase the ability of residents to shelter in place after a disaster.

Relatively few of these buildings have been retrofitted. The proposed legislation would require owners of most wood-frame buildings in San Francisco to retrofit them over a 4-7 year period. The OEA finds that the proposed requirement would have essentially no net economic impact, positive or negative. Under the City's Rent Stabilization Ordinance, 100% of the cost of mandatory capital improvements may be passed through to tenants, at a likely cost of \$38-\$79 / month. While retrofitting clearly makes buildings safer for tenants, it is not known if the level of additional level of safety is worth the additional cost to tenants.

Other stakeholders, including property owners and the City itself, will also benefit from mandatory retrofitting. After further study of the impact on life safety, the City may wish to consider a different allocation of costs among tenants, property owners, and the City.

CCSF Controller's Office  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 316  
San Francisco, CA 94102  
Tel: 415-554-7500  
Fax: 415-554-7466  
Email: [controller@sfgov.org](mailto:controller@sfgov.org)

# Mandatory Seismic Retrofit for Wood-Frame Buildings: Economic Impact Report

Controller's Office of Economic Analysis

Item #130019

March 15, 2013



## Introduction

- Approximately 44,000 San Francisco housing units are in "soft-story" wooden-frame buildings that are particularly susceptible to damage in an earthquake.
- Seismic retrofitting can significantly reduce the damage these buildings may experience in an earthquake. Retrofitting can reduce post-earthquake costs, reduce building collapse rates, and increase the ability of residents to shelter in place after a disaster.
- Relatively few owners of these vulnerable buildings have retrofitted them. In 2010, the City introduced an incentive program to encourage the voluntary retrofitting, but use of the program has been very limited.
- The proposed legislation would require owners of wood-frame buildings with five or more units, that were built before 1978, to retrofit their buildings within 4-7 years to standards set by the Department of Building Inspection.
- Under the City's Rent Stabilization Ordinance, 100% of the costs of mandatory capital improvements to residential properties may be passed through to tenants, up to a 10% increase in annual rent.



## Economic Impact Factors

- The policy will require higher near-term spending on retrofitting, which will cause reconstruction spending to be reduced after an earthquake in the future.
- As the higher near-term spending will be passed on to tenants in the form of higher rents, consumer spending in the city will be reduced by a like amount. The reduction in future repair costs will, in turn, increase property owner income by a like amount in the future.
- The costs and benefits to tenants and property owners may marginally affect market rents and property values, but these factors are not considered in this report.
- Other benefits that were discussed, but not quantified, in reports produced by the Community Action Plan for Seismic Safety (CAPSS) committee. These benefits were not considered in this report, and include:
  - Improved life safety.
  - Reduced emergency response costs, related to shelter, medical care, and fire risk.
  - Preservation of historic resources and neighborhood resiliency.
- REMI model analysis of the increased construction spending, reduced consumer spending, and future impacts yields essentially zero net impact on jobs or the local economy.



## Costs and Benefits Related to Building Repair

- The CAPSS considered three retrofitting schemes. The table below summarizes their range of costs and benefits. Given current earthquake probabilities, the net present value of the benefits is within the cost range, given reasonable discount rates.
- 18% of the buildings are in potential liquefaction zones. Liquefaction may reduce the benefits of seismic retrofitting for these properties, but the costs of retrofitting are identical for them.

Scheme	Cost per Housing Unit	Repair Savings Benefit per Unit	Net Present Value of Retrofitting*
Scheme 1: Moment frames and limited shear walls	\$9,000- \$13,000	\$24,000	\$7,100 - \$10,800
Scheme 2: Moment frames and greater shear walls	\$15,000 - \$20,000	\$41,000	\$12,100- \$18,500
Scheme 3: Cantilevered Columns and greater shear walls	\$13,000 - \$19,000	\$52,000	\$15,400 - \$23,400

Assuming a 2% annual earthquake probability based on USGS 30-year probabilities of a major earthquake on the San Andreas or Hayward faults, with discount rates ranging from 4 – 7%.



## Pass-through Impact on Rents

- The table below indicates how the costs of retrofitting could be passed on to tenants in the form of higher rents.
- All apartment buildings covered by this legislation are also subject to the City's Rent Stabilization Ordinance, as they would be built before 1979.
- In practice, property owners may not be able to pass costs along to tenants already paying close to market rent. However, longer-term tenants paying below-market rents could face the full pass-through.

Scheme	Amortized Monthly Cost at 100% Pass-through	Median Rent	% Monthly Rent Increase due to Legislation
Scheme 1: Moment frames and limited shear walls	\$38 - \$55	\$1,407	2.7–3.8%
Scheme 2: Moment frames and greater shear walls	\$63 - \$83	\$1,407	4.4–5.9%
Scheme 3: Cantilevered Columns and greater shear walls	\$55 - \$79	\$1,407	3.8-5.6%



## Conclusions

- Simply from the perspective of preventing future repair expense, the mandatory retrofit appears to be a financially advisable policy, with no overall negative economic impact. Given the limited success of the voluntary program, it may be difficult to design any voluntary program that would be widely used.
- However, the 100% pass-through allowance for mandatory capital improvements does create a potential gap between the costs and benefits for different groups of stakeholders.
- Property owners receive clear benefits, in the form of reduced future repair costs. The CAPSS data suggests these benefits would approach or exceed the costs of retrofitting for many property owners, even without a pass-through.
- Many of the purported benefits – to emergency response costs, and to future neighborhood resiliency – are general city-wide benefits. A case can therefore be made that the City should contribute to the cost of the retrofitting, but the proposed legislation does not provide for any City funding.
- While tenants clearly benefit from improved life safety in their residences, the CAPSS did not attempt to quantify how much retrofitting improves survivability. It therefore remains unclear if the benefits exceed the costs for tenants.
- The City may wish to further study how residents in affected buildings would benefit before making final decisions about the allocation of costs among tenants, property owners, and the City.



## Staff Contacts

Ted Egan, Chief Economist, (415) 554-5268 [ted.egan@sfgov.org](mailto:ted.egan@sfgov.org)

Jay Liao, Economist, (415) 554-6159 [jay.liao@sfgov.org](mailto:jay.liao@sfgov.org)





File #130119

**From:** Frank Noto [Frank@fnstrategy.com]  
**Sent:** Friday, March 15, 2013 10:38 AM  
**To:** Chiu, David  
**Cc:** Otellini, Patrick; Board of Supervisors; Mar, Eric (BOS); Cohen, Malia; Wiener, Scott; Farrell, Mark; Kim, Jane; Campos, David; Avalos, John; Lee, Mayor; Breed, London; Yee, Norman (BOS); Tang, Katy  
**Subject:** March 18 Committee Hearing on Mandatory Seismic Retrofit Program for Wood-Frame Buildings

BOS-11  
epage

President Chiu and Honorable Supervisors,

As a 37-year San Francisco resident, I strongly support the passage of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings. This ordinance is a critical step forward for San Francisco, making our City safer and more resilient.

The legislation could save hundreds or even thousands of lives, and ultimately preserve housing for more than 50,000 citizens. Matters of life and death should not be optional.

It is part of our responsibility to ensure access to safe housing and that our City is preserved in the event of an earthquake.

Thank you for your consideration.

Frank Noto  
15 Quintara Street  
San Francisco, CA 94116

Office: 415-834-5645  
Cell: 415-830-1502

File 130119

BOS- 11  
C Page

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**From:** Jay Berger [jberger@eeri.org]  
**Sent:** Thursday, March 14, 2013 1:44 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick; 'Ian G Buckle'; 'L. Thomas Tobin'; 'David Friedman'; 'Janiele Maffei'  
**Subject:** Letter of Support for the Mandatory Seismic Retrofit Program for Wood-Frame Buildings  
**Attachments:** SF Soft Story Ordinance - Support Letter from EERI.pdf

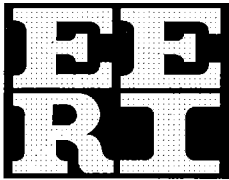
Dear City Clerk Calvillo, Mayor Edwin Lee and Director Patrick Otellini:

Please accept and enter into the record the attached letter of support from the Earthquake Engineering Research Center (EERI) for the proposed Mandatory Seismic Retrofit Program for Wood-Frame Buildings. I am available if you have any questions.

Thank you,  
Jay

---

Jay Berger  
Executive Director, Earthquake Engineering Research Institute  
499 14th Street, Suite 220  
Oakland, CA 94612  
(510) 451-0905 office  
(510) 501-5192 mobile



# EARTHQUAKE ENGINEERING RESEARCH INSTITUTE

## Board of Directors

Ian G. Buckle, *President*  
L. Thomas Tobin, *Past President*  
David A. Friedman, *Vice President*  
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**Executive Director**  
Jay Berger

To: Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

March 14, 2013

Cc: Mayor Edwin Lee  
Members of the Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Cc: Patrick Otellini  
City Hall, Room 362  
1 Dr. Carlton B. Goodlett Place  
San Francisco, 94102

Dear Supervisors,

**The Earthquake Engineering Research Institute (EERI) strongly supports the passage of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings.** Wood frame soft-story buildings are widely recognized as a significant earthquake risk in San Francisco and many other communities. By mandating that vulnerable wood-frame buildings be seismically retrofitted, San Francisco would reduce its earthquake risk, speed its recovery after future earthquakes, and serve as a model for many communities around the world. Mitigating earthquake risks before an earthquake strikes is both cost-effective and smart long-term planning.

EERI's mission is to reduce earthquake risk by a number of ways, of which one is "advocating comprehensive and realistic measures for reducing the harmful effects of earthquakes." The Mandatory Seismic Retrofit Program for Wood-Frame Buildings is clearly such a measure, and the Institute encourages the City of San Francisco to put this program into action as soon as possible.

The Earthquake Engineering Research Institute is a national, nonprofit, technical society of engineers, geoscientists, architects, planners, public officials, and social scientists based in Oakland, California. EERI's 2800 members include researchers, practicing professionals, educators, government officials, and building code regulators.

Thank you for your consideration.

Sincerely,

Jay Berger, Executive Director

499 14<sup>TH</sup> Street, Suite 220, Oakland, California 94612-1934  
☎ (510) 451-0905 FAX (510) 451-5411 eeri@eeri.org <http://www.eeri.org>

a nonprofit corporation

File 130119

**From:** Danielle Hutchings Mieler [DanielleM@abag.ca.gov]  
**Sent:** Thursday, March 14, 2013 1:00 PM  
**To:** Board of Supervisors; Hilt, Micah; Otellini, Patrick  
**Cc:** Dana Brechwald  
**Subject:** Letter of Support for San Francisco's Efforts to Retrofit Housing Vulnerable to Damage in Earthquakes by ABAG  
**Attachments:** L SF BOS Land Use Cmte Housing Retrofit 20130313.pdf

BOS- 11  
C page

Dear Angela Calvillo, Patrick Otellini, and Micah Hilt,

The Association of Bay Area Governments is pleased to submit a letter of support for San Francisco's efforts to retrofit housing vulnerable to damage in earthquakes. A pdf of the letter is attached. ABAG strongly supports programs which encourage owners of vulnerable housing to strengthen their buildings so that the region can bounce back more quickly from earthquakes and reduce the impact to residents.

Thank you for sharing our letter with the Board of Supervisors.

Regards,

Danielle

Danielle Hutchings Mieler, P.E.  
Earthquake and Hazards Program Coordinator  
Association of Bay Area Governments

(510) 464-7951  
[quake.abag.ca.gov](http://quake.abag.ca.gov)

# ASSOCIATION OF BAY AREA GOVERNMENTS

Celebrating 50 Years of Service to the Region



Via email: Board.of.Supervisors@sfgov.org

March 13, 2013

Board of Supervisors  
City and County of San Francisco  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

**Subject: Statement of Support San Francisco's Efforts to Retrofit Housing  
Vulnerable to Damage in Earthquakes**

Dear President Chiu and Members of the Board of Supervisors:

The Association of Bay Area Governments (ABAG) is committed to creating a more sustainable, equitable, and prosperous region. To that end, ABAG has long advocated for programs and policies that mitigate the effects of earthquakes and strengthen the resilience of the region so that it can bounce back quickly after an earthquake occurs. One of our greatest concerns is the significant vulnerability of our region's housing stock to earthquakes and the projected lengthy rebuilding process. In 2007 ABAG partnered with San Francisco to assist with the sidewalk survey to identify soft-story buildings in the City.

**ABAG strongly supports San Francisco's efforts to retrofit soft-story housing vulnerable to damage in earthquakes.** ABAG estimates that 150,000 homes will be severely damaged in a major earthquake and that the majority of those losses will occur in San Francisco. CAPSS has estimated 43 to 85 percent of San Francisco's 2,800 soft-story buildings would be red-tagged after a magnitude 7.2 earthquake on the San Andreas Fault and considered not safe to enter until they are repaired or replaced. Retrofitting these buildings now is much less expensive than repairing them later, will help preserve many architecturally significant buildings for the future, and conserve natural resources and energy.

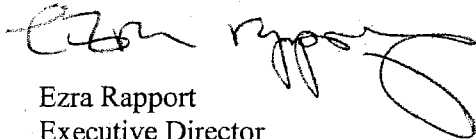
Representing City and County Governments of the San Francisco Bay Area

Mailing Address: P.O. Box 2050 Oakland, California 94604-2050 (510) 464-7900 Fax: (510) 464-7985 info@abag.ca.gov  
Location: Joseph P. Bort MetroCenter 101 Eighth Street Oakland, California 94607-4756

Board of Supervisors  
**Retrofit Housing Vulnerable to Damage in Earthquakes**  
March 13, 2013  
2

San Francisco's and the region's ability to quickly recover from an earthquake depend on reducing the number of homes damaged in earthquakes and ensuring that more residents can shelter in place after an earthquake.

Sincerely,



Ezra Rapport  
Executive Director

Cc Edwin Lee, Mayor, City and County of San Francisco  
Angela Calvillo, Clerk of the Board of Supervisors  
Patrick Otellini

FILE 130119

**From:** Mark Sutton [msutton@ncclf.org]  
**Sent:** Friday, March 15, 2013 10:04 AM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick; Mary A. Rogier; Ross Culverwell  
**Subject:** Letter of Support for: Building Code - Mandatory Seismic Retrofit Program for Wood-Frame Buildings  
**Attachments:** NCCLF - Seismic Retrofit Program - signed letter.pdf

BOS- 11  
Cpage

Dear Ms. Calvillo,

Please find attached NCCLF's letter of support regarding the Mandatory Seismic Retrofit Program.

Sincerely,  
Mark Sutton

--  
**Mark Sutton**  
Policy and Program Analyst  
Tel: 415.392.8215



**Northern California Community Loan Fund**  
870 Market St., Suite 677 / San Francisco, CA 94102  
Fax: 415.392.8216 / [www.ncclf.org](http://www.ncclf.org)



March 14, 2013

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689  
Email: Board.of.Supervisors@sfgov.org

**RE: Support - Building Code - Mandatory Seismic Retrofit Program for Wood-Frame Buildings**

To the Land Use Committee of the Board of Supervisors:

I write in **SUPPORT** of the proposed ordinance **Building Code - Mandatory Seismic Retrofit Program for Wood-Frame Buildings**.

The Northern California Community Loan Fund promotes economic justice and alleviates poverty by increasing the financial resilience and sustainability of community-based nonprofits and enterprises. Through flexible financial products and sound advice, we create opportunities to make socially responsible investments that revitalize Northern California communities.

We believe this ordinance is good for the safety of San Francisco's citizens in the event of an earthquake, and we are planning to help work with a number of nonprofit organizations in financing the required retrofits.

Sincerely,

Mary Rogier  
President  
Northern California Community Loan Fund

cc: Patrick Otellini  
Director of Earthquake Safety  
City Hall, Room 12A  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102  
email: patrick.otelini@sfgov.org



EMA

BOS-11, cpage  
File 130119

San Francisco  
Association of  
REALTORS®

Opening the Door  
to Your Success

March 14, 2013

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 MAR 15 PM 4:03

RE: Soft Story Ordinance/ Mandatory Seismic Retrofit

Dear Ms. Calvillo:

On March 18, 2013 the Land Use & Economic Committee is scheduled to hear the Soft Story Ordinance/ Mandatory Seismic Retrofit ordinance.

The ordinance proposes to amend the Building Code to establish a comprehensive and needed seismic safety retrofit program for qualifying wood-frame buildings of three or more stories, which have five or more dwelling units that were constructed prior to January 1, 1978.

The proposed ordinance is the result of years of work by the City to properly define the risks and practical solutions for earthquake safety. The ordinance would benefit all San Franciscans by providing needed protection. Earthquake safety must be taken seriously, and the proposed City ordinance is the first in the state to do so.

The San Francisco Association of Realtors supports the proposed legislation, but believes that a modest disclosure component is necessary to ensure that when building subject to the new ordinance are sold, the buyer is notified by the seller if he or she has received a notice from the City that the property is subject to the ordinance. Our proposed revisions are enclosed.

Currently, state law does not impose any disclosure obligation of a seller about seismic bracing, and it does not preclude local governments from doing so. Accordingly, our proposed language expressly codifies a seller's duty to disclose to bona fide purchasers that a notice under this legislation was received.. It is not necessary to obligate the seller in every case. Thus, exemptions are necessary (see paragraph b). e.g. dissolution of marriage. We do not believe that a failure to disclose should affect a right, title or interest or invalidate a sale. There is long standing law for buyers to

301 Grove Street  
San Francisco  
CA 94102

P: 415.431.8500  
F: 415.553.3968

seek adequate legal recourse against a seller of property for a failure to disclose. The amendment is comports state laws that obligate a seller to disclose OTHER relevant facts that affect the marketability of the property e.g. if the property is in a seismic safety zone or in a fire hazard zone.

We are greatly appreciative of Patrick Otellini's work.

Sincerely,



Christine Dwiggins  
President

San Francisco Association of REALTORS®

cc Patrick Otellini, Director of Earthquake Safety  
San Francisco Association of REALTORS® Board of Directors  
Janan New, San Francisco Apartment Association  
Brook Turner, Coalition for Better Housing

Attachment: Seismic Safety Ordinance Amendment

File 130119

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO

2013 MAR 14 PM 4:09

BOS-11  
Alisa-  
Cpage

March 13, 2013

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Supervisors,

I have been a resident of San Francisco since 1982 and have always put 'seismic safety and awareness' as a high priority. I am NERT trained, and frequently discuss this topic with my neighbors and family. In fact, after attending a neighborhood meeting last year on earthquake safety in the community, I agreed to be on the mayor's task force that was formed to study seismic safety in private schools.

I strongly support the passage of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings. This ordinance is a critical step forward to ensure that San Francisco is safe and resilient and able to handle the eventual large earthquake predicted in the near future. It is all of our responsibilities to ensure that every citizen has access to seismic-safe housing and that our radiant City-by-the-Bay is preserved by strong clear-thinking heads at City Hall and progressive-minded citizens. The more time, effort and resources we expend now, the less tragedy we will experience afterwards.

When this disaster occurs, we want to be remembered for being on guard and ready. Even in an earthquake-prepared city such as Tohoki, Japan, many people died unnecessarily. We all need to think 'outside the box' so that San Francisco avoids such a tragedy!

Please help to bring us together as a community, so this program for wood-frame buildings will pass. In the eyes of the nation and the world, our City stands for so much that is good~~ let's show people that we have what it takes to be proactive, roll up our sleeves, and do the necessary work to keep our City the jewel that she is.

I am grateful that you are working so hard to prepare San Francisco for a destructive earthquake. No one really wants to think about this subject, but hopefully your leadership will provide the necessary collaborative spirit to move people to implement this program.

Sincerely,

*Pat McGuire*

Pat McGuire  
1648 8th Avenue  
San Francisco, CA 94122

cc: Mayor Edwin Lee  
Patrick Otellini

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: Support for Soft Story Ordinance before the Land Use Committee  
**Attachments:** Board of Supervisors Letter.docx

**From:** L THOMAS TOBIN [<mailto:lttobin@aol.com>]  
**Sent:** Wednesday, March 13, 2013 4:26 PM  
**To:** Board of Supervisors  
**Subject:** Support for Soft Story Ordinance before the Land Use Committee

Dear Ms. Calvillo:  
Please note my support for passage of a soft story ordinance and convey my letter to members of the Lane Use Committee. Thank you.  
Tom

L THOMAS TOBIN  
Tobin & Associates  
3451 18th Street  
San Francisco, CA 94110

USA  
Office Phone: 415 380-9142  
Mobile Phone: 415 595-8939  
[lttobin@aol.com](mailto:lttobin@aol.com)

**L. Thomas Tobin**  
**Tobin & Associates**  
**3451 18<sup>th</sup> Street**  
**San Francisco, CA 94110**  
**415 380-9141 — [lttobin@aol.com](mailto:lttobin@aol.com)**

March 13, 2013

The Honorable Members  
Land Use Committee  
San Francisco Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689  
Attn: Angela Calvillo, Clerk of the Board of Supervisors

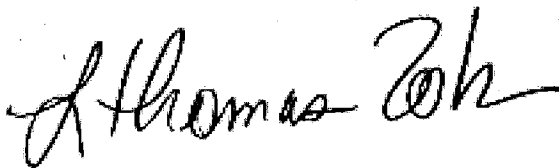
Dear Members Chiu, Kim and Wiener:

I am writing in support of an ordinance to require evaluation and retrofit, when needed, of all wood frame residential buildings with three or more stories and five or more units.

Weak walls at the ground floor of a few thousand multi unit residential buildings in the City pose a clear threat to public safety, housing availability and affordability, community character, the viability of small businesses and retention of jobs. When earthquakes strike the San Francisco Bay Area the consequences will be severe and long lasting, but avoidable. Fortunately, economically effective and fair measures to strengthen these weaknesses are available. We cannot afford to not fix these obvious deficiencies and protect people and our City.

Your support for this mandatory measure will help San Franciscans prepare for earthquakes, protect the City, tenants and owners, and leave a legacy of leadership.

Sincerely,



Email: [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: Letter of Support for File No. 130119 by EERI Northern California Chapter  
**Attachments:** EERI NC Support File No 130119\_FINAL.pdf

---

**From:** Heidi Tremayne [mailto:htremayne@berkeley.edu]  
**Sent:** Wednesday, March 13, 2013 4:31 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick; Hilt, Micah; Schotanus, Marko I.; Laura Dwelley-Samant; Sharyl Rabinovici; [MaffeiJ@calquake.com](mailto:MaffeiJ@calquake.com);  
Mark, Kenneth M; Danielle Hutchings Mieler; Laurence; [chuck.real@conservation.ca.gov](mailto:chuck.real@conservation.ca.gov)  
**Subject:** Letter of Support for File No. 130119 by EERI Northern California Chapter

Angela Calvillo, Patrick Otellini, and Micah Hilt,

On behalf of the Earthquake Engineering Research Institute's Northern California Chapter, I am sending our letter of support for the the Ordinance to establish Mandatory Seismic Retrofit Program for Wood-Frame Buildings (File No. 130119). A pdf of the letter is attached.

The EERI Northern California Chapter strongly supports this initiative as we feel that it will help protect the City's inhabitants and make the San Francisco more resilient. The effort builds up on lessons learned from multiple Bay Area policy initiatives to address soft-story housing, utilizes both engineering knowledge and practical experience, and ensures that a higher level of building performance can be achieved for San Francisco residents in a reasonable time frame.

Thank you for sharing our letter with the Board of Supervisors.

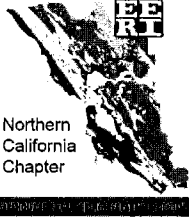
Representatives of our Chapter will attend Monday's Board of Supervisors Land Use Committee meeting to support this ordinance.

Regards,

Heidi

--

Heidi Tremayne (Faison)  
President  
EERI Northern California Chapter  
&  
Outreach Director  
Pacific Earthquake Engineering Research Center  
University of California, Berkeley  
325 Davis Hall, MC1792  
Berkeley, CA 94720  
Tel: 510.642.3462  
Fax: 510.642.1655  
<http://peer.berkeley.edu>



# EARTHQUAKE ENGINEERING RESEARCH INSTITUTE Northern California Chapter

## Board of Directors

Heidi Tremayne, *President*  
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Chuck Real  
Laurence Kornfield  
Laura Dwelley-Samant  
Ken Mark

March 13, 2013

Via email: [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)

To: Angela Calvillo  
Clerk of the Board of Supervisors  
1 Dr. Carlton B. Goodlett Place  
City Hall, Room 244  
San Francisco, 94102-4689

Cc: Mayor Edwin Lee  
Members of the Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102-4689

Cc: Patrick Otellini  
City Hall, Room 362  
1 Dr. Carlton B. Goodlett Place  
San Francisco, 94102-4689

**Subject: EERI Northern California Chapter statement of support for Ordinance to establish  
Mandatory Seismic Retrofit Program for Wood-Frame Buildings (File No. 130119)**

Dear Supervisors:

The Northern California Chapter of the Earthquake Engineering Research Institute (EERI-NC) is a regional, member-based organization dedicated to reducing earthquake risk. Our members have long been active in the Community Action Plan for Seismic Safety (CAPSS) study, for example through our help in 2007 to collect data on existing multi-unit residential wood-frame buildings. EERI-NC advocates the development of comprehensive programs for mitigation of the harmful effects of earthquakes. The City and County of San Francisco's (City) Earthquake Safety Implementation Program (ESIP) is such a program, and its measures will help protect the City's inhabitants, and make it a more resilient community.

**EERI-NC is strongly in favor of the initiative to create a Mandatory Seismic Retrofit Program for Wood-Frame Buildings in the City of San Francisco.** The City's ability to recover quickly from the next major earthquake depends on residents being able to shelter-in-place while their homes are being repaired. However, the vulnerability of the City's housing stock to soft-story earthquake hazards is significant and well-documented (in particular by the CAPSS technical reports). Furthermore, the situation is unlikely to improve without additional public action.

499 14<sup>TH</sup> STREET, SUITE 220, OAKLAND, CALIFORNIA 94612-1934

PHONE (510) 451-0905 FAX (510) 451-5411 [CHAPTERINFO@EERINC.ORG](mailto:CHAPTERINFO@EERINC.ORG) [HTTP://WWW.EERINC.ORG](http://WWW.EERINC.ORG)

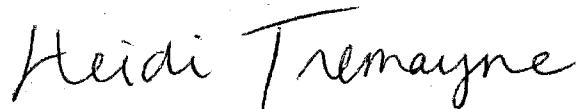


The proposed ordinance builds upon recent momentum and lessons learned from multiple Bay Area policy initiatives to address soft-story housing, including inventories in Santa Clara County, Sebastopol, and Richmond, regional studies by the Association for Bay Area Governments, and a mandatory screening ordinance in Oakland. The mandatory evaluation ordinances in the cities of Berkeley and Alameda, in particular, demonstrate a turning tide of public opinion towards preventative, required seismic upgrading for buildings with documented deficiencies with serious public ramifications. Fremont has had a mandatory retrofit ordinance in place since 2007. Sufficient engineering knowledge and practical experience exists to facilitate mandatory soft-story policymaking. The extensive technical and stakeholder ground work laid by the CAPSS study, combined with insights from the experiences of other cities, can be used to guide the implementation of an administratively efficient and inclusive program in San Francisco.

Most importantly, a mandatory ordinance to retrofit certain weak wood-frame buildings, such as the one introduced by Mayor Lee and Supervisors Chiu, Wiener, Yee, Farrell, Breed and Mar, is a direct way to cost-effectively increase the post-earthquake habitability of the City's housing stock overall. The cost of retrofitting these buildings is much less than the cost of rebuilding them and providing temporary housing for displaced residents during the process. The proposed ordinance allows flexibility in timing for owners to adapt to the new requirements, while still ensuring that a much higher level of building performance is achieved in a reasonable time frame. For all the above reasons, EERI-NC fully supports this ordinance.

Adoption of the ordinance would be an important milestone for implementation of retrofit programs in the City. We look forward to continuing to be a partner of the City and ESIP to achieve our mutual goal of reducing vulnerability to earthquakes.

Sincerely,



Heidi Tremayne  
President

#### About EERI's Northern California Chapter

The Earthquake Engineering Research Institute's (EERI) Northern California Chapter is a nonprofit technical society dedicated to reducing earthquake risk in Northern California by advancing the science and practice of earthquake engineering, by improving understanding of the impact of earthquakes on the physical, social, economic, political and cultural environment and by advocating comprehensive and realistic measures for reducing the harmful effects of earthquakes. EERI's national office is headquartered in Oakland, California.

## Nevin, Peggy

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** Support: File Number 130119 - Mandatory Soft Story Seismic Retrofit Program  
**Attachments:** PHRA support for Mandatory Soft Story Retrofit.pdf

Peggy Nevin  
Executive Assistant  
Clerk of the Board of Supervisors  
415-554-7703  
[peggy.nevin@sfgov.org](mailto:peggy.nevin@sfgov.org)

---

**From:** Paul Wermer [[mailto:pw-sc\\_paul@sonic.net](mailto:pw-sc_paul@sonic.net)]  
**Sent:** Thursday, March 14, 2013 10:50 AM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick; Hilt, Micah; Farrell, Mark; Breed, London  
**Subject:** Support: File Number 130119 - Mandatory Soft Story Seismic Retrofit Program

# *PACIFIC HEIGHTS RESIDENTS ASSOCIATION*

2585 PACIFIC AVENUE  
SAN FRANCISCO, CA 94115  
TELEPHONE: (415) 922-3572

14 March 2013

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689

VIA e-mail

Subject: File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

Dear Supervisors:

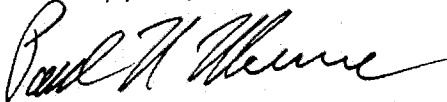
The Pacific Heights Resident's Association (PHRA) represents San Francisco residents within the boundaries of Union to Bush Streets, Presidio to Van Ness Avenues. PHRA was founded over 40 years ago, with the mission of preserving the quality of life and neighborhood characteristics of San Francisco.

Our boundaries include buildings that may be soft story buildings, at risk of collapse in a major earthquake. We are well aware of both the risk to life and the loss of housing should such buildings collapse.

The need for the Mandatory Soft Story Seismic Retrofit Program is clear. This program will save lives and, by preserving existing housing, speed San Francisco's recovery in the event of a major earthquake. The leaders and participants in the CAPSS program have done San Francisco a great service in identifying this need.

PHRA supports the Mandatory Soft Story Seismic Retrofit Program, and urges you to pass the enabling legislation.

Sincerely yours,



Paul H. Wermer  
Board Member, Pacific Heights Residents Association

Cc: Angela Calvillo, Clerk of the Board of Supervisors  
Patrick Otellini, Director of Earthquake Safety

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File Number 130119 – Mandatory Soft Story Seismic Retrofit Program  
**Attachments:** File Number 130119\_Zoback support letter.pdf

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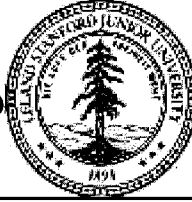
**From:** Mary Lou Zoback [<mailto:marylou.zoback@gmail.com>]  
**Sent:** Tuesday, March 12, 2013 6:52 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick  
**Subject:** File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

Dear Ms. Calvillo,

Please find my attached letter in support of File Number 130119 – Mandatory Soft Story Seismic Retrofit Program.

Thank you,  
Mary Lou Zoback  
Consulting Professor, Dept. of Geophysics  
Past Co-Chair, CAPSS Advisory Committee

STANFORD UNIVERSITY



Department of Geophysics  
Stanford University  
Stanford, CA 94305-2115

Tel.: (650) 723-2782  
Fax: (650) 725-7344  
e-mail: marylouz@stanford.edu

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689

Re File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

Dear Board of Supervisors,

I am writing to lend my strongest support for the Mandatory Soft Story Seismic Retrofit Program. I was involved in the Advisory Committee for the CAPSS (Citizen's Action Plan for Seismic Safety) for 10 years, and had the honor of serving as its co-chair from 2008-2010. The mandatory soft story seismic retrofit ordinance you are considering came directly from CAPSS recommendations which were vetted with technical experts as well as with community members representing building owners, tenants, and neighborhood associations.

The CAPSS program began with detailed (neighborhood scale) assessment of San Francisco's vulnerability to future earthquakes. In the end CAPSS recommended a staged, thirty-year plan to retrofit all seismically vulnerable building types in the city. No other city in the United States has undertaken such a detailed and objective assessment on its seismic vulnerability.

You now have an opportunity to maintain San Francisco's unique national leadership in seismic safety by approving the proposed mandatory soft-story seismic retrofit program. I strongly urge you to do this as the first step in a long-term program that will assure that San Francisco is the safest and most resilient community in the United States,

Sincerely,

Mary Lou Zoback  
Consulting Professor, Dept. of Geophysics  
Past Co-Chair, CAPSS Advisory Committee

Cc: Patrick Ottelini, SF Director of Earthquake Safety

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: Soft Story Seismic Retrofit Program

---

**From:** Dee Seligman [<mailto:deesel91@gmail.com>]  
**Sent:** Tuesday, March 12, 2013 6:33 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick  
**Subject:** Soft Story Seismic Retrofit Program

Dear Bd of Supervisors Land Use Committee Members,  
Please support File Number 130119 – Mandatory Soft Story Seismic Retrofit Program. As an active NERT, I understand fully the dangers of soft story construction, and how it will affect all of us as we approach search and rescue after a major earthquake. There is little likelihood that many owners will voluntarily do the retrofitting that is needed, particularly in multi-unit buildings. The City must mandate this retrofitting, and this program is the beginning of that city-wide process that must take place.

Sincerely your,

Dee Seligman  
*H: 415-668-6308*

**Board of Supervisors**

---

File 130119

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: Letter in support of File Number 130119 – Mandatory Soft Story Seismic Retrofit Program  
**Attachments:** Samant soft story letter of support.pdf

**From:** Laura Dwelley-Samant [<mailto:laura.samant@gmail.com>]  
**Sent:** Tuesday, March 12, 2013 3:31 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick  
**Subject:** Letter in support of File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

Dear Angela Calvillo,

I am sending a letter in support of File Number 130119 – Mandatory Soft Story Seismic Retrofit Program, which will be discussed at the Land Use Committee meeting on Monday March 18.

Can you please provide this letter to the members of the Land Use Committee prior to that meeting?

Sincerely,  
Laura Samant

--  
Laura Dwelley Samant  
office: 415-839-9614  
mobile: 415-310-3618

· Laura Dwelley-Samant ·  
Supporting Seismic Safety

To: Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

March 12, 2013

Dear Supervisors,

**I am writing to express my strong support for the ordinance to mandate retrofit of vulnerable soft-story residential buildings.**

Earthquakes are an inevitable part of San Francisco's future and we must plan for them. We know that this type of building, without retrofit, is likely to see heavy damage in future earthquakes. We have seen a preview of this damage before, during the moderate 1989 Loma Prieta earthquake.

After future earthquakes, the proposed ordinance would reduce casualties, preserve housing (notably, rent controlled housing), reduce the number of people that need emergency shelters, and speed the City's economic, social and cultural recovery.

As a San Francisco resident, specialist in earthquake risk issues, and former co-project manager of the San Francisco Community Action Plan for Seismic Safety (CAPSS) project, I have watched a broad group of San Franciscans—building owners, renters, neighborhood representatives, historic building advocates, business leaders, engineers, urban planners, and many others—study these buildings and craft this ordinance from its early stages. This ordinance is the result of years of effort by and discussion among people who truly care about San Francisco's future, and I urge you to support it.

Sincerely,



Laura Dwelley-Samant

Cc: Mayor Edwin Lee  
Members of the Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Cc: Patrick Otellini  
City Hall, Room 362  
1 Dr. Carlton B. Goodlett Place  
San Francisco, 94102



**Board of Supervisors**

---

File 130119

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: soft story mandate  
**Attachments:** img227.pdf

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**From:** ken paige [mailto:[paigekenneth@gmail.com](mailto:paigekenneth@gmail.com)]  
**Sent:** Tuesday, March 12, 2013 2:26 PM  
**To:** Board of Supervisors  
**Subject:** soft story mandate

Please see attached for hearing on soft story.

Thank you...Ken Paige

--

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Paige Glass Co.  
828 Brannan Street  
San Francisco, CA 94103  
415.621.5266  
415.621.3858 (fax)

March 11, 2013

To: Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Cc: Mayor Edwin Lee  
Members of the Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Cc: Patrick Otellini  
City Hall, Room 362  
1 Dr. Carlton B. Goodlett Place  
San Francisco, 94102

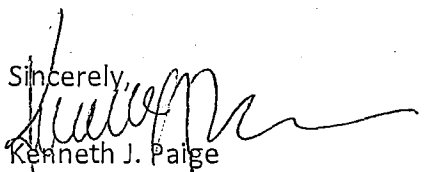
Dear Supervisors,

I, Kenneth Paige, strongly support the passage of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings. This ordinance is a critical step forward for San Francisco, making our City safer and more resilient. It is all of our responsibilities to ensure every citizen has equal access to safe housing and that our great City is preserved.

Because I am on the CAPPs Committee, I feel it is incumbent on me to set a good example of how a good landlord should respond to a potentially dangerous problem without encumbering my tenants with additional obligations or increased rent. I am planning to add a few additional apartment units in the soft story floor and thereby defraying the cost of the seismic upgrade. Although the apartment will be more stable, the future rents not my tenants will pay for the seismic work. With a better constructed building and with more income, First Republic Bank has offered a larger property loan at a much reduced rate. Win, win, win!!

Thank you so much for your consideration. I am looking forward to working together to make San Francisco a safer, stronger, and more resilient City.

Sincerely,

  
Kenneth J. Paige

**Board of Supervisors**

---

File 130119

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** "File Number 130119 – Mandatory Soft Story Seismic Retrofit Program"

-----Original Message-----

**From:** Diana Arsham [<mailto:da@arsham.com>]  
**Sent:** Tuesday, March 12, 2013 2:42 PM  
**To:** Board of Supervisors  
**Subject:** "File Number 130119 – Mandatory Soft Story Seismic Retrofit Program"

To Whom it May Concern:

Although I will be unable to attend the meeting on Monday March 18th, I am writing to show support for the Mandatory Soft Story Seismic Retrofit Program.

Thank you for your consideration.

Diana Arsham  
PO Box 15608, SF, CA 94115-0608  
415-346-4740 (VOICE MAIL)  
415-567-3929 (FAX)  
[DIANA@ARSHAM.COM](mailto:DIANA@ARSHAM.COM)

**Board of Supervisors**

File 130119

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: the proposed "soft story retrofit" ordinance..."

---

**From:** jackbarry99@gmail.com [mailto:jackbarry99@gmail.com] **On Behalf Of** Jack Barry  
**Sent:** Tuesday, March 12, 2013 3:00 PM  
**To:** Board of Supervisors  
**Subject:** the proposed "soft story retrofit" ordinance..."

Dear Board Clerk

Please tell all the Board members that this proposed ordinance must be passed , ASAP.

SF cannot afford to let such risky conditions go on as they will cause the city to waste big money, in the "damage clean up" after the next big quake.

thanks.

John Barry, Board member, SHARP, the Sunset Hts Assoc. of Responsible People.

(SHARPSF.com)

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**jackbarry99@gmail.com**

**Board of Supervisors**

File 130119

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** Letter in support of Mandatory Soft Story Retrofit Ordinance  
**Attachments:** ltr\_bdofsups\_retrofit\_201303111646.pdf

---

**From:** Lytle, Rebecca [[mailto:Rebecca\\_Lytle@SanFranciscoFCU.com](mailto:Rebecca_Lytle@SanFranciscoFCU.com)]  
**Sent:** Monday, March 11, 2013 4:47 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick  
**Subject:** Letter in support of Mandatory Soft Story Retrofit Ordinance

Good afternoon,

Please find attached our letter in support of the Mandatory Soft Story Retrofit Ordinance that will be heard at the Land Use Committee of the Board of Supervisors on Monday March 18<sup>th</sup>.

**Rebecca Reynolds Lytle**  
Senior Vice President of Lending



770 Golden Gate Avenue, San Francisco, CA 94102  
**Phone:** 415.359.2926 | **Fax:** 415.447.2240  
**NMLS ID:** 417527  
**[www.SanFranciscoFCU.com](http://www.SanFranciscoFCU.com)**

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**STATEMENT OF CONFIDENTIALITY**

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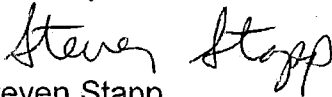
March 8, 2013

San Francisco Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689

Dear Supervisors,

This letter is to express our support of the Mandatory Soft Story Retrofit Ordinance as well as to express our commitment to working with property owners on financing those needed retrofits. Supporting the financial needs of our San Francisco community is at the heart of our organization.

Sincerely,



Steven Stapp  
President & CEO

Cc:

Patrick Otellini  
Director of Earthquake Safety  
City Hall, Room 12A  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102  
email: [patrick.otellini@sfgov.org](mailto:patrick.otellini@sfgov.org)

Angela Calvilio  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689  
Email: [Board\\_of\\_Supervisors@sfgov.org](mailto:Board_of_Supervisors@sfgov.org)

## Board of Supervisors

---

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: From George O. - Letter in Support of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings 3/11/2013  
**Attachments:** EXECUTED LETTER OF SUPPORT FOR MANDATORY SEISMIC RETROFIT PROGRAM 2112013.pdf

---

**From:** George Orbelian [<mailto:gorbelian@infoasis.com>]  
**Sent:** Monday, March 11, 2013 1:45 PM  
**To:** Board of Supervisors; Otellini, Patrick  
**Subject:** From George O. - Letter in Support of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings 3/11/2013

Dear Angela and Patrick,

Attached please find my letter of support for the Mandatory Seismic Retrofit Program for Wood-Frame Buildings.

Thanks for your great work!

Aloha,

George Orbelian  
608 48th Avenue  
San Francisco, California 94121  
(415) 379-3809  
Cell (415) 602-4716

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689  
Email: [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)

Patrick Otellini  
Director of Earthquake Safety  
City Hall, Room 12A  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102  
email: [patrick.otellini@sfgov.org](mailto:patrick.otellini@sfgov.org)

March 11, 2013

To: Mayor Edwin Lee  
Members of the Board of Supervisors  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Cc: Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Dear Mayor and Supervisors,

As a native San Franciscan, with a lifetime of experience in real estate ownership and management, I, George Orbelian, strongly support the passage of the Mandatory Seismic Retrofit Program for Wood-Frame Buildings. This ordinance is a critical step forward to making San Francisco a safer and more resilient city. It is all of our responsibilities to ensure every citizen has equal access to safe housing and workspaces and that our great City is preserved.

Preparing for earthquakes by retrofitting our most vulnerable housing and infrastructure will protect and empower the business continuity of the City we love and call home. With this program in place our residential and commercial tenants will continue to have access to homes and businesses ensuring rents to owners. The continuation of rents will make it possible for owners to honor mortgage and tax obligations. This very clear and well thought out policy reflects a consensus of win / win thinking inspired by care for the future of our City. Passage of this program is essential to insuring we avoid foreseeable and preventable earthquake consequences we have witnessed around the world, enabling San Francisco to continue working.

I am proud to live in San Francisco, a city that implements solutions to challenges the rest of the world dreams about solving. I thank you for your efforts and look forward to working with you in furthering our great legacy.



George Orbelian  
Owner, Orbelian Holdings, L.P.  
March 11, 2013



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**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File 130119: Letter of Support for Soft Story Legislation on Behalf of San Francisco Bay Area NARI

**From:** Judy Tufo [<mailto:judytufo@gmail.com>] **On Behalf Of** Judy Tufo  
**Sent:** Friday, March 15, 2013 3:59 PM  
**To:** Board of Supervisors  
**Subject:** Letter of Support for Soft Story Legislation on Behalf of San Francisco Bay Area NARI



March 14, 2013

Angela Calvillo

Clerk, Board of Supervisors

City Hall, Room 244

1 Dr. Carlton B. Goodlett Place

San Francisco, CA 94102

Members of the Board of Supervisors

City Hall

1 Dr. Carlton B. Goodlett Place

San Francisco, CA 94102

Dear Members of the Board of Supervisors:

The San Francisco Bay Area Chapter of the National Association of the Remodeling Industry (NARI) strongly supports the passage of the Mandatory Seismic Retrofit Program for Wood Frame Buildings. Our contractor members have witnessed first hand after the Loma Prieta earthquake just how important this type of strengthening is the protection of life and safety but also the housing stock of our city.

In a big seismic event, these type buildings will fail catastrophically, placing the tenant's health and even their lives in jeopardy. Moreover, the tenant will become a refugee forced to live in a tent in the park, or a FEMA trailer somewhere. He or she will lose all personal possessions and will be unable to continue working and dependent on government for food. If the building is strengthened he/she can shelter in place and continue with normal activities.

For property owners seismic strengthening is an outstanding investment. Every dollar spent preventing damage will save at least ten dollars in damages. NARI members who repaired damage after Loma Prieta can attest to that ratio. Many buildings that did not suffer significant structural damage incurred hundreds of thousands of dollars in repair expenses. In "cosmetic" damage, the majority of which, could have been avoided with some simple strengthening strategies.

If these buildings are allowed to fail, they will dump tens of thousands of homeless, hungry citizens onto the care of government. There is little doubt that these numbers of refugees exceed the capacity of our resources to house and feed them. Further, the physical destruction of all these buildings will take a long time to clear and cost enormous sums of money. Thousands of business will be devastated, hampering a restoration of normal economic activity. A giant chunk of the city's rent controlled housing stock will be instantly converted to market rate housing with unimaginable consequences for the diversity of our future population. The loss of the architectural heritage represented by these buildings will transform the culture of this city in ways that cannot be calculated.

To not require this strengthening and to allow these **KNOWN** consequences to come to pass is not just unwise but irresponsible. This ordinance is a critical step forward for San Francisco, making our City safer and more resilient. It is all of our responsibilities to ensure every citizen has equal access to safe housing and that our great City is preserved.

Thank you so much for your consideration. I am looking forward to working together to make San Francisco a safer, stronger, and more resilient City.

Sincerely,

# Michael Hamman

Michael Hamman

Chair, Government Affairs Committee

cc: Honorable Edwin Lee, Mayor

Patrick Otellini, Director of Earthquake Safety

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

---

**From:** [zanturner@aol.com](mailto:zanturner@aol.com) [mailto:[zanturner@aol.com](mailto:zanturner@aol.com)]  
**Sent:** Friday, March 15, 2013 8:30 PM  
**To:** Board of Supervisors  
**Cc:** Otellini, Patrick  
**Subject:** File Number 130119 – Mandatory Soft Story Seismic Retrofit Program

March 15, 2013

Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, Ca. 94102-4689

Re: File Number 130119 –  
Mandatory Soft Story Seismic Retrofit Program

Dear Supervisors:

As a San Francisco building inspector in 1989, I saw firsthand the damage inflicted by the Loma Prieta earthquake to and by soft-story buildings. Not only did many of these buildings collapse but they also caused damage to neighboring property. I was subsequently disturbed to learn that many people seem to think that such a danger no longer exists, assuming that the local government has corrected this problem in the years since the event. The program for voluntary seismic upgrade of soft-story buildings has resulted in very little improvement of the situation over the last 24 years. It is obvious that regulations requiring such upgrade must be established before the next earthquake. I urge your support of the CAPSS Mandatory Soft Story Seismic Retrofit Program.

Thank for your consideration.

Sincerely,

Zan Turner

**Board of Supervisors**

---

120987  
GAO Clerk

**To:** BOS-Supervisors; Calonsag, Rana  
**Subject:** File 120987: Why I signed -- Like Dynamo Coffee, a

---

**From:** Dorian Young [mailto:mail@change.org]  
**Sent:** Tuesday, March 12, 2013 10:19 AM  
**To:** Board of Supervisors  
**Subject:** Why I signed -- Like Dynamo Coffee, a

Dear San Francisco Board of Supervisors,

I just signed Dylan MacNiven's petition "Yes to Woodhouse on Marina Green!" on Change.org.

Here's why I signed:

Like Dynamo Coffee, a small place on the waterfront will be so wonderful.

Sincerely,  
Dorian Young  
San Francisco, California

---

There are now 597 signatures on this petition. Read reasons why people are signing, and respond to Dylan MacNiven by clicking here:  
<http://www.change.org/petitions/yes-to-woodhouse-on-marina-green?response=9272c59f571d>

12

**From:** Board of Supervisors  
**To:** Calonsag, Rana  
**Subject:** File No. 120987 - Marina Green - Opposed to Restaurant

---

**From:** Dan Clarke [<mailto:clarkedan@yahoo.com>]  
**Sent:** Tuesday, March 12, 2013 7:26 PM  
**To:** [mayoredwinlere@sfgov.org](mailto:mayoredwinlere@sfgov.org); Farrell, Mark  
**Cc:** Board of Supervisors; Stefani, Catherine  
**Subject:** File No. 120987 - Marina Green - Opposed to Restaurant

Mayor Lee and Supervisor Farrell

The Marina Green is unique. It is a beautiful open space in an otherwise cluttered environment. People from the City as well as from all over the world come to enjoy the peace and serenity provided by this wonderful place. A restaurant is not necessary there, and it would detract from the limited open access that exists. Please, I beg you, do not allow the Marina Green to be ruined for the sake of a commercial establishment.

Thank you for allowing my input.

Dan Clarke

1625 North Point St

San Francisco, CA 94123

(415) 775-7773

## Board of Supervisors

---

To: BOS Supervisors: Calonsag, Rana  
Subject: FILE NO. 120987 NO RESTAURANT ON MARINA GREEN

---

**From:** Smith, Sherry A [mailto:sherry.a.smith@bankofamerica.com]  
**Sent:** Tuesday, March 12, 2013 7:13 AM  
**To:** boardofsupervisors@sfgov.org  
**Subject:** FW: FILE NO. 120987 - NO RESTAURANT ON MARINA GREEN

Please place the email below in the file pertaining to this proposal.

Thank you.

Sherry Smith

---

**From:** Smith, Sherry A  
**Sent:** Monday, March 11, 2013 8:17 AM  
**To:** boardofsupervisors@sfgov.org  
**Subject:** FILE NO. 120987 - NO RESTAURANT ON MARINA GREEN

Dear Supervisors,

The purpose of this email to protest the proposed Woodhouse project, or any future commercialization, of the Marina Green or its waterfront, as it provides no benefit to the Marina or the City of San Francisco.

The Marina Green is a recreational area for the City's residents as well as its many visitors. Parks and Recreation should not be allowed to lease this park to developers whose only concern is to turn a profit at the expense of destroying this natural, beautiful area. Parks and Recreation, as well as the Marina Community Association and Mark Farrell, need to consider the long term impact that commercialization such as this will have on the residents of this neighborhood and the Marina waterfront, and ultimately the entire City of San Francisco.

As this proposed project has been moving forward for a couple of years (unbeknownst to most Marina residents) it appears that the concerns of the residents/voters have not been considered or addressed. Now that this matter has finally surfaced (and not as a hidden agenda item on some upcoming meeting notice), the people will have a voice and hopefully put an end to this proposal to commercialize the Marina Green and its waterfront.

Residents of San Francisco, as well as visitors, come to this area to enjoy and appreciate the natural beauty of the City's shoreline and the bay. They come to enjoy the magnificent views, run, bike, walk, skate, walk/run their dogs and play with their children; they do not come here looking for a great fish taco and a beer, as they can get those items just a few blocks from the Green on Chestnut or Union Streets, where there is an abundance of excellent eateries.

As a native San Franciscan and resident of the Marina for 25 years, I was shocked to learn that the Marina Community Association and our supervisor, the people that are supposed to be protecting our interests and this community, would support such destructive proposal. The environmental impact of this proposed establishment would have on this area is huge. It

would create more traffic congestion (in an already congested area) with delivery trucks arriving prior to 7:00 a.m., as well as increased restaurant traffic. In addition, it would also create more noise, trash and perhaps crime (as the proposal includes alcohol sales up to 10:30 p.m.) Alcohol should not be allowed in a city park where children are present/playing. It is also important to note that although the proposed restaurant closes at 10:30 p.m., it will not actually shut down probably until around midnight after cleaning and trash removal tasks are performed.

It appears that within the past couple of years, the Green has been utilized for an unprecedented number of events; it seems like something is going on almost every weekend. Recently, there was a "meet and greet" for singles, that went on into the late night even though the actual event was set to end at a reasonable hour. Whenever liquor is served, there are always a number of stragglers that gather on the street corners after the event, talking, yelling and laughing loudly, thus disturbing Marina residents. It also appears that a number of events include loud music/microphones which are also disturbing no matter what time of day this occurs. This impacts the quiet enjoyment that all San Francisco residents are entitled to. One should be able to watch Television or take a nap during the day without being disturbed by loud, amplified music. I have no objection to the occasional races, triathlons, kid's soccer games, volleyball games, etc. that take place on the Green, but I do take issue with the growing number of disruptive events that take place, and feel that construction of a restaurant that serves alcohol all day and into the night would only increase the amount of noise and disturbances in the area.

This is a desirable area in which to live and to visit. However, if the City is going to allow the Marina Green and its waterfront to be developed and commercialized for profit, then it will not be long before this area will be just another Wharf, Coney Island, Santa Cruz Boardwalk, etc. Once the first commercial establishment is allowed, the second proposal passes through pretty easily. To see this wonderful park area and neighborhood transformed into a commercial development would be extremely sad indeed. Hopefully, the community can come together to stop this initiative and preserve this area as it was meant to be, a natural, undeveloped recreational area for all San Francisco residents and visitors to enjoy for generations to come.

Thank you for considering my thoughts regarding this most-damaging proposal for the residents of the Marina and the City of San Francisco. I ask that the City reconsider the Woodhouse proposal, as it does not provide any benefit to our community. The Department of Parks and Recreation is supposed to be protecting our parks, not developing/profitting from them. If this initiative is allowed to move forward it will be the beginning of the end of the Marina Green and its waterfront as we now know and enjoy it.

Sincerely,

Sherry Smith, a concerned Marina resident

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File 120987

---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Calonsag, Rana  
**Subject:** Why I signed -- food. marina. view of

---

**From:** Kelley Tarzian [mailto:mail@change.org]  
**Sent:** Friday, March 15, 2013 4:31 PM  
**To:** Board of Supervisors  
**Subject:** Why I signed -- food. marina. view of

Dear San Francisco Board of Supervisors,

I just signed Dylan MacNiven's petition "Yes to Woodhouse on Marina Green!" on Change.org.

Here's why I signed:

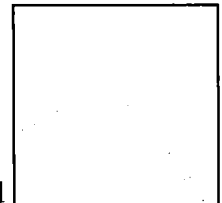
food. marina. view of the bay. duh!

Sincerely,  
Kelley Tarzian  
San Francisco, California

---

There are now 599 signatures on this petition. Read reasons why people are signing, and respond to Dylan MacNiven by clicking here:

<http://www.change.org/petitions/yes-to-woodhouse-on-marina-green?response=9272c59f571d>



---

**From:** Board of Supervisors  
**To:** BOS-Supervisors; Calonsag, Rana  
**Subject:** File 120987: 25 more people signed: jake hajer, Jonathan Haswell...

---

**From:** Robb Marsee [mailto:mail@change.org]  
**Sent:** Sunday, March 17, 2013 11:27 PM  
**To:** Board of Supervisors  
**Subject:** 25 more people signed: jake hajer, Jonathan Haswell...

25 people recently add their names to Dylan MacNiven's petition "Yes to Woodhouse on Marina Green!". That means more than 500 people have signed on.

There are now 600 signatures on this petition. Read reasons why people are signing, and respond to Dylan MacNiven by clicking here:

<http://www.change.org/petitions/yes-to-woodhouse-on-marina-green?response=9272c59f571d>

Dear San Francisco Board of Supervisors,

Please support the Woodhouse Fish Company lease for the Degaussing Station on the Marina Green.

Sincerely,

576. jake hajer san francisco, California
577. Jonathan Haswell San Francisco, California
578. Cynthia Samanian San Francisco, California
579. Miriam Pollock San Francisco, California
580. William Smith San Francisco, California
581. andrew lynch san francisco, California
582. Tony Meneghetti San francisco, California
583. Laura Smith San Francisco, California
584. Andrew Meinnert san francisco, California
585. Fran Fossan San Francisco, California
586. Keir Beadling San Francisco, California
587. Sandy McCuaig San francisco, California
588. Leanne Tullis San Lorenzo, California
589. Nina Dosanjh San Francisco, California
590. Teah Iosebashvili San Francisco, California
591. Charles Cory san francisco, California
592. Daniel Reeder San Francisco, California
593. Erik Reinertson San Francisco, California
594. Stephen Watkins Thousand Oaks, California
595. Matthew Welters San Francisco, California
596. Tiffany Larson San Francisco, California
597. Dorian Young San Francisco, California
598. Amanda Stipe San Francisco, California
599. Kelley Tarzian San Francisco, California
600. Robb Marsee San Francisco, California

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DennisMacKenzie@RoundTheDiamond.com

346 Precita • San Francisco, CA 94110 USA • Ph/Fax (415) 648-3655

March 13, 2013

San Francisco Board of Supervisors:  
Honorable David Chiu, President  
Honorable John Avalos, Supervisor  
Honorable London Breed, Supervisor  
Honorable David Campos, Supervisor  
Honorable Malia Cohen, Supervisor  
Honorable Mark Farrell, Supervisor  
Honorable Jane Kim, Supervisor  
Honorable Eric Mar, Supervisor  
Honorable Katy Tang, Supervisor  
Honorable Scott Wiener, Supervisor  
Honorable Norman Yee, Supervisor

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 MAR 15 AM 10:41

C/o Ms. Angela Calvillo, Clerk of the Board

San Francisco Board of Supervisors  
City and County of San Francisco  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Re: Golden State Warriors / Multi-Purpose Events & Basketball Arena  
High School-College Career Pathway Classroom  
Cross-Cultural Education & Sports Exchange Programs  
Arena Roof-Top Astronomy Observatory

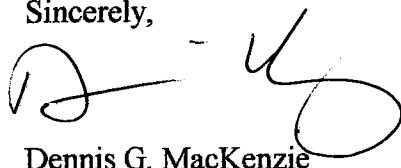
Dear President Chiu and Supervisors,

Please review the enclosed letter I have submitted to the Port of San Francisco/Piers 30-32 CAC, which summarizes my Golden State Warriors Arena Classroom proposal. I am respectfully asking the Board of Education to support the education and career development programs this SF Warriors Arena and Classroom can provide for the benefit of all our SFUSD students, youth, teachers and families – as well as our interdependent public-private sectors and business community. At the same time, San Francisco and the Warriors can create a national and international model capable of attracting visitors, tourists and educators from around the World.

As this public-private collaboration to build a Professional NBA Arena continues to work its way through the entitlement process, I believe this model, state-of-the-art Multi-Purpose Arena and Classroom can inherently inspire numerous year-round, comprehensive education and career development programs through the visionary leadership of the Warriors. Through successfully constructing a World Class Arena Facility that integrates and implements this model Career Pathway Classroom component, the Warriors and San Francisco public and private sector officials can demonstrate the social and economic benefits available when public agencies work harmoniously in collaboration with private sector industries and business leaders in order to initiate mutually rewarding partnerships for the "highest and best" use of public trust land on San Francisco's Piers 30-32 waterfront property.

Thank you once again for your time, consideration and support. I look forward to hearing from you at your earliest convenience, and working in partnership for the benefit of all our San Francisco students, youth, teachers, families and our entire diverse cross-cultural community.

Sincerely,

A handwritten signature in black ink, appearing to read "Dennis G. MacKenzie". The signature is fluid and cursive, with a large loop at the end.

Dennis G. MacKenzie

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*Dennis G. MacKenzie, M.A.*

[www.RoundTheDiamond.com](http://www.RoundTheDiamond.com)

[DennisMacKenzie@RoundTheDiamond.com](mailto:DennisMacKenzie@RoundTheDiamond.com)

346 Precita • San Francisco, CA 94110 USA • Ph/Fax (415) 648-5655

February 25, 2013

Port Commission, Piers 30-32  
Citizens Advisory Committee (CAC)  
Ms. Katy Liddell, Chair  
Mr. Eric McDonnell, Vice Chair  
CAC Members and Alternates

C/o Ms. Trisha Prashad

Re: Golden State Warriors / Multi-Purpose Events & Basketball Arena  
High School-College Career Pathway Classroom  
Cross-Cultural Education & Sports Exchange Programs  
Arena Roof-Top Astronomy Observatory

Dear Piers 30-32 CAC Members and Alternates,

Please review my enclosed, updated proposal material that I have recently submitted to the Golden State Warriors, the San Francisco Port Commission and Port of SF, Mayor Ed Lee, the SF Board of Supervisors and other City and County of San Francisco and State of California agencies and officials involved in the public-private partnership effort to build a Warriors, Multi-Purpose Events & Basketball Arena located on Port of San Francisco property at Piers 30-32.

I am providing you with several relevant educational and cross-cultural articles, letters and information, including communications from Village elders from the Hopi Nation/reservation that they shared with me in 1992 supporting my original proposal 20 years ago to initiate an education classroom within the San Francisco Giants proposed downtown Ballpark. I am also sharing with you a copy of a recent 'Mayan Festival' souvenir magazine from a Cultural Event that took place in November, 2012 in San Francisco that I was associated with. Both of these are examples of practical economic benefits that can be developed through the creation of an Arena Classroom capable of attracting mutually positive and constructive business relationships, and Cross-Cultural Education & Sports Exchange Programs with visiting communities and countries.

I trust that the integration and implementation of this Career Pathway Classroom within the Warrior's Arena will successfully create for the benefit of all partners involved with this Project - including the Warriors, the City and County of San Francisco and our entire diverse, cross-cultural community - a Model, Professional Sports Facility that can provide innovative, comprehensive education and career development programs inherently available within a wide variety of sports venues around the country, and internationally throughout the Americas.

I also respectfully ask that this Piers 30-32 Citizens Advisory Committee (CAC) review the previous proposal information I provided to you at your initial CAC meeting requesting that the Warriors include within the original design and construction of their proposed Multi-Purpose Arena, a High School Career Pathway Classroom as an integral, model education and career development classroom for the long-term benefit of our entire San Francisco Bay Area community, high school and college age students and schools.

This model classroom component will provide the capacity to enhance and expand our public and private sector's ability to create new businesses and develop cross-cultural exchange programs, while at the same time initiating positive and mutually beneficial international communications, relationships and innovative business opportunities for the "highest and best use" of the Port of San Francisco, City and County of San Francisco waterfront property and our entire Bay Area Community. This model educational component will attract tourists and visiting schools, students, business and government leaders and officials from neighboring countries and around the World; while at the same time, initiating visionary programs and perspectives capable of creating model public-private partnerships worthy of national and international respect and emulation - simultaneously inspiring creation of mutually respectful and productive economic Sister-City Relationships throughout the Americas.

The inclusion of this socially relevant and influential Arena and Classroom will be capable of introducing and inspiring numerous public and private sector careers, professions and professional leaders to our San Francisco Bay Area students and youth within this professional Multi-Purpose Events and Basketball Arena facility; and inherently offer a unique opportunity to provide instruction, guidance and knowledge of the many skills, educational requirements and experience necessary to be trained and prepared for leadership positions in numerous interrelated, interdisciplinary and practical real-world professions.

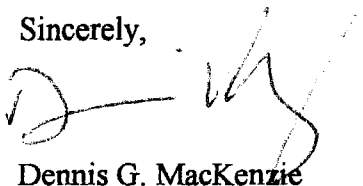
I would also like to take this opportunity to introduce one additional and potentially unique Arena 'exterior career development' incentive program inherently available to serve as a far-sighted asset within the original architectural design and construction of this proposed Warriors Multi-Purpose facility that may be worthy to include through everyone's insightful consideration; that is, the inclusion of a year-round accessible "highest and best use" element for the benefit of all Arena neighbors, students, youth and families throughout the San Francisco Bay Area community as well as visitors and tourists alike, an Arena Roof-Top Astronomy Observatory element capable of offering an inspirational location for understanding, learning, and teaching basic Marine-Star Navigation systems that has proven to be an essential and valid astronomical science for thousands of years.

Astronomy has of course pre-dated 'modern compass-technology' by centuries, and been utilized as an invaluable ancient methodology for global travel known and respected around the World; as well as being considered a highly evolved and effective science from interdisciplinary knowledge and a deep degree of understanding based on the dynamics of the movement of Earth, our Solar System, Planets, Stars and the Milky Way Galaxy.

I wish the best to everyone involved in the challenging and rewarding responsibility for the creation of a truly far-sighted, innovative and visionary San Francisco Warriors Multi-Purpose Events & Basketball Arena for the mutual benefit of all the people and communities of the greater San Francisco Bay Area - and beyond.

Thank you very much for your time, consideration and support. I welcome the opportunity to work with all citizens and parties concerned in the most beneficial capacity possible - and for the "highest and best use" of this Multi-Purpose Arena imaginable.

Sincerely,



Dennis G. MacKenzie

CC:

Mr. Joseph Jacob, Senior Partner, Golden State Warriors  
Mr. Peter Guber, Chairman and Chief Executive Officer, Warriors  
Mr. Rick Welts, President and Chief Operating Officer, Warriors

San Francisco Port Commission;  
Honorable Doreen Woo Ho, President, and Members  
Port of San Francisco;  
Ms. Monique Moyer, Executive Director  
Mr. Byron Rhett, Deputy Director, Planning and Development  
C/o Ms. Amy Quesada, Commission Secretary / Executive Assistant

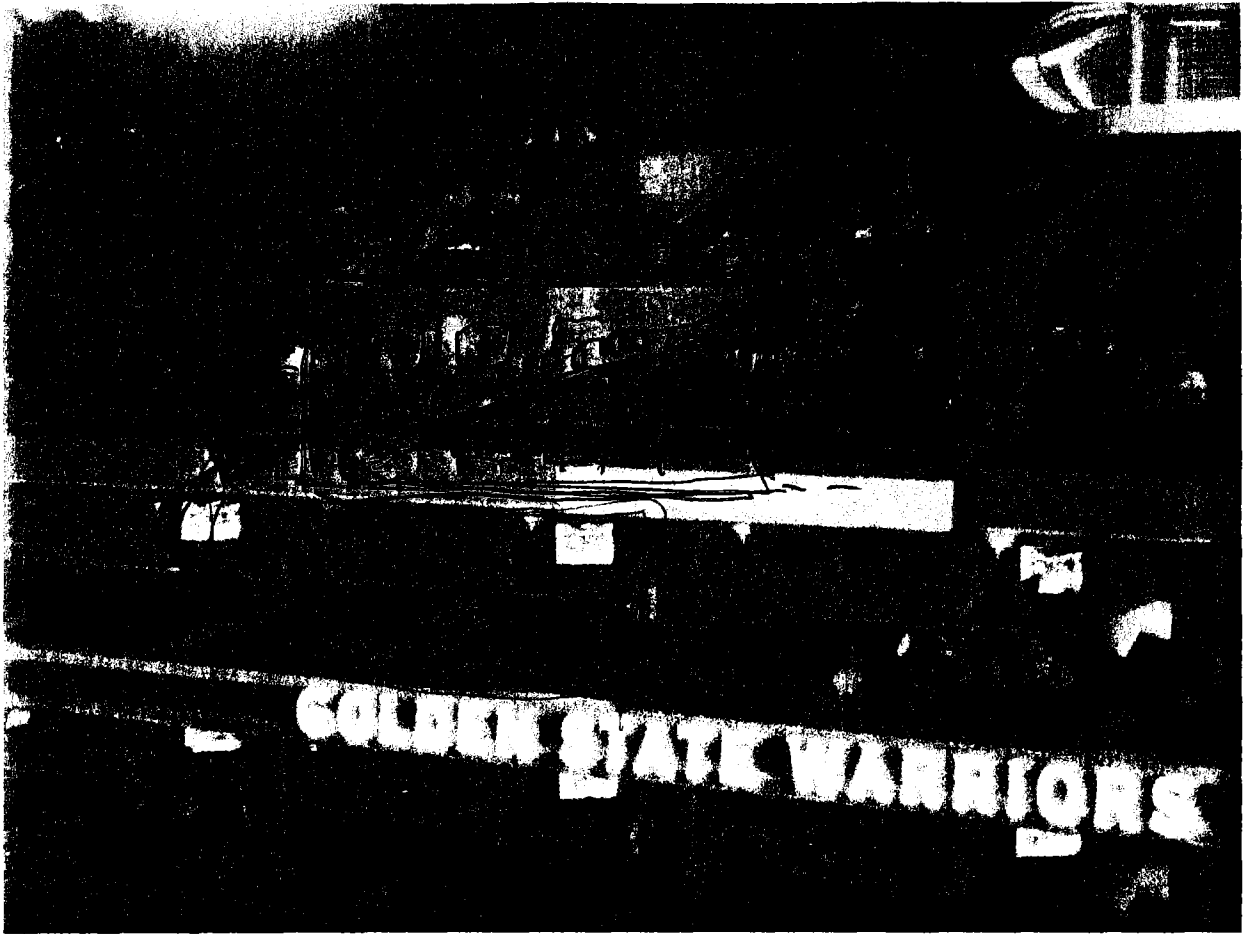
Honorable Ed Lee, Mayor, City and County of San Francisco  
Honorable David Chiu, President, and Members; SF Board of Supervisors  
C/o Ms. Angela Calvillo, Clerk of the Board

Ms. Jennifer Matz, Director, Office of Economic Workforce Development  
Ms. Gloria Chan, Communications Director, OEWD

Mr. Richard Carranza, Superintendent, San Francisco Unified School District  
San Francisco Board of Education;  
Honorable Rachel Norton, President, and Commissioners  
C/o Ms. Esther V. Casco, Executive Assistant to the Board of Education  
Mr. Dennis Kelly, President; United Educators of San Francisco

Mr. Francisco J. Escobar, Consulate General of Guatemala, San Francisco  
Mr. Carlos Isauro Felix Diaz, Consulate General of Mexico, San Francisco

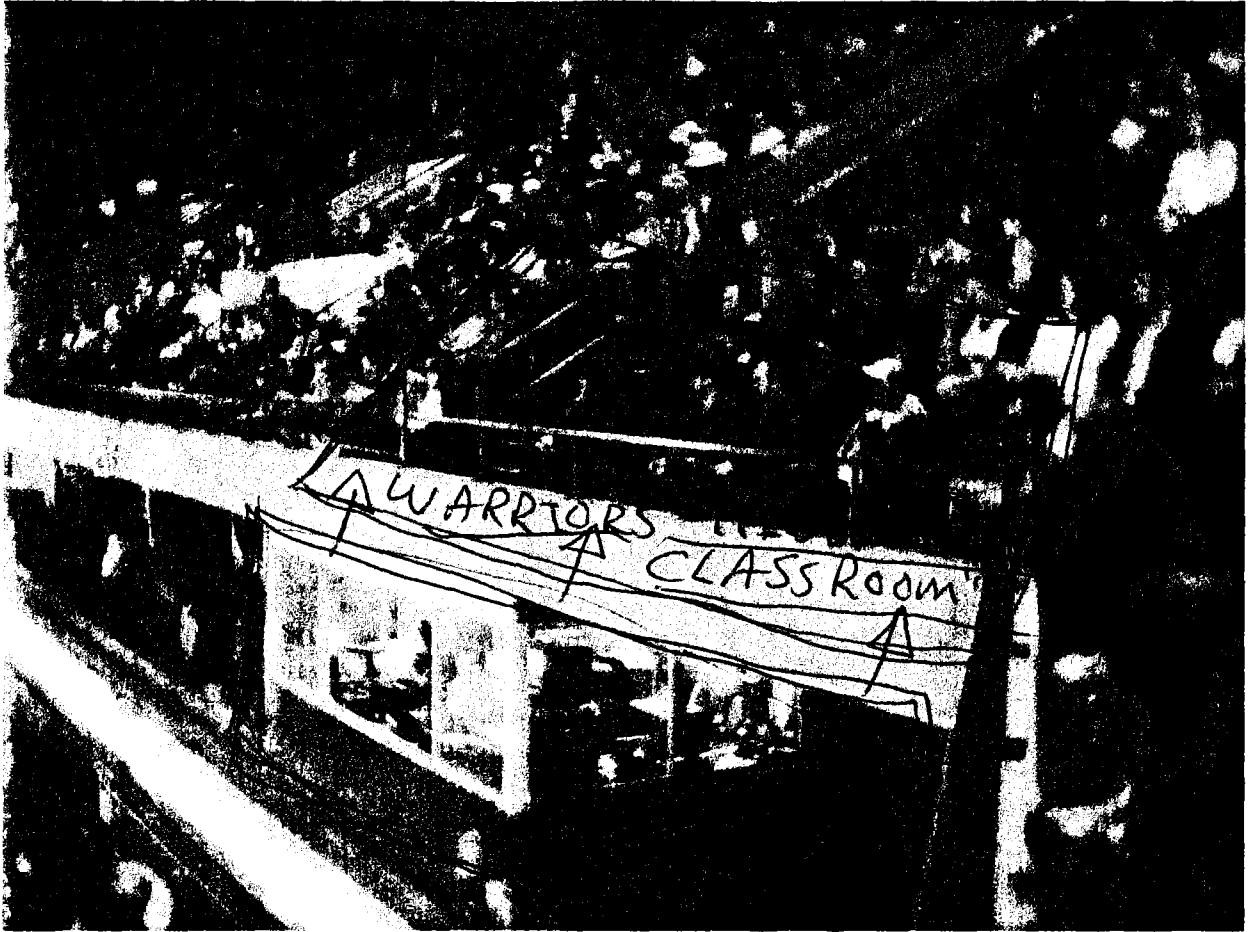
Honorable Elizabeth Morales Garcia, Mayor; Xalapa, Veracruz, Mexico  
C/o Ms. Yuyi Morales; Book Illustrator, Author



Warriors High School  
Career Pathway  
Classroom

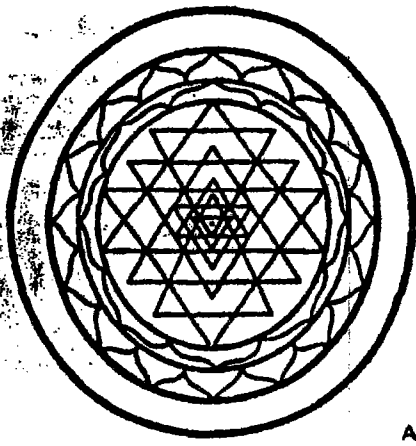
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# Mandala Messenger

Alumni Newsletter of the California Institute of Integral Studies • Volume 4, Number 8 • August, 1995

## 'Tute Goes to the 'Stick

After 14 innings, alumni, family, and friends saw the San Francisco Giants clinch a victory over the Houston Astros with J.R. Phillips' home run on Sunday, July 16. A good time was had by all.

Another alumni baseball outing is planned for Saturday, September 23, when the Giants battle the Colorado Rockies. Call Kathleen Wydler at (415) 753-6100 ext. 205 today to reserve your excellent seats (\$13 each). And don't forget to join us for the tailgate party before the game!

## Alumni Open Gate at ATP

Institute alumni and faculty participated in the annual conference of the Association for Transpersonal Psychology—*Imagination and The Arts: Gateways to the Soul*—held August 3 - 6 in Monterey. The conference serves "to explore transpersonal visions and experience the arts and the imagination as gateways for personal and professional growth

*continued on page 4*

## Alumni Profile Series

## Alumni Board Member Round the Diamond

*by Cathy Coleman '91*

Dennis MacKenzie '85 (PAR MA) wrote a master's thesis entitled *Preventive Health Education and the Sacred Ballgame*, based on the Mayan sacred calendar and various aspects of the game of baseball. Growing out of the ideas presented in his thesis, Dennis' long-term vision is to develop a cross-cultural exchange program utilizing baseball as the vehicle of exchange. His vision brings together his major interests: baseball, politics, Native Americans, and youth development.

Toward this end, Dennis has developed a proposal for the San Francisco Giants baseball team that includes an educational center inside their long sought-after ballpark. Dennis wants to put a classroom in the ballpark that is made available to local schools. In this classroom in the ballpark, young people will be introduced to journalism and other work related to the ballpark.

In the aftermath of the protracted baseball strike, Dennis feels that baseball needs to reidentify itself. He believes his ideas can help redirect baseball toward a more cooperative educational venture. Alumni can show their support for his proposal by sending letters of public support to the San Francisco Giants. For further information, contact Dennis at Round the Diamond, 346 Precita, San Francisco, CA 94110; (415) 648-5655.

Dennis joined the Alumni Association Board this past March out of a desire to get back in touch with the Institute community. He believes that the Institute is a global educational leader, and he is grateful for the opportunity to participate in our work toward making the Presidio the future home of the Institute.



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## Sister City Program

San Francisco has Sister City relationships with 18 partner cities. A Sister City relationship is a broad-based, officially approved, long-term partnership between two communities in two countries. A Sister City relationship becomes official with a signing ceremony of the top-elected officials of the two local jurisdictions. Sister City partnerships have the potential to carry out the widest possible diversity of activities of any international program, including every type of municipal, business, professional, educational and cultural exchange or project. Sister City programs are also unique in that they inherently involve the three main sectors in a community: local government, businesses, and a wide variety of citizen volunteers (civil society or non-profit organizations).

In the fifty years of the Sister City program, exchanges have taken place in virtually all areas of the community - sports, art, culture, education, government and business - all of which have contributed to increased cross-cultural dialogue and understanding.

### San Francisco Sister Cities

Osaka, Japan  
 Sydney, Australia  
 Taipei, Taiwan  
 Assisi, Italy  
 Haifa, Israel  
 Seoul, Republic of South Korea  
 Shanghai, People's Republic of China  
 Manila, Philippines  
 Cork, Ireland  
 Abidjan, Cote d'Ivoire  
 Thessaloniki, Greece  
 Ho Chi Minh City, Vietnam  
 Paris, France  
 Zurich, Switzerland  
 Bangalore, India  
 Amman, Jordan  
 Barcelona, Spain  
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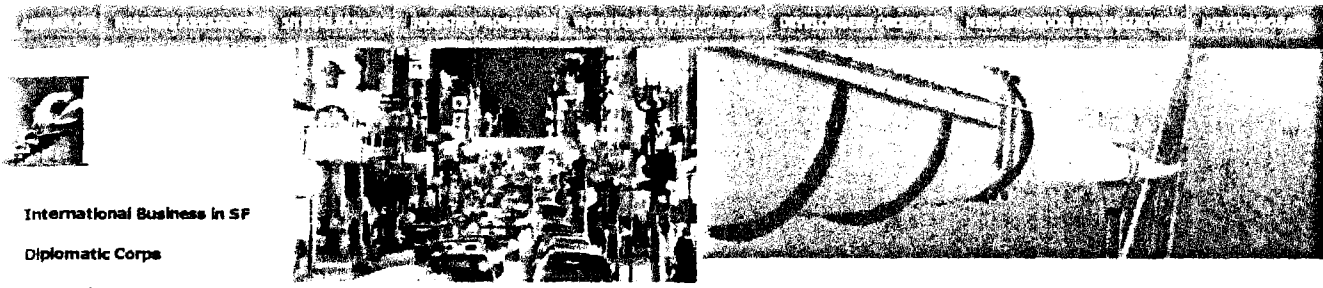
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## International

### FORGING CRUCIAL CONNECTIONS WITH THE WORLD

With an unparalleled global reputation, a world-class international airport with connections to important markets and the most diverse and highly educated workforce of any major city, San Francisco provides the ideal setting for international businesses to innovate and thrive. For international companies interested in doing business in San Francisco, OEWD's International Trade and Commerce Division facilitates networking opportunities with local businesses, provides access to an extensive network of business resources, organizes overseas business missions and serves as the liaison to the local diplomatic community. The Division also coordinates San Francisco's award-winning Sister City program. These efforts and other assistance programs enable international companies to take advantage of San Francisco's unique business environment.

### CHINASF INITIATIVE

Learn more about this international economic development initiative that is bridging the gap between China and San Francisco.

[more](#)

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## FROM THE COVER

### ECONOMY

# Young people require hope

By Bruce Fuller

Rising stock prices signal upbeat expectations — echoed by employers and consumers — that the economy is finally bouncing back, Federal Reserve Chief Ben Bernanke says.

California's young people aren't so sure.

Three in 5 of them, age 16 to 22, now express sharp worries about finding a job or working long hours to pay for college, according to an eye-opening poll out last week. No civilization thrives when the next generation lacks optimism and chutzpah.

So we must all listen up. Two-fifths of young Californians say that unrelenting state budget cuts are damaging their schools and colleges, jeopardizing their ability to get ahead. The resulting alienation, as millions of young people disengage, will erode productivity and fray our moral fiber — unless civic and business leaders take note and respond.

The green sprouts of youthful idealism continue to push through the asphalt though. Despite bleak job prospects, most of California's young people hold onto the American dream, at least in the long run. "I don't expect flowers and daisies in the next few years. But the only way our generation can go is eventually up," said Jessica Gonzales, age 19, a San Francisco State sophomore.

Three-fourths say they believe they will eventually buy their own home. The majority aims to earn a four-year college degree, according to interviews with 600 young people, a representative sample of this age group statewide, conducted by New America Media, a network of 2,000 ethnic media organizations.

Young people do worry that the Golden State is becoming a land of shrinking opportunity. Three in 5 young people report deep angst over money and sinking odds of making it through college. "It's very stressful to make ends meet," said Valerie Klinker, a 20-year-old mother of two. "There's not many jobs right now. There are too many cuts."

These youthful voices also offer an earful for civic and business leaders on how to improve education.

Politicians, of late, have taken aim at teachers for uneven test scores. But

three-fourths of the state's young people disagree, saying their high school was of "great value," and 9 in 10 say that at least one teacher knew them well. "Teachers stay on us, they care about how we're doing," said Pablo Alba, a junior at San Francisco's City Arts and Technology High School.

Those polled recalled filthy bathrooms, soiled textbooks and burgeoning class sizes as signs that the grown-ups don't care enough about the next generation. Legislative leaders in Sacramento — boxed in by shrinking revenues and no-new-tax Republicans — have slashed public school spending by one-fifth since 2007.

"Nowadays teachers are pooling their own money — which they don't have because they are underpaid — just to buy basic supplies for students, like paper," Gonzales said.

Most telling, young people want schools to balance more engaging classrooms with real-world work experience.

How can business and political leaders best respond?

**Businesses must craft apprenticeships.** The state's employers will thrive again when young workers arrive with stronger people and problem-solving skills — competencies not reflected in standardized test scores, but instead gained through real, live work experience.

This requires firms, large and small, to carve out intern slots and part-time jobs. The Gap Foundation already places scores of urban young people in company posts each year. PG&E and Google structure apprenticeships, partnering with career academies situated in big high schools, which boost gradu-

# to thrive



Mike Kepka / The Chronicle

**Obe Ugbeyan heads for the study lounge at Laney College, but that doesn't mean he's not worried about whether school is worth it or if he'll get a job.**

ation rates by 14 percent statewide, according to one study.

**Share work.** Thinking past the recession, government and private employers must work together to spread jobs across more workers. Europe remains light-years ahead of the United States — legislating 35-hour workweeks, paid leave for young parents, and earlier retirement ages, widening the count of jobs.

Reforms must rein in ample pension plans, and some union leaders prefer to protect their own rather than lifting the working class. But the goal is to open up entry-level jobs for young people.

**Rethink high school.** Educators too often discourage blue-collar young people from pursuing college, while holding them in uninspiring classrooms. Instead, bold high schools, like MetWest in Oakland, team up with employers to create intern slots two days a week for each student, with remaining days spent on academic skills. "My internship connects me to people who can give me a foot into the door of investments," said senior Marissa Yarbrough, who interns at a Piedmont financial firm.

**Get beyond test scores.** Politicians are

rightly intent on lifting academic skills. But judging school performance solely on test scores discourages educators from getting students out of classrooms and into work settings. It's a balancing act: Young people will remain alienated if tracked into dreary, low-paying jobs.

Inspiring high schools expose young people to a rainbow of possible futures, then deliver sound literacy and critical-thinking skills to provide upward mobility. As the Obama administration rethinks how to hold schools accountable, incentives should be pressed to lift both academics and work experience.

These youthful voices remind us that shrinking job and college options are not inevitable. Indeed, Gov. Jerry Brown wants voters to squarely address the long-term issue, planning to ask Californians on the June ballot: Do we prefer to renew investment in high-quality schools and equal access to higher education? Or, do we prefer low taxes, colleges reserved for the wealthy, and a less competitive workforce?

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*Bruce Fuller is a professor of education and public policy at UC Berkeley. Rupa Dev at New America Media contributed greatly to this article. Send your feedback to us through our online form at [SFGate.com/chronicle/submissions/#1](http://SFGate.com/chronicle/submissions/#1).*



## Job Creation

Creating jobs, supporting business growth, and getting people back to work have also been my top priorities. To be able to have a safe city, a solvent city and a successful city, we need a City that is positioned to compete in the global business marketplace – creating and retaining jobs and creating the conditions for businesses to start, grow and prosper in San Francisco.

While the past several years have been challenging, San Francisco has been resilient and proven that it can still compete and win business even in these tough times. We're home to more than 500 technology companies, 74 biotech and life sciences companies and more than 200 cleantech and green businesses.

### 2010 was a strong year for all three sectors:

- Zynga signed a new lease for 270,000 sqft at 650 Townsend, in one of the largest office leases in years. The new headquarters can accommodate nearly 2,000 employees.
- Salesforce.com bought 14 acres in Mission Bay for their new global headquarters in the biggest land deal in years. This site could accommodate 2 million sqft of office.
- Last November Nektar Therapeutics opened its Mission Bay headquarters.
- San Francisco is becoming a global center for the cleantech sector. The top 5 solar manufacturers in the world all have a presence in San Francisco.
- San Francisco had more 32,000 tech jobs in 2010 according to real estate firm Jones Lang LaSalle.

And 2011 is looking just as bright. This year, we've already announced a number of significant business relocations and expansions from a range of key sectors:

- **Twitter** – I made keeping Twitter in San Francisco a top economic development priority. In April, Twitter announced they were staying in San Francisco and are moving to Central Market in 2012.
- **Bayer Healthcare** – In January, Bayer Healthcare opened its 50,000 sqft US Innovation Center in Mission Bay. Bayer chose Mission Bay specifically because of the collaboration opportunities with UCSF, research institutes and San Francisco.
- **Kabam** – In March, Kabam, the growing social game developer, leased a 25,000 sqft office downtown and will create 150 new jobs. San Francisco is a global center for the online gaming industry.
- **Mozilla** – In April, Mozilla, the maker of the Firefox web browser, announced they were opening their first office in San Francisco. The 15,000 sqft office provides space for up to 125 paid staff and volunteers to gather and collaborate on developing Firefox.
- **Tioga** – That same month, Tioga Energy, a solar development company, announced that they had moved their headquarters from Silicon Valley to the Financial District. They cited the City's strength in financial services sector, robust talent and the City's unmatched policy leadership on sustainability issues as the reasons.
- **Autodesk** – In addition, Autodesk, the 3D-design, entertainment and engineering software company announced it was expanding its offices at 1 Market street adding 75 new jobs bringing Autodesk's total footprint in the city to 115,000 sqft and 525 employees that same month as well.

Thanks to ChinaSF – our ambitious business development initiative focused on attracting the North American Headquarters of Chinese companies entering the US Market – we have become a center for the Chinese solar industry. SF is home to Suntech, Yingli, Upsolar and GCL Solar. ChinaSF has attracted or expanded 12 Chinese companies to San Francisco, which have resulted in over 120 jobs for the City.

### Local Hire Ordinance

Part of my commitment to jobs creation, fostering better economic opportunities, and improving the quality of life for all residents in San Francisco is ensuring that families stay working.

The historic local hire policy, which went into effect on March 25, 2011, is one factor that will ensure investment in jobs for San Franciscans, now and for the future. This is among the strongest local hire policies in the country, which will boost San Francisco's economy and provide jobs for San Francisco residents, and I'm proud to have been one of its many supporters.

This investment in San Francisco jobs is an important endeavor for the City, because it provides our working families with the financial tool and job training they need to contribute to our local economy and to be successful in the workforce. This ordinance will ensure that SF residents and businesses are committed to taking care of its own by providing them the job opportunities they need in the City. This local hire ordinance aims to increase opportunities for San Franciscans to work on public projects using an efficient, transparent and streamlined process.

We want to make sure that San Franciscans have access to the job opportunities that their taxpayer dollars are paying for while implementing the plan in such a way that will make it easy for contractors to bid on public work projects.

The new law, an amendment to Chapter 6.22(G) of the San Francisco Administrative Code, will require contractors performing City public works or improvement projects to employ a majority (over 50%) of San Francisco resident workers. The ordinance also places additional requirements on contractors, including providing information to not only implement the ordinance, but to streamline the hiring process. Contractors will be required to provide the necessary information to the City to ensure the process is efficient and transparent, and to provide the necessary information to the City.

The City is also working to ensure our residents are trained in the various construction trades and crafts. The policy can only succeed if there continues to be a skilled local workforce towards meeting the goals. CityBuild Academy, the City's pre-apprenticeship training program, is our primary feeder into the union trades.

Local hire will not only boost our local economy and get San Francisco families back to work, but it will translate into a reinvestment in our City that will help pay for parks, public safety and social services. Local Hire will generate over 300 new jobs for San Franciscans every year and will infuse our general fund with nearly \$177 million over the next 10 years.

We want to make sure that we continue to invest in San Francisco jobs and do what we can to get qualified San Francisco residents the opportunity to work building their own communities.

Investing in our communities by providing residents with economic tools like local jobs offered by the local hire ordinance and the availability of job training programs will ultimately result in improving their quality of life and positively impacting the City as a whole.

***Visit the following pages for more information:***

**Office of Economic & Workforce Development**

**Office of Economic & Workforce Development - Local Hire Ordinance**

**Office of Economic & Workforce Development - ChinaSF**

**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** STOP the Masonic Corridor Plan

---

**From:** Veronika Powlis [<mailto:veronika.powlis@sbcglobal.net>]  
**Sent:** Tuesday, March 12, 2013 8:20 PM  
**To:** Lee, Mayor; Sallaberry, Mike; [MTABoard@sfmta.com](mailto:MTABoard@sfmta.com); Board of Supervisors; Breed, London; Farrell, Mark; Mar, Eric (BOS); [ed.reiskin@sfmta.com](mailto:ed.reiskin@sfmta.com)  
**Subject:** STOP the Masonic Corridor Plan

Dear Mayor Lee, Supervisors, MTA Board members, Mr. Sallaberry and Mr. Reiskin:

I am writing to express my strong opposition to the Masonic Avenue cycle track project. This project will increase congestion on Masonic, especially during rush hour and especially with the increased traffic that will be generated by the new Target store, result in the loss of parking spaces for nearly 3/4 of a mile, increase pollution in the area, jeopardize public safety, and create a great hardship for neighborhood residents, especially those who live on or near Masonic. Also, San Francisco cannot afford to spend \$21 million on this project.

32,000 automobiles use Masonic daily, but only a small amount of cyclists. Rather than encourage cyclists to use one of the busiest north-south thoroughfares in San Francisco, they should be encouraged to use the route along nearby Baker Street, a safer route with far fewer motor vehicles.

Masonic can be improved by planting new trees, improving lighting, protected left hand turns, and adding bus shelters, with much less hardship to the neighborhood and cost than the cycle track project.

I am also concerned about the way the cycle track project was developed and approved. I live in the area but did not receive notice that this project was being considered, nor have I received notice of any meetings it, including the MTA Board meeting at which it was approved.

Please stop this project ASAP, go back to the drawing board and consider a much smaller project to improve Masonic that does not involve the loss of parking spaces, the reduction of travel lanes and the outlay of \$21 million.

Thank you for considering this e-mail.

Sincerely

**VERONIKA A. POWLIS**

80 Barcelona Avenue  
San Francisco CA 94115  
415-317-6524

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**From:** Dan Weis [danweis07@gmail.com]  
**Sent:** Tuesday, March 12, 2013 6:37 PM  
**To:** Lee, Mayor; Board of Supervisors; london.breed@sfgovorg.org; Farrell, Mark; Mar, Eric (BOS); ed.reiskin@sfmta.com  
**Subject:** Be smart: Don't take away parking spots on Masonic- Doing so will cause congestion and bicycle accidents

Dear Mayor Lee, Supervisors, MTA Board members and Mr. Reiskin:

I am writing to express my strong opposition to the Masonic Avenue cycle track project. This project will increase congestion on Masonic, especially during rush hour and especially with the increased traffic that will be generated by the new Target store, result in the loss of parking spaces for nearly 3/4 of a mile, increase pollution in the area, jeopardize public safety, and create a great hardship for neighborhood residents, especially those who live on or near Masonic. Also, San Francisco cannot afford to spend \$21 million on this project.

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Thank you for considering this e-mail.

Sincerely,

Daniel Weis

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**From:** Board of Supervisors  
**To:** Miller, Alisa  
**Subject:** : Be smart: Don't take away parking spots on Masonic- Doing so will cause congestion and bicycle accidents

**From:** Dan Weis [<mailto:danweis07@gmail.com>]  
**Sent:** Tuesday, March 12, 2013 6:37 PM  
**To:** Lee, Mayor; Board of Supervisors; [london.breed@sfgov.org](mailto:london.breed@sfgov.org); Farrell, Mark; Mar, Eric (BOS); [ed.reiskin@sfmta.com](mailto:ed.reiskin@sfmta.com)  
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Sincerely,

Daniel Weis

## Board of Supervisors

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**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** Fwd: Masonic Avenue Bike Lane Proposal

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**From:** Kimberlee Day [mailto:kimber\_day@yahoo.com]  
**Sent:** Tuesday, March 12, 2013 11:54 AM  
**To:** Lee, Mayor; Board of Supervisors; Breed, London; Farrell, Mark; Mar, Eric (BOS); [ed.reiskin@sfmta.com](mailto:ed.reiskin@sfmta.com)  
**Subject:** Fw: Fwd: Masonic Avenue Bike Lane Proposal

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Thank you for considering this e-mail.

Sincerely,  
Kimberlee Day

## Board of Supervisors

---

**To:** BOS-Supervisors  
**Subject:** Masonic Avenue Bike Lane Proposal

**From:** mike hill [<mailto:windwacko@gmail.com>]  
**Sent:** Tuesday, March 12, 2013 11:35 AM  
**To:** Lee, Mayor; Board of Supervisors; Breed, London; Farrell, Mark; Mar, Eric (BOS); [ed.reiskin@sfmta.com](mailto:ed.reiskin@sfmta.com)  
**Cc:** [citizenropa@gmail.com](mailto:citizenropa@gmail.com)  
**Subject:** Masonic Avenue Bike Lane Proposal

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Thank you for considering this e-mail.

Sincerely,  
Michael Hill

**From:** Allen Jones [jones-allen@att.net]  
**Sent:** Friday, March 15, 2013 8:08 AM  
**To:** Board of Supervisors  
**Subject:** Possible SFO rename

BOS-11  
Cpage

Attention All Members of the SF Board of Supervisors,

People should hope that this plane does not take flight as is to rename SFO after Harvey Milk. My warning is that It could get very ugly and racial simply because Blacks are not dumb enough to believe the argument that Harvey Milk lived in and was a San Francisco official. And it will be quite clear that this board is willing to trade votes for for future support regardless of the history of a San Francisco gay icon.

There is a more qualified homosexual in Bayard Rustin, who is Black. Rustin organized the "March on Washington" and fought for civil rights for over sixty years.

On the other hand Harvey Milk, though I do not doubt his good deeds, he was loyal until the end to a man who murdered nine hundred Black San Franciscans in 1978.

Try and keep these facts hidden from the voters Supervisor David Campos and co-sponsors of this good idea that has the wrong candidate for "Beacon of Hope."

Bayard Rustin International Airport - San Francisco would be a "Beacon of Hope" for more then just gays.

Allen Jones  
(415) 756-7733  
[jones-allen@att.net](mailto:jones-allen@att.net)  
<http://casegame.squarespace.com>





ROSALES LAW PARTNERS LLP

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File 130072

March 8, 2013

RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
2013 MAR 11 PM 4:23

Ms. Angela Calvillo  
Clerk of the Board of Supervisors  
City Hall, Room 244  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

Re: Budget and Legislative Analyst's report for File 13-0072

Dear Ms. Calvillo:

Please find attached response to the Budget Analyst's report referencing City Attorney advice regarding the Board of Supervisors' authority to approve/disapprove SFO Advertising Lease award. Due to time constraints we delivered our correspondence by email and hand delivery to Board members the morning of March 6. This is a courtesy hard copy for the Board File.

Should you have any questions, please contact Mara Rosales at (415) 986-0523.

Sincerely,

Rosa Pineda  
Administrative Assistant  
Rosales Law Partners LLP

Enc.



ROSALES LAW PARTNERS LLP

March 5, 2013

Mara E. Rosales  
mara@rosaleslawpartners.com

Via Email: [jon.givner@sfgov.org](mailto:jon.givner@sfgov.org)

Jon Givner  
Deputy City Attorney  
Office of the City Attorney  
1 Dr. Carlton B. Goodlett Place, Room 234  
San Francisco, CA 94012

RE: Budget and Legislative Analyst's report for File 13-0072

Dear Mr. Givner:

We are in receipt of the Budget and Legislative Analyst's report dated March 6, 2013 with respect to File 13-0072, Airport Advertising Lease. The report states your opinion that (1) "under Charter Section 9.118, the Board of Supervisors ("Board") has the authority to approve or disapprove the subject lease, but cannot amend the resolution to award the lease to JCDecaux..." and (2) that the Board is not responsible for considering bid protests on the lease. We are unsure whether the report summarizes your position accurately, however, assuming that it does we make the following observations.

First, the City Charter states that a lease of real property "shall *first* be approved by resolution of the Board of Supervisors" where the anticipated revenue to the City is more than one million dollars. (Charter Sec. 9.118(c).) Accordingly, the Board has "first" approval, suggesting that its Charter authority is paramount and plenary.

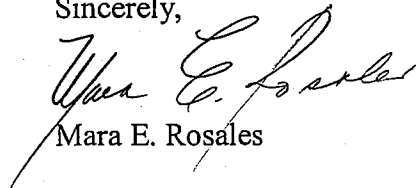
Second, the statement attributed to you in the report that the Board is not responsible for considering bid protests on this lease is inaccurate. While we agree that the Board is not *the* responsible body regarding adjudication of protests to a contract award, there is no doubt that it may be such *a* responsible body. This is especially true when a protest relates to whether the process required by the Board has been followed. Under Administrative Code Section 2A.173, the Airport Commission's power to award the lease at issue is subject to a fair competitive process. This standard of fairness has been mandated by the Board. Since the Board imposed

the RFP requirement in the first instance, it can consider a protest that the RFP process has not been satisfied. There is no limitation in the Charter that places the bid protest resolution authority in any specific body. Therefore, the Board can consider the merits of the protest as part of its approval/disapproval authority. Indeed, in 2008, your office took the position that a challenge to a contract award decision before the Board *must* be presented to the Board or it is waived. (See *SN Sands Corp v. CCSF* (2008) 167 Cal.App.4<sup>th</sup> 185, 192.) The Board clearly has the power to consider whether it has the jurisdiction to decide matters before it. (*SN Sands Corp. v. CCSF supra* 167 Cal.App.4<sup>th</sup> at 192.)

In 2002, City Attorney Herrera issued a published opinion regarding the application of Charter Section 9.118(b), observing the well-established rule of law that “[g]enerally, contracts with governmental agencies are not valid if legally mandated procedures are not followed.” (City Attorney Opinion No. 2002-03.) Here, the Board is the last discretionary contract approving authority to ensure that City departments are awarding contracts and leases consistent with Charter and Board imposed legal requirements. It is common sense that the Board may entertain any relevant matter, including a protest, before exercising its prerogative to approve/disapprove a department’s recommended contract award decision.

Please feel free to call me if you would like to discuss these matters.

Sincerely,



Mara E. Rosales

MER:rp

cc: City Attorney Dennis Herrera  
Sheryl Bregman, Airport General Counsel  
Supervisor Mark Farrell, Budget and Finance Sub-Committee  
Supervisor Eric Mar, Budget and Finance Sub-Committee  
Supervisor John Avalos, Budget and Finance Sub-Committee  
Supervisor David Chiu  
Honorable Members Board of Supervisors  
Harvey Rose, Board of Supervisors Budget Analyst  
John L. Martin, Airport Director  
Hon. Airport Commission, c/o Jean Caramatti, Secretary  
Bernard Parisot, Co-CEO, JCDecaux

**Board of Supervisors**

File 130232

To: BOS-Supervisors; Miller, Alisa  
Subject: Please SUPPORT CPMC today

-----Original Message-----

From: BVNA [mailto:BVNA@ix.netcom.com]  
Sent: Tuesday, March 12, 2013 8:35 AM  
To: Farrell, Mark; Tang, Katy; Breed, London; Kim, Jane; Yee, Norman (BOS); Wiener, Scott; Campos, David; Cohen, Malia; Mar, Eric (BOS); Chiu, David; Avalos, John; Board of Supervisors  
Cc: Lee, Mayor  
Subject: Please SUPPORT CPMC today

Honorable Members of the San Francisco Board of Supervisors  
cc: Mayor Edwin Lee

The Buena Vista Neighborhood Association (BVNA) urges you to please SUPPORT items on today's Board Meeting Agenda concerning plans for California Pacific Medical Center (CPMC) in San Francisco:

Item 5 - affirming the final EIR and denying appeal(s) Item 24 - approving the amended Term Sheet recently negotiated with leadership from Supervisor Farrell, Chiu and Campos, CPMC and representatives of all significant constituent groups (thank you!)..

BVNA is the primary neighborhood association for neighborhoods surrounding San Francisco's Buena Vista Park - representing about 4,500 households. BVNA currently has over 400 dues-paying Members. About 80% of BVNA's constituent households are in S.F. Supervisor 8, and the balance are in District 5.

Thank you for considering BVNA's comments regarding this issue, which is so very important for all San Franciscans - including our Members and other constituents. It's time to shake hands, confirm approvals and entitlements, and GET THE SHOVELS IN THE GROUND for a new new and better CPMC for all San Francisco.

Respectfully,  
Richard Magary, Steering Committee Chair Buena Vista Neighborhood Association (BVNA)  
415/431-2359  
[BVNA@ix.netcom.com](mailto:BVNA@ix.netcom.com)  
3/12/2013 8:30pdt

(17)

## Board of Supervisors

File 130232

**To:** BOS-Supervisors; Miller, Alisa  
**Subject:** Strong Opposition to Sutter Hospital at Cathedral Hill Site

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**From:** sfakelly@aol.com [mailto:sfakelly@aol.com]  
**Sent:** Tuesday, March 12, 2013 12:07 AM  
**To:** Board of Supervisors  
**Subject:** Strong Opposition to Sutter Hospital at Cathedral Hill Site

**To:** Supervisor London Breed, 5th District. [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)  
**CC:** Supervisor David Chiu  
**From:** Dr. Amy Kelly  
**Date:** March 11, 2013  
**Re:** Strong Opposition to Sutter Hospital at Cathedral Hill site

**To:** Supervisor London Breed, 5th District. [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)  
**CC:** Supervisor David Chiu  
**From:** Dr. Amy Kelly  
**Date:** March 11, 2013  
**Re:** Opposition to Sutter Hospital at Cathedral Hill site

I continue to be deeply concerned about this site choice of Cathedral Hill for the new Sutter Hospital complex.

I agree the new agreement is a major victory for St. Luke's and the general south of Market healthcare needs. That is, if one can trust Sutter, which, given the experience of other communities in our area, is very risky. However, the new plan will make it harder to close St. Lukes, and they are forewarned about community (and hopefully governmental) outrage should they try it.

However, regarding the Cathedral Hill site:

**Traffic:** Supervisor:David Chiu, at the meeting I went to a few months ago said he had been late to meetings at City Hall because of traffic jams along Van Ness Avenue now. Franklin is similarly backed up on a regular basis now. He said "ANY" more traffic along Van Ness would cause enormous problems for that crucial north-south corridor. The new plan still calls for the number of employees at Cathedral Hill to be upwards of 10,000(some less but not many with the shrinkage--unless many other staff-heavy services are moved to St. Lukes) and potentially 300+ hospital beds full, with visitors to these people 24/7. The problem with snarling Van Ness and Franklin traffic is that there is no other north-south route short of 19th Ave to the West, and Battery, or the embarcadero to the East, because of the hills to the East and West of Van Ness. It really is an important question, do we want people to be able to navigate north-south in this city, and have access to the Golden Gate Bridge for commuting purposes, or not???

**Parking:** The 2300 parking spaces (for the upwards of 10,000 employees, not including patient visitors) which they now say they will reduce because of the reduced size of the hospital will still mean 0 parking for others who may have business or meetings of various sorts along Van Ness, or Franklin. There are five churches, for example, within one or two blocks of this site. People come for pastoral counseling at all times of the day and week, as well as for meetings, and for work. The Unitarian Universalist Church at Geary and Franklin, catty-corner from the site, depends for a major part of its income on many rentees during the weekdays and evenings. Where are these people going to park??? This could/will have serious impacts on this aspect of their fundraising capacity, never mind Sunday and other evening services. Saving souls is an important activity, as is medicine. This area is called "Cathedral Hill" for a good reason!

**Van Ness master plan:** The existing master plan for Van Ness Avenue, calling for mixed use suitable for residents, and businesses catering to residents, with height restrictions, will be seriously disrupted by this amount of traffic, noise, exhaust fumes and lack of parking, in addition to the continued violation of the height restriction.

**Major noise and exhaust pollution:** The entry to the ER and for deliveries is still off Franklin, so sirens will be awaiting down Franklin, up and down Geary to Franklin and etc all hours of the day and night.

I live a block from UC San Francisco--that other "regional hub" that never gets mentioned in these negotiations--and know

the frequency and irregularity of the noise first hand. My dogs are hoarse because of it! Also, we all know how hard it is to know from whence the sound of a siren is coming, and Franklin and Geary, and Starr King Way and Franklin are pretty blind corners. You can't see an ambulance until it is less than a block away, and people with mobility problems trying to cross Franklin will not be able to get out of the way. It is an accident waiting to happen.

Large delivery trucks are very noisy, and very polluting. With that kind of congestion, horns will be honked, engines will be revved creating more exhaust etc.

While the Saint Luke's modest expansion (80 to 120 beds) is a victory, I would strongly urge you not to lose sight of the site problems at this location. The above issues which seriously impact traffic patterns in the city, creating a potentially major and possibly irreversible problem,, seriously negatively impact the five churches in the environs, plus the multipurpose use of the Van Ness corridor, and endanger seniors and others with mobility problems.

If your mission is "to respond to the needs of the people of San Francisco, disrupting the one mid-city north-south travel route, disruption of function of the churches on Cathedral Hill, disruption of the approved Master Plan for the Van Ness corridor, is not doing that, in my estimation.

When UC San Francisco was faced with similar seismic retrofit needs, it at least had the wisdom to go to Mission Bay, one of the lesser congested areas of the City. UC physicians regularly travel between Mount Zion, Parnassus and Mission Bay, as well as numerous smaller clinics around the City.

Sutter's choice of one of the most congested areas in the city makes NO sense. It also makes no sense in terms of the number of other hospitals within a one to two mile radius around this site. This site would NEVER be part of a comprehensive master plan for healthcare delivery in this city.

Finally, according to the Chronicle, the number of jobs accorded to City residents by Sutter would remain at 30%. That was not an acceptable figure before. Why is it one now??

Supervisor Breed, I live in your district, and I would like an answer detailing your position on this matter.

Supervisor Chiu, I would URGE the Board of Supervisors to make good on your Mission, and not clog the only mid-City major thoroughfare for a hospital that should not be at that site anyway. This makes NO sense!

Thank you very much for your continued vigilance on this matter.

Dr. Amy Kelly, UCSF Faculty {retired}

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**From:** Kevin Rhoads [kgrhoads@alum.mit.edu]  
**Sent:** Sunday, March 17, 2013 5:20 PM  
**To:** Board of Supervisors  
**Subject:** Please vote YES to Save The Sharp Park Wetlands

Dear Board of Supervisors

As a supporter of SAVE THE FROGS! ([www.savethefrogs.com](http://www.savethefrogs.com)), I am writing to urge you to support Supervisor John Avalos' proposed legislation that would re-purpose the Sharp Park Golf Course to a new public park managed by the National Park Service that all can enjoy. The Sharp Park Wetlands provide critical habitat for the endangered California Red-Legged Frog and a variety of other wildlife. Both frogs and wetlands are rapidly disappearing in California and worldwide, so it is disconcerting that the City of San Francisco is currently using taxpayer dollars to pump the Sharp Park Wetlands dry, killing endangered frogs in the process, and violating state and federal laws.

The Sharp Park Golf Course has a long history of environmental and economic troubles, and the time has clearly come for the City of San Francisco to change course. By closing the golf course and handing the management of the land over to the National Park Service, the City of San Francisco would relieve itself of its current financial, legal and environmental burden, and it would also clearly mark itself as a world leader in environmental protection efforts.

The restored Sharp Park Wetlands would be a safe haven for threatened wildlife and would provide valuable recreational opportunities to San Francisco residents and tourists alike. This would not only improve the quality of life for San Francisco's residents, it would increase the long-term economic value of the property.

Frogs already face an array of threats from climate change to habitat destruction; pesticide use; over-collection for frog legs and dissections; invasive species; and infectious diseases spread by human activity. Frogs eat mosquitoes, provide us with medical advances, serve as food for birds and fish, and their tadpoles filter our drinking water. Plus kids love frogs, and it is our obligation to them to leave this planet in better shape than when we arrived here.

On behalf of all those who enjoy nature and wildlife, thanks for your consideration.

Kevin Rhoads

US

## Board of Supervisors

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**To:** BOS-Supervisors; Evans, Derek  
**Subject:** 03-11 BOS' Neighborhood Services and Safety Committee letter  
**Attachments:** 03-11-13 BOS\_ltr\_.pdf

**From:** Silva-Re, Pauline  
**Sent:** Monday, March 11, 2013 1:12 PM  
**To:** Board of Supervisors  
**Subject:** 03-11 BOS' Neighborhood Services and Safety Committee letter

Board of Supervisors,

Attached please find the March 11<sup>th</sup> Board of Supervisors' Neighborhood Services and Safety Committee letter regarding addressing concerns for public and Juvenile Probation Officer safety.

Thank you.

Pauline

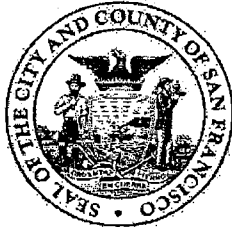
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Pauline Silva-Re  
Commission Secretary  
Juvenile Probation Commission  
Office: (415) 753-7870  
[Pauline.Silva-Re@sfgov.org](mailto:Pauline.Silva-Re@sfgov.org)

For more information on the Juvenile Probation Commission (copy and paste the following links):  
Juvenile Probation Commission: <http://sfgov3.org/index.aspx?page=542>  
Juvenile Probation Commission Meeting Information: <http://sfgov3.org/index.aspx?page=578>  
Juvenile Probation Commission Meeting Audio Archive: <http://sfgov3.org/index.aspx?page=2888>  
Online Database of Board & Commission Appointments: <http://www.sf311.org/index.aspx?page=766>



**Dirk J. Beijen, President**  
**Sarah Ching Ting Wan, Vice President**  
**Katharine Albright, Commissioner**  
**Joseph Arellano, Commissioner**  
**Julian Chang, Commissioner**  
**Susan Jones, Commissioner**  
**Rebecca Woodson, Commissioner**



**City and County of San Francisco**  
**Juvenile Probation Commission**

**William P. Siffermann**  
Chief Juvenile Probation Officer

March 11, 2013

Supervisor David Campos, Chair  
Supervisor Eric Mar, Vice Chair  
Supervisor Norman Yee, Member  
Board of Supervisors, Neighborhood Services and Safety Committee  
City Hall  
1 Dr. Carlton B. Goodlett Place  
San Francisco, California 94102

Re: Addressing Concerns for Public and Juvenile Probation Officer Safety

Dear Members of the San Francisco Board of Supervisors Neighborhood Services and Safety Committee:

It is my understanding that the Neighborhood Services and Safety Committee of the San Francisco Board of Supervisors will hold a public hearing, on March 21, 2013, in response to concerns about escalating gun violence that threatens the public as well as juvenile probation and other law enforcement officers in our City. It is anticipated that the Juvenile Probation Department will be presenting those concerns at your upcoming meeting.

Please be assured that the Chief Probation Officer, William P. Siffermann, has already informed the Juvenile Probation Commission of his growing concerns regarding the gun violence in San Francisco and the threat posed, not only to residents and visitors, but also to juvenile probation officers. The Commission is taking this issue seriously and is responding with the deliberateness that such an issue requires.

The topic "Report on JPD's Field Safety and Monitoring Compliance with High-Risk Probationers and DJJ Parolees" was placed on the agenda of the January 9, 2013 Juvenile Probation Commission's meeting at the request of Chief Siffermann. It included a comprehensive discussion regarding specific violent threats to juvenile probation officer safety, including gun violence as well as other violent offenses committed by high-risk juvenile and young adult probationers and parolees. In response to the issues presented and the need to enhance probation officer and public safety, the Commission has requested the Juvenile Probation Department to research strategies and practices being used by probation departments in other jurisdictions that supervise high-risk offenders. The Department is scheduled to return to the Juvenile Probation Commission, at its April 10, 2013 meeting, with a follow-up report on the findings.

The Juvenile Probation Commission recognizes its responsibility to help guide the Department in its efforts to develop thoughtful policies that enhance the safety of probation officers while at the same time improving the effectiveness of services to the public. This Commission welcomes the continued input from the public as we explore the various aspects of public and juvenile probation officer safety.

As President of the San Francisco Juvenile Probation Commission, I look forward to a transparent, thorough, and deliberate process toward developing an informed understanding of the risks, challenges, and options to address the concerns. I welcome the opportunity to coordinate our efforts with the efforts of the Neighborhood Services and Safety Committee.

Sincerely,

  
Dirk J. Beijen, President  
Juvenile Probation Commission

cc: Mayor Edwin M. Lee, City and County of San Francisco  
San Francisco Board of Supervisors  
San Francisco Juvenile Probation Commissioners  
William P. Siffermann, Chief Probation Officer

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**From:** Aaron Goodman [amgodman@yahoo.com]  
**Sent:** Thursday, March 14, 2013 9:33 AM  
**To:** Board of Supervisors, *Fay Lamug*  
**Cc:** Secretary, Commissions  
**Subject:** CEQA Legislation - Supervisor Wiener (SF Planning Commission) 3.15.13  
**Attachments:** 031413\_CEQAissues.pdf; Points\_For\_March\_14\_Planning\_Hearing\_On\_CEQA-2.pdf

Please find the attached memo on the 3.14.13 planning commission hearing on CEQA issues by Supervisor Wiener.

I am unable to attend the hearing and speak against the proposed legislation.

I submit in support of the opposition of this issue the points they raise against the legislation, and support other memo's and organizations OPPOSED to the current legislation being discussed today at the Planning Commission.

Sincerely

Aaron Goodman

20

**\*\*TALKING POINTS FOR THURSDAY MARCH 14 PLANNING HEARING ON CEQA\*\*** (SF City Hall, Room 400, 12 noon)

**ON ITEM 8, PROJECT TIMING:**

These rule changes should not be considered until the proposed amendments to CEQA procedures are decided upon, -especially- where the rule changes would allow deadline extensions due to CEQA appeals. The rule changes themselves would give developers excessive leeway to delay, and leave important land and buildings idle much too long, with the selfish intention of increasing profits by waiting for property values to increase. And they would give FAR too much power to the Zoning Administrator to extend such delays indefinitely.

**ON ITEM 12, WIENER CEQA PROCEDURE AMENDMENTS (3 points):**

- 1) On November, 29, 2012, the Planning Commission unanimously recommended to Supervisor Wiener that he meet with the many community opponents to the first and second drafts of his CEQA legislation, and to then introduce an AMENDED text which reflects feedback from these community organizations.
- 2) Supervisor Wiener was then highly selective in notices he sent to community representatives, leaving most of us uninformed that the meetings were taking place. Community representatives were forced to find out about the Supervisor's so-called 'roundtable discussions' third hand, and then send out our own notices to others and alert them to these important meetings.
- 3) After three so-called 'roundtable discussions' with Supervisor Wiener, Planning Staff, and City Attorney Elaine Warren, no substantial changes AT ALL have been made in this legislation to address the many serious problems that we have clearly documented both to them and to the Planning Commission. We therefore call on the Commission to recommend a 'NO' vote on Supervisor Wiener's legislation to the Board of Supervisors.  
Community requirements which have still not been met are:

**Community CEQA Improvement Team – Requirements Of Any CEQA Process Legislation**

- 1) There must be no 'First Approval' trigger of the appeals clock. This is far too early in the process to enable sufficient examination and understanding of projects. While a more clear trigger is reasonable, that trigger should be the *final* approval that a project as a whole receives from the Planning Commission or the Board of Supervisors (whichever body takes that final action). Where the final approval is also a first approval, we must ensure more robust noticing so that no environmental review falls under the radar.
- 2) There must be no codification of the practice of the Environmental Review Officer (ERO) of the Planning Department, and individual city agencies, simply deciding together, autonomously, behind closed doors (in many cases with no notice whatsoever) that a project is exempt from environmental review. All such determinations must be noticed to both the Planning Commission and the public, and where substantial community/environmental impacts are possible, should be scheduled for at least a consent calendar vote by the Planning Commission (unless CEQA demands a more thorough process). This would ensure that the public finds out about and can pull for consideration any debatable exemption.
- 3) All sections which would allow the Board of Supervisors to avoid a formal legal appeal hearing before the full Board are unacceptable. All appeals must be heard at a full, formal, Board appeal hearing, without exception.
- 4) There must be no elimination of the "Fair Argument" standard. State law codifies that an Environmental Impact Report (EIR) is warranted if there is "substantial evidence which supports a fair argument" that a project may significantly negatively impact the environment. Supervisor Wiener's legislation cuts out the words "which supports a fair argument" setting a much tougher test for triggering Environmental Impact Reports. The coalition insists on retaining the current local wording, which simply states "fair argument" on its own.

*(more talking points on page 2)*

5) Almost all of the deadlines in Supervisor Wiener's legislation for filing an appeal, for noticing, hearings, etc. are far too brief. Its 20 day limits for appeals are particularly egregious. Community stakeholders require a 60 day public notice period in cases where more robust noticing is needed, and 30 days rather than 20 in all other cases.

6) Reduced noticing for area plans, general plans, and plans covering "20 acres or more" is unacceptable. Under the Wiener legislation, notice in writing of new projects and changes in such project areas would no longer be required to residents within those area plans and within 300 feet of their boundaries. Such large area plans should get more public notice and scrutiny, not less.

7) Current practice of allowing new projects to avoid environmental review when they are within a larger project that has already received environmental review, should be much more restricted in any new CEQA procedures law. Such 'bootstrapping' of new projects into old approvals should be greatly curtailed.

8) Combining Mitigated Negative Declarations and simple Negative Declarations into one category is unacceptable. All preliminary mitigated negative declarations which the ERO negotiates with developers must be fully noticed in writing to the public with all mitigations indicated. And where significant environmental impacts may exist, a Planning Commission hearing on a mitigated negative declaration must be required.

9) All CEQA public noticing practices must be very proactive. MOST IMPORTANTLY: Any proposed CEQA legislation should require that any failure in noticing to the public result in an automatic extension of comment and appeal deadlines by the number of days the noticing error delayed public awareness; and where this is unclear or the noticing failure was egregious, the deadline clock for comments and appeals should simply be reset to the beginning of the full required deadline period. In cases where an environmental review or EIR document and/or the underlying project are very large, voluminous and/or complex, the public should be able to easily request and receive extensions in comment and noticing deadlines.

-end-

## Board of Supervisors

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To: BOS-Supervisors  
Subject: Thank You- national Federation of the Blind of California, San Francisco Chapter

-----Original Message-----

From: Darian Smith [<mailto:dsmithnfb@gmail.com>]  
Sent: Monday, March 11, 2013 4:35 PM  
To: Board of Supervisors  
Cc: Darian Smith  
Subject: Thank You- national Federation of the Blind of California, San Francisco Chapter

To the entire board of Supervisors and the Clerk of the board:

I first would like to thank you for the great work that you do in serving our fine city-- one of, if not the greatest city in the world--and appreciate you allowing me the time to give a quick introduction into who the NFBCSF is and what we do.

I trust that all of you received the flyers for both our upcoming event and general information about our chapter.

As President of a chapter based right here in the city of San Francisco that is a part of the oldest and largest organized civil rights movement, (as well as education and advocacy efforts that has benefited generations of blind people from childhood, school age, post-secondary, professional, parents and seniors for over 70 years) I am excited to work with you to improve the possibilities for all blind individuals who live and work in the city and county of San Francisco.

We both (as the San Francisco chapter of the National Federation of the Blind, and the San Francisco Board of Supervisors) feel very strongly about our city and are both willing to work tirelessly in our efforts to see our city be the best it can be.

With the mutual understanding that the only way our city sees its true potential realized is when each citizen is allowed to compete in all aspects of life on a basis of equality, I think it makes for a natural partnership, one that I hope we can explore more.

Should you have any further questions about The NFBCSF or anything covered in this note, please feel free to contact me at [dsmithnfb@gmail.com](mailto:dsmithnfb@gmail.com) or (415) 215-9809 Thank you so much for your time, and I sincerely look forward to working very closely as strong collaborative forces to strengthen our city in the most positive way.

Regards,

Darian Smith

President, National Federation of the Blind of California - San Francisco Chapter  
[www.sixdots.org](http://www.sixdots.org)

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**From:** Board of Supervisors  
**To:** BOS-Supervisors  
**Subject:** LAGUNA HONDA HOSPITAL

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**From:** Ana Guimoye [<mailto:anaguimoye@yahoo.com>]  
**Sent:** Wednesday, March 13, 2013 7:24 AM  
**To:** Board of Supervisors  
**Subject:** LAGUNA HONDA HOSPITAL

**TO The** [Board.of.Supervisors@sfgov.org](mailto:Board.of.Supervisors@sfgov.org)

**A MAN BY THE NAME OF VICTOR SULLON:** Is currently at Laguna Honda Hospital in San Francisco. The hospital has bought an airline ticket to deport him to his country against his will. I know him for 20 years. If they send him back, under what authority will the hospital would be functioning? The HOSPITAL is not THE DEPARTMENT OF IMMIGRATION. The social worker knows that his family is very poor, and there is not appropriate care or hospitals nearby. He can't talk, can't walk without a walker, can't swallow and chokes; his meals must all be gelatinous. The social worker has informed us and threatened him with sending him to a shelter this Saturday. If you can't help, please guide me on the right direction.

Thank you.

March 7, 2013

TO: STATE, COUNTY AND CITY OFFICIALS

**Notice of Application of Pacific Gas and Electric Company  
for Recovery of 2012 Costs Relating to Diablo Canyon Seismic Studies and  
the California Independent System Operator Market Design Initiative**

(A. 13-02-023)

BOS-11  
Cpage, J Givner,  
Controller  
RECEIVED  
BOARD OF SUPERVISORS  
SAN FRANCISCO  
MAR 13 PM 4:03

On February 28, 2013, Pacific Gas and Electric Company (PG&E) filed an application with the California Public Utilities Commission (CPUC) requesting changes to our electric rates effective January 1, 2014. Specifically, we requested approval to recover in rates certain costs associated with (1) the California Independent System Operator's (CAISO) Market Design Initiative, and (2) studies performed at Diablo Canyon Nuclear Power Plant.

The CAISO Market Design Initiative was launched in 2009 by the Federal Energy Regulatory Commission to change how electricity is bought and sold in California. The costs requested in PG&E's application represent actual costs associated with implementing the CAISO Market Design Initiative during 2012.

The Diablo Canyon Nuclear Power Plant studies were conducted in response to the California Energy Commission's (CEC) recommendations. The CPUC previously approved PG&E's initial seismic study costs in Decisions 10-08-003 and 12-09-008. The costs requested in PG&E's application represent additional seismic study costs incurred through December 31, 2012.

In total, PG&E's application requests \$25.421 million to be included in rates on January 1, 2014 from bundled service customers (those who receive electric generation, as well as transmission and distribution service from PG&E).

**Will rates increase as a result of this application?**

Yes, approval of this application will increase electric rates by less than one percent—or 0.2 percent in 2014—for bundled service customers. For the typical bundled residential electric customer using **550 kWh per month**, this will result in a bill increase of approximately **13 cents per month**. Individual customers' bills may vary. Rates for customers who purchase electricity from other suppliers (e.g., direct access and community choice aggregation) and rates for departing load customers will not be affected by these specific costs.

**FOR FURTHER INFORMATION**

To request a copy of the application and exhibits or for more details, call PG&E at **1-800-743-5000**.

For TDD/TTY (speech-hearing impaired), call **1-800-652-4712**.

Para más detalles llame al **1-800-660-6789** - 詳情請致電 **1-800-893-9555**

Please specify that you are inquiring about **A.13-02-023**.

You may request a copy of the application and exhibits by writing to:

Pacific Gas and Electric Company  
2012 ERRR Compliance Review/Diablo Costs Recovery Application  
P.O. Box 7442  
San Francisco, CA 94120

**THE CPUC PROCESS**

The CPUC's Division of Ratepayer Advocates (DRA) will review this application.

The DRA is an independent arm of the CPUC, created by the Legislature to represent the interests of all utility customers throughout the state and obtain the lowest possible rate for service consistent with reliable and safe service levels. The DRA has a multi-disciplinary staff with expertise in economics, finance, accounting and engineering. The DRA's views do not necessarily reflect those of the CPUC. Other parties of record will also participate.

The CPUC may hold evidentiary hearings where parties of record present their proposals in testimony and are subject to cross-examination before an Administrative Law Judge (ALJ). These hearings are open to the public, but only those who are parties of record may present evidence or cross-examine witnesses during evidentiary hearings. Members of the public may attend, but not participate in, these hearings.

After considering all proposals and evidence presented during the hearing process, the ALJ will issue a draft decision. When the CPUC acts on this application, it may adopt all or part of PG&E's request, amend or modify it, or deny the application. The CPUC's final decision may be different from PG&E's application.

If you would like to learn how you can participate in this proceeding or if you have comments or questions, you may contact the CPUC's Public Advisor as follows:

California Public Utilities Commission Public Advisor's Office 505 Van Ness Avenue San Francisco, CA 94102	1-415-703-2074 or 1-866-849-8390 (toll free) TTY 1-415-703-5282 or 1-866-836-7825 (toll free) Email to <a href="mailto:public.advisor@cpuc.ca.gov">public.advisor@cpuc.ca.gov</a>
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If you are writing a letter to the Public Advisor's Office, please include the number of the application (A.13-02-023) to which you are referring. All comments will be circulated to the Commissioners, the assigned Administrative Law Judge and the Energy Division staff. A copy of the application (without exhibits) is also available for review at the California Public Utilities Commission, 505 Van Ness Avenue, San Francisco, CA 94102, Monday–Friday, 8 a.m.–noon, and on the CPUC's website at [www.cpuc.ca.gov/puc](http://www.cpuc.ca.gov/puc).



Edwin M. Lee, Mayor

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BY \_\_\_\_\_

Veterans Affairs Commission  
City and County of San Francisco  
City Hall, Room 053  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

13 March, 2013

**Advice Letter to: Mayor Edwin Lee, and the San Francisco Board of Supervisors  
Subject: Endorsement of Jan Karski Exhibit Installation at City Hall.**

**Mayor Lee, President Chiu, and Members of the San Francisco Board of Supervisors;**

As an Agenda Action Item at the March meeting of the Veterans Affairs Commission meeting (on 3/12/13) we were treated to a moving presentation by the producers of the **Jan Karski Memorial Exhibit**.

The Exhibit is produced by the Polish History Museum in partnership with the Ministry of Foreign Affairs of the Republic of Poland to highlight the eye-witness accounts of Mr. Jan Karski and his urgent attempts to inform the world regarding the systematic horrors of the early days of the holocaust. An American citizen of Polish heritage Mr. Karski is known as "Humanity's Hero" for his desperate attempts to save thousands of lives at Nazi concentration camps.

After Mr. Karski was posthumously awarded the Presidential Medal of Freedom by President Barack Obama, the Traveling Exhibit has been displayed in a number of distinguished venues, most recently in the lobby of the UN Building, in New York. We are informed that the Consulate of the Republic of Poland in Los Angeles has offered a rare opportunity to share with the City of San Francisco this wonderful educational exhibit.

This opportunity comes at an especially propitious time, as the United States Congress has established the Days of Remembrance as our nation's annual commemoration of the Holocaust and further designated the month of April as Holocaust Remembrance Month.

Furthermore, the US Holocaust Memorial Museum has designated the 70<sup>th</sup> anniversary of the start of the Warsaw ghetto uprising, Monday, the 13<sup>th</sup> of April, 2013, as Holocaust Remembrance Day.

In recognition of the educational value that this Exhibit holds, for both the Veterans Community as well as the general population of San Francisco, the San Francisco Veterans Commission has voted to endorse the installation of the Karski Memorial Exhibit in an appropriate location in City Hall as part of the San Francisco observation of the Days of Remembrance.

With this Letter of Advice, we recommend that the Office of the Mayor, and Board of Supervisors take advantage of this rare educational and commemorative opportunity, and support installation of the exhibit. With due deference to location availability it is suggested that (either) the North Court or South Court of the City Hall Rotunda area might make a splendid venue for this outstanding Exhibit.

Respectfully submitted,

Eduardo Ramirez, President  
San Francisco Veterans Affairs Commission

(24)





Edwin M. Lee, Mayor

Veterans Affairs Commission  
City and County of San Francisco  
City Hall, Room 053  
1 Dr. Carlton B. Goodlett Place  
San Francisco, CA 94102

14 March, 2013

**LOGISTICAL CONTACT INFORMATION, Re:**

**Advice Letter of 13 March 2013, to: Mayor Edwin Lee, and the San Francisco Board of Supervisors**

**Subject: Endorsement of Jan Karski Exhibit Installation at City Hall.**

**To: Mayor Lee, President Chiu, and Members of the San Francisco Board of Supervisors;**

This letter is an administrative addendum to the primary letter on this subject, namely our 3/13 Letter to Mayor and Board of Supervisors as cited above. It is provided in the hope that after review of the 3/13 letter, members of the Office of the Mayor, and Board of Supervisors may want to contact the organizers and promoters of the **Jan Karski Exhibit**. They are:

**Maureen Mroczek Morris** [maureenm@sbcglobal.net](mailto:maureenm@sbcglobal.net) 415.731.5855

Creator, Jan Karski Slideshow

Treasurer, San Francisco-Krakow Sister Cities Association

Contributing Editor, *Cosmopolitan Review*

Assistant to the Honorary Consuls of the Republic of Poland, Tad Taube and Christopher Kerosky

**John Henry Fullen** [jhfullen@gmail.com](mailto:jhfullen@gmail.com) 415.623.4123

President, San Francisco-Krakow Sister Cities Association

Dedicated volunteer and Rotarian

**Zbigniew Stanczyk** [ZbigniewStanczyk@aol.com](mailto:ZbigniewStanczyk@aol.com) 1.650.323.0377

Curator, Jan Karski Exhibit

Film Consultant

Polish History Consultant

**Mary Skinner** [maryskinner2b@gmail.com](mailto:maryskinner2b@gmail.com) 917.443.6458

Filmmaker, *Irena Sendler: In the Name of Their Mothers*

[www.IrenaSendlerFilm.com](http://www.IrenaSendlerFilm.com) (KQED sponsored national PBS Broadcast)

[nyskinner@earthlink.net](mailto:nyskinner@earthlink.net)

We close in the hope that after review of the Primary Advice Letter, that you will contact the exhibit organizers with a (hopefully positive) decision in the exhibit display request.

Respectfully,

A handwritten signature in black ink, appearing to read "Stephen S. Noetzel".

Stephen S. Noetzel

Commissioner and Secretary to the Commission  
San Francisco Veterans Affairs Commission