

FILE NO. 131020

Petitions and Communications received from October 11, 2013, through October 21, 2013, for reference by the President to Committee considering related matters, or to be ordered filed by the Clerk on October 29, 2013.

Personal information that is provided in communications to the Board of Supervisors is subject to disclosure under the California Public Records Act and the San Francisco Sunshine Ordinance. Personal information will not be redacted.

From Controller, issuing a memorandum on its assessment of the Municipal Transportation Agency's close-out requirements in its contract with Shimmick Construction Company. Copy: Each Supervisor. (1)

From Controller, submitting City Services Auditor Annual Contract Report for FY2013. Copy: Each Supervisor. (2)

From Controller, regarding Certificates of Participation for Port projects. Copy: Each Supervisor. (3)

From Controller, responding to the 2013 Civil Grand Jury report "You Can Only Manage What You Measure." (4)

From Mayor Lee, regarding continuation of the Declaration of Local Emergency due to the Rim Fire in Stanislaus National Forest. File No. 131015. Copy: Each Supervisor. (5)

From various departments, responding to the 2013 Civil Grand Jury report "Golden Gate Park's Homeless Population – Are San Francisco's Policies Serving Us Well?" (6)
Police
Recreation and Parks

From Treasury and Tax, submitting City and County investment report for September 2013. Copy: Each Supervisor. (7)

From Association of Bay Area Governments, providing notice of certification of election of Julie Pierce and David Rabbitt as President and Vice President, respectively. Copy: Each Supervisor. (8)

From Pacific Gas and Electric, providing notice of application to recover forecasted costs associated with California's greenhouse gas emissions reduction program. Copy: Each Supervisor. (9)

From Mica I. Ringel, providing notice of intent to commence litigation of Conditional Use Authorization at 435-437 Potrero Avenue. Copy: Each Supervisor. (10)

From concerned citizens, regarding appeal of Final Mitigated Negative Declaration for 1050-1058 Valencia Street. 6 letters. File Nos. 130896, 130897, 130898, and 130899. Copy: Each Supervisor. (11)

From concerned citizens, regarding the Excelsior Neighborhood. 6 letters. File No.130084. Copy: Each Supervisor. (12)

From Patrick Monette-Shaw, regarding Bay Guardian article "Friends in the Shadows." Copy: Each Supervisor. (13)

From concerned citizens, regarding the October 15, 2013, meeting of the Municipal Transportation Agency Board of Directors. 2 letters. Copy: Each Supervisor. (14)

From Denise D'Anne, regarding Jack Spade store in the Mission Neighborhood. Copy: Each Supervisor. (15)

From concerned citizens, regarding park hours legislation. 2 letters. File No. 130766. Copy: Each Supervisor. (16)

*From concerned citizens, submitting signatures for a petition regarding police patrol in the Excelsior Neighborhood. 280 signatures. File No. 130084. Copy: Each Supervisor. (17)

*From concerned citizens, submitting signatures for a petition regarding fiber broadband. 1101 signatures. Copy: Each Supervisor. (18)

*(An asterisked item represents the cover sheet to a document that exceeds 25 pages. The complete document is available at the Clerk's Office, Room 244, City Hall.)

To: BOS-Supervisors
Subject: Memorandum Issued: SFMTA Generally Complied With Close-out Requirements in Its Contract for the Cable Car Propulsion System DC Motor Drives Upgrade, but Did Not Properly Document Fulfillment of One Requirement

From: Chapin-Rienzo, Shanda **On Behalf Of** Reports, Controller

Sent: Thursday, October 17, 2013 1:44 PM

To: Ed.Reiskin@sfmta.com; Alicia.John-Baptiste@sfmta.com; Sue, Candace (MTA); Sakelaris, Kathleen; Vincent.Harris@sfmta.com; Farhangi, Shahnam; Yuen, Victor; Calvillo, Angela; Nevin, Peggy; Kawa, Steve; Howard, Kate; Falvey, Christine; Elliott, Jason; Campbell, Severin; Newman, Debra; Rose, Harvey; sfdocs@sfpl.info; Gabriel Metcalf; Rosenfield, Ben; Zmuda, Monique; Lane, Maura; CON-EVERYONE; CON-CCSF Dept Heads; CON-Finance Officers

Subject: Memorandum Issued: SFMTA Generally Complied With Close-out Requirements in Its Contract for the Cable Car Propulsion System DC Motor Drives Upgrade, but Did Not Properly Document Fulfillment of One Requirement

The Office of the Controller's City Services Auditor Division (CSA) today issued a memorandum on its assessment of the San Francisco Municipal Transportation Agency's compliance with the close-out requirements in its contract with Shimmick Construction Company, Inc., for the Cable Car Propulsion System DC Motor Drives Upgrade project. The assessment found that SFMTA generally complied with these requirements, but did not properly document fulfillment of one requirement.

To view the full memorandum, please visit our Web site at:
<http://openbook.sfgov.org/webreports/details3.aspx?id=1619>

This is a send-only e-mail address.

For questions about the memorandum, please contact Director of City Audits Tonia Lediju at Tonia.Lediju@sfgov.org or 415-554-5393 or the CSA Audits Unit at 415-554-7469.

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CITY AND COUNTY OF SAN FRANCISCO
OFFICE OF THE CONTROLLER

Ben Rosenfield
Controller

Monique Zmuda
Deputy Controller

MEMORANDUM

TO: Edward D. Reiskin, Director of Transportation
San Francisco Municipal Transportation Agency

FROM: Tonia Lediju, Director of City Audits
City Services Auditor Division 

DATE: October 17, 2013

SUBJECT: The San Francisco Municipal Transportation Agency Generally Complied
With Close-out Requirements in Its Contract for the Cable Car Propulsion
System DC Motor Drives Upgrade, but Did Not Properly Document
Fulfillment of One Requirement

EXECUTIVE SUMMARY

With one exception, the San Francisco Municipal Transportation Agency (SFMTA) properly closed out the construction and equipment contract for the Cable Car Propulsion System DC Motor Drives Upgrade project. However, SFMTA did not require the contractor to submit written certification that the work was ready for pre-final inspection, as required by contract close-out procedures. SFMTA concurs with this finding and agrees to implement the related recommendation.

BACKGROUND, OBJECTIVES & METHODOLOGY

Background

Basis for Assessment. In accordance with its work plan for fiscal year 2013-14, the Office of the Controller's City Services Auditor Division (CSA) assessed SFMTA's compliance with construction contract close-out procedures as part of CSA's ongoing program of assessing compliance with contract close-out procedures in various departments of the City and County of San Francisco (City) each quarter.

SFMTA. SFMTA operates the surface transportation network in San Francisco, which encompasses pedestrians, bicycling, transit, traffic, and parking, and also regulates the taxi industry. SFMTA's Municipal Railway, known as Muni, is the largest transit system in the Bay Area and seventh largest in the nation, serving more than 200 million customers a year. SFMTA

is organized into several divisions under the Director of Transportation. The Capital Programs & Construction Division is the subject of this assessment. The division's mission is to improve the City's transportation infrastructure by managing the capital improvement programs for all city transportation initiatives to support San Francisco's needs as the City changes and grows, including engineering and constructing improvements to the City's transportation infrastructure.

Project Details. The Cable Car Propulsion System DC Motor Drives Upgrade project (Contract No. 1230) was designed to upgrade the outdated direct current (DC) motor drives and associated equipment to reduce maintenance, improve reliability, safety, and quality service for the cable car system. SFMTA selected Shimmick Construction Company, Inc., as the contractor for this project. The project started on May 20, 2010, and SFMTA deemed it substantially complete, within the time limit of 550 calendar days, on November 20, 2011. SFMTA formally recognized March 20, 2012, as the final completion date of this contract. However, SFMTA did not process final payment and approve the release of the final retention payment until April 3, 2013.¹ The project's final construction cost was \$723,163 less than the original contract amount of \$5,085,600, due to a change order that SFMTA approved on October 30, 2012, to adjust final quantities of work provided and installed by the contractor. The final contract amount was \$4,361,837.

Close-out Defined. Contract close-out formally ends the construction phase of a capital project and ensures that all contractual and legal obligations have been fulfilled before final payment is released to the contractor. Ensuring compliance with all close-out procedures assures the City that the contractor has used city resources appropriately and has completed the work in accordance with contract terms.

Objectives and Methodology

The objectives of the assessment were to determine whether:

- SFMTA adequately oversaw compliance with the close-out procedures in the contract for the Cable Car Propulsion System DC Motor Drives Upgrade project.
- The contractor complied with the contract's close-out procedures.

To achieve the objectives, CSA:

- Reviewed SFMTA's procedures for contract close-out.
- Developed a checklist of requirements for all phases of close-out based on SFMTA's required procedures.
- Reviewed close-out documentation provided by SFMTA.
- Determined whether SFMTA complied with each applicable requirement.
- Reviewed relevant best practices.

¹ In its Resident Engineer Letter #0064, dated April 3, 2013, SFMTA attributes the delay between the contract completion date of March 20, 2012, and final payment date of April 2, 2013, to the length of time that it took the contractor to deliver a specific extended warranty of software issues at the Cable Car Barn Control Center. However, SFMTA specifically stated that the contractor had "timely submitted a general warranty per contract requirements and the specified extended warranty is an extra" agreed-upon requirement.

CSA selected the Cable Car Propulsion System DC Motor Drives Upgrade project for assessment based on a risk assessment process conducted on SFMTA's capital projects completed during fiscal years 2009-10 through 2012-13. CSA discussed the close-out process and specific close-out requirements with key SFMTA employees. CSA also obtained documentation from SFMTA verifying that procedures were followed for substantial completion, final completion, and close-out of the project.

RESULTS

SFMTA did not provide documentation showing that the contractor had submitted written certification that the work was ready for pre-final inspection by the engineer.

The contract, Section 01770.1.06.A, requires the contractor, upon considering the construction work to be substantially complete, to submit written certification to the engineer that:

- The contractor has reviewed the contract documents.
- The work has been inspected for compliance with the contract documents and, in the contractor's opinion, is substantially complete.
- All equipment and systems have been tested in the presence of the engineer and are operational.
- Preliminary punch list work identified by the contractor's quality control team has all been satisfactorily completed.
- The work is ready for pre-final inspection by the engineer.

SFMTA did submit to CSA the minutes of one of its weekly construction progress meetings showing a discussion about the pending factory testing (pre-final inspection). According to SFMTA's close-out checklist, the fact that the contractor notified SFMTA about factory testing during this meeting served as the contractor's certification that the work was ready for pre-final inspection. While SFMTA provided documentation verifying that its engineer did observe the factory testing, the close-out procedures require the contractor's *written* certification that the work is ready for pre-final inspection.

Close-out procedural steps are intended to assist SFMTA staff in ensuring that the department and contractor meet contract requirements and ensure successful completion of the project. Failure to follow all required steps could cause an important requirement to be overlooked. Furthermore, without the required documentation, CSA cannot confirm that certain close-out procedure steps were performed.

Recommendation:

The San Francisco Municipal Transportation Agency should ensure that fulfillment of all close-out requirements in future contracts is properly documented.

October 17, 2013

SFMTA's response is attached. CSA will work with SFMTA to follow up on the status of the recommendations made in this memorandum. CSA extends its appreciation to you and your staff who assisted with this project. If you have any questions or concerns, please contact me at (415) 554-5393 or tonia.lediju@sfgov.org.

cc: SFMTA

Shahnam Farhangi

Victor Yuen

Alicia John-Baptiste

Candace A. Sue

Kathleen Sakelaris

Controller

Ben Rosenfield

Monique Zmuda

Mark de la Rosa

Nicholas Delgado

Edvida Moore

ATTACHMENT: DEPARTMENT RESPONSE



SFMTA
Municipal
Transportation
Agency

Edwin M. Lee, Mayor
Tom Nolan, Chairman
Malcolm Heinicke, Director
Joel Ramos, Director
Edward D. Reiskin, Director of Transportation
Cheryl Brinkman, Vice-Chairman
Jerry Lee, Director
Cristina Rubks, Director

October 10, 2013

Tonia Lediju, Director
City Services Auditor Division
Office of the Controller
1 Dr. Carlton B. Goodlett Place, Room 476
San Francisco, CA 94102

Re: Assessment of the San Francisco Municipal Transportation Agency's Compliance with Close-out Procedures for the Cable Car Propulsion System DC Motor Drives Upgrade

Dear Ms. Lediju:

The San Francisco Municipal Transportation Agency (SFMTA) is in receipt of the Draft Assessment Report for the subject contract. We concur with the finding and recommendation.

SFMTA has already taken steps to modify some of its practices after receipt of this report. Our current efforts revolve around codifying these practices through updating our procedures and resulting modifications to our contract specifications.

The attached report contains our response and suggested action plan related to the recommendation made in your report.

We would like to thank you for the review and the valuable recommendations for improving our closeout process.

Please contact Vince Harris, Director of Capital Programs and Construction Division at 701-4260 if you have any questions regarding this response.

Sincerely,

A handwritten signature in black ink, appearing to read "E. Reiskin", is written over a horizontal line.

Edward D. Reiskin
Director of Transportation

cc: Vincent J. Harris
Kathleen Sakelaris
Victor Yuen, SFMTA
Shahnam Farhangi

RECOMMENDATION AND RESPONSE

Recommendation	Response
<p>The San Francisco Municipal Transportation Agency should ensure that fulfillment of all close-out requirements in future contracts is properly documented.</p>	<p>SFMTA concurs with the finding.</p> <p>The Construction Manager will assure receipt and documentation of a separate official contractor notification even when that notification was verbally communicated and documented in the minutes of meeting.</p> <p>Under special and exigent circumstances, when such written notification from contractor becomes impractical, the Resident Engineer will issue a formal letter to contractor to document confirmation of contractor's notification.</p>

From: Board of Supervisors
To: BOS-Supervisors
Subject: Office of the Controller - City Services Auditor - Annual Contract Report and Chapter 12 Compliance - FY2013
Attachments: Office of the Controller - City Services Auditor - Annual Contract Report and Chapter 12 Compliance - FY2013.pdf

From: Conover, Lily
Sent: Friday, October 11, 2013 4:30 PM
To: Board of Supervisors
Cc: Zmuda, Monique
Subject: Office of the Controller - City Services Auditor - Annual Contract Report and Chapter 12 Compliance - FY2013

Clerk of the Board,

In accordance with San Francisco Charter Section F1.112, "Authority and Duties of City Services Auditor, Outside Experts", the Controller's Office is providing the Board of Supervisors with an annual report summarizing the Controller's City Services Auditor's contracting activities and compliance with Chapter 12 of the Administrative Code.

Please contact me at (415) 554-7525 or lily.conover@sfgov.org if you have any questions.

Best Regards,

Lily Conover
Contracts Manager
Office of the Controller
City and County of San Francisco
(415) 554-7525
lily.conover@sfgov.org

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Ben Rosenfield
Controller

Monique Zmuda
Deputy Controller

MEMORANDUM

TO: Clerk of the Board of Supervisors

FROM: Monique Zmuda, Deputy Controller 

DATE: October 11, 2013

SUBJECT: Office of the Controller: City Services Auditor - Annual Contract Report and Chapter 12 Compliance

This report is submitted pursuant to San Francisco Charter Section F1.112, "Authority and Duties of City Services Auditor, Outside Experts." The Charter requires the Controller's Office to submit an annual report to the Board of Supervisors summarizing the City Services Auditor's contracting activities and compliance with Chapter 12 of the Administrative Code. Chapter 12 of the Administrative Code includes a number of the City's human rights and non-discrimination clauses.

The attached report summarizes the Controller's City Services Auditor's contracting activities for Fiscal Year 2013. Services contracted during this period allowed the City Services Auditor to provide audit and performance evaluations of Citywide operations.

Although the Controller's City Services Auditor is not subject to the approval processes of other City agencies in regard to Chapter 12, the City Services Auditor has fully complied with Chapter 12 by meeting the Contract Monitoring Division's 12B (Non-Discrimination) and 14B (Local Business Enterprise Subcontracting) requirements for all contracts.

Attachment:

Office of the Controller: City Services Auditor Contract List – FY2013

Office of the Controller
City Service Auditor
Contract List - FY2013

Vendor Name	Description of Service	Department	Contract Amount
COREY CANAPARY & GALANIS	COMPREHENSIVE WELLNESS PLAN STAKEHOLDER OUTREACH SERVICES	Citywide	\$30,000
HATCHUEL TABERNIK & ASSOCIATES INC	PROGRAM EVALUATION TRAINING	CSA Training	\$16,000
SJOBERG EVASHENK CONSULTING INC	REVIEW OF OVERHEAD RATES FOR THE FINAL DESIGN PACKAGE OF THE CENTRAL SUBWAY PROJECT	MTA	\$292,172
RW BLOCK CONSULTING INC	CAPITAL PROJECT RISK ASSESSMENT SERVICES	Citywide	\$75,760
MARSH RISK & INSURANCE SERVICES	WATER SYSTEM IMPROVEMENT PROGRAM (WSIP) CHANGE ORDER REVIEW SERVICES	PUC	\$131,155
COREY CANAPARY & GALANIS	ANALYSIS OF THE SAN FRANCISCO PUBLIC LIBRARY'S OPEN HOURS	Library	\$42,394
SF DELANEY CONSULTING	CLEAN AND SAFE NEIGHBORHOOD PARKS CHANGE ORDER REVIEW	REC	\$61,920
CANAUDIT INC	NETWORK SECURITY TRAINING SERVICES	Citywide	\$20,750
SJOBERG EVASHENK CONSULTING INC	PERFORMANCE AUDIT OF DPW'S JOB ORDER CONTRACTING PROGRAM	DPW	\$124,770
FAIRBANK MASLIN MAULLIN METZ & ASSOCIATES	2013 CITY SURVEY SERVICES	Citywide	\$120,000
THE WHOLE PERSON PROJECT INC	TRAINING - RISK ASSESSMENT & CONTROL EVALUATION TECHNIQUES FOR PERFORMANCE AUDITS	CSA Training	\$9,996
CANAUDIT INC	NETWORK PENETRATION & VULNERABILITY ASSESSMENT	DPH, HSA, MTA	\$251,795
BAY AREA ECONOMICS	PROJECT COMPLIANCE AND APPROVAL PROCESS BENCHMARKING STUDY SERVICES	CGOBOC	\$74,950
CENTER FOR COLLABORATIVE POLICY-CAL STATE SACRAMENTO	COMMUNITY ENGAGEMENT BENCHMARKING SERVICES	CGOBOC	\$73,206
HARDER + COMPANY COMMUNITY RESEARCH	PROGRAM EVALUATION OF WRAPAROUND SERVICES	HSA	\$99,000
MACIAS GINI & O'CONNELL LLP	AIRLINE CONCESSION AUDITS	AIR	\$278,100
REGENTS OF THE UNIVERSITY OF CALIFORNIA	BEHAVIORAL HEALTH TRAINING SERVICES	DPH	\$42,894

To: BOS-Supervisors
Subject: Delivery of Certificates of Participation (Port of San Francisco Projects) on October 17, 2013

From: Sesay, Nadia [mailto:nadia.sesay@sfgov.org]
Sent: Friday, October 18, 2013 3:15 PM
To: Sesay, Nadia
Subject: Delivery of Certificates of Participation (Port of San Francisco Projects) on October 17, 2013

On Thursday, October 17, 2013, the City sold competitively \$37.7 million of City and County of San Francisco Certificates of Participation, (Port of San Francisco Projects) consisting of \$4.83 million of Series 2013B (Non-AMT) and \$32.87 million of Series 2013C (AMT) (the "Certificates") to partially finance costs of various Port Commission facilities, including James R. Herman Cruise Terminal at Pier 27 (the "Project"). The Certificates were approved by the Board of Supervisors and the Mayor in April 2012. The City's commercial paper program approved by the Board and Mayor in 2010, provided interim financing for Project expenditures pending the sale of the Certificates.

The City received 6 bids and Hutchinson, Shockey, Erley & Co. was the winning bidder at 4.97% true interest cost (the "TIC") for the Series 2013B (Non-AMT). The City also received 5 bids and Wells Fargo Bank, N.A. was the winning bidder at 4.62% TIC for the Series 2013C (AMT). This resulted in a combined TIC of 4.68%. The Certificates have a final maturity of 2043.

The proceeds will also fund a reserve fund and pay cost of issuance. We expect to close this transaction on or around October 31, 2013.

Nadia Sesay
Director, Office of Public Finance
Controller's Office
City & County of San Francisco
Phone: 415.554.5956
Email: nadia.sesay@sfgov.org
www.sfgov.org/opf

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MEMORANDUM

TO: Civil Grand Jury

FROM: Ben Rosenfield, Controller *BR*
Peg Stevenson, Performance Director *Peg Stevenson*

DATE: September 3, 2013

SUBJECT: Response to the Report "You Can Only Manage What You Measure"

The Controller's Office has reviewed the report, "Auditing the City Services Auditor: You Can Only Manage What You Measure." We appreciate and share the Civil Grand Jury's belief in the importance of performance measurement and benchmarking as tools in the effective management of public resources. The report provides helpful feedback in a number of areas within this broad field. We have attached our required responses to the report's findings and recommendations, and offer the following general observations:

- **Best Practice Cities.** The report highlights Portland, Oregon and Vancouver, Washington for recognition by the Governmental Accounting Standards Board (GASB) and the International City/County Manager Association (ICMA) for performance measurement. Please note that San Francisco is one of only five of the 20 largest U.S. cities be awarded the 2013 Certificate of Excellence for Performance Measurement by ICMA. San Francisco also received ICMA awards in 2009, 2010, and 2011.
- **Benchmarking.** We concur that regularizing our benchmarking work will add value for both the public and city leadership. We have worked during the past two years to grow our benchmark reporting of San Francisco versus comparable services in other jurisdictions, and have plans to complete reports on a quarterly basis in the year ahead. It should be noted that benchmarking is also performed in many of the technical assistance projects and audits we have performed during the last decade, a fact not mentioned in the report's narrower focus on our recent reporting.
- **Performance Reporting.** The report focuses heavily on our annual performance measure report as the suggested vehicle to improve public transparency and access to performance information. We do not believe that this single static report is the only platform available to increase the public's ability to gauge government efficiency. We have placed a major development emphasis during the past two years on improving

public access to key government financial and performance information, first through a bimonthly “Government Barometer” and then more recently in an interactive web tool, SFOpenBook, that allows a member of the public to search, browse, and download financial, budget, economic, and performance information. Improvements to these higher-use, self-navigational products during the year ahead will continue to improve public access into the efficiency and effectiveness of city government.

- **Performance Measurement.** We concur with the report’s assessment that a mixed set of departmental performance information – ranging from measurements of inputs, outputs, outcomes, efficiency, and service quality – provide the most balanced view of performance. We will continue to work with departments to revise performance data captured in our central system to improve this balance for selected departments – during this last year, for example, efficiency measures were added and updated for 16 departments.

Thank you for your review and thoughts regarding this important topic, and for the opportunity to respond to the report’s findings and recommendations.

Finding	Response	Explanation
<p>Finding 1. The absence of measures of inputs, outputs, cost-output, per capita cost and labor efficiency as required by Appendix F of the San Francisco Charter make it difficult for citizens to evaluate and comment on the level and funding of City services.</p>	<p>Agree in part</p>	<p>We agree that the City will benefit from more unit cost efficiency measures of the types being recommended by the CGJ. However, there are multiple sources of measures, data and reports that provide citizens with the ability to evaluate and comment on City services. San Francisco is among the best in class of municipalities providing such information, and has a robust transparency effort.</p>
<p>Finding 2. A 2010 data integrity audit of 10 departments in the APMR found that 40 percent of the reported performance measures in the 10 departments are inaccurate. Due to these inaccuracies the APMR cannot be considered a reliable report.</p>	<p>Disagree in part</p>	<p>We agree that data integrity and accuracy are important components of a performance measurement program. Data integrity reviews are a best-practice feature of performance measurement programs, as a means to improve reliability of measures over time. The data integrity audit referenced here was a follow up to our own measure validation program that tested a sample subset of measures in all city departments. The 40% proportion does not apply to the larger BPMS database or overall to the APMR and it is not correct to extrapolate that the APMR is therefore not reliable. In addition, we have now completed the follow up testing of all departments and all failing measures were revisited and an action plan developed to improve accuracy.</p>
<p>Finding 3. Performance measurement reports that lack inputs, outputs, cost-output and outcomes should not be supplemented with numerous customer service and satisfaction measures. Combining performance measures with non-performance measures reduces the utility of the report and is contrary to the intent of Appendix F.</p>	<p>Disagree in part</p>	<p>The Controller's Office has designed and used the BPMS system to allow departments to track many different types of measures. Measures can be quantitative, qualitative, milestones, customer-service related, etc. We have encouraged this type of use so that departments are not penalized when they make an effort to measure and track many types of functions. Overall we believe that this approach builds a larger and better performance measurement effort in the City. We do concur that a balanced set of performance measure types for each department provides the highest utility to both the public and City management.</p>
<p>Finding 4. Understaffing at the CSA might result in the CSA's inability to perform its mandated functions pursuant to Sec. F1.100(d)(9).</p>	<p>Agree in part</p>	<p>We agree that, as a result of conscious and financially-appropriate decisions made by the Controller's Office, Mayor, and Board of Supervisors through the annual budget process, staff vacancies have in some instances led to not performing all the work that would be desirable in the City's performance program. While we believe we are meeting Charter mandates, restoration of funding for staffing as the City's financial position has improved will allow the dedication of additional resources to the program. It should be noted that resources devoted to performance measurement work need to be balanced with all of the other mandates and projects that are performed by the Controller's Office and CSA in a given year.</p>
<p>Finding 5. There are several databases that are not fully utilized by the CSA to generate benchmarking reports that reflect industry standards outputs measures. Association databases like the ones utilized by the San Diego Independent Budget Analyst's report on recreation and parks and library departments are available. In addition ICMA maintains a benchmarking database of 18 government service areas.</p>	<p>Agree</p>	<p>There are many databases developed by professional associations, industry groups and municipalities that have high-value information. The CSA uses these in our benchmarking and technical assistance projects, and will continue to do so going forward.</p>

Finding	Response	Explanation
Finding 6. The City data provided in the street maintenance benchmarking report does not allow the reader to determine if the cities included in the report are comparable.	Disagree	CSA chose the cities included in the street maintenance benchmarking report because they were comparable to San Francisco in one or more important respect such as size, jurisdiction or the types of work performed. We did detailed research to make the comparisons "apples to apples" by including and excluding costs and comparing functions and programs that are fundamentally providing the same or largely similar services.
Finding 7. The per capita spending reported in the DPW street cleaning benchmarking report included spending on salaries, benefits, equipment maintenance, equipment replacement, and contracts. It is important that benchmarking reports isolate and report on cost-output and labor efficiency. The DPW benchmarking report lacked cost-output and labor efficiency measures.	Agree in part	We agree that benchmarking reports should strive to report on unit cost and efficiency measures and will endeavor to include more of such measures in future benchmarking reports.
Finding 8. If benchmarking information for all 48 City departments were prepared by the CSA, the discourse about the level and funding of City services would be enhanced. Benchmarking non-enterprise City departments would make an immediate contribution to the discussion of general fund spending levels.	Agree	We agree that benchmarking information for all City departments would improve the City's understanding and discourse about public services. We are working to do more benchmarking while balancing that work with all other demands on CSA time and resources.

Recommendation	Response	Explanation
R1.1 The CSA include department inputs measures in the APMR.	Already implemented and ongoing	Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Over 80 input measures are currently tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.
R1.2 The CSA include department per capita cost calculations in the APMR.	Requires further analysis	Per Capita Measures are not formally mandated or mentioned in Appendix F. As noted in the Grand Jury Report, "Reporting per capita costs for cities that may have different service levels (outputs) has the potential to be misleading." Similarly comparing departmental efficiency according to their per capita costs could be inaccurate when different types of services and costs are provided. We do believe, however, that per capita cost information, properly presented, can provide helpful information to both the public and City management, and have incorporated these high-level measures into our regular benchmarking reports. We will explore the incorporation of per capita cost calculations in other public reporting, potentially including the APMR, SFOpenBook, or other reporting formats.

Recommendation	Response	Explanation
<p>R1.3 The CSA include department outputs measures in the APMR.</p>	<p>Already Implemented and ongoing</p>	<p>Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Over 100 output measures are currently tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.</p>
<p>R1.4 The CSA report cost-output labor measures (cost per unit of output or the units of service provided per full time equivalent employee)</p>	<p>Already Implemented and ongoing</p>	<p>Continuous improvement in the use of performance data in the measurement and management of the City are key program goals. Each year, CSA works to bring additional input, output and unit cost measures into the APMR, with new measures added and removed each year. Currently, approximately 50 labor efficiency measures are tracked in the system. Further, it should be noted that the APMR is not the only tool used to provide this information to the public. During this past year, we also released an interactive website, SF OpenBook, that gives the public access to a large amount of the City's financial, economic, demographic, and performance information, with plans to expand and better integrate this data in the current and future fiscal years. We believe that, over time, this tool will become a better platform for providing performance, financial, and other information to the public than the APMR.</p>
<p>R2. The CSA continue to audit the accuracy of reported performance measures in the APMR to ensure an improved error rate that is acceptable to the Citizens' General Obligation Bond Oversight Committee</p>	<p>Already Implemented and ongoing</p>	<p>We concur that continuous work to ensure and improve the accuracy of department-reported performance measures is an important component of the program. The referenced audit of performance measures from the APMR was only a small sample of CSA's work to improve the validity and relevance of performance measures included in the APMR. CSA has since completed our validation effort, which has included review of data from all city departments. CSA has also completed a follow up re-examining all the measures which failed to meet data accuracy standards and setting out an action plan for improving performance measure validity and relevance, and plan to perform continued validation work in the year ahead.</p>
<p>R3. The CSA eliminate performance measures from department performance measurement reports that do not meet the GASB SEA qualitative characteristics (relevance, understandable, comparable) and are inconsistent with the legislative intent of Appendix F.</p>	<p>Will not be implemented, not warranted</p>	<p>CSA's performance programs includes updating department performance measure sets by working with every department to remove outdated and unused measures and to add new measures that more accurately reflect the work done by departments. The GASB SEA qualitative characteristics are a good starting point for the development of performance measures, but the GASB structure is not the only format that can be used for the development of performance measures. While CSA has the ability to influence department performance measure sets the departments are ultimately responsible for development of performance measures. In addition we believe that BPMS and other platforms should continue to be able to be used by departments to report a variety of types of measures.</p>

Recommendation	Response	Explanation
<p>R4.1 The CSA spend and staff to a level that will allow it to fulfill all of the requirements of Appendix F and remain within the dedicated source of revenue under Sec. F1.100(d)(9).</p>	<p>Already implemented and ongoing</p>	<p>As noted above, we believe that we are fulfilling all Charter mandates. At the same time, we are filling vacancies in FY14 and additional staff hours will be made available for the performance program.</p>
<p>R4.2 The Citizens' General Obligation Bond Oversight Committee monitor open positions and spending in the CSA to ensure the CSA has adequate staff and consultant resources to ensure that all of the requirements of Appendix F are being achieved.</p>	<p>Requires further analysis</p>	<p>In the July 2013 meeting of the CGOBOC this issue was discussed. CSA is willing and able to report on our staffing status in our normal quarterly reports to CGOBOC. These reports also contain status updates on CSA work and often comment on Appendix F requirements. CGOBOC can determine their satisfaction or what further information they would like to receive from CSA.</p>
<p>R5. The CSA utilize industry standard outputs measures when preparing benchmarking reports.</p>	<p>Already implemented</p>	<p>We have recently completed two additional benchmarking reports: Jail Population and Library Services and in each of these used industry standards and existing databases. Each benchmark report has been developed using research on industry standard measures. Benchmarks are subject to the availability and comparability of data. Benchmark reports tentatively planned for FY14 include parks and recreation, transportation, public safety, and financial and debt management, and industry outputs will be incorporated in these and future reports.</p>
<p>R6. CSA benchmarking reports provide data that enable the reader to determine that peer cities in the report are providing comparable services (outputs) to San Francisco.</p>	<p>Already implemented</p>	<p>We concur that comparability is an important consideration in benchmarking work. Appendix F, Section 101 states that CSA shall review benchmarks and conduct comparisons of agencies performing similar functions. In each of CSA's published benchmarking reports similar services are compared. Where exceptions were found clarifying context is also researched and included in the report. Both the Jail and Library services reports provided general descriptions of the similarities and differences of the comparison cities, with similar information provided in all future reports.</p>
<p>R7. Benchmarking reports prepared by the CSA report labor efficiency in the manner prescribed by Sec. F1.101(a)(2).</p>	<p>Already implemented and ongoing</p>	<p>We also believe that unit cost measures are important and have included them in our benchmarking efforts, as possible and appropriate - it is not feasible to always include specific types of measures due to the variation in industry benchmarks and the availability of data. The Street Maintenance report included expenditures per road repavement mile and expenditures per street tree. The Jail report included cost per jail day. The Library report included cost per borrower and program attendees per \$1000 in expenditures. Other efficiency measures included Number of Potholes "Repaired Yearly (In Thousands per Pothole Crew FTE)", "Street Trees Pruned Annually per Tree Maintenance FTE", and other measures. We will continue to work to include efficiency measures in future benchmarking and other reporting.</p>
<p>R8. The CSA benchmark the City's general fund departments prior to benchmarking the City's enterprise departments.</p>	<p>Will not be implemented, not warranted</p>	<p>While the majority of our recent and planned benchmarking reports have focused on General Fund operations, we believe that the funding source for a given service should be one consideration among many when setting benchmarking priorities. Benchmark reports planned for FY14 include parks and recreation, transportation, public safety, and financial and debt management, the majority of which are at least partially funded through the City's General Fund.</p>

Attachment A

Dept	Program	Goal	Performance Measure	FY12 Actual	FY13 Target (if available)	FY13 Projected	FY14 Target	FY15 Target
AAM	Asian Art Museum	Provide quality programs on Asian	City cost per visitor	\$ 34.15	\$ 28.48	\$ 22.77	\$ 32.86	\$ 34.28
ADP	Administration - Adult Probation	Maximize staff effectiveness	Probationers per Probation Officer	102	N/A	64	50	50
ADP	Administration - Adult Probation	Maximize staff effectiveness	Probation officer cost per active probationer	863.45	N/A	971.44	1000	1000
CON	Payroll & Personnel Services	Provide accurate, timely financial transactions	Cost per 1000 checks issued	\$110.00	\$110.00	\$110.00	\$110.00	\$110.00
DBI	Inspection Servi	Improve Code Enforcement Turnaround Time	Inspections per inspector/day (building)	11.4	11	11	11	11
DBI	Inspection Servi	Improve Code Enforcement Turnaround Time	Inspections per inspector/day (electrical)	13.3	11	11	11	11
DBI	Inspection Servi	Improve Code Enforcement Turnaround Time	Inspections per inspector/day (plumbing)	10.1	11	11	11	11
DEM	DEM Emergency Communication	Respond quickly to incoming calls	Calls handled per dispatcher FTE/hour	13	14	14	14	14
DPH	Laguna Honda	Improve health outcomes among San Francisco	Cost per patient per day at Laguna Honda	\$ 790	\$ 835	NA	\$ 876	\$ 920
DPW	BSES	Maintain cleanliness of City streets/sidewalks	Cost per curb mile mechanically swept (controlled routes)	\$ 69.06	\$ 73.40	\$ 73.40	\$ 73.40	\$ 73.40
DPW	BSSR	Maintain City streets in good repair	Cost per block paved by BSSR	\$ 26,853	\$ 23,021	\$ 23,021	\$ 23,021	\$ 23,022
FAM	Admissions	Provide quality art and educational experience to attract a large and diverse audience	City cost per visitor [All museums]	\$ 7.24	\$ 7.65	\$ 7.58	\$ 8.66	\$ 8.81
JUV	Log Cabin Ranch	Improve results for residents placed at Log Cabin Ranch	Cost per youth per day - Log Cabin Ranch	567	577	577	577	577
JUV	Juvenile Hall	Provide a safe and secure environment for staff and detainees	Cost per youth per day - Juvenile Hall	\$ 367	\$ 377	\$ 377	\$ 377	\$ 377
LIB	Systemwide	Meet citizens' needs in quantity and availability of library collections	Collection Expenditures per Number of Borrowers	\$ 22.41	N/A	\$ 22.54	\$ 23.65	\$ 25.79
LIB	Systemwide	Meet citizens' needs in quantity and availability of library collections	Expenditures per Number of Visits	\$ 12.76	N/A	\$ 12.82	\$ 13.31	\$ 13.44
LIB	Systemwide	Meet citizens' needs in quantity and availability of library collections	Expenditures per Circulation of physical & eMedia materials	\$ 8.15	N/A	\$ 8.26	\$ 8.42	\$ 8.60
MTA	Goal 3: Improve the environment and quality of life in San	Objective 3.4: Deliver services efficiently	Cost per revenue mile	\$ 26.82	N/A	\$ 27.89	\$ 29.01	\$ 30.17
MTA	Goal 3: Improve the environment and quality of life in San	Objective 3.4: Deliver services efficiently	Cost per boarding	\$ 2.93	N/A	\$ 3.05	\$ 3.17	\$ 3.30

Attachment A

Dept	Program	Goal	Performance Measure	FY12 Actual	FY13 Target (if available)	FY13 Projected	FY14 Target	FY15 Target
MTA	Goal 3: Improve the environment and quality of life in San	Objective 3.4: Deliver services efficiently	Farebox recovery ratio	31%	N/A	31%	30%	30%
PRT	Maintenance	Financial Stability - Improve utilization of maintenance resources	Maintenance cost per square foot of Port facilities	\$ 1.73	\$ 1.71	\$ 1.64	\$ 1.71	\$ 1.71
PRT	Real Estate & Management	Economic Impact - Achieve maximum revenue from leasing activities	Revenue per square foot of rentable space	4.7	4.6	4.7	4.7	4.7
PUC	Customer and Community	Invest in customers/communit	Cost per gallon of water	\$ 0.70	N/A	\$ 0.78	\$ 0.84	\$ 0.94
PUC	Customer and Community	Invest in customers/communit	Cost per gallon of wastewater	\$ 0.99	N/A	\$ 1.04	\$ 1.09	\$ 1.15
PUC	Customer and Community	Invest in customers/communit	Cost per Kilowatt hour of electricity	\$ 0.10	N/A	\$ 0.11	\$ 0.08	\$ 0.10
REC	Neighborhood and Citywide Services	Improve the quality of park maintenance and create safe, welcoming parks and facilities	Operating Investment Per Acre of San Francisco Parks Maintained (Excluding Golf and Natural Areas)	\$ 15,250	N/A	\$ 16,265	\$ 15,250	\$ 15,250
REC	Neighborhood and Citywide Services	Improve the quantity of park maintenance and create safe, welcoming parks	Number of Permits Issued Per RPD Permit Staff	4,687	N/A	4,605	4,687	4,687
SCI	Academy of Sciences	Ensure that visitors receive an excellent guest experience	City cost per visitor	\$ 1.96	\$ 1.98	\$ 1.98	\$ 2.01	\$ 1.94
SHF	Custody	Provide for the secure and safe detention of persons arrested or under court order	Average Daily Population Cost per day	\$ 150.49	N/A	\$ 174.70	\$ 168.73	\$ 175.44

OFFICE OF THE MAYOR
SAN FRANCISCO



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c: BOS-11
COB
CPAGE

EDWIN M. LEE
MAYOR

File 131015

RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2013 OCT 21 AM 9:13

October 18, 2013

Ms. Angela Calvillo
San Francisco Board of Supervisors
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, CA 94102

Dear Ms. Calvillo,

Our office, DEM, PUC and the Controller's office has determined that it is in the best interest of the City to continue the Local Emergency related to the Rim Fire for several months. The PUC is still assessing the extensive damage to City assets in the fire zone, and is seeking flexibility to rapidly hire contractors to repair damage before heavy snow arrives upcountry.

Under state law, the local emergency has to be renewed every 30 days by the Board of Supervisors, or else it expires. Based on City Attorney advice, DEM has asked us to seek a monthly recurring item on the BOS agenda to continue the emergency. We request that a monthly recurring item occur on the following days.

- October 22
- November 19
- December 17
- The next date in January, within 30 days of Dec. 17.

Sincerely,

Jason Elliott
Director of Legislative & Government Affairs
Office of Mayor Edwin M. Lee

cc: All Members, Board of Supervisors

5



EDWIN M. LEE
MAYOR

POLICE DEPARTMENT
CITY AND COUNTY OF SAN FRANCISCO
THOMAS J. CAHILL HALL OF JUSTICE
850 BRYANT STREET
SAN FRANCISCO, CALIFORNIA 94103-4603



GREGORY P. SUHR
CHIEF OF POLICE

August 14, 2013

The Honorable Cynthia Ming-mei Lee
Presiding Judge
Superior Court of California
County of San Francisco
400 McAllister Street, Room 008
San Francisco, CA 94102-4512

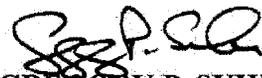
Dear Judge Lee:

I am pleased to offer the San Francisco Police Department's (SFPD) response to the 2012 – 2013 Civil Grand Jury report entitled "Golden Gate Park's Homeless Population – Are San Francisco's Policies Serving Us Well?" The SFPD's response to the report's findings and recommendations are set forth in the accompanying attachment.

The SFPD appreciates the work done by the Civil Grand Jury as it relates to the safety of our city's public. The SFPD continues to work in conjunction with City agencies, as well as private organization, to provide outreach services to those in need, including the homeless population. We are committed to continuing these partnerships and are always open to suggestions on how our efforts can be improved to better meet the needs of the public we serve.

I thank the 2012 - 2013 Civil Grand Jury for its efforts in improving San Francisco government, the public's safety, and the overall quality of life in our city. I am grateful for the opportunity for the SFPD to participate in this initiative.

Sincerely,


GREGORY P. SUHR
Chief of Police

/cf

Attachment

c: Martha M. Mangold, Foreperson, Civil Grand Jury
Government Audit Clerk, Office of the Clerk of the Board
Mayor's Office of Public Policy and Finance

**SAN FRANCISCO POLICE DEPARTMENT
RESPONSE TO THE CIVIL GRAND JURY REPORT**

Golden Gate Park's Homeless Population

Recommendations

#3 - The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.

SFPD Response: Partially disagree – limited implementation

The City (SFPD and Park Rangers) do not have a current tracking system of the individuals that are contacted and what services are offered and if they accept those services.

Since this tracking system is for the benefit for interaction with park dwellers with social services, the Department of Public Health currently has a system in place. CCMS, Coordinated Case Management System, which already has data imputed from DPH, Fire, Police, Jail Health Services, Direct Access and HOT in order to track the case management of their clients.

Although the police department would not be able to pull information from this data base because of HIPPA Rules, they could be afforded data entry rights. This will also give us a better understanding of the locations in Golden Gate Park that are most frequented by the homeless population and determine if the encampments are long or short term commitments.

#5 - The SFPD and Park Patrol should expand their outreach to GGP Encampments to more areas of the park and should vary their time.

SFPD Response: Partially disagree – Implemented; the time of outreach will only be varied when staffing allows and only within daylight hours.

The City (SFPD and Park Rangers) currently work together daily (4:00 am) and respond to targeted areas where the station captains receive complaints. Officers are aware of certain areas of the park that attract the homeless population and they also target those areas. The start is 4:00 am in order to locate those individuals who are camping/sleeping in the park.

In the past, SF HOT/Engagement Specialist Team had partnered with the police and park rangers to reach out to those individuals and offer services and shelter.

We should again partner with the HOT/EST for outreach in Golden Gate Park on a routine basis.

With limited number of officers and rangers and the size of Golden Gate Park, they literally run out of time in their quest to locate individuals and offer them services.

Recreation and Park divide the park into six service areas; the department will focus on all of those service areas when conducting outreach and enforcement. We believe for personal safety issues with regards to lighting, the outreach and enforcement times should continue at 4:00 am and not vary the time any sooner. The department could provide outreach during the evening hours, prior to darkness when all agencies' staffing is available.



Edwin M. Lee, Mayor
Philip A. Ginsburg, General Manager

September 19, 2013

The Honorable Cynthia Ming-mei Lee
Presiding Judge
Superior Court of California, County of San Francisco
400 McAllister Street
San Francisco, CA 94012

Re: 2012-2013 Civil Grand Jury report: "Golden Gate Park's Homeless Population: Are San Francisco's Policies Serving Us Well?"

Dear Judge Lee:

On behalf of the Recreation and Parks Department ("the Department") and the Recreation and Park Commission of the City and County of San Francisco, please accept this consolidated response to the above-referenced Grand Jury report's findings and recommendations.

FINDINGS

Finding 1. City agencies lack specific data on the characteristics of GGP dwellers, which prevents accurate profiling of individual problems and needs.

Response: *Agree in part, disagree in part.* The Recreation and Park Department is responsible for maintaining and stewarding public open spaces. The Department works with multiple city agencies to understand the general characteristics of GGP dwellers. On the whole, young, transient homeless are closer to the panhandle. Older, often military veteran, chronic homeless are on the west side of the park. Working cross-functionally with other City agencies, cross-departmental encounter data is available to the Department on many high-risk homeless individuals, including park dwellers, though additional information would be useful in planning for outreach, programs, and services.

Finding 2. With better information about GGP dwellers, their histories, and their needs, the City would be better able to move these individuals out of the Park, into a more stable situation.

Response: *Agree.*

Finding 3. Because the City does not track individual park dwellers and their interactions with social services, it is difficult to determine the efficiency and success of outreach efforts in reducing the park population.

Response: *Agree in part, disagree in part.* While individual park dwellers are not specifically tracked, to the extent they are high-utilizers of multiple City services, information on their service utilization is documented in CCMS. Golden Gate Park's homeless population has fallen over the last decade due to concerted outreach efforts. While there are still homeless encampments in the Park, this overall trend should be considered a success.

Finding 4. Outreach efforts to GGP encampments by EST are limited, which inhibits positive results.

Response: *Agree in part, disagree in part.* While EST outreach in GGP has occurred, it has not recently been routinely done or regularly scheduled. As the Grand Jury's report notes, EST assistance is available 24/7 if Department staff requests it. The Department will continue utilizing EST as a resource to connect the Park's homeless population to assistance and services.

Finding 5. The current system of issuing citations for nighttime sleeping and camping in the Park is not effective in reducing the current number of park dwellers.

Response: *Neither Agree nor Disagree.* As the Grand Jury's report notes, Golden Gate Park's homeless population has decreased significantly over the past decade. While their precise impact is unclear, some of this success may be attributable to the use of citations. It is imperative that the City provide the necessary resources to ensure that the citation process is effective.

Finding 6. Signs and information about the Park's closure time is inconsistent and confusing.

Response: *Agree.* Legislation currently pending before the Board of Supervisors will enable the Department to establish uniform hours of operation for Golden Gate Park and post clear information for the public. If the legislation passes the Department will work to quickly post signage.

Finding 7. Shopping carts facilitate moving personal items into the Park and setting up encampments.

Response: *Agree.*

Recommendation 1: The City should formalize a system to gather information on the characteristics of GGP dwellers and why they live in the Park.

Response: *Recommendation has already been implemented.* CCMS is a web-based database designed to function as an electronic charting, reporting, and communication tool for City teams working with homeless clients served across multiple systems of care. This system is used to

gather information on the homeless population as a whole and can be used to enter specific information on individuals in GGP.

Recommendation 2: Information about GGP dwellers should be used to tailor support services to specific populations, whose age and circumstances affect their needs and acceptance of services.

Response: *Recommendation will be implemented in the future.* With the additional information gleaned from dedicated EST outreach, support services could then be tailored to individual dwellers in the park.

Recommendation 3: The City should establish a system to track its outreach efforts among park dwellers and use the information to evaluate effectiveness in reducing the number of park dwellers.

Response: *Recommendation has been implemented.* Instead of establishing a new system to track outreach, CCMS will continue to be used to monitor service utilization by high-risk individuals accessing multiple City services. The information collected will be shared with the SFHOT, of which the Department is a partner, so that the individual's record is updated in CCMS and a support services response, including a further evaluation of the need for case management, can then be tailored to individual park dwellers and tracked over time.

Recommendation 4: The EST should conduct in-person, proactive outreach to park dwellers at different times of day and night in order to maximize their efforts.

Response: *Recommendation has been implemented.* EST policy has been changed to dedicate at least one outreach worker to conduct in-person, proactive outreach to GGP dwellers in tandem with SFPD and/or Rec & Park security. Additionally, the Mayor's HOPE Office will coordinate one SFHOT employee to attend the "Ops Park" monthly meeting with SFPD and Rec & Park staff to continually monitor the need for EST outreach at GGP.

Recommendation 5. The SFPD and Park Patrol should expand their outreach to GGP encampments to more areas of the Park and should vary the time.

Response: 1) SFPD and Park Patrol should expand their outreach to GGP encampments to more areas of the Park...: *This recommendation has been implemented.* The Department divides the park into six service areas and will continue to focus on all of these areas when conducting outreach and enforcement. 2) ...and should vary the time: *This recommendation requires further analysis.* As a matter of personal safety for park dwellers and Park Patrol staff alike, enforcement times should continue to be conducted when it can be done safely. The Department could provide outreach during the early evening hours in partnership with other City agencies.

Recommendation 6. References to the Park's closure time on all park signs, brochures and City websites should be made consistent with the Park Code and Rec & Park Commission resolutions.

Response: *This recommendation will be implemented in the future.* Legislation is currently pending before the Board of Supervisors. If it is passed, it will enable the Department to move

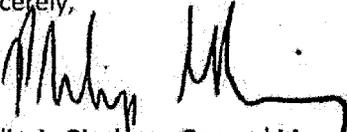
The Honorable Cynthia Ming-mei Lee, Presiding Judge
Superior Court of California, County of San Francisco
September 19, 2013
Page 4

quickly to post standardized signage, brochures, and electronic content about Golden Gate Park's hours.

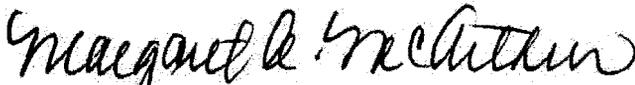
Recommendation 7. The San Francisco Park Code should ban shopping carts in GGP in order to discourage living in the Park and to reduce litter.

Response: *This recommendation has been implemented.* Current policy already does not allow shopping carts in the park. Amending the park code is unnecessary; SFPD has a standing order regarding shopping carts which is enforced in all City parks. In addition, Park Patrol removes all abandoned property, including shopping carts, from park premises.

Sincerely,



Philip A. Ginsburg, General Manager
San Francisco Recreation and Park Department



Margaret A. McArthur, Commission Liaison
San Francisco Recreation and Park Commission

BOS-11

Pages

From: Board of Supervisors
To: BOS-Supervisors
Subject: CCSF Investment Report for the month of September 2013
Attachments: CCSF Monthly Investment Report for 2013-Sep.pdf

From: Starr, Brian
Sent: Tuesday, October 15, 2013 2:57 PM
To: Starr, Brian
Cc: Rosenfield, Ben; Board of Supervisors; 'cynthia.fong@sfcta.org'; 'graziolij@sfusd.edu'; Kinard, Jessica; Cisneros, Jose; Durgy, Michelle; 'sfdocs@sfpl.info'; Lediju, Tonia; Rydstrom, Todd; Marx, Pauline; Torre, Rosanne; Morales, Richard
Subject: CCSF Investment Report for the month of September 2013

All,

Attached please find the CCSF Investment Report for the month of September 2013.

Thank you,

Brian Starr, CFA
Investment Analyst
Office of the Treasurer and Tax Collector
City and County of San Francisco
1 Dr. Carlton B. Goodlett Place
City Hall - Room 140
San Francisco, CA 94102
415-554-4487 (phone)
415-554-5660 (fax)

7



Pauline Marx, Chief Assistant Treasurer
Michelle Durgy, Chief Investment Officer

Investment Report for the month of September 2013

October 15, 2013

The Honorable Edwin M. Lee
Mayor of San Francisco
City Hall, Room 200
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4638

The Honorable Board of Supervisors
City and County of San Francisco
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4638

Ladies and Gentlemen,

In accordance with the provisions of California State Government Code Section 53646, we forward this report detailing the City's pooled fund portfolio as of September 30, 2013. These investments provide sufficient liquidity to meet expenditure requirements for the next six months and are in compliance with our statement of investment policy and California Code.

This correspondence and its attachments show the investment activity for the month of September 2013 for the portfolios under the Treasurer's management. All pricing and valuation data is obtained from Interactive Data Corporation.

CCSF Pooled Fund Investment Earnings Statistics *

<i>(in \$ million)</i>	Current Month		Prior Month	
	Fiscal YTD	September 2013	Fiscal YTD	August 2013
Average Daily Balance	\$ 6,068	\$ 5,942	\$ 6,129	\$ 6,185
Net Earnings	11.65	3.63	8.02	4.03
Earned Income Yield	0.76%	0.74%	0.77%	0.77%

CCSF Pooled Fund Statistics *

<i>(in \$ million)</i>	% of Portfolio	Book Value	Market Value	Wtd. Avg. Coupon	Wtd. Avg. YTM	WAM
Investment Type						
U.S. Treasuries	13.0%	\$ 760	\$ 763	1.12%	1.04%	1,091
Federal Agencies	67.0%	3,917	3,922	1.02%	0.91%	939
State & Local Government						
Agency Obligations	2.4%	145	142	2.81%	0.51%	376
Public Time Deposits	0.01%	1	1	0.48%	0.48%	171
Negotiable CDs	3.4%	200	200	0.34%	0.24%	232
Medium Term Notes	8.9%	527	523	1.54%	0.46%	382
Money Market Funds	5.1%	300	300	0.04%	0.04%	1
Totals	100.0%	\$ 5,849	\$ 5,851	1.05%	0.81%	823

In the remainder of this report, we provide additional information and analytics at the security-level and portfolio-level, as recommended by the California Debt and Investment Advisory Commission.

Very truly yours,

José Cisneros
Treasurer

cc: Treasury Oversight Committee: Joe Grazioli, Todd Rydstrom
Ben Rosenfield, Controller, Office of the Controller
Tonia Ledjju, Internal Audit, Office of the Controller
Cynthia Fong, Deputy Director for Finance & Administration, San Francisco County Transportation Authority
Jessica Bullen, Fiscal and Policy Analyst
San Francisco Public Library

* Please see last page of this report for non-pooled funds holdings and statistics.

Portfolio Summary Pooled Fund

As of September 30, 2013

<i>(in \$ million)</i> Security Type	Par Value	Book Value	Market Value	Market/Book Price	Current % Allocation	Max. Policy Allocation	Compliant?
U.S. Treasuries	\$ 760	\$ 760	\$ 763	100.36	13.04%	100%	Yes
Federal Agencies	3,905	3,917	3,922	100.13	67.02%	85%	Yes
State & Local Government							
Agency Obligations	140	145	142	98.20	2.43%	20%	Yes
Public Time Deposits	1	1	1	100.00	0.01%	100%	Yes
Negotiable CDs	200	200	200	100.02	3.42%	30%	Yes
Bankers Acceptances	-	-	-	-	0.00%	40%	Yes
Commercial Paper	-	-	-	-	0.00%	25%	Yes
Medium Term Notes	520	527	523	99.37	8.94%	15%	Yes
Repurchase Agreements	-	-	-	-	0.00%	100%	Yes
Reverse Repurchase/ Securities Lending Agreements	-	-	-	-	0.00%	\$75mm	Yes
Money Market Funds	300	300	300	-	5.13%	100%	Yes
LAIF	-	-	-	-	0.00%	\$50mm	Yes
TOTAL	\$ 5,825	\$ 5,849	\$ 5,851	100.03	100.00%	-	Yes

The City and County of San Francisco uses the following methodology to determine compliance: Compliance is pre-trade and calculated on both a par and market value basis, using the result with the lowest percentage of the overall portfolio value. Cash balances are included in the City's compliance calculations.

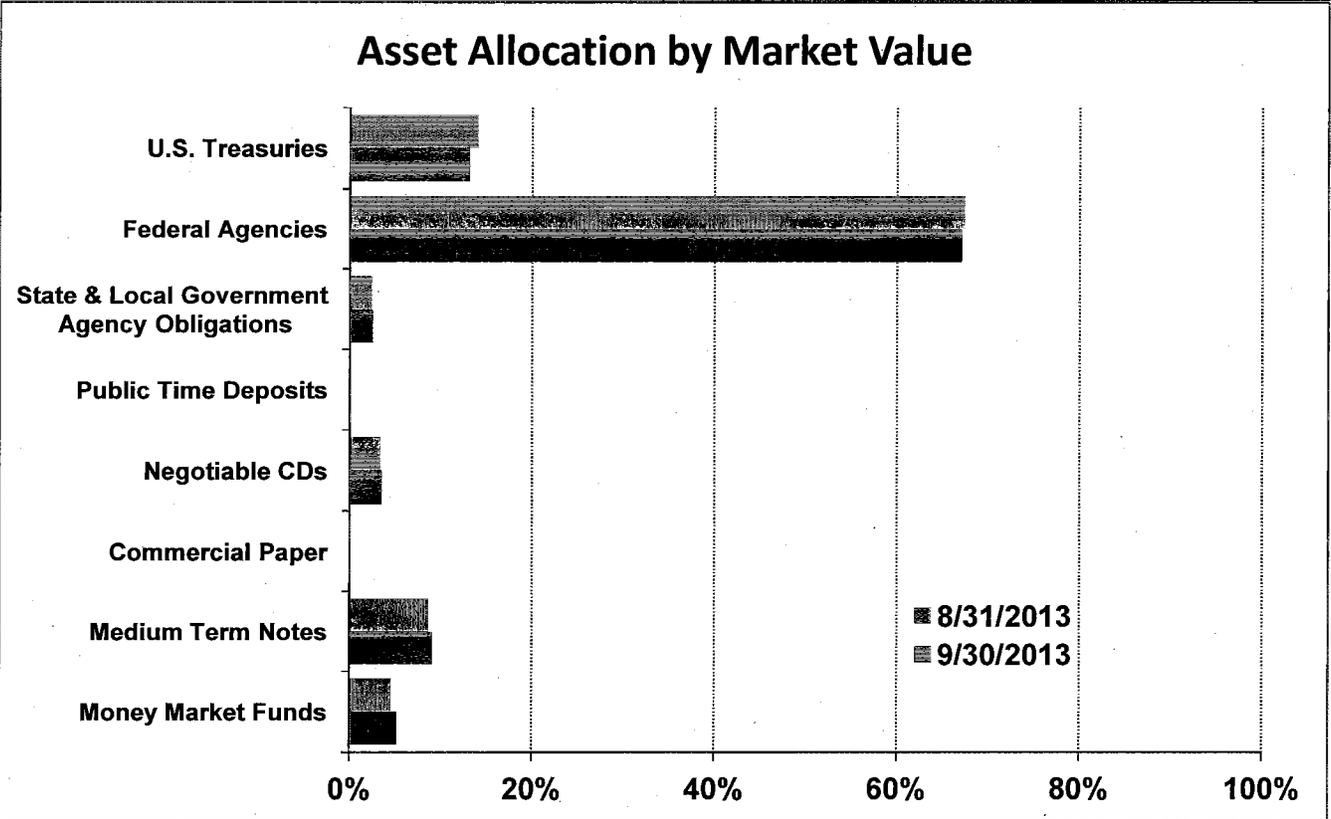
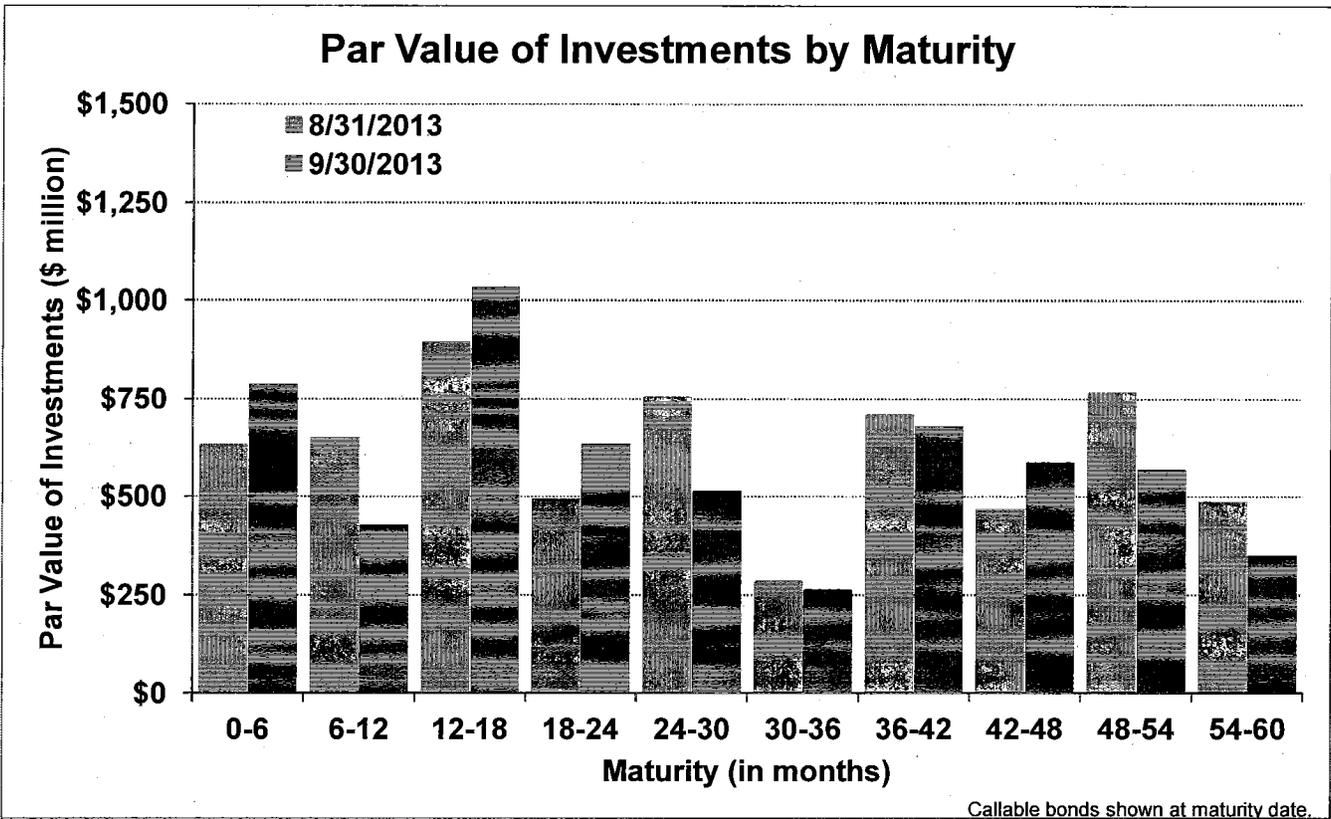
Please note the information in this report does not include cash balances. Due to fluctuations in the market value of the securities held in the Pooled Fund and changes in the City's cash position, the allocation limits may be exceeded on a post-trade compliance basis. In these instances, no compliance violation has occurred, as the policy limits were not exceeded prior to trade execution.

The full Investment Policy can be found at <http://www.sftreasurer.org/>, in the Reports & Plans section of the About menu.

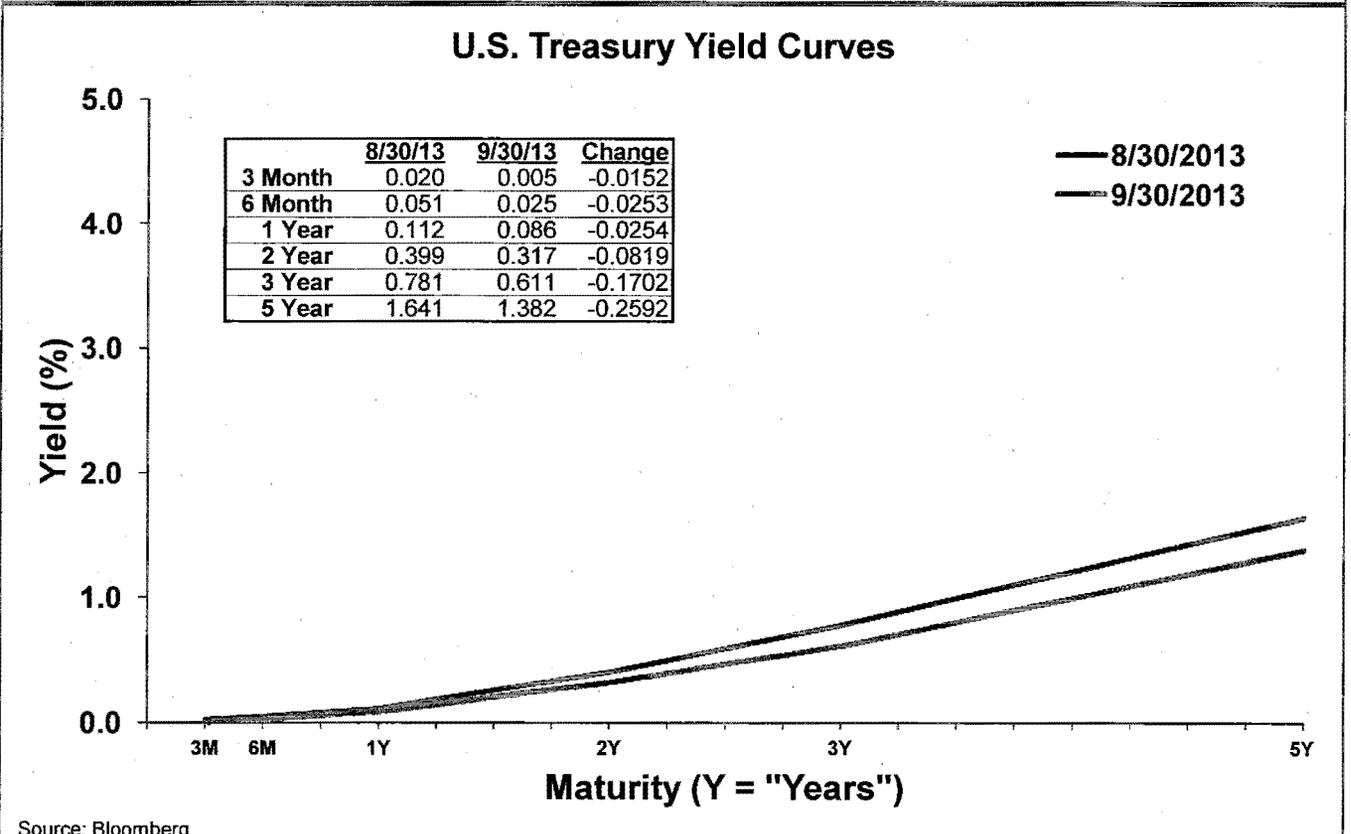
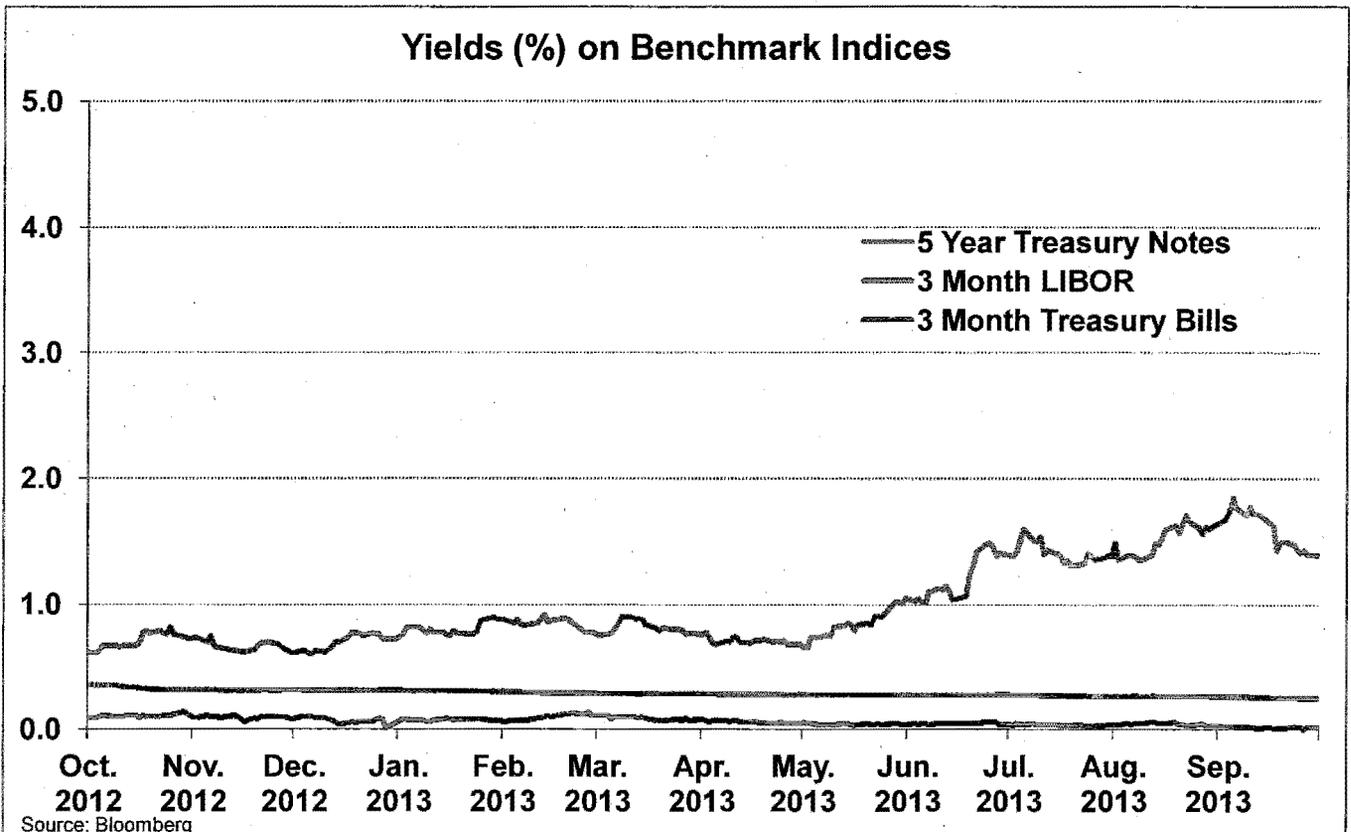
Totals may not add due to rounding.

Portfolio Analysis

Pooled Fund



Yield Curves



Investment Inventory

Pooled Fund

As of September 30, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
U.S. Treasuries	912828PQ7	US TSY NT	6/1/11	1/15/14	0.29	1.00	\$ 25,000,000	\$ 25,226,563	\$ 25,025,042	\$ 25,069,250
U.S. Treasuries	912828LC2	US TSY NT	6/1/11	7/31/14	0.83	2.63	25,000,000	26,382,813	25,362,450	25,522,500
U.S. Treasuries	912828MW7	US TSY NT	2/24/12	3/31/15	1.48	2.50	50,000,000	53,105,469	51,499,192	51,699,000
U.S. Treasuries	912828PE4	US TSY NT	12/23/11	10/31/15	2.05	1.25	25,000,000	25,609,375	25,328,924	25,463,000
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	2.13	1.38	50,000,000	49,519,531	49,790,293	51,070,500
U.S. Treasuries	912828PJ3	US TSY NT	12/16/10	11/30/15	2.13	1.38	50,000,000	49,519,531	49,790,293	51,070,500
U.S. Treasuries	912828PJ3	US TSY NT	12/23/10	11/30/15	2.13	1.38	50,000,000	48,539,063	49,359,878	51,070,500
U.S. Treasuries	912828RJ1	US TSY NT	10/11/11	9/30/16	2.96	1.00	75,000,000	74,830,078	74,897,542	75,773,250
U.S. Treasuries	912828SJ0	US TSY NT	3/14/12	2/28/17	3.37	0.88	100,000,000	99,695,313	99,790,485	100,148,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.37	0.88	25,000,000	24,599,609	24,723,608	25,037,000
U.S. Treasuries	912828SJ0	US TSY NT	3/21/12	2/28/17	3.37	0.88	25,000,000	24,599,609	24,723,608	25,037,000
U.S. Treasuries	912828SM3	US TSY NT	4/4/12	3/31/17	3.45	1.00	50,000,000	49,835,938	49,885,012	50,230,500
U.S. Treasuries	912828TM2	US TSY NT	9/17/12	8/31/17	3.87	0.63	60,000,000	59,807,813	59,848,077	59,067,000
U.S. Treasuries	912828TS9	US TSY NT	10/18/12	9/30/17	3.96	0.63	25,000,000	24,871,094	24,895,905	24,572,250
U.S. Treasuries	912828UE8	US TSY NT	1/4/13	12/31/17	4.18	0.75	50,000,000	49,886,719	49,903,506	49,152,500
U.S. Treasuries	912828UZ1	US TSY NT	5/24/13	4/30/18	4.51	0.63	75,000,000	74,128,227	74,193,324	72,902,250
Subtotals					2.95	1.32	\$ 760,000,000	\$ 760,156,743	\$ 759,017,139	\$ 762,886,000
Federal Agencies	31315PLT4	FARMER MAC	12/6/10	12/6/13	0.18	1.25	\$ 35,000,000	\$ 34,951,700	\$ 34,997,091	\$ 35,068,250
Federal Agencies	31331J6A6	FFCB	12/23/10	12/23/13	0.23	1.30	22,000,000	21,993,125	21,999,479	22,061,160
Federal Agencies	313371UC8	FHLB	11/18/10	12/27/13	0.24	0.88	40,000,000	39,928,000	39,994,481	40,076,000
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.24	25,000,000	24,985,000	24,997,892	25,015,000
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	3/4/11	3/4/14	0.01	0.24	25,000,000	24,992,500	24,998,946	25,015,000
Federal Agencies	313379RV3	FHLB FLT NT FF+12	6/11/12	3/11/14	0.00	0.20	50,000,000	49,986,700	49,996,644	50,031,000
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	11/10/10	3/21/14	0.47	1.35	24,500,000	24,564,827	24,500,000	24,646,020
Federal Agencies	31315PHX0	FARMER MAC MTN	4/10/12	6/5/14	0.67	3.15	14,080,000	14,878,195	14,330,832	14,349,350
Federal Agencies	3133XWE70	FHLB TAP	5/15/12	6/13/14	0.70	2.50	48,000,000	50,088,480	48,701,663	48,794,400
Federal Agencies	3133724E1	FHLB	12/31/10	6/30/14	0.75	1.21	50,000,000	50,000,000	50,000,000	50,399,500
Federal Agencies	3137EACU1	FHLMC BONDS	6/2/11	7/30/14	0.83	1.00	75,000,000	74,946,000	74,985,868	75,541,500
Federal Agencies	3134G2UA8	FHLMC NT	12/1/11	8/20/14	0.89	1.00	28,000,000	28,247,744	28,080,585	28,204,120
Federal Agencies	31398A3G5	FNMA EX-CALL NT	4/4/12	9/8/14	0.94	1.50	13,200,000	13,515,216	13,321,538	13,347,576
Federal Agencies	31315PRZ4	FARMER MAC MTN	4/9/13	10/1/14	1.00	0.24	18,000,000	17,997,249	17,998,415	18,012,960
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	12/12/11	11/21/14	0.00	0.47	26,500,000	26,523,585	26,509,127	26,612,890
Federal Agencies	31331J4S9	FFCB	12/16/10	12/8/14	1.18	1.40	24,000,000	23,988,000	23,996,424	24,337,680
Federal Agencies	31331J4S9	FFCB	12/8/10	12/8/14	1.18	1.40	19,000,000	18,956,680	18,987,161	19,267,330
Federal Agencies	313371W51	FHLB	12/8/10	12/12/14	1.19	1.25	75,000,000	74,391,000	74,818,339	75,831,000
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.18	2.75	25,400,000	26,848,308	25,827,642	26,176,732
Federal Agencies	3133XVNU1	FHLB	11/23/10	12/12/14	1.18	2.75	2,915,000	3,079,668	2,963,622	3,004,141
Federal Agencies	3133XVNU1	FHLB	12/8/10	12/12/14	1.18	2.75	50,000,000	52,674,000	50,797,637	51,529,000
Federal Agencies	313371W93	FHLB	12/15/10	12/15/14	1.20	1.34	75,000,000	75,000,000	75,000,000	76,007,250
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	12/15/11	12/15/14	0.00	0.43	75,000,000	75,000,000	75,000,000	75,296,250
Federal Agencies	3135G0GM9	FNMA CALL NT	12/23/11	12/23/14	1.22	0.83	25,000,000	25,040,000	25,004,542	25,030,250
Federal Agencies	3135G0GM9	FNMA GLOBAL CALL	3/28/13	12/23/14	1.22	0.83	10,000,000	10,042,700	10,013,126	10,012,100
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.23	1.72	27,175,000	27,157,065	27,169,427	27,669,585
Federal Agencies	31331J6Q1	FFCB	12/29/10	12/29/14	1.23	1.72	65,000,000	64,989,600	64,996,768	66,183,000
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	9/4/12	3/4/15	0.00	0.22	100,000,000	99,924,300	99,956,873	100,138,000
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	4/30/12	4/27/15	0.07	0.19	50,000,000	49,992,600	49,996,117	50,043,000
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	5/3/12	5/1/15	0.00	0.34	50,000,000	50,000,000	50,000,000	50,159,000
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	6/8/12	5/14/15	0.04	0.19	50,000,000	49,985,500	49,992,005	50,037,500

Investment Inventory Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	12/5/12	6/22/15	0.06	0.20	50,000,000	49,987,300	49,991,401	50,045,500
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	8/5/13	8/5/15	0.00	0.17	62,500,000	62,487,500	62,488,476	62,495,625
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	4/26/13	8/28/15	1.91	0.50	20,000,000	20,004,000	20,001,948	20,027,800
Federal Agencies	3137EACM9	FHLMC BONDS	12/15/10	9/10/15	1.92	1.75	50,000,000	49,050,000	49,610,665	51,318,500
Federal Agencies	313370JB5	FHLB	12/15/10	9/11/15	1.92	1.75	75,000,000	73,587,000	74,420,433	76,908,750
Federal Agencies	31315PGT0	FARMER MAC	9/15/10	9/15/15	1.93	2.13	45,000,000	44,914,950	44,966,744	46,475,550
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	4/16/13	9/18/15	0.00	0.18	50,000,000	50,000,000	50,000,000	50,011,500
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	4/24/13	9/18/15	0.00	0.18	16,200,000	16,198,073	16,198,424	16,203,726
Federal Agencies	31398A3T7	FNMA NT EX-CALL	10/14/11	9/21/15	1.95	2.00	25,000,000	25,881,000	25,441,113	25,783,250
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	11/30/12	9/22/15	0.06	0.20	27,953,000	27,941,120	27,944,652	27,979,276
Federal Agencies	31398A4M1	FNMA	12/15/10	10/26/15	2.03	1.63	25,000,000	24,317,500	24,709,861	25,611,500
Federal Agencies	31398A4M1	FNMA	12/23/10	10/26/15	2.03	1.63	42,000,000	40,924,380	41,540,671	43,027,320
Federal Agencies	3136G1LX5	FNMA NT CALL	5/15/13	11/13/15	2.11	0.32	24,610,000	24,610,000	24,610,000	24,554,874
Federal Agencies	31331J2S1	FFCB	12/15/10	11/16/15	2.09	1.50	25,000,000	24,186,981	24,648,913	25,574,250
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	5/8/13	11/19/15	0.05	0.18	25,000,000	24,997,000	24,997,474	25,008,000
Federal Agencies	313371ZY5	FHLB	12/3/10	12/11/15	2.15	1.88	25,000,000	24,982,000	24,992,139	25,790,000
Federal Agencies	313371ZY5	FHLB	12/14/10	12/11/15	2.15	1.88	50,000,000	49,871,500	49,943,539	51,580,000
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	5/20/13	2/10/16	0.03	0.18	50,000,000	49,987,000	49,988,749	50,006,500
Federal Agencies	313375RN9	FHLB NT	4/13/12	3/11/16	2.42	1.00	22,200,000	22,357,620	22,298,457	22,436,208
Federal Agencies	3133EAJU3	FFCB NT	4/12/12	3/28/16	2.47	1.05	25,000,000	25,220,750	25,138,770	25,317,000
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	4/1/13	4/1/16	0.00	0.18	50,000,000	50,000,000	50,000,000	50,000,000
Federal Agencies	313379Z21	FHLB NT	4/18/12	4/18/16	2.52	0.81	20,000,000	19,992,200	19,995,035	20,088,400
Federal Agencies	3135G0RZ8	FNMA CALL NT	11/30/12	5/26/16	2.64	0.55	22,540,000	22,540,000	22,540,000	22,443,754
Federal Agencies	313373ZN5	FHLB	6/8/11	6/8/16	0.00	2.03	35,000,000	35,000,000	35,000,000	36,257,550
Federal Agencies	31315PB73	FAMCA NT	2/9/12	6/9/16	2.66	0.90	10,000,000	10,000,000	10,000,000	10,095,600
Federal Agencies	313771AA5	FHLB SUB NT	5/20/13	6/13/16	2.52	5.63	16,925,000	19,472,890	19,168,053	19,052,811
Federal Agencies	313771AA5	FHLB SUB NT	5/30/13	6/13/16	2.52	5.63	14,195,000	16,259,095	16,028,511	15,979,595
Federal Agencies	31315PA25	FAMCA NT	7/27/11	7/27/16	2.75	2.00	15,000,000	14,934,750	14,963,214	15,508,350
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.75	2.00	14,100,000	14,735,205	14,636,720	14,577,849
Federal Agencies	31315PA25	FAMCA MTN	3/26/13	7/27/16	2.75	2.00	11,900,000	12,440,498	12,356,696	12,303,291
Federal Agencies	313383TP6	FHLB STEP NT	8/22/13	8/22/16	2.88	0.50	25,000,000	25,000,000	25,000,000	25,012,000
Federal Agencies	313370TW8	FHLB BD	10/11/11	9/9/16	2.87	2.00	25,000,000	25,727,400	25,435,224	25,926,750
Federal Agencies	3134G3P38	FHLMC NT CALL	12/14/12	10/5/16	2.98	0.75	75,000,000	75,071,250	75,000,966	74,961,750
Federal Agencies	313381GA7	FHLB NT	11/30/12	11/30/16	3.14	0.57	23,100,000	23,104,389	23,103,473	22,895,796
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.21	0.63	13,500,000	13,500,000	13,500,000	13,383,765
Federal Agencies	313381KR5	FHLB NT CALL	12/28/12	12/28/16	3.21	0.63	9,000,000	9,000,000	9,000,000	8,922,510
Federal Agencies	3136FTUZ0	FNMA CALL NT	12/30/11	12/30/16	3.18	1.40	50,000,000	49,975,000	49,983,771	50,125,500
Federal Agencies	3134G33C2	FHLMC NT	1/3/13	1/3/17	3.23	0.60	50,000,000	50,000,000	50,000,000	49,519,000
Federal Agencies	3133ECB37	FFCB NT	12/20/12	1/12/17	3.25	0.58	14,000,000	14,000,000	14,000,000	13,860,700
Federal Agencies	31315PWW5	FARMER MAC MTN	5/4/12	1/17/17	3.25	1.01	49,500,000	49,475,250	49,482,665	49,683,150
Federal Agencies	3136FTL31	FNMA STEP BD CALL	4/30/12	2/7/17	3.31	0.75	30,765,000	30,872,678	30,786,436	30,812,993
Federal Agencies	3133786Q9	FHLB NT	1/10/13	2/13/17	3.32	1.00	67,780,000	68,546,456	68,411,109	68,079,588
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.40	0.88	14,845,000	14,698,035	14,743,800	14,786,956
Federal Agencies	3133782N0	FHLB NT	3/12/12	3/10/17	3.40	0.88	55,660,000	55,157,087	55,313,696	55,442,369
Federal Agencies	31315PTQ2	FARMER MAC MTN	4/10/12	4/10/17	3.44	1.26	12,500,000	12,439,250	12,457,182	12,549,875
Federal Agencies	3133ECLL6	FFCB NT	4/17/13	4/17/17	3.51	0.60	10,000,000	10,000,000	10,000,000	9,852,600
Federal Agencies	3136G0CC3	FNMA STRNT	4/18/12	4/18/17	3.49	0.85	30,000,000	30,000,000	30,000,000	30,109,200
Federal Agencies	31315PUQ0	FARMER MAC MTN	4/26/12	4/26/17	3.50	1.13	10,500,000	10,500,000	10,500,000	10,506,300
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	5/9/12	5/9/17	3.58	0.50	25,000,000	25,000,000	25,000,000	24,977,500
Federal Agencies	3137EADF3	FHLMC NT	5/14/12	5/12/17	3.53	1.25	25,000,000	25,133,000	25,096,177	25,170,500

Investment Inventory

Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	6/11/12	5/23/17	3.59	0.85	50,000,000	50,290,500	50,095,608	50,121,500
Federal Agencies	31315PZQ5	FARMER MAC MTN	12/28/12	6/5/17	3.61	1.11	9,000,000	9,122,130	9,101,247	8,997,660
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	6/19/12	6/19/17	0.00	0.30	50,000,000	50,000,000	50,000,000	50,086,500
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	7/24/13	7/24/17	0.07	0.22	50,000,000	50,000,000	50,000,000	50,023,000
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	8/5/13	7/26/17	0.07	0.26	23,520,000	23,521,554	23,521,554	23,482,838
Federal Agencies	3136G0ZA2	FNMA STEP NT	9/12/12	9/12/17	3.90	0.75	15,000,000	15,000,000	15,000,000	14,939,850
Federal Agencies	3136G0B59	FNMA STEP NT	9/20/12	9/20/17	3.92	0.70	64,750,000	64,750,000	64,750,000	64,402,940
Federal Agencies	3136G0D81	FNMA STEP NT	9/27/12	9/27/17	3.94	0.72	100,000,000	100,000,000	100,000,000	99,370,000
Federal Agencies	3136G0Y39	FNMA STEP NT	11/8/12	11/8/17	4.05	0.63	50,000,000	50,000,000	50,000,000	49,207,000
Federal Agencies	3134G44F2	FHLMC CALL MTN	5/21/13	11/21/17	4.07	0.80	50,000,000	50,000,000	50,000,000	48,853,500
Federal Agencies	3135G0RT2	FNMA NT	1/10/13	12/20/17	4.15	0.88	50,000,000	49,917,500	49,929,566	49,121,500
Federal Agencies	3135G0RT2	FNMA GLOBAL	1/29/13	12/20/17	4.14	0.88	100,000,000	99,290,740	99,388,035	98,243,000
Federal Agencies	3136G13T4	FNMA STEP NT	12/26/12	12/26/17	4.17	0.75	39,000,000	39,000,000	39,000,000	38,752,740
Federal Agencies	3136G13Q0	FNMA STEP NT	12/26/12	12/26/17	4.17	0.75	29,000,000	29,000,000	29,000,000	28,862,830
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.13	1.25	33,600,000	33,991,272	33,791,702	33,394,032
Federal Agencies	3134G32W9	FHLMC MTN CALL	12/26/12	12/26/17	4.13	1.25	50,000,000	50,605,000	50,296,417	49,693,500
Federal Agencies	3134G32M1	FHLMC CALL NT	12/28/12	12/28/17	4.16	1.00	50,000,000	50,000,000	50,000,000	48,932,000
Federal Agencies	3136G1FK0	FNMA NT CALL	3/13/13	3/13/18	4.31	1.60	21,500,000	21,744,240	21,609,072	21,596,105
Federal Agencies	3136G1GG8	FNMA NT CALL	3/19/13	3/19/18	4.34	1.50	17,900,000	18,079,000	17,982,879	17,892,840
Federal Agencies	3136G1J67	FNMA NT CALL	4/9/13	4/9/18	4.36	1.50	25,000,000	25,249,000	25,129,616	24,843,000
Federal Agencies	3136G1KN8	FNMA NT CALL	4/24/13	4/24/18	4.40	1.50	50,000,000	50,903,000	50,705,082	49,918,000
Federal Agencies	3136G1KB1	FNMA NT STEP	4/30/13	4/30/18	4.50	0.75	12,600,000	12,600,000	12,600,000	12,383,784
Federal Agencies	31315PZM4	FARMER MAC STEP NT	5/3/13	5/3/18	4.51	0.70	24,600,000	24,600,000	24,600,000	24,248,958
Federal Agencies	313382XK4	FHLB STEP NT	5/7/13	5/7/18	4.55	0.50	25,000,000	25,000,000	25,000,000	24,643,000
Federal Agencies	3133ECPB4	FFCB NT	5/23/13	5/14/18	4.53	0.88	10,000,000	9,936,788	9,941,503	9,736,000
Federal Agencies	313383AS0	FHLB NT CALL	5/21/13	5/21/18	4.49	1.40	50,000,000	50,374,000	50,346,759	49,690,500
Federal Agencies	3135G0WJ8	FNMA NT	5/23/13	5/21/18	4.54	0.88	25,000,000	24,787,715	24,803,049	24,288,500
Federal Agencies	3133834P3	FHLB STEP NT	5/22/13	5/22/18	4.59	0.50	50,000,000	50,000,000	50,000,000	49,057,000
Subtotals					2.08	1.02	\$ 3,904,613,000	\$ 3,916,610,287	\$ 3,911,969,493	\$ 3,921,719,763
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	3/29/12	3/15/14	0.45	2.61	\$ 15,000,000	\$ 15,606,300	\$ 15,139,720	\$ 15,139,050
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.45	2.61	11,115,000	11,542,594	11,224,385	11,218,036
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	6/8/12	3/15/14	0.45	2.61	8,150,000	8,463,531	8,230,205	8,225,551
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	4/29/13	3/15/14	0.45	2.61	2,000,000	2,040,000	2,020,625	2,018,540
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/2/12	4/1/14	0.49	5.25	2,820,000	3,044,359	2,878,417	2,885,932
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	4/8/13	4/1/14	0.49	5.25	10,000,000	10,479,208	10,248,639	10,233,800
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	5/3/13	4/1/14	0.49	5.25	7,270,000	7,624,897	7,479,352	7,439,973
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7/29/13	4/1/14	0.49	5.25	1,250,000	1,310,860	1,300,623	1,279,225
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	8/22/13	5/28/14	0.66	2.00	27,000,000	27,368,820	27,315,943	27,322,920
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	7/24/12	8/1/14	0.83	0.75	1,125,000	1,125,000	1,125,000	1,124,539
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	5/7/13	8/1/14	0.84	0.43	310,000	310,000	310,000	309,947
State/Local Agencies	64966DPC7	NEW YORK CITY GO	6/7/12	11/1/14	1.05	4.75	8,000,000	8,774,720	8,349,817	8,313,680
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/15	1.33	0.85	10,000,000	10,038,000	10,027,432	10,006,600
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	3/21/13	3/1/15	1.42	0.39	4,620,000	4,619,076	4,619,328	4,611,545
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BO	3/14/13	5/15/15	1.62	0.39	5,000,000	5,000,000	5,000,000	4,965,700
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	5/7/13	8/1/15	1.83	0.63	315,000	315,000	315,000	314,836
State/Local Agencies	64966GX56	NEW YORK CITY TAXABLE GO	4/1/13	12/1/15	2.06	5.13	12,255,000	13,700,477	13,428,894	13,397,901
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	3/27/13	2/1/16	2.31	1.05	11,000,000	11,037,180	11,030,465	10,997,470
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	5/7/13	8/1/16	2.80	0.98	2,670,000	2,670,000	2,670,000	2,658,866
Subtotals					11.01	2.81	\$ 139,900,000	\$ 146,070,023	\$ 142,713,844	\$ 142,464,111

Investment Inventory Pooled Fund

Type of Investment	CUSIP	Issue Name	Settle Date	Maturity Date	Duration	Coupon	Par Value	Book Value	Amortized Book Value	Market Value
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P*	2/7/13	2/7/14	0.35	0.49	\$ 240,000	\$ 240,000	\$ 240,000	\$ 240,000
Public Time Deposits		BANK OF SAN FRANCISCO PTD	4/9/13	4/9/14	0.52	0.47	240,000	240,000	240,000	240,000
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PTI	4/9/13	4/9/14	0.52	0.48	240,000	240,000	240,000	240,000
Subtotals					0.47	0.48	\$ 720,000	\$ 720,000	\$ 720,000	\$ 720,000
Negotiable CDs	96121TQW1	WESTPAC NY FLT YCD 1ML+14	3/25/13	11/21/13	0.06	0.32	\$ 50,000,000	\$ 50,033,502	\$ 50,007,090	\$ 50,018,000
Negotiable CDs	78009NMC7	RBC YCD FF+22	3/26/13	3/26/14	0.00	0.30	75,000,000	75,000,000	75,000,000	75,058,969
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	6/24/13	6/24/14	0.07	0.29	25,000,000	25,000,000	25,000,000	25,005,464
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	7/17/13	1/20/15	0.05	0.45	50,000,000	50,000,000	50,000,000	50,000,000
Subtotals					0.04	0.34	\$ 200,000,000	\$ 200,033,502	\$ 200,007,090	\$ 200,082,432
Medium Term Notes	78008KNA7	RBC MTN	1/30/13	1/15/14	0.29	1.13	\$ 30,580,000	\$ 30,820,022	\$ 30,652,693	\$ 30,664,095
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	3/13/13	1/15/14	0.29	5.38	12,345,000	12,864,725	12,523,866	12,520,299
Medium Term Notes	46623EJE0	JPMORGAN CHASE MTN	3/1/13	1/24/14	0.32	2.05	32,755,000	33,245,310	32,926,385	32,928,929
Medium Term Notes	46623EJE0	JP MORGAN CHASE MTN	3/13/13	1/24/14	0.32	2.05	2,050,000	2,080,094	2,060,917	2,060,886
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.58	3.63	6,500,000	6,720,350	6,626,255	6,622,200
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	4/26/13	5/1/14	0.58	3.63	5,000,000	5,169,500	5,097,119	5,094,000
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	5/2/13	5/2/14	0.21	1.00	27,475,000	27,669,221	27,588,340	27,580,229
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	8/2/13	5/2/14	0.21	1.00	20,000,000	20,106,250	20,082,898	20,076,600
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	4/9/13	6/9/14	0.68	5.65	25,000,000	26,515,000	25,892,641	25,923,750
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	11/13/12	6/10/14	0.68	5.13	10,000,000	10,725,948	10,318,709	10,320,300
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3/27/13	7/30/14	0.08	0.27	3,000,000	3,000,630	3,000,388	3,000,600
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	8/7/13	11/14/14	1.10	3.75	2,920,000	3,064,586	3,050,440	3,028,624
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	1/28/13	12/5/14	0.18	0.43	10,000,000	10,004,700	10,002,990	10,015,100
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	1/10/13	1/9/15	0.02	0.65	25,000,000	25,000,000	25,000,000	25,063,500
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	7/12/13	1/9/15	1.26	2.15	87,824,000	89,633,101	89,367,052	89,646,348
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	8/7/13	1/9/15	1.26	2.15	4,820,000	4,934,727	4,923,445	4,920,015
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	1/22/13	1/22/15	1.31	0.50	100,000,000	100,000,000	100,000,000	99,014,000
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	1/23/13	1/23/15	0.06	0.43	35,000,000	35,000,000	35,000,000	35,055,650
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	2/4/13	2/4/15	1.34	0.50	25,000,000	25,000,000	25,000,000	24,709,750
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3MI	4/12/13	4/8/15	0.02	0.42	50,000,000	50,000,000	50,000,000	49,985,500
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	8/19/13	7/2/15	1.73	1.63	5,000,000	5,085,858	5,081,113	5,073,650
Subtotals					0.70	1.64	\$ 520,269,000	\$ 526,640,021	\$ 524,195,281	\$ 523,304,025
Money Market Funds	61747C707	MS INSTL GOVT FUND	12/31/12	7/1/14	0.00	0.04	\$ 250,056,338	\$ 250,056,338	\$ 250,056,338	\$ 250,056,338
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	1/15/13	7/1/14	0.00	0.01	25,000,000	25,000,000	25,000,000	25,000,000
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	6/20/13	7/1/14	0.00	0.01	25,001,151	25,001,151	25,001,151	25,001,151
Subtotals					0.00	0.04	\$ 300,057,489	\$ 300,057,489	\$ 300,057,489	\$ 300,057,489
Grand Totals					1.87	1.06	\$ 5,825,459,489	\$ 5,849,188,064	\$ 5,838,670,305	\$ 5,851,232,809

Monthly Investment Earnings

Pooled Fund

For month ended September 30, 2013

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
U.S. Treasuries	912828PQ7	US TSY NT	\$ 25,000,000	1.00	0.65	6/1/11	1/15/14	\$ 20,380	\$ (7,087)	\$ -	\$ 13,293
U.S. Treasuries	912828LC2	US TSY NT	25,000,000	2.63	0.85	6/1/11	7/31/14	53,499	(35,886)	-	17,613
U.S. Treasuries	912828MW7	US TSY NT	50,000,000	2.50	0.48	2/24/12	3/31/15	102,478	(82,373)	-	20,105
U.S. Treasuries	912828PE4	US TSY NT	25,000,000	1.25	0.61	12/23/11	10/31/15	25,476	(12,984)	-	12,492
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,352	7,964	-	64,316
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	1.58	12/16/10	11/30/15	56,352	7,964	-	64,316
U.S. Treasuries	912828PJ3	US TSY NT	50,000,000	1.38	2.00	12/23/10	11/30/15	56,352	24,308	-	80,661
U.S. Treasuries	912828RJ1	US TSY NT	75,000,000	1.00	1.05	10/11/11	9/30/16	61,487	2,807	-	64,294
U.S. Treasuries	912828SJ0	US TSY NT	100,000,000	0.88	0.94	3/14/12	2/28/17	72,514	5,045	-	77,558
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,128	6,655	-	24,783
U.S. Treasuries	912828SJ0	US TSY NT	25,000,000	0.88	1.21	3/21/12	2/28/17	18,128	6,655	-	24,783
U.S. Treasuries	912828SM3	US TSY NT	50,000,000	1.00	1.07	4/4/12	3/31/17	40,991	2,701	-	43,692
U.S. Treasuries	912828TM2	US TSY NT	60,000,000	0.63	0.69	9/17/12	8/31/17	31,077	3,187	-	34,265
U.S. Treasuries	912828TS9	US TSY NT	25,000,000	0.63	0.73	10/18/12	9/30/17	12,810	2,139	-	14,949
U.S. Treasuries	912828UE8	US TSY NT	50,000,000	0.75	0.80	1/4/13	12/31/17	30,571	1,865	-	32,436
U.S. Treasuries	912828UZ1	US TSY NT	-	0.63	0.81	5/13/13	4/30/18	24,626	(27,503)	(988,281)	(991,158)
U.S. Treasuries	912828UZ1	US TSY NT	75,000,000	0.63	0.87	5/24/13	4/30/18	38,213	15,022	-	53,236
U.S. Treasuries	912828UZ1	US TSY NT	-	0.63	0.98	5/29/13	4/30/18	24,626	(44,399)	(597,656)	(617,429)
Subtotals			\$ 760,000,000					\$ 744,062	\$ (123,921)	\$ (1,585,938)	\$ (956,797)
Federal Agencies	3134G2B50	FHLMC FRN FF+23	\$ -	0.31	0.76	9/1/11	9/3/13	\$ 871	\$ 56	\$ -	\$ 927
Federal Agencies	313380NQ6	FHLB FLT NT FF+5	-	0.13	0.02	12/4/12	9/6/13	930	(104)	-	826
Federal Agencies	31315PLT4	FARMER MAC	35,000,000	1.25	1.30	12/6/10	12/6/13	36,458	1,322	-	37,780
Federal Agencies	31331J6A6	FFCB	22,000,000	1.30	1.31	12/23/10	12/23/13	23,833	188	-	24,022
Federal Agencies	313371UC8	FHLB	40,000,000	0.88	0.93	11/18/10	12/27/13	29,167	1,903	-	31,070
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.24	0.36	3/4/11	3/4/14	4,881	411	-	5,291
Federal Agencies	3135G0AZ6	FNMA FRN QTR T-BILL+21	25,000,000	0.24	0.30	3/4/11	3/4/14	4,881	205	-	5,086
Federal Agencies	313379RV3	FHLB FLT NT FF+12	50,000,000	0.20	0.25	6/11/12	3/11/14	8,208	625	-	8,834
Federal Agencies	31398A3R1	FNMA AMORT TO CALL	24,500,000	1.35	1.27	11/10/10	3/21/14	27,563	-	-	27,563
Federal Agencies	31315PHX0	FARMER MAC MTN	14,080,000	3.15	0.50	4/10/12	6/5/14	36,960	(30,465)	-	6,495
Federal Agencies	3133XWE70	FHLB TAP	48,000,000	2.50	0.40	5/15/12	6/13/14	100,000	(82,549)	-	17,451
Federal Agencies	31337240	FHLB	50,000,000	1.21	1.21	12/31/10	6/30/14	50,417	-	-	50,417
Federal Agencies	3137EACU1	FHLMC BONDS	75,000,000	1.00	1.02	6/2/11	7/30/14	62,500	1,404	-	63,904
Federal Agencies	3134G2UA8	FHLMC NT	28,000,000	1.00	0.67	12/1/11	8/20/14	23,333	(7,485)	-	15,849
Federal Agencies	31398A3G5	FNMA EX-CALL NT	13,200,000	1.50	0.51	4/4/12	9/8/14	16,500	(10,661)	-	5,839
Federal Agencies	31315PRZ4	FARMER MAC MTN	18,000,000	0.24	0.26	4/9/13	10/1/14	3,638	200	-	3,838
Federal Agencies	3136FTRF8	FNMA FLT QTR FF+39	26,500,000	0.47	0.40	12/12/11	11/21/14	10,394	(658)	-	9,736
Federal Agencies	31331J4S9	FFCB	24,000,000	1.40	1.41	12/16/10	12/8/14	28,000	248	-	28,248
Federal Agencies	31331J4S9	FFCB	19,000,000	1.40	1.46	12/8/10	12/8/14	22,167	890	-	23,056
Federal Agencies	313371W51	FHLB	75,000,000	1.25	1.46	12/8/10	12/12/14	78,125	12,471	-	90,596
Federal Agencies	3133XVNU1	FHLB	25,400,000	2.75	1.30	11/23/10	12/12/14	58,208	(29,358)	-	28,851
Federal Agencies	3133XVNU1	FHLB	2,915,000	2.75	1.31	11/23/10	12/12/14	6,680	(3,338)	-	3,342
Federal Agencies	3133XVNU1	FHLB	50,000,000	2.75	1.37	12/8/10	12/12/14	114,583	(54,758)	-	59,826
Federal Agencies	313371W93	FHLB	75,000,000	1.34	1.34	12/15/10	12/15/14	83,750	-	-	83,750
Federal Agencies	3136FTVN6	FNMA FLT QTR FF+35	75,000,000	0.43	0.43	12/15/11	12/15/14	28,720	-	-	28,720
Federal Agencies	3135G0GM9	FNMA CALL NT	25,000,000	0.83	0.77	12/23/11	12/23/14	17,188	(1,642)	-	15,546
Federal Agencies	3135G0GM9	FNMA GLOBAL CALL	10,000,000	0.83	0.58	3/28/13	12/23/14	6,875	(4,744)	-	2,131
Federal Agencies	31331J6Q1	FFCB	27,175,000	1.72	1.74	12/29/10	12/29/14	38,951	368	-	39,319
Federal Agencies	31331J6Q1	FFCB	65,000,000	1.72	1.72	12/29/10	12/29/14	93,167	214	-	93,380
Federal Agencies	3133EAQ35	FFCB FLT NT FF+14	100,000,000	0.22	0.27	9/4/12	3/4/15	18,507	2,493	-	21,000

Monthly Investment Earnings Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned/Net Earnings
Federal Agencies	3133EAJP4	FFCB FLT NT 1ML+1.5	50,000,000	0.19	0.20	4/30/12	4/27/15	8,266	203	-	8,470
Federal Agencies	31315PWJ4	FARMER MAC FLT NT FF+26	50,000,000	0.34	0.34	5/3/12	5/1/15	14,236	-	-	14,236
Federal Agencies	3133EAQC5	FFCB FLT NT 1ML+1	50,000,000	0.19	0.21	6/8/12	5/14/15	8,053	407	-	8,460
Federal Agencies	3133EAVE5	FFCB FLT NT 1ML+2	50,000,000	0.20	0.21	12/5/12	6/22/15	8,407	410	-	8,817
Federal Agencies	3133ECVW1	FFCB FLT NT T-BILL+14	62,500,000	0.17	0.18	8/5/13	8/5/15	8,494	514	-	9,008
Federal Agencies	31315PTR0	FARMER MAC MTN CALL	20,000,000	0.50	0.49	4/26/13	8/28/15	8,333	(390)	-	7,944
Federal Agencies	3137EACM9	FHLMC BONDS	50,000,000	1.75	2.17	12/15/10	9/10/15	72,917	16,474	-	89,391
Federal Agencies	313370JB5	FHLB	75,000,000	1.75	2.31	12/15/10	9/11/15	109,375	24,489	-	133,864
Federal Agencies	31315PGT0	FARMER MAC	45,000,000	2.13	2.17	9/15/10	9/15/15	79,688	1,397	-	81,085
Federal Agencies	3133ECJB1	FFCB FLT NT QTR TBILL+16	50,000,000	0.18	0.18	4/16/13	9/18/15	7,566	-	-	7,566
Federal Agencies	3133ECJB1	FFCB FLT NT QTR T-BILL+16	16,200,000	0.18	0.19	4/24/13	9/18/15	2,451	66	-	2,517
Federal Agencies	31398A3T7	FNMA NT EX-CALL	25,000,000	2.00	1.08	10/14/11	9/21/15	41,667	(18,380)	-	23,287
Federal Agencies	3133EAJF6	FFCB FLT NT 1ML+2.5	27,953,000	0.20	0.23	11/30/12	9/22/15	4,817	347	-	5,164
Federal Agencies	31398A4M1	FNMA	25,000,000	1.63	2.22	12/15/10	10/26/15	33,854	11,529	-	45,383
Federal Agencies	31398A4M1	FNMA	42,000,000	1.63	2.19	12/23/10	10/26/15	56,875	18,251	-	75,126
Federal Agencies	3136G1LX5	FNMA NT CALL	24,610,000	0.32	0.32	5/15/13	11/13/15	6,563	-	-	6,563
Federal Agencies	31331J2S1	FFCB	25,000,000	1.50	2.20	12/15/10	11/16/15	31,250	13,573	-	44,823
Federal Agencies	3133ECLZ5	FFCB FLT NT MONTHLY 1ML+0	25,000,000	0.18	0.19	5/8/13	11/19/15	3,805	97	-	3,902
Federal Agencies	313371ZY5	FHLB	25,000,000	1.88	1.89	12/3/10	12/11/15	39,063	294	-	39,357
Federal Agencies	313371ZY5	FHLB	50,000,000	1.88	1.93	12/14/10	12/11/15	78,125	2,115	-	80,240
Federal Agencies	3133ECP57	FFCB FLT NT 1ML+0	50,000,000	0.18	0.19	5/20/13	2/10/16	7,381	392	-	7,773
Federal Agencies	313375RN9	FHLB NT	22,200,000	1.00	0.82	4/13/12	3/11/16	18,500	(3,311)	-	15,189
Federal Agencies	3133EAJU3	FFCB NT	25,000,000	1.05	0.82	4/12/12	3/28/16	21,875	(4,580)	-	17,295
Federal Agencies	31315PTF6	FAMCA FLT MTN 1ML+0	50,000,000	0.18	0.18	4/1/13	4/1/16	7,540	-	-	7,540
Federal Agencies	313379221	FHLB NT	20,000,000	0.81	0.82	4/18/12	4/18/16	13,500	160	-	13,660
Federal Agencies	3135G0RZ8	FNMA CALL NT	22,540,000	0.55	0.55	11/30/12	5/26/16	10,331	-	-	10,331
Federal Agencies	313373ZN5	FHLB	35,000,000	2.03	2.03	6/6/11	6/6/16	59,208	-	-	59,208
Federal Agencies	31315PB73	FAMCA NT	10,000,000	0.90	0.90	2/9/12	6/9/16	7,500	-	-	7,500
Federal Agencies	313771AA5	FHLB SUB NT	16,925,000	5.63	0.65	5/20/13	6/13/16	79,336	(68,247)	-	11,089
Federal Agencies	313771AA5	FHLB SUB NT	14,195,000	5.63	0.77	5/30/13	6/13/16	66,539	(55,786)	-	10,753
Federal Agencies	31315PA25	FAMCA NT	15,000,000	2.00	2.09	7/27/11	7/27/16	25,000	1,071	-	26,071
Federal Agencies	31315PA25	FAMCA MTN	14,100,000	2.00	0.63	3/26/13	7/27/16	23,500	(15,633)	-	7,867
Federal Agencies	31315PA25	FAMCA MTN	11,900,000	2.00	0.62	3/26/13	7/27/16	19,833	(13,302)	-	6,531
Federal Agencies	313383TP6	FHLB STEP NT	25,000,000	0.50	0.50	8/22/13	8/22/16	10,417	-	-	10,417
Federal Agencies	313370TW8	FHLB BD	25,000,000	2.00	1.39	10/11/11	9/9/16	41,667	(12,157)	-	29,510
Federal Agencies	3135G0CM3	FNMA NT	-	1.25	1.37	10/11/11	9/28/16	25,174	(54,682)	507,800	478,292
Federal Agencies	3134G3P38	FHLMC NT CALL	75,000,000	0.75	0.72	12/14/12	10/5/16	46,875	(7,246)	-	39,629
Federal Agencies	3135G0ES8	FNMA NT	-	1.38	1.25	12/14/11	11/15/16	55,382	107,787	537,408	700,577
Federal Agencies	313381GA7	FHLB NT	23,100,000	0.57	0.57	11/30/12	11/30/16	10,973	(90)	-	10,882
Federal Agencies	313381KR5	FHLB NT CALL	13,500,000	0.63	0.63	12/28/12	12/28/16	7,031	-	-	7,031
Federal Agencies	313381KR5	FHLB NT CALL	9,000,000	0.63	0.63	12/28/12	12/28/16	4,688	-	-	4,688
Federal Agencies	3136FTUZO	FNMA CALL NT	50,000,000	1.40	1.41	12/30/11	12/30/16	58,333	411	-	58,744
Federal Agencies	3134G33C2	FHLMC NT	50,000,000	0.60	0.60	1/3/13	1/3/17	25,000	-	-	25,000
Federal Agencies	3133ECB37	FFCB NT	14,000,000	0.58	0.58	12/20/12	1/12/17	6,767	-	-	6,767
Federal Agencies	31315PWW5	FARMER MAC MTN	49,500,000	1.01	1.02	5/4/12	1/17/17	41,663	432	-	42,094
Federal Agencies	3136FTL31	FNMA STEP BD CALL	30,765,000	0.75	0.68	4/30/12	2/7/17	19,228	(4,985)	-	14,243
Federal Agencies	3133786Q9	FHLB NT	67,780,000	1.00	0.72	1/10/13	2/13/17	56,483	(15,380)	-	41,103
Federal Agencies	3137EADC0	FHLMC NT	-	1.00	1.13	3/12/12	3/8/17	34,722	(89,322)	316,000	261,400
Federal Agencies	3133782N0	FHLB NT	14,845,000	0.88	1.08	3/12/12	3/10/17	10,824	2,417	-	13,241

Monthly Investment Earnings Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM	Settle Date	Maturity Date	Earned Interest	Amort. Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
Federal Agencies	3133782N0	FHLB NT	55,660,000	0.88	1.06	3/12/12	3/10/17	40,585	8,272	-	48,857
Federal Agencies	31315PTQ2	FARMER MAC MTN	12,500,000	1.26	1.36	4/10/12	4/10/17	13,125	998	-	14,123
Federal Agencies	3133ECLL6	FFCB NT	10,000,000	0.60	0.60	4/17/13	4/17/17	5,000	-	-	5,000
Federal Agencies	3136G0CC3	FNMA STRNT	30,000,000	0.85	0.85	4/18/12	4/18/17	21,250	-	-	21,250
Federal Agencies	31315PUQ0	FARMER MAC MTN	10,500,000	1.13	1.13	4/26/12	4/26/17	9,844	-	-	9,844
Federal Agencies	3133794Y2	FHLB FIX-TO-FLOAT CALL NT	25,000,000	0.50	0.50	5/9/12	5/9/17	10,417	-	-	10,417
Federal Agencies	3137EADF3	FHLMC NT	25,000,000	1.25	1.14	5/14/12	5/12/17	26,042	(2,188)	-	23,854
Federal Agencies	3136G0GW5	FNMA STEP NT CALL	50,000,000	0.85	0.73	6/11/12	5/23/17	35,417	(12,257)	-	23,159
Federal Agencies	31315PZQ5	FARMER MAC MTN	9,000,000	1.11	0.80	12/28/12	6/5/17	8,325	(2,262)	-	6,063
Federal Agencies	3133EAUW6	FFCB FLT NT FF+22	50,000,000	0.30	0.30	6/19/12	6/19/17	12,472	-	-	12,472
Federal Agencies	3133ECV92	FFCB FLT NT 1ML+4	50,000,000	0.22	0.22	7/24/13	7/24/17	9,292	-	-	9,292
Federal Agencies	3133ECVG6	FFCB FLT NT 3ML+0	23,520,000	0.26	0.26	8/5/13	7/26/17	5,180	-	-	5,180
Federal Agencies	3136G0ZA2	FNMA STEP NT	15,000,000	0.75	0.75	9/12/12	9/12/17	9,375	-	-	9,375
Federal Agencies	3136G0B59	FNMA STEP NT	64,750,000	0.70	0.70	9/20/12	9/20/17	37,771	-	-	37,771
Federal Agencies	3136G0D81	FNMA STEP NT	100,000,000	0.72	0.72	9/27/12	9/27/17	60,000	-	-	60,000
Federal Agencies	3136G0Y39	FNMA STEP NT	50,000,000	0.63	0.63	11/8/12	11/8/17	26,042	-	-	26,042
Federal Agencies	3134G44F2	FHLMC CALL MTN	50,000,000	0.80	0.80	5/21/13	11/21/17	33,333	-	-	33,333
Federal Agencies	3135G0RT2	FNMA NT	50,000,000	0.88	0.91	1/10/13	12/20/17	36,458	1,371	-	37,830
Federal Agencies	3135G0RT2	FNMA GLOBAL	100,000,000	0.88	1.02	1/29/13	12/20/17	72,917	11,914	-	84,830
Federal Agencies	3136G13T4	FNMA STEP NT	39,000,000	0.75	0.75	12/26/12	12/26/17	24,375	-	-	24,375
Federal Agencies	3136G13Q0	FNMA STEP NT	29,000,000	0.75	0.75	12/26/12	12/26/17	18,125	-	-	18,125
Federal Agencies	3134G32W9	FHLMC MTN CALL	33,600,000	1.25	1.01	12/26/12	12/26/17	35,000	(21,459)	-	13,541
Federal Agencies	3134G32W9	FHLMC MTN CALL	50,000,000	1.25	1.00	12/26/12	12/26/17	52,083	(33,181)	-	18,902
Federal Agencies	3134G32M1	FHLMC CALL NT	50,000,000	1.00	1.00	12/28/12	12/28/17	41,667	-	-	41,667
Federal Agencies	3136G1FK0	FNMA NT CALL	21,500,000	1.60	1.36	3/13/13	3/13/18	28,667	(20,075)	-	8,592
Federal Agencies	3136G1GG8	FNMA NT CALL	17,900,000	1.50	1.29	3/19/13	3/19/18	22,375	(14,712)	-	7,663
Federal Agencies	3136G1J67	FNMA NT CALL	25,000,000	1.50	1.29	4/9/13	4/9/18	31,250	(20,466)	-	10,784
Federal Agencies	3136G1KN8	FNMA NT CALL	50,000,000	1.50	1.13	4/24/13	4/24/18	62,500	(37,110)	-	25,390
Federal Agencies	3136G1K81	FNMA NT STEP	12,600,000	0.75	0.75	4/30/13	4/30/18	7,875	-	-	7,875
Federal Agencies	31315PZM4	FARMER MAC STEP NT	24,600,000	0.70	0.70	5/3/13	5/3/18	14,350	-	-	14,350
Federal Agencies	313382XK4	FHLB STEP NT	25,000,000	0.50	0.50	5/7/13	5/7/18	10,417	-	-	10,417
Federal Agencies	3133ECPB4	FFCB NT	10,000,000	0.88	1.01	5/23/13	5/14/18	7,292	1,080	-	8,371
Federal Agencies	313383AS0	FHLB NT CALL	50,000,000	1.40	1.25	5/21/13	5/21/18	58,333	(6,145)	-	52,189
Federal Agencies	3135G0WJ8	FNMA NT	25,000,000	0.88	1.05	5/23/13	5/21/18	18,229	3,512	-	21,741
Federal Agencies	3133834P3	FHLB STEP NT	50,000,000	0.50	0.50	5/22/13	5/22/18	20,833	-	-	20,833
Subtotals			\$ 3,904,513,000					\$ 3,400,743	\$ (606,127)	\$ 1,361,208	\$ 4,255,824
State/Local Agencies	022168KZ0	ALUM ROCK ESD SAN JOSE CA	\$ -	0.80	0.80	7/13/12	9/1/13	\$ -	\$ -	\$ -	\$ -
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	15,000,000	2.61	0.53	3/29/12	3/15/14	32,563	(25,404)	-	7,159
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	11,115,000	2.61	0.42	6/8/12	3/15/14	24,129	(19,888)	-	4,241
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	8,150,000	2.61	0.42	6/8/12	3/15/14	17,692	(14,583)	-	3,109
State/Local Agencies	463655GW4	IRVINE RANCH CA WTR PRE-RE	2,000,000	2.61	0.32	4/29/13	3/15/14	4,342	(3,750)	-	592
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	2,820,000	5.25	1.04	5/2/12	4/1/14	12,338	(9,629)	-	2,708
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	10,000,000	5.25	0.45	4/8/13	4/1/14	43,750	(39,302)	-	4,448
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	7,270,000	5.25	0.39	5/3/13	4/1/14	31,806	(28,916)	-	2,890
State/Local Agencies	13063A5B6	CALIFORNIA ST GO BD	1,250,000	5.25	0.55	7/29/13	4/1/14	5,469	(4,799)	-	670
State/Local Agencies	13063CEA4	CALIFORNIA ST RAN	27,000,000	2.00	0.21	8/22/13	5/28/14	44,384	(39,658)	-	4,725
State/Local Agencies	62451FFC9	WHISMAN SCHOOL DIST MTN VIEW	1,125,000	0.75	0.75	7/24/12	8/1/14	704	-	-	704
State/Local Agencies	612574DP5	MONTEREY COMM COLLEGE GO	310,000	0.43	0.43	5/7/13	8/1/14	111	-	-	111
State/Local Agencies	64966DPC7	NEW YORK CITY GO	8,000,000	4.75	0.68	6/7/12	11/1/14	31,667	(26,501)	-	5,165
State/Local Agencies	13063BN65	CALIFORNIA ST TAXABLE GO BD	10,000,000	0.85	0.64	3/27/13	2/1/15	7,083	(1,686)	-	5,397

Monthly Investment Earnings Pooled Fund

Type of Investment	CUSIP	Issue Name	Par Value	Coupon	YTM	Settle Date	Maturity Date	Earned Interest	Amort Expense	Realized Gain/(Loss)	Earned Income /Net Earnings
State/Local Agencies	649791JS0	NEW YORK ST TAXABLE GO	4,620,000	0.39	0.40	3/21/13	3/1/15	1,502	39	-	1,541
State/Local Agencies	91412GPW9	UNIV OF CALIFORNIA REVENUE BO	5,000,000	0.39	0.39	3/14/13	5/15/15	1,633	-	-	1,633
State/Local Agencies	612574DQ3	MONTEREY COMM COLLEGE GO	315,000	0.63	0.63	5/7/13	8/1/15	165	-	-	165
State/Local Agencies	64966GXS6	NEW YORK CITY TAXABLE GO	12,255,000	5.13	0.66	4/1/13	12/1/15	52,390	(44,522)	-	7,868
State/Local Agencies	13063BN73	CALIFORNIA ST TAXABLE GO BD	11,000,000	1.05	0.93	3/27/13	2/1/16	9,625	(1,071)	-	8,554
State/Local Agencies	612574DR1	MONTEREY COMM COLLEGE GO	2,670,000	0.98	0.98	5/7/13	8/1/16	2,185	-	-	2,185
Subtotals			\$ 139,900,000					\$ 323,637	\$ (259,670)	\$ -	\$ 63,866
Public Time Deposits		TRANS PACIFIC NATIONAL BANK P	\$ 240,000	0.49	0.49	2/7/13	2/7/14	\$ 96	\$ -	\$ -	\$ 96
Public Time Deposits		BANK OF SAN FRANCISCO PTD	240,000	0.47	0.47	4/9/13	4/9/14	94	-	-	94
Public Time Deposits		FIRST NAT. BANK OF NOR. CAL. PTI	240,000	0.48	0.48	4/9/13	4/9/14	96	-	-	96
Subtotals			\$ 720,000					\$ 286	\$ -	\$ -	\$ 286
Negotiable CDs	96121TQW1	WESTPAC NY FLT YCD 1ML+14	\$ 50,000,000	0.32	-0.10	3/25/13	11/21/13	\$ 13,434	\$ (4,170)	\$ -	\$ 9,263
Negotiable CDs	78009NMC7	RBC YCD FF+22	75,000,000	0.30	0.30	3/26/13	3/26/14	18,854	-	-	18,854
Negotiable CDs	78009NNK8	RBC FLT YCD 1ML+11	25,000,000	0.29	0.29	6/24/13	6/24/14	6,104	-	-	6,104
Negotiable CDs	06417FB58	BANK OF NOVA SCOTIA YCD 3ML+1	50,000,000	0.45	0.45	7/17/13	1/20/15	18,650	-	-	18,650
Subtotals			\$ 200,000,000					\$ 57,042	\$ (4,170)	\$ -	\$ 52,872
Medium Term Notes	36962G3F9	GE CAPITAL CORP MTN	\$ -	5.40	0.27	3/27/13	9/20/13	\$ 10,545	\$ (9,786)	\$ -	\$ 759
Medium Term Notes	78008KNA7	RBC MTN	30,580,000	1.13	0.30	1/30/13	1/15/14	28,669	(20,573)	-	8,095
Medium Term Notes	46623ECT4	JP MORGAN CHASE MTN	12,345,000	5.38	0.34	3/13/13	1/15/14	55,295	(50,623)	-	4,673
Medium Term Notes	46623EJE0	JPMORGAN CHASE MTN	32,755,000	2.05	0.38	3/1/13	1/24/14	55,956	(44,709)	-	11,247
Medium Term Notes	46623EJE0	JP MORGAN CHASE MTN	2,050,000	2.05	0.35	3/13/13	1/24/14	3,502	(2,848)	-	654
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	6,500,000	3.63	0.27	4/26/13	5/1/14	19,635	(17,866)	-	1,769
Medium Term Notes	854403AA0	STANFORD UNIVERSITY MTN	5,000,000	3.63	0.27	4/26/13	5/1/14	15,104	(13,743)	-	1,361
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN 3ML+	27,475,000	1.00	-0.12	5/2/13	5/2/14	23,139	(15,963)	-	7,176
Medium Term Notes	46623EJH3	JP MORGAN CHASE FLT MTN	20,000,000	1.00	0.16	8/2/13	5/2/14	16,897	(11,676)	-	5,221
Medium Term Notes	36962GX41	GE CAPITAL CORP MTN	25,000,000	5.65	0.44	4/9/13	6/9/14	117,708	(106,690)	-	11,018
Medium Term Notes	59217EBW3	MET LIFE GLOBAL FUNDING MTN	10,000,000	5.13	0.49	11/13/12	6/10/14	42,708	(37,942)	-	4,767
Medium Term Notes	64952WBL6	NEW YORK LIFE MTN 3ML+0	3,000,000	0.27	0.24	3/27/13	7/30/14	663	(39)	-	624
Medium Term Notes	36962G4G6	GE CAPITAL CORP MTN	2,920,000	3.75	0.52	8/7/13	11/14/14	9,125	(7,716)	-	1,409
Medium Term Notes	89233P7B6	TOYOTA MTN 3ML+17	10,000,000	0.43	0.39	1/28/13	12/5/14	3,594	(209)	-	3,386
Medium Term Notes	36962G6T6	GE FLT NT 3ML+38	25,000,000	0.65	0.65	1/10/13	1/9/15	13,540	-	-	13,540
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	87,824,000	2.15	0.77	7/12/13	1/9/15	157,351	(98,537)	-	58,815
Medium Term Notes	36962G5M2	GE CAPITAL CORP MTN	4,820,000	2.15	0.59	8/7/13	1/9/15	8,636	(6,154)	-	2,482
Medium Term Notes	78008SVS2	RBC MTN FIX-TO-FLT	100,000,000	0.50	0.50	1/22/13	1/22/15	41,667	-	-	41,667
Medium Term Notes	89233P7H3	TOYOTA MTN 3ML+17	35,000,000	0.43	0.43	1/23/13	1/23/15	12,679	-	-	12,679
Medium Term Notes	89233P7L4	TOYOTA MTN FIX-TO-FLOAT	85,200,000	0.50	0.50	2/4/13	2/4/15	10,417	-	-	10,417
Medium Term Notes	89236TAG0	TOYOTA MOTOR CREDIT CORP 3MI	50,000,000	0.42	0.42	4/12/13	4/8/15	17,538	-	-	17,538
Medium Term Notes	36962G5Z3	GE CAPITAL CORP MTN	5,000,000	1.63	0.81	8/19/13	7/2/15	6,771	(3,310)	-	3,461
Subtotals			\$ 620,268,000					\$ 671,139	\$ (448,363)	\$ -	\$ 222,756
Money Market Funds	61747C707	MS INSTL GOVT FUND	\$ 250,056,338	0.04	0.04	12/31/12	7/1/14	\$ 3,334	\$ -	\$ -	\$ 3,334
Money Market Funds	09248U718	BLACKROCK T-FUND INSTL	25,000,000	0.01	0.01	1/15/13	7/1/14	281	-	-	281
Money Market Funds	316175108	FIDELITY INSTL GOVT PORT	25,001,151	0.01	0.01	6/20/13	7/1/14	281	-	-	281
Subtotals			\$ 300,057,489					\$ 3,895	\$ -	\$ -	\$ 3,895
Grand Totals			\$ 5,825,459,489					\$ 5,200,704	\$ (1,342,272)	\$ (224,730)	\$ 3,633,702

* Yield to maturity is calculated at purchase

Investment Transactions

Pooled Fund

For month ended September 30, 2013

Transaction	Settle Date	Maturity	Type of Investment	Issuer/Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Purchase	9/30/2013	10/1/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	\$ 200,000,000	0.04	0.04	\$ 100.00	\$ -	\$ 200,000,000
Subtotals						\$ 200,000,000	0.04	0.04	\$ 100.00	\$ -	\$ 200,000,000
Sale	9/5/2013	9/6/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	\$ 35,000,000	0.04	0.04	\$ 100.00	\$ -	\$ 35,000,000
Sale	9/11/2013	9/12/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	40,000,000	0.04	0.04	100.00	-	40,000,000
Sale	9/12/2013	9/13/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	25,000,000	0.01	0.01	100.00	-	25,000,000
Sale	9/12/2013	9/13/2013	Money Market Funds	FIDELITY INSTL GOVT PORT	316175108	25,000,000	0.01	0.01	100.00	-	25,000,000
Sale	9/17/2013	9/18/2013	Money Market Funds	MS INSTL GOVT FUND	61747C707	50,000,000	0.04	0.04	100.00	-	50,000,000
Sale	9/26/2013	3/8/2017	Federal Agencies	FHLMC NT	3137EADC0	50,000,000	1.00	1.13	99.40	25,000	50,038,500
Sale	9/30/2013	9/28/2016	Federal Agencies	FNMA NT	3135G0CM3	25,000,000	1.25	1.37	99.43	1,736	25,365,986
Sale	9/30/2013	11/15/2016	Federal Agencies	FNMA NT	3135G0ES8	50,000,000	1.38	1.25	100.62	257,813	51,104,313
Sale	9/30/2013	4/30/2018	U.S. Treasuries	US TSY NT	912828UZ1	50,000,000	0.63	0.81	99.10	118,886	48,692,425
Sale	9/30/2013	4/30/2018	U.S. Treasuries	US TSY NT	912828UZ1	50,000,000	0.63	0.98	98.32	105,299	48,692,425
Subtotals						\$ 400,000,000	0.55	0.62	\$ 99.64	\$ 508,733	\$ 398,893,649
Maturity	9/1/2013	9/1/2013	State/Local Agencies	ALUM ROCK ESD SAN JOSE C	022168KZ0	\$ 1,665,000	0.80	0.80	\$ 100.00	\$ 6,660	\$ 1,671,660
Maturity	9/3/2013	9/3/2013	Federal Agencies	FHLMC FRN FF+23	3134G2B50	50,000,000	0.31	0.76	99.96	40,861	50,040,861
Maturity	9/6/2013	9/6/2013	Federal Agencies	FHLB FLT NT FF+5	313380NQ6	50,000,000	0.13	0.02	100.01	17,861	50,017,861
Maturity	9/20/2013	9/20/2013	Medium Term Notes	GE CAPITAL CORP MTN	36962G3F9	3,700,000	5.40	0.27	102.46	96,015	3,799,900
Subtotals						\$ 105,365,000	0.41	0.39	\$ 100.07	\$ 161,397	\$ 105,530,287
Interest	9/1/2013	3/1/2015	State/Local Agencies	NEW YORK ST TAXABLE GO	649791JS0	\$ 4,620,000	0.39	0.40	\$ 99.98	\$ 8,008	\$ 8,108
Interest	9/1/2013	4/1/2016	Federal Agencies	FAMCA FLT MTN 1ML+0	31315PTF6	50,000,000	0.20	0.20	100.00	8,581	8,581
Interest	9/3/2013	9/4/2013	Money Market Funds	BLACKROCK T-FUND INSTL	09248U718	50,000,000	0.01	0.01	100.00	425	425
Interest	9/4/2013	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	25,000,000	0.26	0.36	99.94	16,146	16,146
Interest	9/4/2013	3/4/2014	Federal Agencies	FNMA FRN QTR T-BILL+21	3135G0AZ6	25,000,000	0.26	0.31	99.97	16,146	16,146
Interest	9/4/2013	3/4/2015	Federal Agencies	FFCB FLT NT FF+14	3133EAQ35	100,000,000	0.22	0.27	99.92	58,556	58,556
Interest	9/5/2013	12/5/2014	Medium Term Notes	TOYOTA MTN 3ML+17	89233P7B6	10,000,000	0.44	0.41	100.05	11,328	11,328
Interest	9/8/2013	3/8/2017	Federal Agencies	FHLMC NT	3137EADC0	50,000,000	1.00	1.13	99.40	250,000	250,000
Interest	9/8/2013	9/8/2014	Federal Agencies	FNMA EX-CALL NT	31398A3G5	13,200,000	1.50	0.51	102.39	99,000	99,000
Interest	9/9/2013	9/9/2016	Federal Agencies	FHLB BD	313370TW8	25,000,000	2.00	1.39	102.91	250,000	250,000
Interest	9/10/2013	9/10/2015	Federal Agencies	FHLMC BONDS	3137EACM9	50,000,000	1.75	2.17	98.10	437,500	437,500
Interest	9/10/2013	3/10/2017	Federal Agencies	FHLB NT	3133782N0	14,845,000	0.88	1.08	99.01	64,947	64,947
Interest	9/10/2013	3/10/2017	Federal Agencies	FHLB NT	3133782N0	55,660,000	0.88	1.06	99.10	243,513	243,513
Interest	9/10/2013	2/10/2016	Federal Agencies	FFCB FLT NT 1ML+0	3133ECP57	50,000,000	0.17	0.18	99.97	7,967	7,967
Interest	9/11/2013	9/11/2015	Federal Agencies	FHLB	313370JB5	75,000,000	1.75	2.31	98.12	656,250	656,250
Interest	9/11/2013	3/11/2016	Federal Agencies	FHLB NT	313375RN9	22,200,000	1.00	0.82	100.71	111,000	111,000
Interest	9/11/2013	3/11/2014	Federal Agencies	FHLB FLT NT FF+12	313379RV3	50,000,000	0.19	0.24	99.97	26,458	26,458
Interest	9/12/2013	9/12/2017	Federal Agencies	FNMA STEP NT	3136G0ZA2	15,000,000	0.75	0.75	100.00	56,250	56,250
Interest	9/13/2013	3/13/2018	Federal Agencies	FNMA NT CALL	3136G1FK0	21,500,000	1.60	1.36	101.14	172,000	172,000
Interest	9/14/2013	5/14/2015	Federal Agencies	FFCB FLT NT 1ML+1	3133EAQC5	50,000,000	0.20	0.21	99.97	8,377	8,377
Interest	9/15/2013	9/15/2015	Federal Agencies	FARMER MAC	31315PGT0	45,000,000	2.13	2.17	99.81	478,125	478,125
Interest	9/15/2013	12/15/2014	Federal Agencies	FNMA FLT QTR FF+35	3136FTVN6	75,000,000	0.49	0.49	100.00	83,875	83,875
Interest	9/15/2013	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	15,000,000	2.61	0.53	104.04	195,375	195,375
Interest	9/15/2013	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	11,115,000	2.61	0.42	103.85	144,773	144,773
Interest	9/15/2013	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	8,150,000	2.61	0.42	103.85	106,154	106,154
Interest	9/15/2013	3/15/2014	State/Local Agencies	IRVINE RANCH CA WTR PRE-	463655GW4	2,000,000	2.61	0.32	102.00	19,682	26,050
Interest	9/16/2013	5/2/2014	Medium Term Notes	JP MORGAN CHASE FLT MTN	46623EJH3	27,475,000	1.02	-0.04	100.71	71,065	71,065
Interest	9/16/2013	5/2/2014	Medium Term Notes	JP MORGAN CHASE FLT MTN	46623EJH3	20,000,000	1.02	0.23	100.53	25,581	51,731
Interest	9/18/2013	9/18/2015	Federal Agencies	FFCB FLT NT QTR TBILL+16	3133ECJB1	50,000,000	0.19	0.19	100.00	25,471	25,471
Interest	9/18/2013	9/18/2015	Federal Agencies	FFCB FLT NT QTR T-BILL+1	3133ECJB1	16,200,000	0.19	0.19	99.99	8,253	8,253
Interest	9/19/2013	6/19/2017	Federal Agencies	FFCB FLT NT FF+22	3133EAUW6	50,000,000	0.30	0.30	100.00	39,208	39,208

Investment Transactions Pooled Fund

Transaction	Settle Date	Maturity	Type of Investment	Issue Name	CUSIP	Par Value	Coupon	YTM	Price	Interest	Transaction
Interest	9/19/2013	3/19/2018	Federal Agencies	FNMA NT CALL	3136G1GG8	17,900,000	1.50	1.29	101.00	134,250	134,250
Interest	9/19/2013	11/19/2015	Federal Agencies	FFCB FLT NT MONTHLY 1ML+	3133ECLZ5	25,000,000	0.18	0.19	99.99	3,962	3,962
Interest	9/20/2013	9/20/2017	Federal Agencies	FNMA STEP NT	3136G0B59	64,750,000	0.70	0.70	100.00	226,625	226,625
Interest	9/21/2013	3/21/2014	Federal Agencies	FNMA AMORT TO CALL	31398A3R1	24,500,000	1.35	1.27	100.26	165,375	165,375
Interest	9/21/2013	9/21/2015	Federal Agencies	FNMA NT EX-CALL	31398A3T7	25,000,000	2.00	1.08	103.52	250,000	250,000
Interest	9/22/2013	9/22/2015	Federal Agencies	FFCB FLT NT 1ML+2.5	3133EAJF6	27,953,000	0.21	0.23	99.96	5,003	5,003
Interest	9/22/2013	6/22/2015	Federal Agencies	FFCB FLT NT 1ML+2	3133EAVE5	50,000,000	0.20	0.22	99.97	8,734	8,734
Interest	9/23/2013	11/21/2013	Negotiable CDs	WESTPAC NY FLT YCD 1ML+1	96121TQW1	50,000,000	0.32	0.02	100.07	14,830	14,830
Interest	9/24/2013	6/24/2014	Negotiable CDs	RBC FLT YCD 1ML+11	78009NNK8	25,000,000	0.29	0.29	100.00	5,718	5,718
Interest	9/24/2013	7/24/2017	Federal Agencies	FFCB FLT NT 1ML+4	3133ECV92	50,000,000	0.22	0.22	100.00	9,647	9,647
Interest	9/26/2013	3/26/2014	Negotiable CDs	RBC YCD FF+22	78009NMC7	75,000,000	0.30	0.30	100.00	58,729	58,729
Interest	9/27/2013	4/27/2015	Federal Agencies	FFCB FLT NT 1ML+1.5	3133EAJP4	50,000,000	0.20	0.21	99.99	8,571	8,571
Interest	9/27/2013	9/27/2017	Federal Agencies	FNMA STEP NT	3136G0D81	100,000,000	0.72	0.72	100.00	360,000	360,000
Interest	9/28/2013	9/28/2016	Federal Agencies	FNMA NT	3135G0CM3	25,000,000	1.25	1.37	99.43	156,250	156,250
Interest	9/28/2013	3/28/2016	Federal Agencies	FFCB NT	3133EAJU3	25,000,000	1.05	0.82	100.88	131,250	131,250
Interest	9/30/2013	9/30/2016	U.S. Treasuries	US TSY NT	912828RJ1	75,000,000	1.00	1.05	99.77	375,000	375,000
Interest	9/30/2013	3/31/2015	U.S. Treasuries	US TSY NT	912828MW7	50,000,000	2.50	0.48	106.21	625,000	625,000
Interest	9/30/2013	3/31/2017	U.S. Treasuries	US TSY NT	912828SM3	50,000,000	1.00	1.07	99.67	250,000	250,000
Interest	9/30/2013	9/30/2017	U.S. Treasuries	US TSY NT	912828TS9	25,000,000	0.63	0.73	99.48	78,125	78,125
Subtotals						\$ 1,912,068,000	0.78	0.67	\$ 100.18	\$ 6,567,082	\$ 6,599,700
Grand Totals		1	Purchases								
		(10)	Sales								
		(4)	Maturities / Calls								
		(13)	Change in number of positions								

Non-Pooled Investments

As of September 30, 2013

Type of Investment	CUSIP	Issue Name	Settle	Maturity	Duration	Coupon	Par Value	Book Value	Amortized	
			Date	Date					Book Value	Market Value
State/Local Agencies	797712AD8	SFRDA SOUTH BEACH HARBOR	1/20/12	12/1/16	2.99	3.50	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
Subtotals					2.99	3.50	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
Grand Totals					2.99	3.50	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000

NON-POOLED FUNDS PORTFOLIO STATISTICS

(in \$ million)	Current Month		Prior Month	
	Fiscal YTD	September 2013	Fiscal YTD	August 2013
Average Daily Balance	\$ 41,980,737	\$ 28,510,329	\$ 60,049,824	\$ 28,510,329
Net Earnings	\$ 41,291	\$ 13,127	\$ 28,164	\$ 13,539
Earned Income Yield	0.39%	3.44%	0.28%	0.56%

Note: All non-pooled securities were inherited by the City and County of San Francisco as successor agency to the San Francisco Redevelopment Agency. Book value and amortized book value are derived from limited information received from the SFRDA and are subject to verification.

ASSOCIATION OF BAY AREA GOVERNMENTS RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO

305-11
Rules clerk
COB, copy



Representing City and County Governments of the San Francisco Bay Area

2013 OCT 17 PM 3:04

ABAG

Date: October 15, 2013
To: All Clerks of County Boards of Supervisors, City and Town Clerks, and Other Officers Having Charge of Elections in Member Jurisdictions
From: Fred Castro
Clerk of the Board
Subject: **NOTICE OF CERTIFICATION OF ELECTION OF THE PRESIDENT AND VICE PRESIDENT OF THE ASSOCIATION OF BAY AREA GOVERNMENTS**

At the close of the nomination period at noon on Friday, October 11, 2013, only one candidate had filed the necessary nomination petition for the office of President and one candidate for the office of Vice President of the Association of Bay Area Governments.

Pursuant to the Association's *Procedures for Election of the President and Vice President*: "If, at the close of nominations, only one candidate has been nominated for the office of President or for the office of Vice President, then such sole nominee is declared hereby to be elected to such office."

Therefore, I am pleased to certify the following as the Association's President-elect and Vice President-elect for the term beginning January 1, 2014 and expiring December 31, 2015.

President Julie Pierce
Mayor
City of Clayton

Vice President David Rabbitt
Supervisor
County of Sonoma

Cc: Ezra Rapport, Executive Director
Brad Paul, Deputy Executive Director
Kenneth K. Moy, Legal Counsel

8



BOS-11
cpage

October 10, 2013

TO: STATE, CITY AND LOCAL OFFICIALS

NOTICE OF PACIFIC GAS AND ELECTRIC COMPANY APPLICATION TO RECOVER FORECASTED COSTS ASSOCIATED WITH CALIFORNIA'S GREENHOUSE GAS EMISSIONS REDUCTION PROGRAM FOR END-USERS OF NATURAL GAS (A.13-09-015)

Summary

On September 30, 2013, Pacific Gas and Electric Company (PG&E) submitted an application to the California Public Utilities Commission (CPUC) to establish a mechanism to recover the costs associated with California's greenhouse gas (GHG) emissions reduction program for natural gas customers. If this application is approved, PG&E will recover approximately \$63 million in forecasted costs for GHG allowances required to comply with the state GHG emissions requirements, starting January 2015, as well as additional costs of a yet undetermined amount in subsequent years. The actual amount of costs to be recovered in 2015, and subsequent years, will depend on final regulations to be adopted by the California Air Resources Board (CARB) before 2015, as well as other factors.

PG&E expects to receive some revenues as part of this program. This revenue will be used to reduce the impact on rates as determined by the CPUC at a later date. PG&E will compare its forecasted costs each year to purchase GHG allowances with the actual costs of those purchases, and incorporate any over- or under-collection in the following year's rates.

About the program to reduce GHG emissions

Starting in 2015, the California GHG reduction program, which currently regulates emissions for electric generators, will expand to include most end-users of natural gas through their natural gas supplier. The CARB oversees the program and proposes to require gas utilities to comply with the regulations, including PG&E, through two mechanisms:

- PG&E will be required to purchase a number of GHG allowances to meet the GHG compliance obligation for its gas customers. PG&E will recover these purchase costs from customers through gas rates. Certain facilities, which are directly regulated by CARB, will not be charged these costs because they directly purchase their GHG allowances.
- PG&E will receive a certain number of allowances to sell for the benefit of its customers. The CPUC will determine how customers will benefit from these revenues in a future proceeding.

How will PG&E's application affect me?

If the application is approved, PG&E's rates and charges for natural gas service will result in an increase to gas rates of approximately two percent in 2015 for certain bundled core customers (those who receive gas, distribution and transmission service from PG&E). In addition, PG&E's rates and charges may increase in years that follow 2015, depending upon PG&E's GHG compliance obligation for those years. The final impact on rates, taking into account the expected revenue return, has not yet been determined. These rate changes will begin in January 2015, when the GHG emission reduction program expands to include end-users of natural gas, and will continue in subsequent years. A table presenting a more illustrative description of the impact of this application was included in a bill insert announcing this filing that was sent directly to customers in October and November.

If the CPUC approves PG&E's request, a typical residential customer using 37 therms per month would see an average monthly gas bill increase of \$0.67, from \$44.87 to \$45.54. A typical small commercial customer using 287 therms per month would see an average monthly gas bill increase of \$5.22, from \$266.68 to \$271.90. Individual customers' bill will differ.

How do I find out more about PG&E's application?

If you have questions about PG&E's application, please contact PG&E at 1-800-743-5000. For TDD/TTY (speech-hearing impaired), call 1-800-652-4712.

Para más detalles llame al 1-800-660-6789 • 詳情請致電 1-800-893-9555

If you would like a copy of PG&E's application and exhibits, please write to PG&E at the address below:

Pacific Gas and Electric Company
Greenhouse Gas (GHG) Natural Gas Cost Recovery Application
P.O. Box 7442
San Francisco, CA 94120

A copy of PG&E's application and exhibits are also available for review at the CPUC, 505 Van Ness Avenue, San Francisco, CA 94102, Monday-Friday, 8 a.m.-noon. PG&E's application (without exhibits) is available on the CPUC's website at www.cpuc.ca.gov/puc.

How does the CPUC's decision making process work?

The application will be reviewed through the CPUC formal administrative law process. The application will be assigned to a CPUC Administrative Law Judge (ALJ). The ALJ presides over the proceeding, which may include evidentiary hearings often held in a proceeding to give parties of record an opportunity to present evidence or cross-examine witnesses. Members of the public may attend but not participate in these hearings. The hearings and documents submitted in the proceeding become part of the formal record that the ALJ relies upon in writing a proposed decision to present to the five-member Commission.

Any CPUC Commissioner may issue an alternate decision. The proposed and any alternate decision are acted upon at a CPUC voting meeting. When the CPUC acts on this application, it may adopt all or part of PG&E's request, modify it or deny the application.

If you would like to follow this proceeding or any other issue before the CPUC, you may utilize the CPUC's free and confidential subscription service. Sign up at: <http://subscribe.puc.ca.gov/>.

If you would like to learn how you can participate in this proceeding, or if you have comments or questions, you may access the CPUC's Public Advisor's website at www.cpuc.ca.gov/puc and click on "Public Advisor" from the CPUC Information menu. You can also:

<p>Email: public.advisor@cpuc.ca.gov Mail: Public Advisor's Office 505 Van Ness Avenue, Room 2103 San Francisco, CA 94102</p>	<p>Call: 1-415-703-2074 or 1-866-849-8390 (toll-free) TTY 1-415-703-5282 or 1-866-836-7825 (toll-free)</p>
--	---

If you are writing or emailing the Public Advisor's Office, please include the application number (A.13-09-015). All comments will be circulated to the Commissioners, the assigned ALJ and the CPUC staff.

RECEIVED
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IMMEDIATE RELIEF REQUESTED

CEQA CASE

**RECEIVED
BOARD OF SUPERVISORS
SAN FRANCISCO
2013 OCT 15 11 5:32**

Dep. City Atty

1 MICA I. RINGEL
2 485 Potrero Avenue, Unit C
3 San Francisco, CA 94110
4 (415) 519-7523
5 supermica@gmail.com

6 In Pro Per

7 **IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA**
8 **COUNTY OF SAN FRANCISCO**

9 MICA I. RINGEL,
10 Petitioner,

11 vs.

12 CITY & COUNTY OF SAN FRANCISCO

13 DOES 1 through 10,
14 Respondents,

15 F.W. SPENCER & SON, INC.
16 INDUSTRY CAPITAL
17 INFRASTRUCTURE, LLC,
18 Real Parties in Interest.

Case No.

DECLARATION OF PRIOR NOTICE

19
20 I, Mica Ringel, declare as follows:

21 I am the Petitioner herein. On October 9, 2013, I provided notice pursuant to Public Resources
22 Code Section 21167.5 of my intention to file a lawsuit under the California Environmental
23 Quality Act over Respondent's approval of Conditional Use Authorization of an Internet Services
24 Exchange at 435-437 Potrero Avenue in San Francisco.

25 I declare under penalty of perjury under the laws of the great State of California the foregoing is
26 true and correct.

27 Executed this 9th day of OCTOBER, 2013, at SAN FRANCISCO, CA.
28

Mica I. Ringel
MICA I. RINGEL

10

MICA I. RINGEL
485 Potrero Avenue
San Francisco, CA. 94110
(415) 519-7523
supermica@gmail.com

VIA EMAIL TO:
jim.emery@sfgov.org
angela.calvillo@sfgov.org
sarah.b.jones.@sfgov.org
scott.sanchez@sfgov.org
d.silverman@reubenlaw.com

October 9, 2013

**RE: 435-437 Potrero Avenue;
Notice of Intent to Litigate;
CEQA; Conditional Use Authorization;
Legitimization in Eastern Neighborhoods**

VIA US MAIL TO:
Kamala Harris, Attorney General
Edwin Lee, Mayor ATTN. Jim Emery
Angela Calvillo *for* Board of Sup.
Sarah B. Jones *for* Planning Dept.
Scott Sanchez, Zoning Administrator
David Silverman, Real Party

To All Parties:

NOTICE OF INTENT TO LITIGATE

Notice to the City and County of San Francisco is hereby given of intent to commence litigation for entitlements granted establishing an Internet Services Exchange at 435-437 Potrero Avenue (Real Property).

Mica I. Ringel has filed a Petition for Writ of Mandate in the San Francisco County Superior Court to enforce the California Environmental Quality Act in the public interest.

Mica I. Ringel further notifies the City and County of San Francisco he has filed a complaint in the San Francisco County Superior Court to invalidate the Conditional Use Authorization and the Legitimization of real property.

CALIFORNIA ENVIRONMENTAL QUALITY ACT (CEQA)

The proposed project has a potential for resulting in either a direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment and is therefore subject to CEQA. (Pub. Res. Code §21080(c), (d), §21151; CEQA Guidelines §15378(a).)

The administrative appeal of Categorical Exemption Determination was not upheld by the Board of Supervisors on October 1st, 2013 and accordingly the CEQA action is ripe.

CONDITIONAL USE AUTHORIZATION

Planning Commission granted project approval authorizing Conditional Use Authorization to real property on July 11th 2013.

Pursuant to Government Code § 65009,

(c)(1) . . . [N]o action or proceeding shall be maintained in any of the following cases [as set forth in subsections (A) through (F)] by any person unless the action or proceeding is commenced and service is made on the legislative body within 90 days after the legislative body's decision:

...

(E) To attack, review, set aside, void, or annul any decision on the matters listed in Sections 65901 and 65903, or to determine the reasonableness, legality, or validity of any condition attached to a variance, conditional use permit, or any other permit.

Said project approval occurred 90 days from date of this Notice and accordingly this action is ripe.

LEGITIMIZATION

Whereas no Notice of Exemption has been filed, and whereas the Zoning Administrator's Legitimization is the enabling action for the Conditional Use, and whereas the Zoning Administrator had admitted to not enforcing the posting and noticing requirements as required by law, the Legitimization is also subject to this suit.

SERVICE

This document and all court papers were placed in US Mail on October 9, 2013 in San Francisco, California. This document is served by electronic mail and by US MAIL to all parties referenced above.

DATED: October 9, 2013

Mica I. Ringel

MICA I. RINGEL

1 MICA I. RINGEL
2 485 Potrero Avenue, Unit C
3 San Francisco, CA 94110
4 (415) 519-7523
5 supermica@gmail.com

6 In Pro Per

7 **IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA**
8 **COUNTY OF SAN FRANCISCO**

9 MICA I. RINGEL

10 Petitioner,

11 vs.

12 CITY & COUNTY OF SAN FRANCISCO,

13 DOES 1 through 10,

14 Respondents,

15 F.W. SPENCER & SON, INC,

16 INDUSTRY CAPITAL
17 INFRASTRUCTURE, LLC,

18 Real Parties of Interest.
19

Case No. _____

Election to Prepare the Record

20 Pursuant to Public Resources Code 21167.6 Petitioner requests the administrative record be
21 made available digitally on CD-Rom. Petitioner requests the assistance of Respondent in
22 making available Respondent's files, both digital and paper on CD-Rom, including electronic
23 communications and transcripts of hearings. This suit challenges the "whole of the action" and
24 accordingly includes the Legitimization, the Conditional Use, and the Administrative to the
25 Board of Supervisors, and any others. Petitioner will be presenting to the Court the
26 Administrative record which will consist of all evidence which was read and/or considered by
27 the Respondent in making the decision contested herein.
28

Public Resources Code Section 21167.6(e) provides that the “record of proceedings” shall include, but is not limited to, all of the following items:

1. All project application materials.
2. All staff reports and related documents prepared by the public agency with respect to its compliance with the substantive and procedural requirements of CEQA and with respect to the action on the project.
3. All staff reports and related documents prepared by the public agency and written testimony or documents submitted by any person relevant to any findings or statement of overriding considerations adopted by the agency pursuant to CEQA.
4. Any transcript or minutes of the proceedings at which the decision-making body of the public agency heard testimony on, or considered any environmental document on, the project, and any transcript or minutes of proceedings before any advisory body to the public agency which were presented to the decision-making body prior to action on the environmental documents or on the project.
5. All notices issued by the public agency to comply with CEQA or with any other log governing the processing and approval of the project.
6. All written comments received in response to, or in connection with, environmental documents prepared for the project, including responses to the notice of preparation.
7. All written evidence or correspondence submitted to, or transferred from, the public agency with respect to compliance with CEQA or with respect to the project.
8. Any proposed decisions or findings submitted to the decision-making body of the public agency by its staff, or the project proponent, project opponents, or other persons.
9. The documentation of the final public agency decision, including the final environmental impact report, mitigated negative declaration, or negative declaration, and all documents, in addition to those referenced in paragraph (3) cited or relied on in findings or in a statement of overriding considerations adopted pursuant to CEQA.

1 10. Any other written materials relevant to the public agency's compliance with
2 CEQA or to its decision on the merits of the project, including the initial study,
3 any drafts of any environmental document, or portions thereof, which have been
4 released for public review, or other copies of studies or other documents relied
5 upon in any environmental document prepared for the project and either made
6 available to the public during the public review period or included in the public
7 agency's files on the project, and all internal agency communications, including
8 staff notes and memoranda relating to the project or in compliance with CEQA.

9 11. The full written record before any inferior administrative decision-making body
10 whose decision was appealed to a superior administrative decision-making body
11 prior to the filing of litigation.

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From: Board of Supervisors
To: BOS-Supervisors; Miller, Alisa
Subject: File 130896-130899: "8 Washington on Valencia" -- 1050-1058 Valencia Street
Attachments: BOS Ltr - 1050 Valencia Street.pdf

From: Alicia Maria Gamez [mailto:amgamez@yahoo.com]
Sent: Friday, October 18, 2013 12:06 PM
To: Board of Supervisors
Cc: erick@calle24sf.org; Kyle Smeallie; Peter Heinecke; RisaTeitelbaum; andyblue415@gmail.com; gmedina@medasf.org; fran cavanaugh; John Levin; jefferson mccarley; Elizabeth Zitrin; mari
Subject: "8 Washington on Valencia" -- 1050-1058 Valencia Street

Dear President Chui and Supervisors.
Angela Calvillo, Clerk of the Board.

I urge you to overturn the Final Mitigated Negative Declaration for 1050-1058, otherwise known as "8 Washington on Valencia".

Please see the attached letter for details.

Best regards,

Alicia Gamez



October 18, 2013

President David Chiu
San Francisco Board of Supervisors
Room 244, City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Subject: Case No. 2007.1457E, 1050 Valencia Street,
AKA – “8 Washington on Valencia”

Dear Supervisor Chiu,

I join with my Mission area neighbors in urging you to overturn the Planning Commission’s approval of the Final Mitigated Negative Declaration for the project at 1050 Valencia Street.

Developer Disregard and Negative Impact on Neighborhood

The neighbors of this project have been working for 5 years to provide input on this project. They offered suggestions early on that would result in a building that is compatible with Valencia Street and that would address the real needs of this neighborhood. **IMPORTANTLY**, the neighbors provided input would alter the building so that it would not undermined and degrade existing and successful uses, such as the Marsh.

The neighbors built a broad coalition of supporters like the Victorian Alliance, The Coalition for SF Neighborhoods, San Francisco Beautiful, many merchants along the Valencia Corridor, and many, many individual neighbors. 340 local residents signed a petition confirming that this neighborhood would be adversely impacted by the developer’s proposed building. The Marsh Theatre also has a separate petition of arts organizations urging you to realize the extreme negative impact of the proposed structure.

This development is adversely affects the surrounding neighborhood and uses. It rends the historic fabric and the cultural identity that is the foundation the strong and vibrant community that currently exists. At 55’ high, it would dwarf all buildings around it. Its excessive bulk fills the entire 35’ X 85’ lot and is totally out of character with its surroundings. Fitting 12 units into this area creates an overly dense concentration. It would stand as a constant and continuing reminder of the wave of development that disregards all community input and forces out the current residents. This project would stand as a monument to developer overreach and disregard for this community.

This area of San Francisco is enduring the most intensive wave of displacement that has occurred in generations. On Saturday the 12th, supporters gathered to protest these forces. It is absolutely true that San Francisco and the Mission need more housing and more services, those services should further the causes of inclusion and coexistence of the people who made have built this

neighborhood up. Unfortunately, this development has chosen a project that excludes community input and threatens its neighbors' very existence. The Marsh is a vibrant presence in this area. This project, as planned, would drive it out because the developer refuses to incorporate changes that could mitigate its impact.

Negative Impact on Historical Resources

In addition to being on the border of the Liberty-Hill Historic District, the site at 1050 Valencia Street is surrounded by properties that have been designated as Historic Resources by The South Mission Historic Resources Survey, as are the majority of buildings facing this site on Valencia Street. This building is in the very center of an historic neighborhood of great value to all of San Francisco, a neighborhood that must be preserved, not destroyed.

The developers have been told by the Historic Preservation Commission as well as the Planning Commission to work with the neighbors, yet they have maintained an intractable stance on constructing a building of incongruous height and offending bulk, mostly on Hill Street, while hiding behind the technicalities of the ENP and a cavalier approach to environmental and social impacts as "not significant".

The Historic Preservation Commission took issue with the size and the bulk of this design. The also faulted the lack of setback and the proposed materials. (Alan Martinez, a major figure in the South Mission Historic Resource Survey, had to reclude himself from the proceedings because he shares an architectural space with the designer of this development. It is doubtful that he would have supported a structure so disproportionate and disrespectful to this important historical part of the Mission if he did not have this conflict.)

The Eastern Neighborhoods' Plan specifically states that as neighborhoods change and develop, particular care must be taken to preserve and respect historic properties and areas. This tower is completely incongruous and disrespects everything in its vicinity with its disproportionate size.

Commissioner Katherine Moore, in her comments at our last Planning Commission hearing on September 9, 2012, said that there needed to be some mechanism to deal with situations such as this where a site is surrounded by historic streetscapes.

Now SPUR is calling for more support and specific guidelines for historic protection under CEQA. Point number 18 of their recommendations calls for projects adjacent to landmarks, within view of historic areas, to be evaluated and guided with a resolve to preserve and protect these valuable parts of our architectural history.

This project is the 8 Washington of the Mission. Please do not let a developer push a project through despite unified community opposition. Please support the neighbors.

Negative Impact on San Franciscans and Mission Residents

This project was initially presented as affordable rental units. Over the course of the various hearings, many people supported the project based on the need for housing. A representative

from the Mayor's Office on Housing testified several times. But now this building is to be condos! (The two 'Below Market' units are certainly not what can be considered affordable to this population). Building expensive condos in an area where longtime residents, small local businesses and the artistic community are leaving for more affordable locales is not acceptable.

The pressure of this type of development on the existing rental market, both residential and commercial, is causing an exodus of not only artists, writers, musicians, and MERCHANTS because of exorbitant rents.

Latinos in the Mission are targeted for displacement in this wave of gentrification. Encantada (the store), the Yanez family, La Rondalla, and countless working class families and individuals, all of whom contributed to this dynamic area, are eradicated. Opportunistic developers are coming to this neighborhood and are being enabled to profit by displacing the people who have built this community.

Conclusion

Please do not allow this project to proceed unamended.

It is my firm belief that a great project can be built in this location. Please support the Mission in its efforts to bring monied interests to the table.

Together we can keep San Francisco and the Mission a great place for all.

We, the neighbors of the Mission, beseech the Board of Supervisors to please support us in keeping our neighborhood for all of us.

Negative impacts of this significance merit an overturn of the Planning Department's approval of the Negative Declaration, and call for a full environmental evaluation of the impacts of this development and, by extension, the cumulative impacts of such development.

Thank you for your consideration.

Respectfully yours,



Alicia Gamez
57 Lapidge Street
San Francisco, CA 94110

From: Board of Supervisors
To: BOS-Supervisors: Lamug, Joy
Subject: Files 130896-130899: Case No. 2007.1457E, 1050 Valencia Street

From: Audrey Bower [mailto:abowersf@gmail.com]
Sent: Friday, October 18, 2013 11:25 AM
To: Board of Supervisors
Subject: Case No. 2007.1457E, 1050 Valencia Street

Dear President Chiu & Supervisors,

I am writing to urge you to **overturn** the Planning Commission's approval of the Final Mitigated Negative Declaration for the subject project. Some of the reasons for requiring a full, quantifiable environmental review of this disastrous development follow.

Negative Impact on Neighborhood

Liberty-Hill neighbors have been working for over 4 1/2 years to get a more compatible development on the corner of Hill and Valencia Streets than the proposed project. The neighbors have been supported by The Victorian Alliance, The Coalition for SF Neighborhoods, San Francisco Beautiful, many merchants along the Valencia Corridor and other SF residents. 340 local residents have signed a petition supporting our contention that this neighborhood would be adversely impacted by this structure. The Marsh Theatre also has a separate petition of arts organizations urging you to realize the extreme negative impact of the proposed structure.

This development is incompatible with and detrimental to this neighborhood and to the historic fabric and the cultural identity that has made this community strong and vibrant. At 55' high, it dwarfs all buildings around it. Its excessive bulk fills the entire 35' X 85' lot and is totally out of character with its surroundings. Fitting 12 units into this area creates an overly dense concentration. **THE DESIGN, AS PROPOSED, WILL CAUSE SIGNIFICANT NEGATIVE IMPACT**

This has always been a family neighborhood and this building is clearly not designed as such. Even though the building gets its zoning from Valencia Street, the majority of the structure is on Hill Street. The open space configuration is a clear indication that this building is not intended for children, as is the lack of any provision for parking (not even share cars), which makes it very challenging to raise a family in this City. There will be additional physical hazards generated by the congestion of services for the 12 units, a restaurant, and an additional business, with deliveries, loading and garbage pick ups contiguous with pedestrian traffic at the corner.

Negative Impact on Historical Resources

In addition to being on the border of the Liberty-Hill Historic District, the site at 1050 Valencia Street is surrounded by properties that have been designated as Historic Resources by The South Mission Historic Resources Survey, as are the majority of buildings facing this site on Valencia Street. This building is in the very center of an historic neighborhood of great value to all of San Francisco, a neighborhood that must be preserved, not destroyed.

The Historic Preservation Commission took issue with the size and the bulk of this design. They also faulted the lack of setback and the proposed materials.

The Eastern Neighborhoods' Plan specifically states that as neighborhoods change and develop, particular care must be taken to preserve and respect historic properties and areas. This tower is completely incongruous and disrespects everything in its vicinity with its disproportionate size.

Even SPUR is calling for more support and specific guidelines for historic protection under CEQA. Point number 18 of their recommendations calls for projects adjacent to landmarks, within view of historic areas, to be evaluated and guided with a resolve to preserve and protect these valuable parts of our architectural history.

Negative Impact on San Francisco

This project was initially presented as affordable rental units. Over the course of the various hearings, many folks supported the project based on the need for housing. A representative from the Mayor's Office on Housing testified several times. But now this building is to be condos! (The two 'Below Market' units are certainly not what can be considered affordable to this population). Building expensive condos in an area where longtime residents, small local businesses and the artistic community are leaving for more affordable locales is not acceptable.

All this is being done under the guidelines of the Eastern Neighborhoods Plan (ENP). The ENP is based on the concept of this neighborhood being "Transit Rich" while, in fact, the neighborhood has become "transportation poor". Valencia Street, a so-called transit rich corridor, has had both of its Muni lines eliminated. (Google buses are the only bus transport on Valencia Street and they do not serve the public). Both Muni lines have been eliminated from Guerrero Street. Mission Street has also had one line eliminated. THIS NEIGHBORHOOD HAS LOST 3/4 OF ITS PUBLIC TRANSPORTATION at the same time that 'Transportation First' has been the policy of SF.

The Eastern Neighborhoods Plan endorses buildings without parking based on the inflated and fictitious view of transit richness. Our street cannot support the additional cars that the Planning Department states will be added to our block. The greater neighborhood has lost or will lose almost 100 parking spaces due to the creation of "parklets", curb bump-outs and the Bartlett Street Plaza. The overflow of residents from nearby new buildings with inadequate parking, coupled with the booming Mission scene has already created a local parking crisis. The Bartlett Street Garage is full on weekends and maintains a 6 month to 2 year waiting list for spaces. These spaces are simply not affordable to many in our community, certainly not families and artists already

struggling with high rents. This most certainly impacts the livability of this neighborhood. Many people must have cars.

Conclusion

This building has significant negative impacts on our historic district and will be detrimental to the feel of our small historic street.

Negative impacts of this significance merit an overturn of the Planning Department's approval of the Negative Declaration, and call for a full environmental evaluation of the impacts of this development and, by extension, the cumulative impacts of such development.

Thank you for your consideration.

Respectfully yours,

Audrey Bower

22 Hill Street

From: Board of Supervisors
To: BOS-Supervisors: Lamug, Joy
Subject: Files 130896-130899 1050-1058 Valencia Street
Attachments: Supervisor Chiu.docx

From: Risa Teitelbaum [mailto:risat123@comcast.net]
Sent: Thursday, October 17, 2013 7:20 PM
To: Board of Supervisors
Subject: 1050-1058 Valencia Street

Dear President Chui and Supervisors.
Angela Calvillo, Clerk of the Board.

I am writing to urge you to overturn the Final Mitigated Negative Declaration.
Please see the attached letter for details.

Respectfully,
Risa Teitelbaum

10 Hill Street
San Francisco, CA 94110
October 17, 2013

President David Chiu
San Francisco Board of Supervisors
Room 244, City Hall
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Subject: Case No. 2007.1457E, 1050 Valencia Street

Dear Supervisor Chiu,

I urge you to overturn the Planning Commission's approval of the Final Mitigated Negative Declaration for the subject project. Some of the reasons for requiring a full, quantifiable environmental review of this disastrous development follow.

Negative Impact on Neighborhood

Liberty-Hill neighbors have been working for over 4 1/2 years to get a more compatible development on the corner of Hill and Valencia Streets than the proposed project. The neighbors have been supported by The Victorian Alliance, The Coalition for SF Neighborhoods, San Francisco Beautiful, many merchants along the Valencia Corridor and other SF residents. 340 local residents have signed a petition supporting our contention that this neighborhood would be adversely impacted by this structure. The Marsh Theatre also has a separate petition of arts organizations urging you to realize the extreme negative impact of the proposed structure.

This development is incompatible with and detrimental to this neighborhood and to the historic fabric and the cultural identity that has made this community strong and vibrant. At 55' high, it dwarfs all buildings around it. Its excessive bulk fills the entire 35' X 85' lot and is totally out of character with its surroundings. Fitting 12 units into this area creates an overly dense concentration. **THE DESIGN, AS PROPOSED, WILL CAUSE SIGNIFICANT NEGATIVE IMPACT.**

This has always been a family neighborhood and this building is clearly not

designed as such. Even though the building gets its zoning from Valencia Street, the majority of the structure is on Hill Street. The open space configuration is a clear indication that this building is not intended for children, as is the lack of any provision for parking (not even share cars), which makes it very challenging to raise a family in this City. There will be additional physical hazards generated by the congestion of services for the 12 units, a restaurant, and an additional business, with deliveries, loading and garbage pick ups contiguous with pedestrian traffic at the corner.

Negative Impact on Historical Resources

In addition to being on the border of the Liberty-Hill Historic District, the site at 1050 Valencia Street is surrounded by properties that have been designated as Historic Resources by The South Mission Historic Resources Survey, as are the majority of buildings facing this site on Valencia Street. This building is in the very center of an historic neighborhood of great value to all of San Francisco, a neighborhood that must be preserved, not destroyed.

The Historic Preservation Commission took issue with the size and the bulk of this design. They also faulted the lack of setback and the proposed materials. (Alan Martinez, a major figure in the South Mission Historic Resource Survey, had to reclude himself from the proceedings because he shares an architectural space with the designer of this development. It is doubtful that he would have supported a structure so disproportionate and disrespectful to this important historical part of the Mission if he did not have this conflict.)

The Eastern Neighborhoods' Plan specifically states that as neighborhoods change and develop, particular care must be taken to preserve and respect historic properties and areas. This tower is completely incongruous and disrespects everything in its vicinity with its disproportionate size.

Commissioner Katherine Moore, in her comments at our last Planning Commission hearing on September 9, 2012, said that there needed to be some mechanism to deal with situations such as this where a site is surrounded by historic streetscapes.

Now SPUR is calling for more support and specific guidelines for historic protection under CEQA. Point number 18 of their recommendations calls for projects adjacent to landmarks, within view of historic areas, to be evaluated and guided with a resolve to preserve and protect these valuable parts of our architectural history.

Negative Impact on San Francisco

This project was initially presented as affordable rental units. Over the course of the various hearings, many folks supported the project based on the need for housing. A representative from the Mayor's Office on Housing testified several times. But now this building is to be condos! (The two 'Below Market' units are certainly not what can be considered affordable to this population). Building expensive condos in an area where longtime residents, small local businesses and the artistic community are leaving for more affordable locales is not acceptable.

The pressure of this type of development on the existing rental market, both residential and commercial, is causing an exodus of not only artists, writers and musicians, but is also displacing much of the Latino population, the very people who have been key in making this neighborhood vibrant and desirable. Yet these are the people who have been in this community, living and working here before it was so trendy. We are losing so many of our longtime merchants who have been forced out because of exorbitant rents. Opportunistic developers are coming to this neighborhood and are being enabled to profit by displacing the people who have built this community.

All this is being done under the guidelines of the Eastern Neighborhoods Plan (ENP). The ENP is based on the concept of this neighborhood being "Transit Rich" while, in fact, the neighborhood has become "transportation poor". Valencia Street, a so-called transit rich corridor, has had both of its Muni lines eliminated. (Google buses are the only bus transport on Valencia Street and they do not serve the public). Both Muni lines have been eliminated from Guerrero Street. Mission Street has also had one line eliminated. **THIS NEIGHBORHOOD HAS LOST 3/4 OF ITS PUBLIC TRANSPORTATION** at the same time that 'Transportation First' has been the policy of SF.

The Eastern Neighborhoods Plan endorses buildings without parking based on the inflated and fictitious view of transit richness. Our street cannot support the additional cars that the Planning Department states will be added to our block. The greater neighborhood has lost or will lose almost 100 parking spaces due to the creation of "parklets", curb bump-outs and the Bartlett Street Plaza. The overflow of residents from nearby new buildings with inadequate parking, coupled with the booming Mission scene has already created a local parking crisis. The Bartlett Street Garage is full on weekends and maintains a 6 month to 2 year waiting list for spaces. These spaces are simply not affordable to many in our community, certainly not families and artists already struggling with high rents. This

most certainly impacts the livability of this neighborhood. Many people must have cars.

In fact the Project Sponsor has always driven to our meetings and to his property. The three proprietors of the current restaurant park their three big SUVs at the so-called loading zone. The architect also had parking saved for him for neighborhood meetings. Yet they allege that the impacts of parking are not significant. This smacks of base hypocrisy.

Conclusion

Do not reward the greed and selfishness of this damaging project. The developers have been told by the Historic Preservation Commission as well as the Planning Commission to work with the neighbors, yet they have maintained an intractable stance on constructing a building of incongruous height and offending bulk, mostly on Hill Street, while hiding behind the technicalities of the ENP and a cavalier approach to environmental and social impacts as "not significant".

Permitting a building that creates problems and destroys community is significant to the people who live and work here. Putting shadows over entire lots is significant. Turning a residential street into a service area for condos is significant.

Negative impacts of this significance merit an overturn of the Planning Department's approval of the Negative Declaration, and call for a full environmental evaluation of the impacts of this development and, by extension, the cumulative impacts of such development.

Thank you for your consideration.

Respectfully yours,

Risa Teitelbaum

October 15, 2013

Honorable David Chiu, President
Board of Supervisors
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102-4689

**Re: 1050-1058 Valencia Street – Project Sponsor’s Opposition to Appeal of
CEQA Determination
Hearing Date: October 22, 2013
Our File No.: 8310.01**

Dear President Chiu and Supervisors:

This office represents Shizuo Holdings Trust, the project sponsor (“Sponsor”) of a project to construct a five-story mixed use residential-over-commercial building (the “Project”) at 1050-1058 Valencia Street (the “Property”). We are writing to respond to the appeal of the project’s Mitigated Negative Declaration (“MND”), filed on behalf of the Liberty Hill Neighborhood Association (“LHNA” or “Appellants”) on September 12, 2013. This appeal is meritless, and should be rejected.

A. Summary

Appellants fail to raise any substantial evidence that the Project could have a significant impact on the environment. The Project’s MND is the result of years of thoughtful and detailed analysis by the Planning Department, as well as the independent review of the Planning Commission and Historic Preservation Commission. The MND contains ample evidence to support the Planning Department’s independent judgment that the Project:

- Will not cause significant impacts with respect to its scale or architectural design;
- Will not cause significant impacts to public views or scenic vistas;
- Will not cause substantial light or glare impacts;
- Will not impair the livability or character of the neighborhood;
- Will not significantly impact any historic resources;
- Will not generate significant impacts to parking, loading, traffic and circulation, noise, air quality, or shadow;

James A. Reuben | Andrew J. Junius | Kevin H. Rose | Daniel A. Frattin
Sheryl Reuben¹ | David Silverman | Thomas Tunny | Jay F. Drake | John Kevin
Lindsay M. Petrone | Melinda A. Sarjapur | Kenda H. McIntosh | Jared Eigerman^{2,3} | John McInerney III²

1. Also admitted in New York 2. Of Counsel 3. Also admitted in Massachusetts

One Bush Street, Suite 600
San Francisco, CA 94104

tel: 415-567-9000
fax: 415-399-9480

www.reubenlaw.com

On March 22, 2010, members of the LHNA appealed issuance of the Project's MND to the Planning Commission, alleging nearly identical concerns to those raised in the current appeal. In June 2010, the Historic Preservation Commission also reviewed the Project pursuant to the Eastern Neighborhoods Area Plan Interim Permit Review Procedures for Historic Resources, and found that the Planning Department's CEQA analysis of potential impacts to historic resources was adequate.

On July 8, 2010, the Planning commission held a noticed public hearing to discuss the appeal of the PMND, and directed that additional discussion and analysis concerning the Liberty Hill Historic District be added to the document. The document was subsequently revised in September 2010, to include an even more detailed discussion of the Project's relationship to the Liberty Hill Historic District. A Planning staff memorandum, dated September 23, 2010, addressed and responded to all of the points raised by the LHNA in its previous appeal, and determined that the LHNA had failed to raise any substantial evidence supporting a fair argument that a significant environmental effect could occur as a result of the Project. Accordingly, on September 30th, 2010, the Planning Commission affirmed the Department's decision to issue the PMND.

On September 12, 2013, Appellants filed the current appeal of the Project's MND to the Board of Supervisors. The issues raised on the current appeal are nearly identical to those raised in the LHNA's previous appeal of the PMND to the Planning Commission.

D. Standard of Review Under CEQA

In reviewing the validity of a Negative Declaration, the test is whether "substantial evidence" exists to support the Negative Declaration. (Public Resources Code sections 21168, 21168.5.) As recently stated by the court in Calbeach Advocates v. City of Solana Beach (2002) 103 Cal.App.4th 529, 535-536:

'Substantial evidence' . . . means enough relevant information and reasonable inference from this information that a fair argument can be made to support a conclusion, even though other conclusions might also be reached. Whether a fair argument can be made is to be determined by examining the entire record. Mere uncorroborated opinion or rumor does not constitute substantial evidence.

To constitute substantial evidence, statements made by members of the public must be supported by adequate factual foundation. If this foundation is not established, the agency must disregard the comments. (Gabric v. City of Rancho Palo Verdes (1977) 73 Cal.App.3rd 183, 199.) Substantial evidence means facts, reasonable assumptions predicated on facts, and expert opinion supported by facts. (*Cal. Pub. Res. Code Sec. 21080(e) and 21082.2(c).*)

One Bush Street, Suite 600
San Francisco, CA 94104

tel: 415-567-9000
fax: 415-399-9480

REUBEN, JUNIUS & ROSE, LLP

www.reubenlaw.com

Argument, speculation, unsubstantiated opinion or narrative, clearly inaccurate or erroneous evidence, and evidence of social or economic impacts that do not contribute to, and are not caused by, physical impacts on the environment do not constitute substantial evidence. (*Id.*) The existence of public controversy over the environmental effects of a project shall not require preparation of an environmental impact report if there is no substantial evidence in light of the whole record before the project may have a significant effect on the environment. (Public Resources Code section 21082.2(b).) Appellants have failed to submit any substantial evidence in support of their appeal, and therefore their claims must be rejected as meritless.

E. Appellants Fail to Show Substantial Evidence of Significant Impacts

Appellants ask that the Project's heavily-vetted MND be set aside due to an alleged failure to adequately review visual impacts, impacts on the nearby historic district, and impacts to the character of the surrounding neighborhood. Appellants also allege potential impacts to parking, traffic, noise, air quality, and hazardous materials. These allegations are nearly identical to those made by the LHNA in its 2010 appeal of the MND to the Planning Commission. Similar to the previous appeal, the LHNA has failed to provide any substantial evidence of the existence of significant impacts generated by the Project, and instead relies on speculative statements and unsubstantiated opinions related to the merits of the Project's design and context within the surrounding neighborhood.

The MND contains detailed analysis of the Project's potential environmental impacts, including discussion of each of the elements raised by the LHNA. Issuance of the MND was the result of the Planning Department's independent judgment and analysis, supported by substantial evidence, that the Project could not have a significant impact on the environment.

A brief discussion of the adequacy of the MND with regard to some of Appellants' specific allegations is provided below. A more in-depth discussion of the analysis contained in the Project's MND is provided in the October 14, 2013 memorandum, submitted by Sarah B. Jones and Tania Sheyner of the Planning Department, to the Board, in response to the current appeal.

1. Historic Resources

Appellants allege that the MND fails to adequately review the potential impacts of the Project on the nearby Liberty Hill Historic District. However, pages 31 through 31j and 312 of the MND specifically address the Project's proximity to and potential impacts upon on the Liberty Hill Historic District and conclude that the project would have a less-than-significant impact on the District. This conclusion is supported by the fact that the Project would be located outside of the boundaries of the Liberty Hill Historic District. The Historic Preservation Commission also independently reviewed the Project in accordance with the

One Bush Street, Suite 600
San Francisco, CA 94104

tel: 415-567-9000
fax: 415-399-9480

REUBEN, JUNIUS & ROSE, LLP

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Eastern Neighborhoods Area Plan Interim Permit Review Procedures for Historic Resources, and determined that the Planning Department's CEQA analysis of the potential impacts of the Project on historic resources was adequate. Appellants fail to provide any substantial evidence to the contrary.

2. Neighborhood Character

Appellants allege that the MND does not adequately discuss the impact of the Project's design on the character of the surrounding neighborhood. However, the MND expressly discusses the Project's character with regard to its proposed land uses, aesthetics, height, bulk, and architectural design. The MND also analyzes the context of the Project within its immediate neighborhood as well as the surrounding Valencia Street NCT Zoning District. The MND notes that the Project would result in a more intensified land use than currently exists at the site, and would be taller than the neighboring structures along Valencia and Hill Street. However, on the basis of substantial evidence referenced in the MND regarding the overall land use and development scheme of the surrounding community, the Planning Department concluded that the Project would not have a significant impact to neighborhood character. Issues related to building design and aesthetics are subjective, and vary among individuals. Appellants' personal opinions regarding the merits of the Project's design or its visual relationship to other buildings in the vicinity do not create substantial evidence of significant impacts to the environment under CEQA, and are not relevant to this appeal.

3. View and Light Blockage

Appellants have provided a letter from Grasetti Environmental Consulting ("Grasetti"), alleging that the MND is inadequate because it does not consider impacts to private views, shading and light. Grasetti cites a 2004 California Court of Appeal decision to support the premise that CEQA requires an evaluation of a project's physical light and air impacts to private residences. However, the reduction of sunlight or views to private residences does not constitute a significant impact under CEQA. (*see Bowman v. City of Berkeley* (2004) 122 Cal.App.4th 572, 586 ["Obstruction of a few private vies in a project's immediate vicinity is not generally regarded as a significant environmental impact."]; *Mira Mar Mobile Community v. City of Oceanside* (2004) 119 Cal.App.4th 477, 492-493 ["[u]nder CEQA, the question is whether a project will affect the environment of persons in general, not whether the project will affect particular persons"]; and *Id.* at 492 ["California landowners do not have a right of access to air, light and view over adjoining property."].) The MND analyzes potential shadow impacts of the Project on surrounding properties on pages 61-62, and appropriately concludes that reduction of sunlight on private residences would not constitute a significant impact under CEQA. Likewise, the MND discusses the Project's potential impacts on scenic vistas and view on pages 23 through 27, concluding that

One Bush Street, Suite 600
San Francisco, CA 94104

tel: 415-567-9000
fax: 415-399-9480

REUBEN, JUNIUS & ROSE, LLP

www.reubenlaw.com

the Project will not create significant impacts in this area. Appellants have failed to raise any substantial evidence to the contrary.

F. Conclusion

The Project has been fully analyzed by the Planning Department, which determined, based on substantial evidence, that it could not have a significant effect on the environment. Appellants have failed to offer any substantial evidence of adverse environmental impacts generated by the Project, and instead are attempting to rehash issues already analyzed in the MND and addressed by the Planning Commission during the 2010 appeal. We therefore respectfully request that the appeal be denied.

Very truly yours,

REUBEN, JUNIUS & ROSE, LLP



Melinda Sarjapur

cc: Supervisor John Avalos
Supervisor London Breed
Supervisor David Campos
Supervisor Malia Cohen
Supervisor Mark Farrell
Supervisor Jane Kim
Supervisor Eric Mar
Supervisor Katy Tang
Supervisor Scott Wiener
Supervisor Norman Yee
Angela Calvillo – Clerk of the Board
Mark Rutherford – Shizuo Holdings Trust
Stephen Antonaros – Project Architect
Sara B. Jones – Planning Department
Tania Sheyner – Planning Department
Andrew J. Junius – Reuben Junius & Rose, LLP

One Bush Street, Suite 600
San Francisco, CA 94104

tel: 415-567-9000
fax: 415-399-9480

REUBEN, JUNIUS & ROSE, LLP

www.reubenlaw.com

From: Board of Supervisors
To: BOS Supervisors; Miller, Alisa
Subject: File130084: The Excelsior needs your "Innovation"

From: Carolyn Wolff [mailto:cwolff@site-savvy.com]
Sent: Thursday, October 17, 2013 2:54 PM
To: Lee, Mayor
Cc: Avalos, John; doug_vu@sfgov.org; Rahaim, John; Falvey, Timothy; Tavakoli@sfgov.org; Board of Supervisors; 'Carolyn Wolff'
Subject: The Excelsior needs your "Innovation"

Dear Mayor Lee -

It has been a long time! ☺ I am another concerned parent/ resident of the **Excelsior**. Since the person who opens up this email will probably only read a sentence or two—I am going to offer up my suggestions at the top. I do know that everyone works hard for the city- thank you for reading.

- Why not “do a Carlos Garcia”—and indentify the need neighborhoods and make them “Mayoral Zones” and seriously invest with infrastructure and services for a decade- so they catch up with other parts of the city.
- How about for 5 straight years – McLaren Park gets 75% of the funds slated for Golden Gate Park?
- Dumping, trash, dog poop- we need extra services to address this quality of life issue! I have been walking my son to school for 5 years and it is a daily obstacle course of truly disguising waste on the sidewalk. Can you ask Ron Conway or one of your airB&B or Twitter 12 year-old genius pals, to instead of avoiding city hotel occupancy taxes to rather “innovate” a solution for the city to better deal with trash and dumping in lower income neighborhoods? Of course it would also involve you assigning more funds for manpower to the neighborhood
- We need more beat cops walking Mission Street and Geneva Street. The shooting last week was a tragedy. And the multiple gambling internet cafés are a serious problem
- We need the city to invest in this district – we always know what we don’t get our fair share of the pot—and the wealthier neighborhoods with the lobbying muscle do

I am a homeowner, small business owner, my husband is a Public School educator, my child goes to Monroe, an Excelsior public school. I am active in the community /public school community. There are MANY people who do so much volunteering and hard work in this neighborhood. It is a wonderfully diverse community, an involved one – just not one with a lot of money. Our Supervisor works very hard and tirelessly advocates for us. The question is, will you?

We need:

- A safe neighborhood (first and foremost)
- A clean neighborhood
- A neighborhood with stores that we will use, and not have to leave the neighborhood to find. We won’t get those store with more MDC’s on Mission Street.

See you at the meeting, next week on October 23, at the Boys and Girls club.

Thank you,

Carolyn Wolff
Madrid Street, Excelsior

From: Board of Supervisors
To: BOS-Supervisors; Miller, Alisa
Subject: File 130084: [neystreetnw] MCD's, Illegal Gambling, and Beat Cops in the Excelsior

From: Mariana Carrillo [mailto:marianascp83@gmail.com]
Sent: Tuesday, October 15, 2013 12:08 PM
To: Patricia De Fonte
Cc: Tavakoli, Shahde; Lee, Mayor; Avalos, John; doug_vu@sfgov.org; Ney Street Neighborhood Watch; Board of Supervisors; Falvey, Timothy; Rahaim, John
Subject: Re: [neystreetnw] MCD's, Illegal Gambling, and Beat Cops in the Excelsior

Hi I live in the neybor with my family too, and this is our first year here, I am also very concern about what happened last week, and I wanted to add that the week before on Monday around 9am I could hear loud sound which I didn't know were gunshot until the next morning while playing in the back yard with my kids, I could see police officers on Trumbull st and asked what happend, that morning I could see 3 young guys walking by giving hi fives and celebrating after the gunshots, it could be them I don't know but if were them they look proud and happy about what had happened they know theres no police around and they can do watever they want in our neybor wich is unacceptable, since we have family and kids just like mine playing in backyards and shouldn't witness anything like this.

On Oct 15, 2013 11:21 AM, "Patricia De Fonte" <patricia_defonte@yahoo.com> wrote:

Dear Mr. Rahaim, San Francisco Supervisors and Mayor Lee,

I have been a resident of the Excelsior since 2008, and live in a home owned by my husband's family since the 1950's. I have two young children and they will grow up in the Excelsior. I am the block captain of San Francisco's largest and award winning Ney Street Neighborhood Watch and have worked tirelessly and relentlessly to improve my Neyborhood in the past few years.

While my Neybors and I have planted trees, painted fences, written (and won) beautification grants, educated residents on personal and residential safety, worked with DPW and other City agencies to clean up filthy and neglected commercial interests and private property, the City of San Francisco has permitted the Excelsior commercial corridor to become a dangerous, filthy place sorely lacking in services residents want and need.

I am writing to you to make three points:

1. No further MCD's should be approved until John Avalos' proposed anti-clustering legislation is finalized. We have one pot club between Silver and Trumbull, there is no valid reason for opening another one within 1000 feet of that club. We need a mix of businesses - and two pot clubs within 400 feet of each other will stifle further economic development - who will open a coffee shop or deli or pet store or book store near two pot clubs with security guards hanging around outside all day? Who will install a parklet near two pot clubs?

2. The "internet" cafes which are actually gambling halls need to be shut down. The full force of the law must be brought against the property owners and the business owners. These types of businesses can destroy our neighborhood because, again, who in their right mind would open a normal business next door to NetStop or anything like NetStop? Residents are afraid to walk past that store, and it is bringing crime and strife to the Excelsior.

3. The lack of foot patrol officers on Mission Street has been inexcusable for a very long time. On October 9th at 4pm it became crystal clear that people with guns feel perfectly at ease committing murder in broad daylight in front of children in the heart of the Excelsior. This situation cannot be tolerated for one more day.

NSNW has been told multiple times by Ingleside SFPD that due to large numbers of retiring officers that they do not have the manpower to place more than one officer between Mt. Vernon and Silver Avenue, and that when the new officers coming out of the police academy are placed on foot patrol they will be "green" and it will take them a while to learn the ropes. We don't have the luxury of time - please support Captain Falvey and Ingleside SFPD and get them the experienced officers they need to provide my community with sufficient numbers of experienced police officers. We need increased foot patrols between Trumbull Street to Ms. Vernon Street (just past Geneva).

* * *

If the City of San Francisco is going to permit unlimited numbers of MCDs and internet gambling halls to operate in the Excelsior then the City of San Francisco can consider itself the cause of the destruction of this blue collar, hard working, traditional residential district. If the City of San Francisco does not take immediate action to put seasoned police officers on sufficient foot patrols this will send a message that violent crime is permissible on Mission Street in the Excelsior. I urge you to act quickly and in the best interests of the residents and voters of District 11.

I look forward to all opportunities to discuss these matters - whether in town halls meetings, BOS meetings or otherwise.

Patricia De Fonte,
Excelsior Resident and Voter

CC:

Director John Rahaim, The San Francisco Planning Commission John.rahaim@sfgov.org

Supervisor John Avalos john.avalos@sfgov.org

Shahde Tavakoli Shahde Office of Mayor Edwin M. Lee Tavakoli@sfgov.org

Doug Vu, Planning Dept. Staff overseeing the Lucky Dragon MCD planned for 4130 Mission Street,
doug_vu@sfgov.org

Mayor Ed Lee, mayoredwinlee@sfgov.org

Captain Timothy Falvey, Ingleside SFPD, timothy.falvey@sfgov.org

San Francisco Board of Supervisors, board.of.supervisors@sfgov.org

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From: Board of Supervisors
To: BOS-Supervisors; Miller, Alisa
Subject: File 130084: MCD's, Illegal Gambling, and Beat Cops in the Excelsior

From: Patricia De Fonte [mailto:patricia_defonte@yahoo.com]
Sent: Tuesday, October 15, 2013 11:22 AM
To: Avalos, John; Rahaim, John; Lee, Mayor; doug_vu@sfgov.org; Tavakoli, Shahde; Falvey, Timothy; Board of Supervisors
Cc: Ney Street Neighborhood Watch
Subject: MCD's, Illegal Gambling, and Beat Cops in the Excelsior

Dear Mr. Rahaim, San Francisco Supervisors and Mayor Lee,

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* * *

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Patricia De Fonte,
Excelsior Resident and Voter

CC:
Director John Rahaim, The San Francisco Planning Commission John.rahaim@sfgov.org
Supervisor John Avalos john.avalos@sfgov.org
Shahde Tavakoli Shahde Office of Mayor Edwin M. Lee Tavakoli@sfgov.org
Doug Vu, Planning Dept. Staff overseeing the Lucky Dragon MCD planned for 4130 Mission Street, doug_vu@sfgov.org
Mayor Ed Lee, mayoredwinlee@sfgov.org
Captain Timothy Falvey, Ingleside SFPD, timothy.falvey@sfgov.org
San Francisco Board of Supervisors, board.of.supervisors@sfgov.org

From: Carlin DeCato [carlin.decato@gmail.com]
Sent: Sunday, October 13, 2013 11:28 PM
To: Board of Supervisors
Cc: Miller, Alisa
Subject: I'm so worried about the altered childhood, children of 94112 are experiencing

ref file number #130084

Dear Supervisors.

I've lived on McLaren Ridge for 22 years , since before having children, with my husband.

The last 17 years since having children, I've volunteered my time towards community issues on both sides of this ridge (the portola and excelsior) to almost no avail of progress and I've waited and waited to see this neighborhood be given the attention and funds it needs in order to become safer and cleaner and a little more appealing. It's simply amazing to me as to how many resources are poured into other parts of this city while in the 94112, we can barely find a parking place for our shopping errands, there's hardly a decent grocery store, we look at trash 24/7, walk on broken sidewalks, and take great risks driving or walking the streets of this area.

Even with all this, I've somehow managed to raise two daughters here and as they've grown have attempted to give them a bit of freedom to ride the muni and walk to the park or a restaurant on Mission though every minute they are away from home I feel worried. I'm never sure what will go down and always overcome with the fear that they'll be in the wrong place at the wrong time. But there's something worse than these awful parental worries. It's actually the case now that my children no longer desire to go for walks in their neighborhood or go out exploring in any way. They are afraid. Scared not because of continually sensing their mother filled with anxiety. They are scared because of what they have directly seen and heard about over the years. It makes them feel insecure. What a terrible thing for children to not be able to go around their own neighborhood on foot or bikes with their friends....here in one of the most famous cities of America. Scared , insecure kids that don't feel the joy and confidence to enjoy and explore their home surroundings. This is a disaster in my mind. Something I wish I could change for all the children in this area because I know for a fact mine are not alone in this experience. Can you imagine ... kids that want to stay in the house, (and btw it's not because of video games or something like that) because it looks and feels so intimidating to be out alone or even with a friend. What type of childhood is this? How can this be in this day and age, here in the Golden State of California.

Something has to be done. We have to shut down the crime drawing establishments, whether it be prostitute rings, drug selling MCDs, liquor stores, grow houses, or gambling hangouts, and we especially have to deter, deflate, and eliminate the urban gangs and their turf war activities. And we need to clean up the merchant stretch as far as trash and signage as well.

How a shop such as NetStop was ever allowed into a lease on Mission Street is baffling to me. The same goes for MCD's within such close proximity to a public library and elementary schools.

I'm counting on your leadership to bring all of this under control for the sake of the children, ie the future citizens of SF and beyond.

Sincerely,

Carlin

File 130084

From: Board of Supervisors
To: BOS-Supervisors
Subject: Outer Mission Street Neighborhood Commercial District

-----Original Message-----

From: Chuck Whelon [mailto:whelon@mac.com]
Sent: Friday, October 11, 2013 4:20 PM
To: Board of Supervisors; Miller, Alisa
Cc: Avalos, John; Hsieh, Frances; Pollock, Jeremy
Subject: Outer Mission Street Neighborhood Commercial District

Dear Supervisors & Clerk for the Land use Committee,

As a home-owner and parent living in San Francisco's Excelsior district, I am writing this formal letter of support for limiting alcohol sales and fringe financial businesses, as well as preventing any additional Medical Cannabis Dispensaries anywhere along Geneva, or the Excelsior's Mission Street section

In particular, I support the legislation introduced by Supervisor Avalos earlier this year, to create an Outer Mission Street Neighborhood Commercial District (NCD), covering the Mission Street corridor from Trumbull to the San Francisco-San Mateo County border, and including business along Geneva.

I have lived in the Excelsior for over a decade now, and during that time I have found the neighborhood to be a pleasant, friendly and open place to live, and I have seen a gradual but steady improvement in the condition of properties and the businesses supported by the Mission Street Corridor (e.g. Library renovation, playgrounds, shops, restaurants, Balboa Park, McLaren Park). However, over the last year or so this trend seems to have reversed. I don't know if the cause is the general economy or something else, but businesses such as the online gambling casino "Net Stop" at 4458 Mission St (between Cotter St & Francis St) seem to be a contributing factor.

The opening of "Net Stop" has caused a marked increase in the number of people hanging out on the street in that area, and has generally caused a rise in the number of crimes reported in the vicinity of that business. This increase in crime seems to have been brought to a head in the tragic fatal shooting at the corner of Mission and Brazil this past Wednesday. Need I add that the incident occurred right outside the check-cashing business on that corner?

In my own personal experience, just a couple of weeks ago, I was walking home up Persia Street toward my home on Paris Street when I was punched in the head for no apparent reason by a rough-looking man that I did not know. The blow knocked me to the ground and left me with a mild concussion, but fortunately/strangely I was not actually robbed. As someone who suffers from Spina Bifida and has difficulty walking, I found the whole incident to be particularly distressing. Never before have I experienced anything remotely like this in this neighborhood. (SFPD Case # 130 738 884)

"Net Stop" itself is only 2 very short blocks from the San Francisco Community School (Elementary & Middle) and another block away is Monroe Elementary School. My son is in 6th Grade at SFCS right now, and I no longer feel safe in allowing him to walk alone to his school which is only a block and a half from our house, across Brazil Street.

I realize that some more central areas of Mission Street have become increasingly gentrified over the past few years, but that is no reason that we should allow the Excelsior to

downgrade. The Excelsior has always been a very diverse neighborhood with a high number of immigrants from all over the world, living in what I regards as a very mutually respectful and supportive environment. The neighborhood has a long an interesting history and also supports one of the highest proportions of kids and families in San Francisco. It is often referred to as "Working Class" by local news outlets, and it's true that there is much low-rent accommodation in and around Mission Street itself, however the neighborhood is far more diverse than that. With properties selling for upwards of 500K, it is one of the best true San Francisco neighborhoods where ordinary middle class families such as mine have any chance of being able to own their own house in this great city.

Best Regards

Chuck Whelon
342 Paris Street
San Francsico
CA 94112

Cell: 415-516-4612
Studio/Fax: 415-333-8933

chuck@whelon.com
<http://www.whelon.com>

From: Board of Supervisors
To: BOS-Supervisors
Subject: FW: An Otherwise Good San Francisco Bay Guardian Article ("Friends in the shadows," Describing Folks Trying to Buy Influence at City Hall), Unfortunately Presents Historical Inaccuracies About Laguna Honda Hospital's Scandals

From: pmonette-shaw [mailto:Pmonette-shaw@earthlink.net]
Sent: Saturday, October 12, 2013 11:17 PM
Subject: An Otherwise Good San Francisco Bay Guardian Article ("Friends in the shadows," Describing Folks Trying to Buy Influence at City Hall), Unfortunately Presents Historical Inaccuracies About Laguna Honda Hospital's Scandals

The *San Francisco Bay Guardian's* print edition now on new stands (and on-line) carries an article titled "*Friends in the shadows*," about how developers, corporations, and city contractors attempting to buy influence at San Francisco City Hall via non-profit organizations with the words "Friends of ..." in the names of their various City Department Foundations may be channeling funds and dodging disclosure rules.

The article describes "Friend of ..." various City Departments funneling undisclosed gifts. It's a useful special investigation by the *Bay Guardian* as far as it goes, but reporters Rebecca Bowe and Joe Fitzgerald Rodriguez introduce a number of factual errors in the second half of their article.

Willie Brown didn't find the funds to purchase furniture, fixtures and equipment for Laguna Honda Hospital after former City Attorney Louise Renne's now-defunct Laguna Honda Foundation failed to raise a single dime towards the \$15 million for furniture she told the IRS her Foundation would raise in order to be awarded 501(c)(3) non-profit status .. and then hid behind a so-called fiscal sponsor.

Willie Brown didn't do that; it was the then Board of Supervisors — under then Mayor Gavin Newsom — that found and appropriated the funds for LHH's FFE from the City's General Fund, at taxpayer expense. Slick Willie had nothing to do with it, and he certainly had nothing to do with raiding the General Fund.

Ms. Bowe's and Mr. Rodriguez's factually incorrect reporting that City Attorney Dennis Herrera had "successfully litigated on behalf of whistleblowers" Derek Kerr and Maria Rivero, senior physician specialists at Laguna Honda Hospital who drummed out of their jobs, is another glaring error. Herrera did no such thing litigating on behalf of Kerr and Rivero, as Bowe and Rodriguez must know. Instead, Herrera fought the two doctors every step of the way, and refused to investigate the raid of Laguna Honda patients' gift fund. To the extent the patient gift fund was made somewhat "whole" with return of \$350,000 wrongly diverted to staff perks instead, it wasn't due to City Attorney Herrera, but came about only because of intense political pressure that eventually required the City Controller's Office — not the City Attorney's Office — to audit the patient gift fund in a really long, drawn-out audit using a flawed methodology to help cover up

the wrongdoing.

There's more errors in Bowe's and Rodriguez's article posted in my Comments following the *Guardian's* on-line article. Overall, it was a much-needed issue meriting a public discussion in print, but from my perspective, errors in the article don't pass the "re-writing history" test.

Patrick Monette-Shaw

To unsubscribe, send me an e-mail

From: Board of Supervisors
To: BOS-Supervisors
Subject: MTA meeting Tuesday

From: David K [mailto:david_khan415@yahoo.com]
Sent: Monday, October 14, 2013 12:40 AM
To: Mark Gruberg; Ed Healy; Hayashi, Christiane; john barry; Board of Supervisors
Cc: Douglas O'connor; Rachael Swan; Aye Myint; peter kirby; Trevor Johnson; John Han
Subject: Re: MTA meeting Tuesday

I do not understand how things are going after MTA took over taxi service. Since day one, I have the doubt that it will be a mess and I did not even apply for medallion and it is a great decision. I feel pity for the cab drivers for all the abuse and extortions they have to put up.

In fair business practice, the city officials corrupt and yet no investigation has been done. The illegal car services flood the streets with some smart phone and taking away the livelihood of the people relying on the income to keep their families. The mayor just said its creating jobs and instructed the enforcement personnel not to regulate(some city employees are not happy about it). There is no place in SF to file complaint about these matters since everyone is corrupt.

The Lyft, Sidecar and UberX are not supposed to be on the road at all since the legislation hasn't been complete or enforcement in place.

That simply show how corrupt the regulatory body is. And now they are trying to kill the taxi industry that pay the city \$20Mil/year seems the same as killing the goose giving golden egg.

Why do we have to pay for the cabs while everyone can get on the road and be a taxi without regulation? Who will drive the 2200 medallions the city will issue?

It is disgusting to hear, see and feel the corruption in this country. I looked up on the US as a country with discipline, law and order with dignity but it is worse than third world country when it comes to violating human rights and corruption.

As the citizen of the United States of America, the government employees work for me and they have to be removed from office and prosecute them with the full extense of law.

I am taking this matter to the Federal level and will make sure It gets the attention of the President, congress and the senate.

It is not mission impossible that just need the commitment and consistent. Everyone in the cab industry just talk and no one walk and there are people only focused on how to make money out of others.

It's ashame that there is no one with the balls to stand up for their rights.

David Khan

On Oct 13, 2013, at 10:03 PM, "Mark Gruberg" <mark1106@att.net> wrote:

Hi all:

Be aware that the MTA has on its agenda for Tuesday's meeting an increase in the gate cap of \$9.75. Also included in this item is authorization of a cancellation fee of up to \$10 for callers to dispatch who register their credit cards with the dispatch service. (It's not clear whether the dispatch service would be allowed to keep a portion of the fee, and if so, how much.) The idea is to make service more reliable by assuring drivers of compensation for no-shows. Another provision of the legislation would allow an option

whereby passengers going in the same direction would pay a flat amount to share a cab. This would be voluntary for drivers and passengers.

The other taxi item on the agenda would phase out long-term leasing. Medallions would have to be operated as gas-and-gates, regardless of whether the company or the medallion holder manages the operations of the cab. The current legislation would allow long-term lessees to use the cabs they now own until they are placed out of service, but a shorter phase-out may be proposed when this comes up for decision.

The meeting is this Tuesday, Oct. 15, starting at 1 p.m. in City Hall, room 400.

Mark Gruberg

From: Board of Supervisors
To: BOS-Supervisors
Subject: RE JACK SPADE HEARING

From: Denise D Anne [mailto:ddanne1@sbcglobal.net]
Sent: Saturday, October 12, 2013 1:46 PM
To: Goldstein, Cynthia
Cc: Board Supervisors
Subject: RE JACK SPADE HEARING

October 12, 2013

Board of Appeals, San Francisco

Dear Honorable Commissioners:

San Francisco is noted for its unique neighborhood character and its support of life giving Mom and Pop stores. Jack Spade is about to shatter that image. But most importantly it will destroy the livelihood of struggling small businesses, which support, in many cases, whole families. We like to talk about family values but when it comes to giving substance to these values we put the values aside. Jack Spade has the resources to locate anywhere and it is not crucial for them to locate in family friendly neighborhoods that cater to every day needs. One cannot eat clothing.

Please do not allow them to locate on 16th Street in the Mission or anywhere in the Mission. Downtown locations or in suburban malls are more suitable to Jack Spade's product line. It defies common sense to use the dubious formula retail as a reason to destroy countless livelihoods and dramatically change a character of a neighborhood.

With respect,

Denise D'Anne
351 Guerrero St.
San Francisco, CA 94103

From: Board of Supervisors
To: Miller, Alisa
Subject: File 130766: Park Legislation
Attachments: Park Hours legislation support v2.doc

From: Scott [mailto:auburnscott@netscape.net]
Sent: Friday, October 18, 2013 12:00 PM
To: Board of Supervisors; Avalos, John; Breed, London; Campos, David; Chiu, David; Cohen, Malia; Farrell, Mark; Kim, Jane; Mar, Eric (BOS); Tang, Katy; Wiener, Scott; Yee, Norman (BOS)
Cc: advocacy@sfparksalliance.org
Subject: Park Legislation

I would like to request my attached letter be included in any board package considering proposed legislation / code change for County Park Hour changes. As a long time Board Member for the Auburn Recreation District I fully support this.

Thank you for your time and consideration, I realize I can not vote for any of you - but feel I have a legitimate basis to comment on this.

I also would thank you for your confirmation of receipt of this email.

Keep Smilin'

Scott Holbrook
3698 Country Meadow CT
Auburn, CA 95602
530-906-7441

www.scottseconolube.com
www.keepsmilinpromotions.com
www.partyinthepark.net
www.auburnrec.com

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October 18, 2013

San Francisco Board of Supervisors
City Hall
1 Dr. Carlton B. Goodlett Place, Room 244
San Francisco, Ca 94102-4689

Re: Support for Park Hours Legislation

Dear Supervisor supervisors,

I am a long time elected official at the Auburn Area Park and Recreation District (aka ARD) here in the Sierra Foothills. I want to send my full support for the proposed Park Hours Legislation.

While I do not live in San Francisco, I do utilize your parks on a regular basis, be it for a day under the trees in my favorite destination, or perhaps a live music event or festival. Alas I must admit as a visitor the results of jerks and the damage they cause has become much more apparent, and a bit of a deterrent at some locations.

I also know the costs associated. Unfortunately we are not immune to the ill effects of Vandals, Delinquents, Transients and others who do not show respect for our facilities. The cost to our district is high. We are in the process of working with local law enforcement agencies (we do not have Rangers and work with the Auburn Police & Placer Sheriffs Department). To facilitate enforcement the need for Ordinances / Codes has to be in place, allowing for law enforcement to better protect our resources.

I thank you for all you do to make San Francisco such a wonderful place & your hopeful support of the changes to the Park Hours, I know while it will not fix all problems, it is a necessary step in the right direction.

Sincerely,

Scott Holbrook
Past President and Member – Auburn Recreation District (For ID Purposes Only)
3698 Country Meadow Ct
Auburn, CA 95602
530-906-7441
auburnscott@netscape.net

**Parks
Make
Life
Better!** SM

From: Board of Supervisors
To: BOS-Supervisors; Miller, Alisa
Subject: File 130766: Communication in Opposition to File 130766 [Park Code - Hours of Operation for City Parks] for BOS 10/29/13 meeting
Attachments: homeless_bill_of_rights.docx

From: carpihole@aol.com [mailto:carpihole@aol.com]
Sent: Thursday, October 17, 2013 12:31 PM
To: Board of Supervisors
Subject: Communication in Opposition to File 130766 [Park Code - Hours of Operation for City Parks] for BOS 10/29/13 meeting

Dear Supervisors;

This letter is to express a great concern about the proposed Park Hours Legislation introduced first in December 14, 2010 by the Mayor to the City Operations and Neighborhood Services Committee, and more recently on July 23, 2013 by Supervisor Weiner assigned to the Land Use and Economic Development Committee.

As stated at the Land Use and Economic Development Committee meeting on October 7, 2013, I strongly oppose this legislation. I understand this legislation has been forwarded without recommendation to the Board of Supervisors to be heard on October 29, 2013. This legislation is discriminatory and only serves to convolute and skirt enforcement responsibility for crimes that occur in City parks and to continue diminishing the rights of all San Franciscan's – especially those who rely on public parks as their one last place of respite.

This proposed legislation and other laws and ordinances that have been enacted over the years directly contradict and conflict with upcoming legislation...specifically CA AB 5, The Homeless bill of rights (Attached hereto for your convenience) which supports the constitutional rights of the poor and indigent. **San Francisco must evaluate many current laws, systems, and accepted practices in all City Departments, that conflict with this new law.**

Let's talk about obvious common sense concerns should the proposed legislation governing Park Hours reintroduced by Supervisor Weiner be enacted:

- The burden of knowing whether park hours apply to any given park lies on every single US citizen and tourist;
- Inconsistencies (carve outs) in the law would add fear (and stress) to all citizens of being targeted simply for being in the wrong place at the wrong time;
- In effect, imposes a curfew on the City subjecting anyone out after midnight to police scrutiny;
- The homeless would crowd the parks with exemptions to the law (thereby making attacks on homeless more efficient and profiling practices increased with zero citizen recourse); and,
- Dumping/Graffiti/Vandalism will still occur.

Prior to introducing any legislation relating to limiting civil rights under the guise of curbing crime are unacceptable without first providing the public common sense analysis' and reports that exhibit at bare minimum a modicum of need and alternate efforts taken to mitigate an issue (evidence of increased security in parks during those hours, specific numbers of incidents per park, enlisting assistance from homeless for reporting, evaluation of City Department practices, etc) prior to proposing to impose more rules and laws on the citizens of San Francisco. Without these efforts, submitting legislation that blatantly limit civil and human

rights to be in public places and afford police/rangers the ability to indiscriminately target any occupant of any public space can be construed as an abuse of power and conflict of interest, and should be investigated as discriminatory and/or as crimes against humanity.

Bare minimum Analysis/Reports for this legislation should be mandatory prior to assuming that closing the parks for certain hours will allay the basis of this legislation:

- 1) # of incidents (dumping; vandalism; graffiti; underage drinking) per park per month from 1/1/2013 – 9/30/2013; how they would be prevented with this new legislation?
- 2) Parks & Rec costs for security at parks per year for 2010, 2011, & 2012 per park;
- 3) Vandalism & Graffiti costs with allocations per salary/vandalism/graffiti for 2010, 2011, & 2012 per park;
- 4) # of Park Rangers assigned per park per month during the hours of proposed closure from 1/1/13 – 9/30/13;
- 5) # of police reports/calls for any crime park per month from 1/1/13 – 9/30/13;
- 6) # of citations issued at parks, times of issuance per park per month from 1/1/13 – 9/30/13;
- 7) # of arrests (description of crime charged – including 5150s and Aggressive Solicitation which are not technically arrests) in parks from 1/1/13 – 9/30/13;
- 8) Are the Roles/responsibilities including enforcement of all parties clear and uniform;
- 9) A list of current laws that overlap with proposed legislation;
- 10) Alternate mitigation efforts and/or resolutions to a given issue;
- 11) A summary of all prior analysis performed on quality of life issues in San Francisco since 1990; and,
- 12) Proposed reporting requirements if enacted. (ie – updated # of incidents, costs, arrests of homeless as a result, impacts on legal system and hospitals, etc.)
- 13) Blocking Public rights of way – look at citations issued to individuals versus trucks/advertising boards
- 14) Public urination/excrement – Report on public restroom maintenance/availability; accessibility of public restroom – hours/locations

Penalizing the general public for City deficiencies in providing facilities for basic human care under the guise of random crime is not acceptable and will lead to a militarized city that specifically targets the homeless, poor, and indigent and allows for individual (potentially renegade) discretion of authority – leaving little to no remedy or defense against false and discriminatory actions of officers/rangers as a result of this legislation. Additionally, costs to litigate the impacts of the legislation would surely cost tax payers more than adding security cameras or other measures that should reasonably be taken prior to even introducing such legislation. Let's not forget about the impact on the individuals who have been subject to the existing laws.

Some of the current laws that serve target the poor and indigent population and warrant analysis are:

012-08 – prohibits camping/sleeping in parks 8pm-8am (3.12;3.13) 1/24/08 Newsom

MPC 120.2 – Aggressive Solicitation Ban/ Substance Abuse and Mental Health Diversion Program (Formerly Aggressive Panhandling –MPC 120.1. This has been repealed due to law suits and civil rights issues) it is curious that this does not apply to healthcare professionals; businesses spamming; restaurants leaving door hangers weekly who are able to repeatedly violate this law)

File # 120124 Large Vehicle Parking Restrictions 9/28/12 Chu/Cohen – Should look at studies of the prior proposed legislation (report on costs applied to fixing roads/contractors/ assessment)

Prop L - Sit/Lie 11/2010 (absolutely used against the homeless– should evaluate # of people with homes that have been cited (ages/disability/construction workers)

File # 120191 Building Code amending definition of Efficiency Unit 2/25/12 Weiner which reduces the size of affordable housing units living spaces by 70 square feet (from 220sqft to 150sqft).

0015-12 – Public Guardian/Public Administrator Gift Fund - Financial Management with “Voluntary” Informed Consent – the catch is, if a person is referred to a program in SF from drug court vs. jail, they are required to sign a document that gives authority to a person to collect their GA check and charge them a monthly fee for management – with zero oversight. This program must be audited and evaluated for legal issues.

5150 (CIT) – Individual rights, Patient rights and due process violations are all accepted practices in San Francisco

In summary, I urge this Board to focus on legislation that will provide incentive for our City’s Board of Supervisors, Mayor, and Department Heads to center their legislative focus on holding all bad actors (including corporations) accountable for crimes against the public – not promoting the restriction of basic civil and human rights based on random acts of a few bad actors who have violated **criminal** laws - which the City failed to investigate or enforce.

City leaders who choose to waste the public’s time and money attempting to target the neediest in our city should be personally fined for the costs of litigation associated with a discriminatory law, the public’s time and effort in having to divert focus from their own pursuit of happiness, and costs to non-profit and other organizations that are forced to divert their focus from helping individuals to fighting discriminatory legislation from becoming law. Repeat offenders should be subject to a three strikes provision.

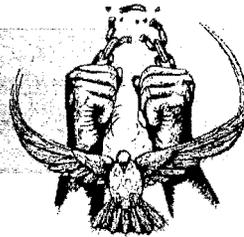
Thank you for your consideration of this letter in opposition of the proposed park closure ordinance.

Sincerely,

Diane Carpio

This land is your land, this land is my land.....this land was made for you and me.

A Homeless Bill of Rights



March 30, 2013

California Homeless Bill of Rights Fact Sheet

Laws that segregate, that make criminals of people based on their status rather than their behavior, or that prohibit certain people's right to be in public spaces are not just sad relics from the past: Today, numerous laws infringe on poor people's ability to exist in public space, to acquire housing, employment, and basic services, and to equal protection under the law. The California Homeless Bill of Rights is a response that can help alleviate poverty and homelessness while protecting homeless people from discrimination and ensuring their right to privacy and to their personal property.

Assemblymember Tom Ammiano (D, San Francisco) is the author of AB 5, the "California Homeless Person's Bill of Rights and Fairness Act". The bill is co-sponsored by, Western Regional Advocacy Project, Western Center on Law and Poverty, JERICHO: A Voice for Justice, and the East Bay Community law Center.

The following rights of homeless people are enumerated in the bill:

The right to

- move freely in public spaces
- rest and sleep in public spaces
- have personal property in public space, and restitution for any property taken or destroyed
- share food in public spaces
- protection by law enforcement
- seek an income, including through recycling
- pray in public
- turn down offers of services based on one's own judgment
- sleep in one's car
- equal access to education for homeless schoolchildren and youth
- confidentiality in social service records.

The bill creates a right to sufficient **health and hygiene centers available 24 hours**, including bathrooms and showers.

The bill forbids law enforcement from enforcing laws that prohibit sleeping, sitting, lying down, standing, eating, panhandling, or sharing food in public spaces (or in one's car in a public space) *unless* that area:

- offers General Assistance for twelve months out of the year
- *and* has an unemployment rate *below* 120% the Federal average
- *and* has a public housing waitlist of fewer than 50 people.

The bill gives people the right to counsel—provided by the county—whenever the District Attorney is present in court to prosecute. (*Currently, this does not happen with infraction cases.*)

The bill protects public employees from retaliation by their employer if they offer public resources to a homeless person.

A Homeless Bill of Rights



March 30, 2013

The bill requires law enforcement agencies to compile every year the number of citations and arrests for laws that prohibit:

- obstructing sidewalks
- loitering
- sitting
- lying
- camping
- "lodging" in public
- sleeping in public
- asking for donations
- bathing in public
- sharing or receiving food
- sleeping or living in a vehicle
- violating park closure laws
- jaywalking
- trespassing
- other local or state laws as requested by the Attorney General, city attorney, or any non-profit that assists, reaches out to, or advocates for poor and homeless people.

The following rights are aspirational in the bill:

- Right to **shelter**.
- Right to **basic services, housing, income, and medical care**.
- The right of **homeless schoolchildren** to be provided the supplies necessary for academic success (backpacks, textbooks, notebooks, pencils, pens, and appropriate academic technology).

Definition of Homelessness:

For the purposes of this bill, "homeless" means lacking a fixed, regular, and adequate nighttime residence, or having a primary nighttime residence in a shelter, on the street, in a vehicle, in an enclosure or structure that is not authorized or fit for human habitation, substandard apartments, dwellings, doubled up temporarily with friends or families, staying in transitional housing programs, staying anywhere without tenancy rights, or staying with one or more children of whom they are the parent or legal guardian in a residential hotel whether or not they have tenancy rights.

Regional Contact

Western Regional Advocacy Project
Paul Boden
(415) 621-2533
pboden@wraphome.org

Local Contact

File 130084

From: Maritza Bustamante [mailto:changemail.org]
Sent: Sunday, October 20, 2013 1:29 PM
To: ✓ Board of Supervisors
Subject: 10 new signers: Bertha Moreno, Valerie Beals...

10 new people recently signed Ney Street Neighborhood Watch's petition "Tell Mayor Lee and Chief Suhr We Need More Than One Foot Patrol Officer in the Excelsior" on Change.org.

There are now 280 signatures on this petition. Read reasons why people are signing, and respond to Ney Street Neighborhood Watch by clicking here:

<http://www.change.org/petitions/tell-mayor-lee-and-chief-suhr-we-need-more-than-one-foot-patrol-officer-in-the-excelsior/responses/new?response=9272c59f571d>

Dear San Francisco Board of Supervisors,

Immediately put additional experienced foot patrol officers on Mission Street from Trumbull to beyond Geneva to Mt. Vernon Street. Currently there is only one officer between Silver Avenue and Geneva – a 1.25 miles patrol area.

Sincerely,

- 271. Bertha Moreno san francisco,ca, California
- 272. Valerie Beals Castro Valley, California
- 273. Carolina Stankiewch San Francisco, California
- 274. Elsa Casillas San Francisco, California
- 275. Pat Mabutas SF, California
- 276. michael hall san francisco, California
- 277. Karen McHugh Oakley, California
- 278. Wayne Hemer San Francisco, California
- 279. Paula Davis-Hall San Francisco, California
- 280. Maritza Bustamante San Francisco, California

**Document is available
at the Clerk's Office
Room 244, City Hall**

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(17)

From: Board of Supervisors
To: BOS-Supervisors
Subject: 100 new signers: Thomas K, Zohar Mann...

From: Christopher Romp [mailto:mail@changemail.org]
Sent: Monday, October 21, 2013 11:29 AM
To: Board of Supervisors
Subject: 100 new signers: Thomas K, Zohar Mann...

Another 100 people added their names to Dana S's petition "Make fiber broadband a priority for San Francisco" -- momentum is growing.

There are now 1101 signatures on this petition. Read reasons why people are signing, and respond to Dana S by clicking here:

<http://www.change.org/petitions/make-fiber-broadband-a-priority-for-san-francisco/responses/new?response=9272c59f571d>

Dear San Francisco Board of Supervisors,

As other cities embrace high-speed fiber broadband, San Francisco is getting left behind. Our city has underutilized public fiber and several local Internet Service Providers eager to deploy gigabit speed broadband to businesses and households, yet this is stymied by rules and regulations that have not kept pace with technology. Deployment of fiber and ultra-high speed broadband provides a unique opportunity to create innovation and new jobs, extend public access and develop valuable infrastructure that would serve our city for decades to come. I encourage you to develop policy to encourage fiber deployment and make ultra fast broadband a priority for San Francisco.

Sincerely,

- 1001. Thomas K San Francisco, California
- 1002. Zohar Mann San Francisco, California
- 1003. George Kelly San Francisco, California
- 1004. Seth Saavedra San Francisco, California
- 1005. Graeme MacDonald San Francisco, California
- 1006. Eric Zellhart San Francisco, California
- 1007. Philippe Branchu San Francisco, California
- 1008. Alpa Williams San Francisco, California
- 1009. Hassan Kurdi San Francisco, California
- 1010. Marcela Cortes San Francisco, California
- 1011. harry styer San Francisco, California
- 1012. Brian Ho San Francisco, California
- 1013. Asher Bond San Francisco, California
- 1014. Athene Yip San Francisco, California
- 1015. Jorge Rivero San Francisco, California
- 1016. Julian Mehnle San Francisco, California
- 1017. Steven python San Francisco, California
- 1018. robyn price san francisco, California
- 1019. n b sf, California

**Document is available
at the Clerk's Office
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